

# Examples of Inductive and Coinductive Definitions in HOL

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## Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

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# 1 Common patterns of induction

```
theory Common-Patterns
imports Main
begin
```

The subsequent Isar proof schemes illustrate common proof patterns supported by the generic *induct* method.

To demonstrate variations on statement (goal) structure we refer to the induction rule of Peano natural numbers:  $\llbracket P\ 0; \bigwedge n. P\ n \implies P\ (Suc\ n) \rrbracket \implies P\ n$ , which is the simplest case of datatype induction. We shall also see more complex (mutual) datatype inductions involving several rules. Working with inductive predicates is similar, but involves explicit facts about membership, instead of implicit syntactic typing.

## 1.1 Variations on statement structure

### 1.1.1 Local facts and parameters

Augmenting a problem by additional facts and locally fixed variables is a bread-and-butter method in many applications. This is where unwieldy object-level  $\forall$  and  $\longrightarrow$  used to occur in the past. The *induct* method works with primary means of the proof language instead.

```
lemma
  fixes  $n :: nat$ 
    and  $x :: 'a$ 
  assumes  $A\ n\ x$ 
  shows  $P\ n\ x\ \langle proof \rangle$ 
```

### 1.1.2 Local definitions

Here the idea is to turn sub-expressions of the problem into a defined induction variable. This is often accompanied with fixing of auxiliary parameters in the original expression, otherwise the induction step would refer invariably to particular entities. This combination essentially expresses a partially abstracted representation of inductive expressions.

```
lemma
  fixes  $a :: 'a \Rightarrow nat$ 
  assumes  $A\ (a\ x)$ 
  shows  $P\ (a\ x)\ \langle proof \rangle$ 
```

Observe how the local definition  $n = a\ x$  recurs in the inductive cases as  $0 = a\ x$  and  $Suc\ n = a\ x$ , according to underlying induction rule.

### 1.1.3 Simple simultaneous goals

The most basic simultaneous induction operates on several goals one-by-one, where each case refers to induction hypotheses that are duplicated according to the number of conclusions.

```
lemma
  fixes n :: nat
  shows P n and Q n
<proof>
```

The split into subcases may be deferred as follows – this is particularly relevant for goal statements with local premises.

```
lemma
  fixes n :: nat
  shows A n  $\implies$  P n
    and B n  $\implies$  Q n
<proof>
```

### 1.1.4 Compound simultaneous goals

The following pattern illustrates the slightly more complex situation of simultaneous goals with individual local assumptions. In compound simultaneous statements like this, local assumptions need to be included into each goal, using  $\implies$  of the Pure framework. In contrast, local parameters do not require separate  $\wedge$  prefixes here, but may be moved into the common context of the whole statement.

```
lemma
  fixes n :: nat
    and x :: 'a
    and y :: 'b
  shows A n x  $\implies$  P n x
    and B n y  $\implies$  Q n y
<proof>
```

Here *induct* provides again nested cases with numbered sub-cases, which allows to share common parts of the body context. In typical applications, there could be a long intermediate proof of general consequences of the induction hypotheses, before finishing each conclusion separately.

## 1.2 Multiple rules

Multiple induction rules emerge from mutual definitions of datatypes, inductive predicates, functions etc. The *induct* method accepts replicated arguments (with *and* separator), corresponding to each projection of the induction principle.

The goal statement essentially follows the same arrangement, although it might be subdivided into simultaneous sub-problems as before!

```
datatype foo = Foo1 nat | Foo2 bar
and bar = Bar1 bool | Bar2 bazar
and bazar = Bazar foo
```

The pack of induction rules for this datatype is:

```
[[ $\wedge nat. P1 (Foo1 nat); \wedge bar. P2 bar \implies P1 (Foo2 bar); \wedge bool. P2 (Bar1 bool);$ 
 $\wedge bazar. P3 bazar \implies P2 (Bar2 bazar); \wedge foo. P1 foo \implies P3 (Bazar foo)$ ]]
 $\implies P1 foo$ 
[[ $\wedge nat. P1 (Foo1 nat); \wedge bar. P2 bar \implies P1 (Foo2 bar); \wedge bool. P2 (Bar1 bool);$ 
 $\wedge bazar. P3 bazar \implies P2 (Bar2 bazar); \wedge foo. P1 foo \implies P3 (Bazar foo)$ ]]
 $\implies P2 bar$ 
[[ $\wedge nat. P1 (Foo1 nat); \wedge bar. P2 bar \implies P1 (Foo2 bar); \wedge bool. P2 (Bar1 bool);$ 
 $\wedge bazar. P3 bazar \implies P2 (Bar2 bazar); \wedge foo. P1 foo \implies P3 (Bazar foo)$ ]]
 $\implies P3 bazar$ 
```

This corresponds to the following basic proof pattern:

```
lemma
  fixes foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows P foo
    and Q bar
    and R bazar
  <proof>
```

This can be combined with the previous techniques for compound statements, e.g. like this.

```
lemma
  fixes x :: 'a and y :: 'b and z :: 'c
    and foo :: foo
    and bar :: bar
    and bazar :: bazar
  shows
    A x foo  $\implies$  P x foo
  and
    B1 y bar  $\implies$  Q1 y bar
    B2 y bar  $\implies$  Q2 y bar
  and
    C1 z bazar  $\implies$  R1 z bazar
    C2 z bazar  $\implies$  R2 z bazar
    C3 z bazar  $\implies$  R3 z bazar
  <proof>
```

### 1.3 Inductive predicates

The most basic form of induction involving predicates (or sets) essentially eliminates a given membership fact.

```
inductive Even :: nat  $\Rightarrow$  bool where  
  zero: Even 0  
| double: Even n  $\Longrightarrow$  Even (2 * n)
```

```
lemma  
  assumes Even n  
  shows P n  
   $\langle$ proof $\rangle$ 
```

Alternatively, an initial rule statement may be proven as follows, performing “in-situ” elimination with explicit rule specification.

```
lemma Even n  $\Longrightarrow$  P n  
 $\langle$ proof $\rangle$ 
```

Simultaneous goals do not introduce anything new.

```
lemma  
  assumes Even n  
  shows P1 n and P2 n  
   $\langle$ proof $\rangle$ 
```

Working with mutual rules requires special care in composing the statement as a two-level conjunction, using lists of propositions separated by *and*. For example:

```
inductive Evn :: nat  $\Rightarrow$  bool and Odd :: nat  $\Rightarrow$  bool  
where  
  zero: Evn 0  
| succ-Evn: Evn n  $\Longrightarrow$  Odd (Suc n)  
| succ-Odd: Odd n  $\Longrightarrow$  Evn (Suc n)
```

```
lemma  
  Evn n  $\Longrightarrow$  P1 n  
  Evn n  $\Longrightarrow$  P2 n  
  Evn n  $\Longrightarrow$  P3 n  
  and  
  Odd n  $\Longrightarrow$  Q1 n  
  Odd n  $\Longrightarrow$  Q2 n  
   $\langle$ proof $\rangle$ 
```

```
end
```

## 2 The Mutilated Chess Board Problem

```
theory Mutil imports Main begin
```



The Mutilated Chess Board Problem, formalized inductively.

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

**inductive-set**

```
tiling :: 'a set set => 'a set set
for A :: 'a set set
where
  empty [simp, intro]: {} ∈ tiling A
  | Un [simp, intro]: [| a ∈ A; t ∈ tiling A; a ∩ t = {} |]
                    ==> a ∪ t ∈ tiling A
```

**inductive-set**

```
domino :: (nat × nat) set set
where
  horiz [simp]: {(i, j), (i, Suc j)} ∈ domino
  | vertl [simp]: {(i, j), (Suc i, j)} ∈ domino
```

Sets of squares of the given colour

**definition**

```
coloured :: nat => (nat × nat) set where
coloured b = {(i, j). (i + j) mod 2 = b}
```

**abbreviation**

```
whites :: (nat × nat) set where
whites == coloured 0
```

**abbreviation**

```
blacks :: (nat × nat) set where
blacks == coloured (Suc 0)
```

The union of two disjoint tilings is a tiling

**lemma** *tiling-UnI* [intro]:

```
[| t ∈ tiling A; u ∈ tiling A; t ∩ u = {} |] ==> t ∪ u ∈ tiling A
⟨proof⟩
```

Chess boards

**lemma** *Sigma-Suc1* [simp]:

```
lessThan (Suc n) × B = ({n} × B) ∪ ((lessThan n) × B)
⟨proof⟩
```

**lemma** *Sigma-Suc2* [simp]:

```
A × lessThan (Suc n) = (A × {n}) ∪ (A × (lessThan n))
⟨proof⟩
```

**lemma** *sing-Times-lemma*:  $(\{i\} \times \{n\}) \cup (\{i\} \times \{m\}) = \{(i, m), (i, n)\}$

⟨proof⟩

**lemma** *dominoes-tile-row* [intro!]:  $\{i\} \times \text{lessThan } (2 * n) \in \text{tiling domino}$   
 ⟨proof⟩

**lemma** *dominoes-tile-matrix*:  $(\text{lessThan } m) \times \text{lessThan } (2 * n) \in \text{tiling domino}$   
 ⟨proof⟩

*coloured* and Dominoes

**lemma** *coloured-insert* [simp]:  
 $\text{coloured } b \cap (\text{insert } (i, j) \ t) =$   
 $(\text{if } (i + j) \bmod 2 = b \text{ then } \text{insert } (i, j) (\text{coloured } b \cap t)$   
 $\text{else } \text{coloured } b \cap t)$   
 ⟨proof⟩

**lemma** *domino-singletons*:  
 $d \in \text{domino} ==>$   
 $(\exists i \ j. \text{whites} \cap d = \{(i, j)\}) \wedge$   
 $(\exists m \ n. \text{blacks} \cap d = \{(m, n)\})$   
 ⟨proof⟩

**lemma** *domino-finite* [simp]:  $d \in \text{domino} ==> \text{finite } d$   
 ⟨proof⟩

Tilings of dominoes

**lemma** *tiling-domino-finite* [simp]:  $t \in \text{tiling domino} ==> \text{finite } t$   
 ⟨proof⟩

**declare**  
*Int-Un-distrib* [simp]  
*Diff-Int-distrib* [simp]

**lemma** *tiling-domino-0-1*:  
 $t \in \text{tiling domino} ==> \text{card}(\text{whites} \cap t) = \text{card}(\text{blacks} \cap t)$   
 ⟨proof⟩

Final argument is surprisingly complex

**theorem** *gen-mutil-not-tiling*:  
 $t \in \text{tiling domino} ==>$   
 $(i + j) \bmod 2 = 0 ==> (m + n) \bmod 2 = 0 ==>$   
 $\{(i, j), (m, n)\} \subseteq t$   
 $==> (t - \{(i, j)\} - \{(m, n)\}) \notin \text{tiling domino}$   
 ⟨proof⟩

Apply the general theorem to the well-known case

**theorem** *mutil-not-tiling*:  
 $t = \text{lessThan } (2 * \text{Suc } m) \times \text{lessThan } (2 * \text{Suc } n)$   
 $==> t - \{(0, 0)\} - \{(\text{Suc } (2 * m), \text{Suc } (2 * n))\} \notin \text{tiling domino}$

*<proof>*

**end**

### 3 Defining an Initial Algebra by Quotienting a Free Algebra

**theory** *QuoDataType* **imports** *Main* **begin**

#### 3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

**datatype**

*freemsg* = *NONCE* *nat*  
 | *MPAIR* *freemsg freemsg*  
 | *CRYPT* *nat freemsg*  
 | *DECRYPT* *nat freemsg*

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

**inductive-set**

*msgrel* :: (*freemsg* \* *freemsg*) *set*  
**and** *msg-rel* :: [*freemsg*, *freemsg*] => *bool* (**infixl** ~ 50)  
**where**  
 $X \sim Y == (X, Y) \in \text{msgrel}$   
 | *CD*:  $\text{CRYPT } K (\text{DECRYPT } K X) \sim X$   
 | *DC*:  $\text{DECRYPT } K (\text{CRYPT } K X) \sim X$   
 | *NONCE*:  $\text{NONCE } N \sim \text{NONCE } N$   
 | *MPAIR*:  $\llbracket X \sim X'; Y \sim Y' \rrbracket \implies \text{MPAIR } X Y \sim \text{MPAIR } X' Y'$   
 | *CRYPT*:  $X \sim X' \implies \text{CRYPT } K X \sim \text{CRYPT } K X'$   
 | *DECRYPT*:  $X \sim X' \implies \text{DECRYPT } K X \sim \text{DECRYPT } K X'$   
 | *SYM*:  $X \sim Y \implies Y \sim X$   
 | *TRANS*:  $\llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$

Proving that it is an equivalence relation

**lemma** *msgrel-refl*:  $X \sim X$

*<proof>*

**theorem** *equiv-msgrel*: *equiv UNIV msgrel*

*<proof>*

## 3.2 Some Functions on the Free Algebra

### 3.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts**

*freenonces* :: *freemsg*  $\Rightarrow$  *nat set*

**primrec**

*freenonces* (*NONCE* *N*) = {*N*}  
*freenonces* (*MPAIR* *X* *Y*) = *freenonces* *X*  $\cup$  *freenonces* *Y*  
*freenonces* (*CRYPT* *K* *X*) = *freenonces* *X*  
*freenonces* (*DECRYPT* *K* *X*) = *freenonces* *X*

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

**theorem** *msgrel-imp-eq-freenonces*:  $U \sim V \Longrightarrow \text{freenonces } U = \text{freenonces } V$   
*<proof>*

### 3.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts** *freeleft* :: *freemsg*  $\Rightarrow$  *freemsg*

**primrec**

*freeleft* (*NONCE* *N*) = *NONCE* *N*  
*freeleft* (*MPAIR* *X* *Y*) = *X*  
*freeleft* (*CRYPT* *K* *X*) = *freeleft* *X*  
*freeleft* (*DECRYPT* *K* *X*) = *freeleft* *X*

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

**theorem** *msgrel-imp-eq-freeleft*:  
 $U \sim V \Longrightarrow \text{freeleft } U \sim \text{freeleft } V$   
*<proof>*

### 3.2.3 The Right Projection

A function to return the right part of the top pair in a message.

**consts** *freeright* :: *freemsg*  $\Rightarrow$  *freemsg*

**primrec**

*freeright* (*NONCE* *N*) = *NONCE* *N*  
*freeright* (*MPAIR* *X* *Y*) = *Y*  
*freeright* (*CRYPT* *K* *X*) = *freeright* *X*

$$\text{freeright } (\text{DECRYPT } K \ X) = \text{freeright } X$$

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

**theorem** *msgrel-imp-eqv-freeright*:

$$U \sim V \implies \text{freeright } U \sim \text{freeright } V$$

*<proof>*

### 3.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

**consts** *freediscrim* :: *freemsg*  $\Rightarrow$  *int*

**primrec**

$$\begin{aligned} \text{freediscrim } (\text{NONCE } N) &= 0 \\ \text{freediscrim } (\text{MPAIR } X \ Y) &= 1 \\ \text{freediscrim } (\text{CRYPT } K \ X) &= \text{freediscrim } X + 2 \\ \text{freediscrim } (\text{DECRYPT } K \ X) &= \text{freediscrim } X - 2 \end{aligned}$$

This theorem helps us prove  $\text{Nonce } N \neq \text{MPair } X \ Y$

**theorem** *msgrel-imp-eq-freediscrim*:

$$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$$

*<proof>*

## 3.3 The Initial Algebra: A Quotiented Message Type

**typedef** (*Msg*) *msg* = *UNIV* // *msgrel*

*<proof>*

The abstract message constructors

**definition**

$$\begin{aligned} \text{Nonce} &:: \text{nat} \Rightarrow \text{msg} \text{ where} \\ \text{Nonce } N &= \text{Abs-Msg}(\text{msgrel}''\{\text{NONCE } N\}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{MPair} &:: [\text{msg}, \text{msg}] \Rightarrow \text{msg} \text{ where} \\ \text{MPair } X \ Y &= \\ &\text{Abs-Msg } (\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel}''\{\text{MPAIR } U \ V\}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{Crypt} &:: [\text{nat}, \text{msg}] \Rightarrow \text{msg} \text{ where} \\ \text{Crypt } K \ X &= \\ &\text{Abs-Msg } (\bigcup U \in \text{Rep-Msg } X. \text{msgrel}''\{\text{CRYPT } K \ U\}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{Decrypt} &:: [\text{nat}, \text{msg}] \Rightarrow \text{msg} \text{ where} \\ \text{Decrypt } K \ X &= \\ &\text{Abs-Msg } (\bigcup U \in \text{Rep-Msg } X. \text{msgrel}''\{\text{DECRYPT } K \ U\}) \end{aligned}$$

Reduces equality of equivalence classes to the *msgrel* relation:  $(\text{msgrel } \{x\} = \text{msgrel } \{y\}) = (x \sim y)$

**lemmas** *equiv-msgrel-iff* = *eq-equiv-class-iff* [*OF equiv-msgrel UNIV-I UNIV-I*]

**declare** *equiv-msgrel-iff* [*simp*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]:  $\text{msgrel } \{U\} \in \text{Msg}$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-Abs-Msg*: *inj-on Abs-Msg Msg*  
 $\langle \text{proof} \rangle$

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Msg* [*THEN inj-on-iff, simp*]

**declare** *Abs-Msg-inverse* [*simp*]

### 3.3.1 Characteristic Equations for the Abstract Constructors

**lemma** *MPair*:  $\text{MPair } (\text{Abs-Msg } (\text{msgrel } \{U\})) (\text{Abs-Msg } (\text{msgrel } \{V\})) =$   
 $\text{Abs-Msg } (\text{msgrel } \{\text{MPAIR } U V\})$   
 $\langle \text{proof} \rangle$

**lemma** *Crypt*:  $\text{Crypt } K (\text{Abs-Msg } (\text{msgrel } \{U\})) = \text{Abs-Msg } (\text{msgrel } \{\text{CRYPT } K U\})$   
 $\langle \text{proof} \rangle$

**lemma** *Decrypt*:  
 $\text{Decrypt } K (\text{Abs-Msg } (\text{msgrel } \{U\})) = \text{Abs-Msg } (\text{msgrel } \{\text{DECRYPT } K U\})$   
 $\langle \text{proof} \rangle$

Case analysis on the representation of a msg as an equivalence class.

**lemma** *eq-Abs-Msg* [*case-names Abs-Msg, cases type: msg*]:  
 $(!!U. z = \text{Abs-Msg } (\text{msgrel } \{U\}) \implies P) \implies P$   
 $\langle \text{proof} \rangle$

Establishing these two equations is the point of the whole exercise

**theorem** *CD-eq* [*simp*]:  $\text{Crypt } K (\text{Decrypt } K X) = X$   
 $\langle \text{proof} \rangle$

**theorem** *DC-eq* [*simp*]:  $\text{Decrypt } K (\text{Crypt } K X) = X$   
 $\langle \text{proof} \rangle$

### 3.4 The Abstract Function to Return the Set of Nonces

**definition**

*nonces* ::  $\text{msg} \Rightarrow \text{nat set}$  **where**

$$\text{nonces } X = (\bigcup U \in \text{Rep-Msg } X. \text{freenonces } U)$$

**lemma** *nonces-congruent: freenonces respects msgrel*  
 $\langle \text{proof} \rangle$

Now prove the four equations for *nonces*

**lemma** *nonces-Nonce [simp]: nonces (Nonce N) = {N}*  
 $\langle \text{proof} \rangle$

**lemma** *nonces-MPair [simp]: nonces (MPair X Y) = nonces X  $\cup$  nonces Y*  
 $\langle \text{proof} \rangle$

**lemma** *nonces-Crypt [simp]: nonces (Crypt K X) = nonces X*  
 $\langle \text{proof} \rangle$

**lemma** *nonces-Decrypt [simp]: nonces (Decrypt K X) = nonces X*  
 $\langle \text{proof} \rangle$

### 3.5 The Abstract Function to Return the Left Part

**definition**

*left* :: *msg*  $\Rightarrow$  *msg* **where**  
 $\text{left } X = \text{Abs-Msg } (\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \text{ `` } \{\text{freeleft } U\})$

**lemma** *left-congruent: ( $\lambda U. \text{msgrel} \text{ `` } \{\text{freeleft } U\})$  respects msgrel*  
 $\langle \text{proof} \rangle$

Now prove the four equations for *left*

**lemma** *left-Nonce [simp]: left (Nonce N) = Nonce N*  
 $\langle \text{proof} \rangle$

**lemma** *left-MPair [simp]: left (MPair X Y) = X*  
 $\langle \text{proof} \rangle$

**lemma** *left-Crypt [simp]: left (Crypt K X) = left X*  
 $\langle \text{proof} \rangle$

**lemma** *left-Decrypt [simp]: left (Decrypt K X) = left X*  
 $\langle \text{proof} \rangle$

### 3.6 The Abstract Function to Return the Right Part

**definition**

*right* :: *msg*  $\Rightarrow$  *msg* **where**  
 $\text{right } X = \text{Abs-Msg } (\bigcup U \in \text{Rep-Msg } X. \text{msgrel} \text{ `` } \{\text{freeright } U\})$

**lemma** *right-congruent: ( $\lambda U. \text{msgrel} \text{ `` } \{\text{freeright } U\})$  respects msgrel*  
 $\langle \text{proof} \rangle$

Now prove the four equations for *right*

**lemma** *right-Nonce* [simp]: *right* (Nonce *N*) = Nonce *N*  
 ⟨proof⟩

**lemma** *right-MPair* [simp]: *right* (MPair *X Y*) = *Y*  
 ⟨proof⟩

**lemma** *right-Crypt* [simp]: *right* (Crypt *K X*) = *right X*  
 ⟨proof⟩

**lemma** *right-Decrypt* [simp]: *right* (Decrypt *K X*) = *right X*  
 ⟨proof⟩

### 3.7 Injectivity Properties of Some Constructors

**lemma** *NONCE-imp-eq*: *NONCE m* ~ *NONCE n*  $\implies m = n$   
 ⟨proof⟩

Can also be proved using the function *nonces*

**lemma** *Nonce-Nonce-eq* [iff]: (*Nonce m* = *Nonce n*) = (*m = n*)  
 ⟨proof⟩

**lemma** *MPAIR-imp-eqv-left*: *MPAIR X Y* ~ *MPAIR X' Y'*  $\implies X \sim X'$   
 ⟨proof⟩

**lemma** *MPair-imp-eq-left*:  
 assumes *eq*: *MPair X Y* = *MPair X' Y'* **shows** *X* = *X'*  
 ⟨proof⟩

**lemma** *MPAIR-imp-eqv-right*: *MPAIR X Y* ~ *MPAIR X' Y'*  $\implies Y \sim Y'$   
 ⟨proof⟩

**lemma** *MPair-imp-eq-right*: *MPair X Y* = *MPair X' Y'*  $\implies Y = Y'$   
 ⟨proof⟩

**theorem** *MPair-MPair-eq* [iff]: (*MPair X Y* = *MPair X' Y'*) = (*X=X'* & *Y=Y'*)  
 ⟨proof⟩

**lemma** *NONCE-neqv-MPAIR*: *NONCE m* ~ *MPAIR X Y*  $\implies \text{False}$   
 ⟨proof⟩

**theorem** *Nonce-neq-MPair* [iff]: *Nonce N*  $\neq$  *MPair X Y*  
 ⟨proof⟩

Example suggested by a referee

**theorem** *Crypt-Nonce-neq-Nonce*: *Crypt K* (*Nonce M*)  $\neq$  *Nonce N*  
 ⟨proof⟩

...and many similar results



**theorem** *Crypt2-Nonce-neq-Nonce*:  $\text{Crypt } K \ (\text{Crypt } K' \ (\text{Nonce } M)) \neq \text{Nonce } N$   
 $\langle \text{proof} \rangle$

**theorem** *Crypt-Crypt-eq* [iff]:  $(\text{Crypt } K \ X = \text{Crypt } K \ X') = (X=X')$   
 $\langle \text{proof} \rangle$

**theorem** *Decrypt-Decrypt-eq* [iff]:  $(\text{Decrypt } K \ X = \text{Decrypt } K \ X') = (X=X')$   
 $\langle \text{proof} \rangle$

**lemma** *msg-induct* [case-names *Nonce MPair Crypt Decrypt*, cases type: *msg*]:  
 assumes  $N: \bigwedge N. P \ (\text{Nonce } N)$   
 and  $M: \bigwedge X \ Y. \llbracket P \ X; P \ Y \rrbracket \implies P \ (\text{MPair } X \ Y)$   
 and  $C: \bigwedge K \ X. P \ X \implies P \ (\text{Crypt } K \ X)$   
 and  $D: \bigwedge K \ X. P \ X \implies P \ (\text{Decrypt } K \ X)$   
 shows  $P \ \text{msg}$   
 $\langle \text{proof} \rangle$

### 3.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don't need this function in order to prove discrimination theorems.

**definition**

$\text{discrim} :: \text{msg} \Rightarrow \text{int}$  **where**  
 $\text{discrim } X = \text{contents } (\bigcup U \in \text{Rep-Msg } X. \{\text{freediscrim } U\})$

**lemma** *discrim-congruent*:  $(\lambda U. \{\text{freediscrim } U\})$  respects *msgrel*  
 $\langle \text{proof} \rangle$

Now prove the four equations for *discrim*

**lemma** *discrim-Nonce* [simp]:  $\text{discrim } (\text{Nonce } N) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *discrim-MPair* [simp]:  $\text{discrim } (\text{MPair } X \ Y) = 1$   
 $\langle \text{proof} \rangle$

**lemma** *discrim-Crypt* [simp]:  $\text{discrim } (\text{Crypt } K \ X) = \text{discrim } X + 2$   
 $\langle \text{proof} \rangle$

**lemma** *discrim-Decrypt* [simp]:  $\text{discrim } (\text{Decrypt } K \ X) = \text{discrim } X - 2$   
 $\langle \text{proof} \rangle$

**end**

## 4 Quotienting a Free Algebra Involving Nested Recursion

**theory** *QuoNestedDataType* **imports** *Main* **begin**

### 4.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```
datatype
  freeExp = VAR nat
          | PLUS freeExp freeExp
          | FNCALL nat freeExp list
```

The equivalence relation, which makes PLUS associative.

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

```
inductive-set
  exprel :: (freeExp * freeExp) set
and exp-rel :: [freeExp, freeExp] => bool (infixl ~ 50)
where
  X ~ Y == (X, Y) ∈ exprel
  | ASSOC: PLUS X (PLUS Y Z) ~ PLUS (PLUS X Y) Z
  | VAR: VAR N ~ VAR N
  | PLUS:  $\llbracket X \sim X'; Y \sim Y' \rrbracket \implies PLUS\ X\ Y \sim PLUS\ X'\ Y'$ 
  | FNCALL:  $(Xs, Xs') \in listrel\ exprel \implies FNCALL\ F\ Xs \sim FNCALL\ F\ Xs'$ 
  | SYM:  $X \sim Y \implies Y \sim X$ 
  | TRANS:  $\llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$ 
monos listrel-mono
```

Proving that it is an equivalence relation

```
lemma exprel-refl: X ~ X
and list-exprel-refl: (Xs, Xs) ∈ listrel(exprel)
  <proof>
```

```
theorem equiv-exprel: equiv UNIV exprel
  <proof>
```

```
theorem equiv-list-exprel: equiv UNIV (listrel exprel)
  <proof>
```

```
lemma FNCALL-Nil: FNCALL F [] ~ FNCALL F []
  <proof>
```

```
lemma FNCALL-Cons:
   $\llbracket X \sim X'; (Xs, Xs') \in listrel(exprel) \rrbracket$ 
```

$\implies \text{FNCALL } F (X \# Xs) \sim \text{FNCALL } F (X' \# Xs')$   
 $\langle \text{proof} \rangle$

## 4.2 Some Functions on the Free Algebra

### 4.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

**consts**

$\text{freevars} \quad :: \text{freeExp} \Rightarrow \text{nat set}$   
 $\text{freevars-list} :: \text{freeExp list} \Rightarrow \text{nat set}$

**primrec**

$\text{freevars } (\text{VAR } N) = \{N\}$   
 $\text{freevars } (\text{PLUS } X \ Y) = \text{freevars } X \cup \text{freevars } Y$   
 $\text{freevars } (\text{FNCALL } F \ Xs) = \text{freevars-list } Xs$

$\text{freevars-list } [] = \{\}$   
 $\text{freevars-list } (X \ # \ Xs) = \text{freevars } X \cup \text{freevars-list } Xs$

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

**theorem** *exprel-imp-eq-freevars*:  $U \sim V \implies \text{freevars } U = \text{freevars } V$   
 $\langle \text{proof} \rangle$

### 4.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

**consts** *freediscrim* ::  $\text{freeExp} \Rightarrow \text{int}$

**primrec**

$\text{freediscrim } (\text{VAR } N) = 0$   
 $\text{freediscrim } (\text{PLUS } X \ Y) = 1$   
 $\text{freediscrim } (\text{FNCALL } F \ Xs) = 2$

**theorem** *exprel-imp-eq-freediscrim*:

$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$   
 $\langle \text{proof} \rangle$

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

**consts** *freefun* ::  $\text{freeExp} \Rightarrow \text{nat}$

**primrec**

$$\begin{aligned} \text{freefun } (\text{VAR } N) &= 0 \\ \text{freefun } (\text{PLUS } X \ Y) &= 0 \\ \text{freefun } (\text{FNCALL } F \ Xs) &= F \end{aligned}$$

**theorem** *exprel-imp-eq-freefun*:

$$U \sim V \implies \text{freefun } U = \text{freefun } V$$

*<proof>*

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

**consts** *freeargs* :: *freeExp*  $\Rightarrow$  *freeExp list*

**primrec**

$$\begin{aligned} \text{freeargs } (\text{VAR } N) &= [] \\ \text{freeargs } (\text{PLUS } X \ Y) &= [] \\ \text{freeargs } (\text{FNCALL } F \ Xs) &= Xs \end{aligned}$$

**theorem** *exprel-imp-eqv-freeargs*:

$$U \sim V \implies (\text{freeargs } U, \text{freeargs } V) \in \text{listrel } \text{exprel}$$

*<proof>*

### 4.3 The Initial Algebra: A Quotiented Message Type

**typedef** (*Exp*) *exp* = *UNIV* // *exprel*

*<proof>*

The abstract message constructors

**definition**

$$\begin{aligned} \text{Var} &:: \text{nat} \Rightarrow \text{exp} \text{ where} \\ \text{Var } N &= \text{Abs-Exp}(\text{exprel}''\{\text{VAR } N\}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{Plus} &:: [\text{exp}, \text{exp}] \Rightarrow \text{exp} \text{ where} \\ \text{Plus } X \ Y &= \\ &\quad \text{Abs-Exp} (\bigcup U \in \text{Rep-Exp } X. \bigcup V \in \text{Rep-Exp } Y. \text{exprel}''\{\text{PLUS } U \ V\}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{FnCall} &:: [\text{nat}, \text{exp list}] \Rightarrow \text{exp} \text{ where} \\ \text{FnCall } F \ Xs &= \\ &\quad \text{Abs-Exp} (\bigcup Us \in \text{listset } (\text{map } \text{Rep-Exp } Xs). \text{exprel}''\{\text{FNCALL } F \ Us\}) \end{aligned}$$

Reduces equality of equivalence classes to the *exprel* relation:  $(\text{exprel}''\{x\} = \text{exprel}''\{y\}) = (x \sim y)$

**lemmas** *equiv-exprel-iff* = *eq-equiv-class-iff* [*OF equiv-exprel UNIV-I UNIV-I*]

**declare** *equiv-exprel-iff* [*simp*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]:  $\text{exprel}''\{U\} \in \text{Exp}$

$\langle proof \rangle$

**lemma** *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*  
 $\langle proof \rangle$

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Exp* [*THEN inj-on-iff, simp*]

**declare** *Abs-Exp-inverse* [*simp*]

Case analysis on the representation of a exp as an equivalence class.

**lemma** *eq-Abs-Exp* [*case-names Abs-Exp, cases type: exp*]:  
 $(!!U. z = Abs-Exp(exprel\{\!U\}) \implies P) \implies P$   
 $\langle proof \rangle$

#### 4.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

**definition**

*Abs-ExpList* :: *freeExp list* => *exp list* **where**  
*Abs-ExpList* *Xs* = *map* (%*U*. *Abs-Exp*(*exprel*\{\!U\})) *Xs*

**lemma** *Abs-ExpList-Nil* [*simp*]: *Abs-ExpList* [] == []  
 $\langle proof \rangle$

**lemma** *Abs-ExpList-Cons* [*simp*]:  
*Abs-ExpList* (*X* # *Xs*) == *Abs-Exp* (*exprel*\{\!X\}) # *Abs-ExpList* *Xs*  
 $\langle proof \rangle$

**lemma** *ExpList-rep*:  $\exists Us. z = Abs-ExpList\ Us$   
 $\langle proof \rangle$

**lemma** *eq-Abs-ExpList* [*case-names Abs-ExpList*]:  
 $(!!Us. z = Abs-ExpList\ Us \implies P) \implies P$   
 $\langle proof \rangle$

##### 4.4.1 Characteristic Equations for the Abstract Constructors

**lemma** *Plus*: *Plus* (*Abs-Exp*(*exprel*\{\!U\})) (*Abs-Exp*(*exprel*\{\!V\})) =  
*Abs-Exp* (*exprel*\{\!PLUS U V\})  
 $\langle proof \rangle$

It is not clear what to do with *FnCall*: its argument is an abstraction of an *exp list*. Is it just *Nil* or *Cons*? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There's no obvious way even to state the analogous result, *FnCall-Cons*.

**lemma** *FnCall-Nil*: *FnCall* *F* [] = *Abs-Exp* (*exprel*\{\!FNCALL F []\})

$\langle proof \rangle$

**lemma** *FnCall-respects*:

$(\lambda Us. \text{exprel} \text{ `` } \{FNCALL F Us\}) \text{ respects } (\text{listrel exprel})$

$\langle proof \rangle$

**lemma** *FnCall-sing*:

$FnCall F [Abs-Exp(\text{exprel} \text{ `` } \{U\})] = Abs-Exp (\text{exprel} \text{ `` } \{FNCALL F [U]\})$

$\langle proof \rangle$

**lemma** *listset-Rep-Exp-Abs-Exp*:

$\text{listset } (\text{map Rep-Exp } (Abs-ExpList Us)) = \text{listrel exprel} \text{ `` } \{Us\}$

$\langle proof \rangle$

**lemma** *FnCall*:

$FnCall F (Abs-ExpList Us) = Abs-Exp (\text{exprel} \text{ `` } \{FNCALL F Us\})$

$\langle proof \rangle$

Establishing this equation is the point of the whole exercise

**theorem** *Plus-assoc*:  $Plus X (Plus Y Z) = Plus (Plus X Y) Z$

$\langle proof \rangle$

## 4.5 The Abstract Function to Return the Set of Variables

**definition**

$vars :: exp \Rightarrow nat \text{ set}$  **where**

$vars X = (\bigcup U \in Rep-Exp X. \text{freevars } U)$

**lemma** *vars-respects*:  $\text{freevars respects exprel}$

$\langle proof \rangle$

The extension of the function *vars* to lists

**consts** *vars-list* ::  $exp \text{ list} \Rightarrow nat \text{ set}$

**primrec**

$vars\text{-list } [] = \{\}$

$vars\text{-list } (E \# Es) = vars E \cup vars\text{-list } Es$

Now prove the three equations for *vars*

**lemma** *vars-Variable* [simp]:  $vars (Var N) = \{N\}$

$\langle proof \rangle$

**lemma** *vars-Plus* [simp]:  $vars (Plus X Y) = vars X \cup vars Y$

$\langle proof \rangle$

**lemma** *vars-FnCall* [simp]:  $vars (FnCall F Xs) = vars\text{-list } Xs$

$\langle proof \rangle$

**lemma** *vars-FnCall-Nil*:  $vars (FnCall F Nil) = \{\}$

$\langle proof \rangle$

**lemma** *vars-FnCall-Cons*:  $\text{vars } (\text{FnCall } F \ (X \# Xs)) = \text{vars } X \cup \text{vars-list } Xs$   
 $\langle \text{proof} \rangle$

## 4.6 Injectivity Properties of Some Constructors

**lemma** *VAR-imp-eq*:  $\text{VAR } m \sim \text{VAR } n \implies m = n$   
 $\langle \text{proof} \rangle$

Can also be proved using the function *vars*

**lemma** *Var-Var-eq [iff]*:  $(\text{Var } m = \text{Var } n) = (m = n)$   
 $\langle \text{proof} \rangle$

**lemma** *VAR-neqv-PLUS*:  $\text{VAR } m \sim \text{PLUS } X \ Y \implies \text{False}$   
 $\langle \text{proof} \rangle$

**theorem** *Var-neq-Plus [iff]*:  $\text{Var } N \neq \text{Plus } X \ Y$   
 $\langle \text{proof} \rangle$

**theorem** *Var-neq-FnCall [iff]*:  $\text{Var } N \neq \text{FnCall } F \ Xs$   
 $\langle \text{proof} \rangle$

## 4.7 Injectivity of *FnCall*

### definition

$\text{fun} :: \text{exp} \Rightarrow \text{nat}$  **where**  
 $\text{fun } X = \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{freefun } U\})$

**lemma** *fun-respects*:  $(\% U. \{\text{freefun } U\}) \text{ respects exprel}$   
 $\langle \text{proof} \rangle$

**lemma** *fun-FnCall [simp]*:  $\text{fun } (\text{FnCall } F \ Xs) = F$   
 $\langle \text{proof} \rangle$

### definition

$\text{args} :: \text{exp} \Rightarrow \text{exp list}$  **where**  
 $\text{args } X = \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{Abs-ExpList } (\text{freeargs } U)\})$

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

**lemma** *Abs-ExpList-eq*:  
 $(y, z) \in \text{listrel exprel} \implies \text{Abs-ExpList } (y) = \text{Abs-ExpList } (z)$   
 $\langle \text{proof} \rangle$

**lemma** *args-respects*:  $(\% U. \{\text{Abs-ExpList } (\text{freeargs } U)\}) \text{ respects exprel}$   
 $\langle \text{proof} \rangle$

**lemma** *args-FnCall [simp]*:  $\text{args } (\text{FnCall } F \ Xs) = Xs$   
 $\langle \text{proof} \rangle$

**lemma** *FnCall-FnCall-eq* [iff]:  
 $(FnCall\ F\ Xs = FnCall\ F'\ Xs') = (F=F' \ \&\ Xs=Xs')$   
 <proof>

## 4.8 The Abstract Discriminator

However, as *FnCall-Var-neq-Var* illustrates, we don't need this function in order to prove discrimination theorems.

**definition**

*discrim* :: *exp*  $\Rightarrow$  *int* **where**  
*discrim* *X* = *contents* ( $\bigcup U \in Rep\text{-}Exp\ X. \{freediscrim\ U\}$ )

**lemma** *discrim-respects*:  $(\lambda U. \{freediscrim\ U\})$  respects *exprel*  
 <proof>

Now prove the four equations for *discrim*

**lemma** *discrim-Var* [simp]: *discrim* (*Var* *N*) = 0  
 <proof>

**lemma** *discrim-Plus* [simp]: *discrim* (*Plus* *X* *Y*) = 1  
 <proof>

**lemma** *discrim-FnCall* [simp]: *discrim* (*FnCall* *F* *Xs*) = 2  
 <proof>

The structural induction rule for the abstract type

**theorem** *exp-inducts*:

**assumes** *V*:  $\bigwedge nat. P1\ (Var\ nat)$   
**and** *P*:  $\bigwedge exp1\ exp2. \llbracket P1\ exp1; P1\ exp2 \rrbracket \Longrightarrow P1\ (Plus\ exp1\ exp2)$   
**and** *F*:  $\bigwedge nat\ list. P2\ list \Longrightarrow P1\ (FnCall\ nat\ list)$   
**and** *Nil*:  $P2\ []$   
**and** *Cons*:  $\bigwedge exp\ list. \llbracket P1\ exp; P2\ list \rrbracket \Longrightarrow P2\ (exp\ \# \ list)$   
**shows** *P1* *exp* **and** *P2* *list*  
 <proof>

**end**

## 5 Terms over a given alphabet

**theory** *Term* **imports** *Main* **begin**

**datatype** (*'a*, *'b*) *term* =  
   *Var* *'a*  
   | *App* *'b* (*'a*, *'b*) *term* *list*



Substitution function on terms

**consts**

*subst-term* :: ('a => ('a, 'b) term) => ('a, 'b) term => ('a, 'b) term  
*subst-term-list* ::  
 ('a => ('a, 'b) term) => ('a, 'b) term list => ('a, 'b) term list

**primrec**

*subst-term* f (Var a) = f a  
*subst-term* f (App b ts) = App b (*subst-term-list* f ts)  
  
*subst-term-list* f [] = []  
*subst-term-list* f (t # ts) =  
*subst-term* f t # *subst-term-list* f ts

A simple theorem about composition of substitutions

**lemma** *subst-comp*:

*subst-term* (*subst-term* f1 ∘ f2) t =  
*subst-term* f1 (*subst-term* f2 t)  
**and** *subst-term-list* (*subst-term* f1 ∘ f2) ts =  
*subst-term-list* f1 (*subst-term-list* f2 ts)  
 ⟨proof⟩

Alternative induction rule

**lemma**

**assumes** *var*: !!v. P (Var v)  
**and** *app*: !!f ts. list-all P ts ==> P (App f ts)  
**shows** *term-induct2*: P t  
**and** list-all P ts  
 ⟨proof⟩

**end**

## 6 Arithmetic and boolean expressions

**theory** *ABexp* **imports** *Main* **begin**

**datatype** 'a *aexp* =

IF 'a *bexp* 'a *aexp* 'a *aexp*  
 | Sum 'a *aexp* 'a *aexp*  
 | Diff 'a *aexp* 'a *aexp*  
 | Var 'a  
 | Num nat

**and** 'a *bexp* =

Less 'a *aexp* 'a *aexp*  
 | And 'a *bexp* 'a *bexp*  
 | Neg 'a *bexp*

## Evaluation of arithmetic and boolean expressions

### consts

$evala :: ('a \Rightarrow nat) \Rightarrow 'a \text{ aexp} \Rightarrow nat$   
 $evalb :: ('a \Rightarrow nat) \Rightarrow 'a \text{ bexp} \Rightarrow bool$

### primrec

$evala \ env \ (IF \ b \ a1 \ a2) = (if \ evalb \ env \ b \ then \ evala \ env \ a1 \ else \ evala \ env \ a2)$   
 $evala \ env \ (Sum \ a1 \ a2) = evala \ env \ a1 + evala \ env \ a2$   
 $evala \ env \ (Diff \ a1 \ a2) = evala \ env \ a1 - evala \ env \ a2$   
 $evala \ env \ (Var \ v) = env \ v$   
 $evala \ env \ (Num \ n) = n$   
  
 $evalb \ env \ (Less \ a1 \ a2) = (evala \ env \ a1 < evala \ env \ a2)$   
 $evalb \ env \ (And \ b1 \ b2) = (evalb \ env \ b1 \wedge evalb \ env \ b2)$   
 $evalb \ env \ (Neg \ b) = (\neg \ evalb \ env \ b)$

## Substitution on arithmetic and boolean expressions

### consts

$subst :: ('a \Rightarrow 'b \text{ aexp}) \Rightarrow 'a \text{ aexp} \Rightarrow 'b \text{ aexp}$   
 $substb :: ('a \Rightarrow 'b \text{ aexp}) \Rightarrow 'a \text{ bexp} \Rightarrow 'b \text{ bexp}$

### primrec

$subst \ f \ (IF \ b \ a1 \ a2) = IF \ (substb \ f \ b) \ (subst \ f \ a1) \ (subst \ f \ a2)$   
 $subst \ f \ (Sum \ a1 \ a2) = Sum \ (subst \ f \ a1) \ (subst \ f \ a2)$   
 $subst \ f \ (Diff \ a1 \ a2) = Diff \ (subst \ f \ a1) \ (subst \ f \ a2)$   
 $subst \ f \ (Var \ v) = f \ v$   
 $subst \ f \ (Num \ n) = Num \ n$   
  
 $substb \ f \ (Less \ a1 \ a2) = Less \ (subst \ f \ a1) \ (subst \ f \ a2)$   
 $substb \ f \ (And \ b1 \ b2) = And \ (substb \ f \ b1) \ (substb \ f \ b2)$   
 $substb \ f \ (Neg \ b) = Neg \ (substb \ f \ b)$

### lemma subst1-aexp:

$evala \ env \ (subst \ (Var \ (v := a')) \ a) = evala \ (env \ (v := evala \ env \ a')) \ a$

### and subst1-bexp:

$evalb \ env \ (substb \ (Var \ (v := a')) \ b) = evalb \ (env \ (v := evala \ env \ a')) \ b$   
— one variable  
 $\langle proof \rangle$

### lemma subst-all-aexp:

$evala \ env \ (subst \ s \ a) = evala \ (\lambda x. \ evala \ env \ (s \ x)) \ a$

### and subst-all-bexp:

$evalb \ env \ (substb \ s \ b) = evalb \ (\lambda x. \ evala \ env \ (s \ x)) \ b$   
 $\langle proof \rangle$

**end**

## 7 Infinitely branching trees

**theory** *Tree* **imports** *Main* **begin**

**datatype** *'a tree* =  
   *Atom 'a*  
   | *Branch nat => 'a tree*

**consts**

*map-tree* :: (*'a => 'b*) => *'a tree => 'b tree*

**primrec**

*map-tree* *f* (*Atom a*) = *Atom (f a)*  
*map-tree* *f* (*Branch ts*) = *Branch* ( $\lambda x. \text{map-tree } f \text{ (ts } x)$ )

**lemma** *tree-map-compose*: *map-tree g (map-tree f t) = map-tree (g  $\circ$  f) t*  
*<proof>*

**consts**

*exists-tree* :: (*'a => bool*) => *'a tree => bool*

**primrec**

*exists-tree* *P* (*Atom a*) = *P a*  
*exists-tree* *P* (*Branch ts*) = ( $\exists x. \text{exists-tree } P \text{ (ts } x)$ )

**lemma** *exists-map*:

( $\forall x. P \ x \implies Q \ (f \ x)$ )  $\implies$   
*exists-tree* *P* *ts*  $\implies$  *exists-tree* *Q* (*map-tree f ts*)  
*<proof>*

### 7.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

**datatype** *brouwer* = *Zero* | *Succ brouwer* | *Lim nat => brouwer*

Addition of ordinals

**consts**

*add* :: [*brouwer, brouwer*] => *brouwer*

**primrec**

*add* *i* *Zero* = *i*  
*add* *i* (*Succ j*) = *Succ (add i j)*  
*add* *i* (*Lim f*) = *Lim* ( $\%n. \text{add } i \text{ (f } n)$ )

**lemma** *add-assoc*: *add (add i j) k = add i (add j k)*  
*<proof>*

Multiplication of ordinals

**consts**

*mult* :: [*brouwer, brouwer*] => *brouwer*

**primrec**

*mult* *i* *Zero* = *Zero*  
*mult* *i* (*Succ j*) = *add (mult i j) i*

$$\text{mult } i \text{ (Lim } f) = \text{Lim } (\%n. \text{mult } i \text{ (} f \text{ } n))$$

**lemma** *add-mult-distrib*:  $\text{mult } i \text{ (add } j \text{ } k) = \text{add } (\text{mult } i \text{ } j) (\text{mult } i \text{ } k)$   
 ⟨proof⟩

**lemma** *mult-assoc*:  $\text{mult } (\text{mult } i \text{ } j) \text{ } k = \text{mult } i \text{ (mult } j \text{ } k)$   
 ⟨proof⟩

We could probably instantiate some axiomatic type classes and use the standard infix operators.

## 7.2 A WF Ordering for The Brouwer ordinals (Michael Comp-ton)

To define recdef style functions we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

**definition**

*brouwer-pred* :: (brouwer \* brouwer) set **where**  
*brouwer-pred* = ( $\bigcup i. \{(m,n). n = \text{Succ } m \vee (EX f. n = \text{Lim } f \ \& \ m = f \ i)\}$ )

**definition**

*brouwer-order* :: (brouwer \* brouwer) set **where**  
*brouwer-order* = *brouwer-pred*<sup>+</sup>

**lemma** *wf-brouwer-pred*: wf *brouwer-pred*  
 ⟨proof⟩

**lemma** *wf-brouwer-order*: wf *brouwer-order*  
 ⟨proof⟩

**lemma** [*simp*]: (*j*, *Succ j*) : *brouwer-order*  
 ⟨proof⟩

**lemma** [*simp*]: (*f n*, *Lim f*) : *brouwer-order*  
 ⟨proof⟩

Example of a recdef

**consts**

*add2* :: (brouwer\*brouwer) => brouwer

**recdef** *add2* inv-image *brouwer-order* ( $\lambda (x,y). y$ )

*add2* (*i*, *Zero*) = *i*

*add2* (*i*, (*Succ j*)) = *Succ* (*add2* (*i*, *j*))

*add2* (*i*, (*Lim f*)) = *Lim* ( $\lambda n. \text{add2 } i \text{ (} f \text{ } n)$ )

(**hints** *recdef-wf*: wf-*brouwer-order*)

**lemma** *add2-assoc*:  $\text{add2 } (\text{add2 } i \text{ } j) \text{ } k = \text{add2 } i \text{ (add2 } j \text{ } k)$   
 ⟨proof⟩

**end**

## 8 Ordinals

**theory** *Ordinals* **imports** *Main* **begin**

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

**datatype** *ordinal* =  
    *Zero*  
  | *Succ ordinal*  
  | *Limit nat => ordinal*

**consts**

*pred* :: *ordinal* => *nat* => *ordinal option*

**primrec**

*pred Zero n* = *None*  
*pred (Succ a) n* = *Some a*  
*pred (Limit f) n* = *Some (f n)*

**consts**

*iter* :: (*'a* => *'a*) => *nat* => (*'a* => *'a*)

**primrec**

*iter f 0* = *id*  
*iter f (Suc n)* = *f* ∘ (*iter f n*)

**definition**

*OpLim* :: (*nat* => (*ordinal* => *ordinal*)) => (*ordinal* => *ordinal*) **where**  
*OpLim F a* = *Limit (λn. F n a)*

**definition**

*OpItw* :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*)    ( $\sqcup$ ) **where**  
 $\sqcup f$  = *OpLim (iter f)*

**consts**

*cantor* :: *ordinal* => *ordinal* => *ordinal*

**primrec**

*cantor a Zero* = *Succ a*  
*cantor a (Succ b)* =  $\sqcup (\lambda x. \text{cantor } x \text{ } b)$  *a*  
*cantor a (Limit f)* = *Limit (λn. cantor a (f n))*

**consts**

*Nabla* :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*)    ( $\nabla$ )

**primrec**

$\nabla f \text{ } Zero$  = *f Zero*  
 $\nabla f (\text{Succ } a)$  = *f (Succ (∇f a))*

$\nabla f \text{ (Limit } h) = \text{Limit } (\lambda n. \nabla f \text{ (} h \text{ } n))$

**definition**

$\text{deriv} :: (\text{ordinal} \Rightarrow \text{ordinal}) \Rightarrow (\text{ordinal} \Rightarrow \text{ordinal})$  **where**  
 $\text{deriv } f = \nabla(\bigsqcup f)$

**consts**

$\text{veblen} :: \text{ordinal} \Rightarrow \text{ordinal} \Rightarrow \text{ordinal}$

**primrec**

$\text{veblen } \text{Zero} = \nabla(\text{OpLim } (\text{iter } (\text{cantor } \text{Zero})))$   
 $\text{veblen } (\text{Succ } a) = \nabla(\text{OpLim } (\text{iter } (\text{veblen } a)))$   
 $\text{veblen } (\text{Limit } f) = \nabla(\text{OpLim } (\lambda n. \text{veblen } (f \text{ } n)))$

**definition**  $\text{veb } a = \text{veblen } a \text{ Zero}$

**definition**  $\varepsilon_0 = \text{veb } \text{Zero}$

**definition**  $\Gamma_0 = \text{Limit } (\lambda n. \text{iter } \text{veb } n \text{ Zero})$

**end**

## 9 Sigma algebras

**theory** *Sigma-Algebra* **imports** *Main* **begin**

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least  $\sigma$ -algebra over a given set of sets.

**inductive-set**

$\sigma\text{-algebra} :: 'a \text{ set } \text{set} \Rightarrow 'a \text{ set } \text{set}$   
**for**  $A :: 'a \text{ set } \text{set}$   
**where**  
 $\text{basic: } a \in A \Rightarrow a \in \sigma\text{-algebra } A$   
 $| \text{UNIV: } \text{UNIV} \in \sigma\text{-algebra } A$   
 $| \text{complement: } a \in \sigma\text{-algebra } A \Rightarrow -a \in \sigma\text{-algebra } A$   
 $| \text{Union: } (!i::\text{nat}. a \text{ } i \in \sigma\text{-algebra } A) \Rightarrow (\bigcup i. a \text{ } i) \in \sigma\text{-algebra } A$

The following basic facts are consequences of the closure properties of any  $\sigma$ -algebra, merely using the introduction rules, but no induction nor cases.

**theorem** *sigma-algebra-empty*:  $\{\} \in \sigma\text{-algebra } A$

*<proof>*

**theorem** *sigma-algebra-Inter*:

$(!i::\text{nat}. a \text{ } i \in \sigma\text{-algebra } A) \Rightarrow (\bigcap i. a \text{ } i) \in \sigma\text{-algebra } A$

*<proof>*

**end**

## 10 Combinatory Logic example: the Church-Rosser Theorem

**theory** *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

HOL system proofs may be found in the HOL distribution at .../contrib/rule-induction/cl.ml

### 10.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

```
datatype comb = K
          | S
          | Ap comb comb (infixl ## 90)
```

```
notation (xsymbols)
  Ap (infixl • 90)
```

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $---->$ .

```
inductive-set
  contract :: (comb*comb) set
  and contract-rel1 :: [comb,comb] => bool (infixl -1-> 50)
  where
    x -1-> y == (x,y) ∈ contract
  | K:    K ## x ## y -1-> x
  | S:    S ## x ## y ## z -1-> (x ## z) ## (y ## z)
  | Ap1:  x -1-> y ==> x ## z -1-> y ## z
  | Ap2:  x -1-> y ==> z ## x -1-> z ## y
```

```
abbreviation
  contract-rel :: [comb,comb] => bool (infixl ----> 50) where
    x ----> y == (x,y) ∈ contract^*
```

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $===>$ .

```
inductive-set
  parcontract :: (comb*comb) set
  and parcontract-rel1 :: [comb,comb] => bool (infixl =1=> 50)
  where
    x =1=> y == (x,y) ∈ parcontract
  | refl: x =1=> x
  | K:    K ## x ## y =1=> x
  | S:    S ## x ## y ## z =1=> (x ## z) ## (y ## z)
  | Ap:   [| x=1=>y; z=1=>w |] ==> x ## z =1=> y ## w
```

**abbreviation**

$parcontract\text{-}rel :: [comb, comb] \Rightarrow bool$  (**infixl**  $===>$  50) **where**  
 $x ===> y == (x, y) \in parcontract^*$

Misc definitions.

**definition**

$I :: comb$  **where**  
 $I = S \#\# K \#\# K$

**definition**

$diamond :: ('a * 'a) set \Rightarrow bool$  **where**  
 — confluence; Lambda/Commutation treats this more abstractly  
 $diamond(r) = (\forall x\ y. (x, y) \in r \longrightarrow$   
 $(\forall y'. (x, y') \in r \longrightarrow$   
 $(\exists z. (y, z) \in r \ \& \ (y', z) \in r)))$

## 10.2 Reflexive/Transitive closure preserves Church-Rosser property

So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

**lemma** *diamond-strip-lemmaE* [rule-format]:

$[| diamond(r); (x, y) \in r^* |] ==>$   
 $\forall y'. (x, y') \in r \longrightarrow (\exists z. (y', z) \in r^* \ \& \ (y, z) \in r)$   
 $\langle proof \rangle$

**lemma** *diamond-rtrancl*:  $diamond(r) ==> diamond(r^*)$   
 $\langle proof \rangle$

## 10.3 Non-contraction results

Derive a case for each combinator constructor.

**inductive-cases**

$K\text{-}contractE$  [elim!]:  $K -1-> r$   
**and**  $S\text{-}contractE$  [elim!]:  $S -1-> r$   
**and**  $Ap\text{-}contractE$  [elim!]:  $p \#\# q -1-> r$

**declare** *contract.K* [intro!] *contract.S* [intro!]  
**declare** *contract.Ap1* [intro] *contract.Ap2* [intro]

**lemma** *I-contract-E* [elim!]:  $I -1-> z ==> P$   
 $\langle proof \rangle$

**lemma** *K1-contractD* [elim!]:  $K \#\# x -1-> z ==> (\exists x'. z = K \#\# x' \ \& \ x -1-> x')$



$\langle \text{proof} \rangle$

**lemma** *Ap-reduce1* [intro]:  $x \dashrightarrow y \implies x \#\# z \dashrightarrow y \#\# z$   
 $\langle \text{proof} \rangle$

**lemma** *Ap-reduce2* [intro]:  $x \dashrightarrow y \implies z \#\# x \dashrightarrow z \#\# y$   
 $\langle \text{proof} \rangle$

**lemma** *KIII-contract1*:  $K \#\# I \#\# (I \#\# I) - 1 -> I$   
 $\langle \text{proof} \rangle$

**lemma** *KIII-contract2*:  $K \#\# I \#\# (I \#\# I) - 1 -> K \#\# I \#\# ((K \#\# I) \#\# (K \#\# I))$   
 $\langle \text{proof} \rangle$

**lemma** *KIII-contract3*:  $K \#\# I \#\# ((K \#\# I) \#\# (K \#\# I)) - 1 -> I$   
 $\langle \text{proof} \rangle$

**lemma** *not-diamond-contract*:  $\sim \text{diamond}(\text{contract})$   
 $\langle \text{proof} \rangle$

## 10.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

**inductive-cases**

*K-parcontractE* [elim!]:  $K = 1 \Rightarrow r$   
**and** *S-parcontractE* [elim!]:  $S = 1 \Rightarrow r$   
**and** *Ap-parcontractE* [elim!]:  $p \#\# q = 1 \Rightarrow r$

**declare** *parcontract.intros* [intro]

## 10.5 Basic properties of parallel contraction

**lemma** *K1-parcontractD* [dest!]:  $K \#\# x = 1 \Rightarrow z \implies (\exists x'. z = K \#\# x' \ \& \ x = 1 \Rightarrow x')$   
 $\langle \text{proof} \rangle$

**lemma** *S1-parcontractD* [dest!]:  $S \#\# x = 1 \Rightarrow z \implies (\exists x'. z = S \#\# x' \ \& \ x = 1 \Rightarrow x')$   
 $\langle \text{proof} \rangle$

**lemma** *S2-parcontractD* [dest!]:  
 $S \#\# x \#\# y = 1 \Rightarrow z \implies (\exists x' y'. z = S \#\# x' \#\# y' \ \& \ x = 1 \Rightarrow x' \ \& \ y = 1 \Rightarrow y')$   
 $\langle \text{proof} \rangle$

The rules above are not essential but make proofs much faster

Church-Rosser property for parallel contraction

**lemma** *diamond-parcontract*: *diamond parcontract*  
 ⟨*proof*⟩

Equivalence of  $p \dashrightarrow q$  and  $p \implies q$ .

**lemma** *contract-subset-parcontract*: *contract <= parcontract*  
 ⟨*proof*⟩

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

**declare** *r-into-rtrancl* [*intro*] *rtrancl-trans* [*intro*]

**lemma** *reduce-I*:  $I \# \# x \dashrightarrow x$   
 ⟨*proof*⟩

**lemma** *parcontract-subset-reduce*: *parcontract <= contract<sup>\*</sup>*  
 ⟨*proof*⟩

**lemma** *reduce-eq-parreduce*: *contract<sup>\*</sup> = parcontract<sup>\*</sup>*  
 ⟨*proof*⟩

**lemma** *diamond-reduce*: *diamond(contract<sup>\*</sup>)*  
 ⟨*proof*⟩

**end**

## 11 Meta-theory of propositional logic

**theory** *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in \text{Fin}(H)$

### 11.1 The datatype of propositions

**datatype** *'a pl* =  
   *false* |  
   *var 'a* (*#*- [1000]) |  
   *imp 'a pl 'a pl* (**infixr**  $\rightarrow$  90)

### 11.2 The proof system

**inductive**

```

thms :: ['a pl set, 'a pl] => bool (infixl |- 50)
for H :: 'a pl set
where
  H [intro]: p ∈ H ==> H |- p
| K:      H |- p -> q -> p
| S:      H |- (p -> q -> r) -> (p -> q) -> p -> r
| DN:     H |- ((p -> false) -> false) -> p
| MP:     [| H |- p -> q; H |- p |] ==> H |- q

```

### 11.3 The semantics

#### 11.3.1 Semantics of propositional logic.

**consts**

```
eval :: ['a set, 'a pl] => bool    (-[[-]] [100,0] 100)
```

**primrec**  $tt[false] = False$

$tt[\#v] = (v \in tt)$

*eval-imp*:  $tt[p \rightarrow q] = (tt[p] \rightarrow tt[q])$

A finite set of hypotheses from  $t$  and the *Vars* in  $p$ .

**consts**

```
hyps :: ['a pl, 'a set] => 'a pl set
```

**primrec**

$hyps\ false\ tt = \{\}$

$hyps\ (\#v)\ tt = \{if\ v \in tt\ then\ \#v\ else\ \#v \rightarrow false\}$

$hyps\ (p \rightarrow q)\ tt = hyps\ p\ tt\ \cup\ hyps\ q\ tt$

#### 11.3.2 Logical consequence

For every valuation, if all elements of  $H$  are true then so is  $p$ .

**definition**

```
sat :: ['a pl set, 'a pl] => bool (infixl |= 50) where
```

```
H |= p = (∀ tt. (∀ q ∈ H. tt[q]) → tt[p])
```

### 11.4 Proof theory of propositional logic

**lemma** *thms-mono*:  $G \leq H \implies thms(G) \leq thms(H)$

*<proof>*

**lemma** *thms-I*:  $H \vdash p \rightarrow p$

— Called *I* for Identity Combinator, not for Introduction.

*<proof>*

#### 11.4.1 Weakening, left and right

**lemma** *weaken-left*:  $[G \subseteq H; G \vdash p] \implies H \vdash p$

— Order of premises is convenient with *THEN*

$\langle proof \rangle$

**lemmas** *weaken-left-insert* = *subset-insertI* [THEN *weaken-left*]

**lemmas** *weaken-left-Un1* = *Un-upper1* [THEN *weaken-left*]

**lemmas** *weaken-left-Un2* = *Un-upper2* [THEN *weaken-left*]

**lemma** *weaken-right*:  $H \mid - q \implies H \mid - p \multimap q$

$\langle proof \rangle$

### 11.4.2 The deduction theorem

**theorem** *deduction*:  $insert\ p\ H \mid - q \implies H \mid - p \multimap q$

$\langle proof \rangle$

### 11.4.3 The cut rule

**lemmas** *cut* = *deduction* [THEN *thms.MP*]

**lemmas** *thms-falseE* = *weaken-right* [THEN *thms.DN* [THEN *thms.MP*]]

**lemmas** *thms-notE* = *thms.MP* [THEN *thms-falseE*, *standard*]

### 11.4.4 Soundness of the rules wrt truth-table semantics

**theorem** *soundness*:  $H \mid - p \implies H \models p$

$\langle proof \rangle$

## 11.5 Completeness

### 11.5.1 Towards the completeness proof

**lemma** *false-imp*:  $H \mid - p \multimap false \implies H \mid - p \multimap q$

$\langle proof \rangle$

**lemma** *imp-false*:

$[ [ H \mid - p; H \mid - q \multimap false ] \implies H \mid - (p \multimap q) \multimap false ]$

$\langle proof \rangle$

**lemma** *hyps-thms-if*:  $hyps\ p\ tt \mid - (if\ tt[[p]]\ then\ p\ else\ p \multimap false)$

— Typical example of strengthening the induction statement.

$\langle proof \rangle$

**lemma** *sat-thms-p*:  $\{ \} \models p \implies hyps\ p\ tt \mid - p$

— Key lemma for completeness; yields a set of assumptions satisfying  $p$

$\langle proof \rangle$

For proving certain theorems in our new propositional logic.

**declare** *deduction* [intro!]

**declare** *thms.H* [THEN *thms.MP*, *intro*]

The excluded middle in the form of an elimination rule.

**lemma** *thms-excluded-middle*:  $H \mid - (p \rightarrow q) \rightarrow ((p \rightarrow \text{false}) \rightarrow q) \rightarrow q$   
 $\langle \text{proof} \rangle$

**lemma** *thms-excluded-middle-rule*:

$[[ \text{insert } p \ H \mid - q; \text{insert } (p \rightarrow \text{false}) \ H \mid - q ]] \implies H \mid - q$

— Hard to prove directly because it requires cuts

$\langle \text{proof} \rangle$

## 11.6 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps } p \ t - \text{insert } \#v \ Y \mid - p$  we also have  $\text{hyps } p \ t - \{\#v\} \subseteq \text{hyps } p \ (t - \{v\})$ .

**lemma** *hyps-Diff*:  $\text{hyps } p \ (t - \{v\}) \leq \text{insert } (\#v \rightarrow \text{false}) \ (\text{hyps } p \ t - \{\#v\})$   
 $\langle \text{proof} \rangle$

For the case  $\text{hyps } p \ t - \text{insert } (\#v \rightarrow \text{Fls}) \ Y \mid - p$  we also have  $\text{hyps } p \ t - \{\#v \rightarrow \text{Fls}\} \subseteq \text{hyps } p \ (\text{insert } v \ t)$ .

**lemma** *hyps-insert*:  $\text{hyps } p \ (\text{insert } v \ t) \leq \text{insert } (\#v) \ (\text{hyps } p \ t - \{\#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

Two lemmas for use with *weaken-left*

**lemma** *insert-Diff-same*:  $B - C \leq \text{insert } a \ (B - \text{insert } a \ C)$   
 $\langle \text{proof} \rangle$

**lemma** *insert-Diff-subset2*:  $\text{insert } a \ (B - \{c\}) - D \leq \text{insert } a \ (B - \text{insert } c \ D)$   
 $\langle \text{proof} \rangle$

The set  $\text{hyps } p \ t$  is finite, and elements have the form  $\#v$  or  $\#v \rightarrow \text{Fls}$ .

**lemma** *hyps-finite*:  $\text{finite}(\text{hyps } p \ t)$   
 $\langle \text{proof} \rangle$

**lemma** *hyps-subset*:  $\text{hyps } p \ t \leq (\text{UN } v. \{\#v, \#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

**lemmas** *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

### 11.6.1 Completeness theorem

Induction on the finite set of assumptions  $\text{hyps } p \ t0$ . We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma*:

$\{\} \mid = p \implies \forall t. \text{hyps } p \ t - \text{hyps } p \ t0 \mid - p$

$\langle \text{proof} \rangle$

The base case for completeness

**lemma completeness-0:**  $\{\} \models p \implies \{\} \vdash p$   
 $\langle proof \rangle$

## A semantic analogue of the Deduction Theorem

**lemma** *sat-imp*:  $\text{insert } p \ H \models q \implies H \models p \multimap q$   
*<proof>*

**theorem completeness:** *finite*  $H \implies H \models p \implies H \vdash p$   
 $\langle proof \rangle$

**theorem** *syntax-iff-semantics: finite*  $H \implies (H \vdash p) = (H \models p)$   
*<proof>*

end

```
theory Sexp imports Main begin
```

types

$$'a \text{ item} = 'a \text{ Datatype.item}$$

**abbreviation**  $Leaf == Datatype.Lead$

**abbreviation**  $Numb == Datatype.Numb$

inductive-set

$$sexp \quad :: 'a \text{ item set}$$

where

$$LeafI: \text{Leaf}(a) \in \text{sexp}$$
$$| \text{NumbI}: \text{Numb}(i) \in \text{sexp}$$
$$| \text{SconsI: } [| M \in \text{sexp}; \ N \in \text{sexp} \ |] ==> \text{Scons } M \ N \in \text{sexp}$$

### definition

$$\text{sexp-case} :: ['a \Rightarrow 'b, \text{nat} \Rightarrow 'b, ['a \text{ item}, 'a \text{ item}] \Rightarrow 'b, \\ 'a \text{ item}] \Rightarrow 'b \text{ where}$$
$$sexp\text{-case } c \ d \ e \ M = (THE \ z. (EX \ x. \ M = Leaf(x) \ \& \ z = c(x)))$$
$$(EX\ k.\ M = Numb(k) \ \& \ z = d(k))$$
$$(EX\ N1\ N2. M = Scons\ N1\ N2 \ \& \ z=e\ N1\ N2))$$

**definition**

$$pred\text{-}sexp :: ('a\ item * 'a\ item) set \textbf{ where}$$
$$pred-sexp = (\bigcup M \in sexp. \bigcup N \in sexp. \{(M, Scons\ M\ N), (N, Scons\ M\ N)\})$$

**definition**

$$sexp-rec :: ['a\ item, 'a=>'b, nat=>'b,$$
$$['a\ item, 'a\ item, 'b, 'b] \Rightarrow 'b \Rightarrow 'b \text{ where}$$
$$\text{sexp-rec } M \text{ } c \text{ } d \text{ } e = \text{wfrec pred-sexp}$$
$$(\%g. \text{ serp-case } c \ d \ (\%N1 \ N2. \ e \ N1 \ N2 \ (g \ N1) \ (g \ N2))) \ M$$

**lemma** *sexp-case-Leaf* [*simp*]: *sexp-case* *c d e* (*Leaf a*) = *c(a)*  
 ⟨*proof*⟩

**lemma** *sexp-case-Numb* [*simp*]: *sexp-case* *c d e* (*Numb k*) = *d(k)*  
 ⟨*proof*⟩

**lemma** *sexp-case-Scons* [*simp*]: *sexp-case* *c d e* (*Scons M N*) = *e M N*  
 ⟨*proof*⟩

**lemma** *sexp-In0I*:  $M \in \text{sexp} \implies \text{In0}(M) \in \text{sexp}$   
 ⟨*proof*⟩

**lemma** *sexp-In1I*:  $M \in \text{sexp} \implies \text{In1}(M) \in \text{sexp}$   
 ⟨*proof*⟩

**declare** *sexp.intros* [*intro, simp*]

**lemma** *range-Leaf-subset-sexp*:  $\text{range}(\text{Leaf}) \leq \text{sexp}$   
 ⟨*proof*⟩

**lemma** *Scons-D*:  $\text{Scons } M \ N \in \text{sexp} \implies M \in \text{sexp} \ \& \ N \in \text{sexp}$   
 ⟨*proof*⟩

**lemma** *pred-sexp-subset-Sigma*:  $\text{pred-sexp} \leq \text{sexp} <*> \text{sexp}$   
 ⟨*proof*⟩

**lemmas** *tranc1-pred-sexpD1* =  
   *pred-sexp-subset-Sigma*  
   [*THEN tranc1-subset-Sigma, THEN subsetD, THEN SigmaD1*]  
**and** *tranc1-pred-sexpD2* =  
   *pred-sexp-subset-Sigma*  
   [*THEN tranc1-subset-Sigma, THEN subsetD, THEN SigmaD2*]

**lemma** *pred-sexpI1*:  
 [  $M \in \text{sexp}; \ N \in \text{sexp}$  ]  $\implies (M, \text{Scons } M \ N) \in \text{pred-sexp}$   
 ⟨*proof*⟩

**lemma** *pred-sexpI2*:  
 [  $M \in \text{sexp}; \ N \in \text{sexp}$  ]  $\implies (N, \text{Scons } M \ N) \in \text{pred-sexp}$   
 ⟨*proof*⟩

```

lemmas pred-sexp-t1 [simp] = pred-sexpI1 [THEN r-into-trancl]
and    pred-sexp-t2 [simp] = pred-sexpI2 [THEN r-into-trancl]

lemmas pred-sexp-trans1 [simp] = trans-trancl [THEN transD, OF - pred-sexp-t1]
and    pred-sexp-trans2 [simp] = trans-trancl [THEN transD, OF - pred-sexp-t2]

declare cut-apply [simp]

lemma pred-sexpE:
  [| p ∈ pred-sexp;
    !!M N. [| p = (M, Scons M N); M ∈ sexp; N ∈ sexp |] ==> R;
    !!M N. [| p = (N, Scons M N); M ∈ sexp; N ∈ sexp |] ==> R
  |] ==> R
<proof>

lemma wf-pred-sexp: wf(pred-sexp)
<proof>

lemma sexp-rec-unfold-lemma:
  (%M. sexp-rec M c d e) ==
  wfrec pred-sexp (%g. sexp-case c d (%N1 N2. e N1 N2 (g N1) (g N2)))
<proof>

lemmas sexp-rec-unfold = def-wfrec [OF sexp-rec-unfold-lemma wf-pred-sexp]

lemma sexp-rec-Leaf: sexp-rec (Leaf a) c d h = c(a)
<proof>

lemma sexp-rec-Numb: sexp-rec (Numb k) c d h = d(k)
<proof>

lemma sexp-rec-Scons: [| M ∈ sexp; N ∈ sexp |] ==>
  sexp-rec (Scons M N) c d h = h M N (sexp-rec M c d h) (sexp-rec N c d h)
<proof>

end

```



```

theory SList
imports Sexp
begin

```

```

definition
  NIL :: 'a item where
  NIL = In0(Numb(0))

```

```

definition
  CONS :: ['a item, 'a item] ==> 'a item where
  CONS M N = In1(Scons M N)

```

```

inductive-set
  list :: 'a item set ==> 'a item set
for A :: 'a item set
where
  NIL-I: NIL: list A
  | CONS-I: [| a: A; M: list A |] ==> CONS a M : list A

```

```

typedef (List)
  'a list = list(range Leaf) :: 'a item set
  ⟨proof⟩

```

```

abbreviation Case == Datatype.Case
abbreviation Split == Datatype.Split

```

```

definition

```

*List-case* :: [*'b*, [*'a item*, *'a item*]=>*'b*, *'a item*] => *'b* **where**  
*List-case* *c d* = *Case*(%*x*. *c*)(*Split*(*d*))

**definition**

*List-rec* :: [*'a item*, *'b*, [*'a item*, *'a item*, *'b*]=>*'b*] => *'b* **where**  
*List-rec* *M c d* = *wfrec* (*pred-sexp*^+)  
 (%*g*. *List-case* *c* (%*x y*. *d x y (g y)*)) *M*

**definition**

*Nil* :: *'a list* ([]) **where**  
*Nil* = *Abs-List*(*NIL*)

**definition**

*Cons* :: [*'a*, *'a list*] => *'a list* (**infixr** # 65) **where**  
*x#xs* = *Abs-List*(*CONS* (*Leaf* *x*)(*Rep-List* *xs*))

**definition**

*list-rec* :: [*'a list*, *'b*, [*'a*, *'a list*, *'b*]=>*'b*] => *'b* **where**  
*list-rec* *l c d* =  
*List-rec*(*Rep-List* *l*) *c* (%*x y r*. *d*(*inv Leaf* *x*)(*Abs-List* *y*) *r*)

**definition**

*list-case* :: [*'b*, [*'a*, *'a list*]=>*'b*, *'a list*] => *'b* **where**  
*list-case* *a f xs* = *list-rec* *xs a* (%*x xs r*. *f x xs*)

**translations**

[*x*, *xs*] == *x#[xs]*  
 [*x*] == *x#[]*

*case xs of [] => a | y#ys => b* == *CONST list-case*(*a*, %*y ys*. *b*, *xs*)

**definition**

*Rep-map* :: ('b => 'a item) => ('b list => 'a item) **where**  
*Rep-map* f xs = list-rec xs NIL(%x l r. CONS(f x) r)

**definition**

*Abs-map* :: ('a item => 'b) => 'a item => 'b list **where**  
*Abs-map* g M = List-rec M Nil (%N L r. g(N)#r)

**definition**

*null* :: 'a list => bool **where**  
*null* xs = list-rec xs True (%x xs r. False)

**definition**

*hd* :: 'a list => 'a **where**  
*hd* xs = list-rec xs (@x. True) (%x xs r. x)

**definition**

*tl* :: 'a list => 'a list **where**  
*tl* xs = list-rec xs (@xs. True) (%x xs r. xs)

**definition**

*tll* :: 'a list => 'a list **where**  
*tll* xs = list-rec xs [] (%x xs r. xs)

**definition**

*member* :: ['a, 'a list] => bool (**infixl** mem 55) **where**  
*x mem* xs = list-rec xs False (%y ys r. if y=x then True else r)

**definition**

*list-all* :: ('a => bool) => ('a list => bool) **where**  
*list-all* P xs = list-rec xs True(%x l r. P(x) & r)

**definition**

*map* :: ('a=>'b) => ('a list => 'b list) **where**  
*map* f xs = list-rec xs [] (%x l r. f(x)#r)

**definition**

*append* :: ['a list, 'a list] => 'a list (**infixr** @ 65) **where**  
*xs@ys* = list-rec xs ys (%x l r. x#r)

**definition**

*filter* :: ['a => bool, 'a list] => 'a list **where**  
*filter* P xs = list-rec xs [] (%x xs r. if P(x) then x#r else r)

**definition**

*foldl* :: [*'b, 'a*] => *'b, 'a list*] => *'b* **where**  
*foldl f a xs* = *list-rec xs (%a. a)(%x xs r.%a. r(f a x))(a)*

**definition**

*foldr* :: [*'a, 'b*] => *'b, 'a list*] => *'b* **where**  
*foldr f a xs* = *list-rec xs a (%x xs r. (f x r))*

**definition**

*length* :: *'a list* => *nat* **where**  
*length xs* = *list-rec xs 0 (%x xs r. Suc r)*

**definition**

*drop* :: [*'a list, nat*] => *'a list* **where**  
*drop t n* = (*nat-rec (%x. x)(%m r xs. r(ttl xs))*)(*n*)(*t*)

**definition**

*copy* :: [*'a, nat*] => *'a list* **where**  
*copy t* = *nat-rec [] (%m xs. t # xs)*

**definition**

*flat* :: *'a list list* => *'a list* **where**  
*flat* = *foldr (op @) []*

**definition**

*nth* :: [*nat, 'a list*] => *'a* **where**  
*nth* = *nat-rec hd (%m r xs. r(tl xs))*

**definition**

*rev* :: *'a list* => *'a list* **where**  
*rev xs* = *list-rec xs [] (%x xs xsa. xsa @ [x])*

**definition**

*zipWith* :: [*'a \* 'b*] => [*'c, 'a list \* 'b list*] => *'c list* **where**  
*zipWith f S* = (*list-rec (fst S) (%T.[])*  
                   (*%x xs r. %T. if null T then []*  
                   *else f(x,hd T) # r(tl T))*)(*snd(S)*)

**definition**

*zip* :: *'a list \* 'b list* => (*'a\*'b*) *list* **where**  
*zip* = *zipWith (%s. s)*

**definition**

*unzip* :: (*'a\*'b*) *list* => (*'a list \* 'b list*) **where**  
*unzip* = *foldr (% (a,b)(c,d).(a#c,b#d))([],[])*

**consts** *take* :: [*'a list, nat*] => *'a list*

**primrec**

*take-0*:  $\text{take } xs \ 0 = []$

*take-Suc*:  $\text{take } xs \ (\text{Suc } n) = \text{list-case } [] \ (\%x \ l. x \ \# \ \text{take } l \ n) \ xs$

**consts** *enum* ::  $[nat, nat] \Rightarrow nat \ list$

**primrec**

*enum-0*:  $\text{enum } i \ 0 = []$

*enum-Suc*:  $\text{enum } i \ (\text{Suc } j) = (\text{if } i \leq j \text{ then } \text{enum } i \ j \ @ \ [j] \text{ else } [])$

**no-translations**

$[x \leftarrow xs. P] == \text{filter } (\%x. P) \ xs$

**syntax**

$@Alls \quad :: [idt, 'a \ list, bool] \Rightarrow bool \quad ((2Alls \ -:- / -) \ 10)$

**translations**

$[x \leftarrow xs. P] == \text{CONST filter } (\%x. P) \ xs$

$Alls \ x:xs. P == \text{CONST list-all } (\%x. P) \ xs$

**lemma** *ListI*:  $x : \text{list } (\text{range } \text{Leaf}) \Rightarrow x : \text{List}$

$\langle \text{proof} \rangle$

**lemma** *ListD*:  $x : \text{List} \Rightarrow x : \text{list } (\text{range } \text{Leaf})$

$\langle \text{proof} \rangle$

**lemma** *list-unfold*:  $\text{list}(A) = \text{usum } \{\text{Numb}(0)\} \ (\text{uprod } A \ (\text{list}(A)))$

$\langle \text{proof} \rangle$

**lemma** *list-mono*:  $A \leq B \Rightarrow \text{list}(A) \leq \text{list}(B)$

$\langle \text{proof} \rangle$

**lemma** *list-serp*:  $\text{list}(\text{serp}) \leq \text{serp}$

$\langle \text{proof} \rangle$

**lemmas** *list-subset-serp* = *subset-trans*  $[OF \ \text{list-mono} \ \text{list-serp}]$

**lemma** *list-induct*:

$[P(\text{Nil});$

$!!x \ xs. P(xs) \Rightarrow P(x \ \# \ xs) \ ] \Rightarrow P(l)$

$\langle \text{proof} \rangle$

**lemma** *inj-on-Abs-list*: *inj-on Abs-List (list(range Leaf))*  
 $\langle proof \rangle$

**lemma** *CONS-not-NIL* [iff]: *CONS M N  $\sim$  = NIL*  
 $\langle proof \rangle$

**lemmas** *NIL-not-CONS* [iff] = *CONS-not-NIL* [THEN not-sym]  
**lemmas** *CONS-neq-NIL* = *CONS-not-NIL* [THEN notE, standard]  
**lemmas** *NIL-neq-CONS* = *sym* [THEN CONS-neq-NIL]

**lemma** *Cons-not-Nil* [iff]: *x # xs  $\sim$  = Nil*  
 $\langle proof \rangle$

**lemmas** *Nil-not-Cons* [iff] = *Cons-not-Nil* [THEN not-sym, standard]  
**lemmas** *Cons-neq-Nil* = *Cons-not-Nil* [THEN notE, standard]  
**lemmas** *Nil-neq-Cons* = *sym* [THEN Cons-neq-Nil]

**lemma** *CONS-CONS-eq* [iff]: *(CONS K M)=(CONS L N) = (K=L & M=N)*  
 $\langle proof \rangle$

**declare** *Rep-List* [THEN ListD, intro] *ListI* [intro]  
**declare** *list.intros* [intro,simp]  
**declare** *Leaf-inject* [dest!]

**lemma** *Cons-Cons-eq* [iff]: *(x#xs=y#ys) = (x=y & xs=ys)*  
 $\langle proof \rangle$

**lemmas** *Cons-inject2* = *Cons-Cons-eq* [THEN iffD1, THEN conjE, standard]

**lemma** *CONS-D*: *CONS M N: list(A) ==> M: A & N: list(A)*  
 $\langle proof \rangle$

**lemma** *sexp-CONS-D*: *CONS M N: sexp ==> M: sexp & N: sexp*  
 $\langle proof \rangle$

**lemma** *not-CONS-self*: *N: list(A) ==> !M. N  $\sim$  = CONS M N*  
 $\langle proof \rangle$

**lemma** *not-Cons-self2*:  $\forall x. l \sim = x \# l$

$\langle proof \rangle$

**lemma** *neq-Nil-conv2*:  $(xs \sim = []) = (\exists y\ ys. xs = y \# ys)$

$\langle proof \rangle$

**lemma** *List-case-NIL* [simp]:  $List\ case\ c\ h\ NIL = c$

$\langle proof \rangle$

**lemma** *List-case-CONS* [simp]:  $List\ case\ c\ h\ (CONS\ M\ N) = h\ M\ N$

$\langle proof \rangle$

**lemma** *List-rec-unfold-lemma*:

$(\%M. List\ rec\ M\ c\ d) ==$   
 $wfrec\ (pred\ sexp\ ^+) (\%g. List\ case\ c\ (\%x\ y. d\ x\ y\ (g\ y)))$

$\langle proof \rangle$

**lemmas** *List-rec-unfold* =

$def\ wfrec\ [OF\ List\ rec\ unfold\ lemma\ wf\ pred\ sexp\ [THEN\ wf\ transcl],$   
 $standard]$

**lemma** *pred-sexp-CONS-I1*:

$[[]\ M: sexp;\ N: sexp\ []] ==> (M,\ CONS\ M\ N) : pred\ sexp\ ^+$   
 $\langle proof \rangle$

**lemma** *pred-sexp-CONS-I2*:

$[[]\ M: sexp;\ N: sexp\ []] ==> (N,\ CONS\ M\ N) : pred\ sexp\ ^+$   
 $\langle proof \rangle$

**lemma** *pred-sexp-CONS-D*:

$(CONS\ M1\ M2,\ N) : pred\ sexp\ ^+ ==>$   
 $(M1,N) : pred\ sexp\ ^+ \ \&\ (M2,N) : pred\ sexp\ ^+$   
 $\langle proof \rangle$

**lemma** *List-rec-NIL* [simp]: *List-rec NIL c h = c*  
 <proof>

**lemma** *List-rec-CONS* [simp]:  
 [| *M*: *sexp*; *N*: *sexp* |]  
 ==> *List-rec (CONS M N) c h = h M N (List-rec N c h)*  
 <proof>

**lemmas** *Rep-List-in-sexp* =  
*subsetD [OF range-Leaf-subset-sexp [THEN list-subset-sexp]*  
*Rep-List [THEN ListD]]*

**lemma** *list-rec-Nil* [simp]: *list-rec Nil c h = c*  
 <proof>

**lemma** *list-rec-Cons* [simp]: *list-rec (a#l) c h = h a l (list-rec l c h)*  
 <proof>

**lemma** *List-rec-type*:  
 [| *M*: *list(A)*;  
   *A* <= *sexp*;  
   *c*: *C(NIL)*;  
   !!*x y r*. [| *x*: *A*; *y*: *list(A)*; *r*: *C(y)* |] ==> *h x y r*: *C(CONS x y)*  
 |] ==> *List-rec M c h* : *C(M :: 'a item)*  
 <proof>

**lemma** *Rep-map-Nil* [simp]: *Rep-map f Nil = NIL*  
 <proof>

**lemma** *Rep-map-Cons* [simp]:  
*Rep-map f (x#xs) = CONS(f x)(Rep-map f xs)*  
 <proof>

**lemma** *Rep-map-type*: (!!*x*. *f(x)*: *A*) ==> *Rep-map f xs*: *list(A)*  
 <proof>

**lemma** *Abs-map-NIL* [simp]: *Abs-map g NIL = Nil*  
 <proof>



**lemma** *Abs-map-CONS* [*simp*]:

$\llbracket M : \text{sexp}; N : \text{sexp} \rrbracket \implies \text{Abs-map } g \text{ (CONS } M \text{ } N) = g(M) \# \text{Abs-map } g \text{ } N$   
 $\langle \text{proof} \rangle$

**lemma** *def-list-rec-NilCons*:

$\llbracket !xs. f(xs) = \text{list-rec } xs \text{ } c \text{ } h \rrbracket$   
 $\implies f \llbracket = c \ \& \ f(x \# xs) = h \ x \ xs \ (f \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *Abs-map-inverse*:

$\llbracket M : \text{list}(A); A \leq \text{sexp}; !z. z : A \implies f(g(z)) = z \rrbracket$   
 $\implies \text{Rep-map } f \text{ (Abs-map } g \text{ } M) = M$   
 $\langle \text{proof} \rangle$

Better to have a single theorem with a conjunctive conclusion.

**declare** *def-list-rec-NilCons* [*OF list-case-def, simp*]

**lemma** *expand-list-case*:

$P(\text{list-case } a \text{ } f \text{ } xs) = ((xs = \llbracket \implies P \ a \text{ } \rrbracket) \ \& \ (!y \ ys. xs = y \# ys \implies P(f \ y \ ys)))$   
 $\langle \text{proof} \rangle$

**declare** *def-list-rec-NilCons* [*OF null-def, simp*]

**declare** *def-list-rec-NilCons* [*OF hd-def, simp*]

**declare** *def-list-rec-NilCons* [*OF tl-def, simp*]

**declare** *def-list-rec-NilCons* [*OF ttl-def, simp*]

**declare** *def-list-rec-NilCons* [*OF append-def, simp*]

**declare** *def-list-rec-NilCons* [*OF member-def, simp*]

**declare** *def-list-rec-NilCons* [*OF map-def, simp*]

**declare** *def-list-rec-NilCons* [*OF filter-def, simp*]

**declare** *def-list-rec-NilCons* [*OF list-all-def, simp*]

**lemma** *def-nat-rec-0-eta*:

$\llbracket !n. f = \text{nat-rec } c \text{ } h \rrbracket \implies f(0) = c$   
 $\langle \text{proof} \rangle$

**lemma** *def-nat-rec-Suc-eta*:

$\llbracket !n. f = \text{nat-rec } c \text{ } h \rrbracket \implies f(\text{Suc}(n)) = h \ n \ (f \ n)$

$\langle proof \rangle$

**declare** *def-nat-rec-0-eta* [*OF nth-def, simp*]  
**declare** *def-nat-rec-Suc-eta* [*OF nth-def, simp*]

**lemma** *length-Nil* [*simp*]:  $length([]) = 0$   
 $\langle proof \rangle$

**lemma** *length-Cons* [*simp*]:  $length(a\#xs) = Suc(length(xs))$   
 $\langle proof \rangle$

**lemma** *append-assoc* [*simp*]:  $(xs@ys)@zs = xs@(ys@zs)$   
 $\langle proof \rangle$

**lemma** *append-Nil2* [*simp*]:  $xs @ [] = xs$   
 $\langle proof \rangle$

**lemma** *mem-append* [*simp*]:  $x \text{ mem } (xs@ys) = (x \text{ mem } xs \mid x \text{ mem } ys)$   
 $\langle proof \rangle$

**lemma** *mem-filter* [*simp*]:  $x \text{ mem } [x \leftarrow xs. P\ x] = (x \text{ mem } xs \ \& \ P(x))$   
 $\langle proof \rangle$

**lemma** *list-all-True* [*simp*]:  $(\text{Alls } x:xs. \text{ True}) = \text{ True}$   
 $\langle proof \rangle$

**lemma** *list-all-conj* [*simp*]:  
 $\text{list-all } p \ (xs@ys) = ((\text{list-all } p \ xs) \ \& \ (\text{list-all } p \ ys))$   
 $\langle proof \rangle$

**lemma** *list-all-mem-conv*:  $(\text{Alls } x:xs. P(x)) = (!x. x \text{ mem } xs \longrightarrow P(x))$   
 $\langle proof \rangle$

**lemma** *nat-case-dist* :  $(!n. P\ n) = (P\ 0 \ \& \ (!n. P\ (Suc\ n)))$   
 $\langle proof \rangle$

**lemma** *alls-P-eq-P-nth*:  $(\text{Alls } u:A. P\ u) = (!n. n < length\ A \longrightarrow P(nth\ n\ A))$   
 $\langle proof \rangle$

**lemma** *list-all-imp*:

$\llbracket !x. P\ x \dashv\dashv Q\ x; \ (A\lls\ x:xs. P(x)) \rrbracket \implies (A\lls\ x:xs. Q(x))$   
 $\langle proof \rangle$

**lemma** *Abs-Rep-map*:

$(!x. f(x): sexp) \implies$   
 $Abs\text{-}map\ g\ (Rep\text{-}map\ f\ xs) = map\ (\%t. g(f(t)))\ xs$   
 $\langle proof \rangle$

**lemma** *map-ident* [*simp*]:  $map(\%x. x)(xs) = xs$   
 $\langle proof \rangle$

**lemma** *map-append* [*simp*]:  $map\ f\ (xs@ys) = map\ f\ xs\ @\ map\ f\ ys$   
 $\langle proof \rangle$

**lemma** *map-compose*:  $map(f\ o\ g)(xs) = map\ f\ (map\ g\ xs)$   
 $\langle proof \rangle$

**lemma** *mem-map-aux1* [*rule-format*]:

$x\ mem\ (map\ f\ q) \dashv\dashv (\exists\ y. y\ mem\ q\ \&\ x = f\ y)$   
 $\langle proof \rangle$

**lemma** *mem-map-aux2* [*rule-format*]:

$(\exists\ y. y\ mem\ q\ \&\ x = f\ y) \dashv\dashv x\ mem\ (map\ f\ q)$   
 $\langle proof \rangle$

**lemma** *mem-map*:  $x\ mem\ (map\ f\ q) = (\exists\ y. y\ mem\ q\ \&\ x = f\ y)$   
 $\langle proof \rangle$

**lemma** *hd-append* [*rule-format*]:  $A\ \sim =\ [] \dashv\dashv hd(A\ @\ B) = hd(A)$   
 $\langle proof \rangle$

**lemma** *tl-append* [*rule-format*]:  $A\ \sim =\ [] \dashv\dashv tl(A\ @\ B) = tl(A)\ @\ B$   
 $\langle proof \rangle$

**lemma** *take-Suc1* [*simp*]:  $take\ []\ (Suc\ x) = []$   
 $\langle proof \rangle$

**lemma** *take-Suc2* [*simp*]:  $\text{take}(a\#xs)(\text{Suc } x) = a\#\text{take } xs \ x$   
 $\langle \text{proof} \rangle$

**lemma** *drop-0* [*simp*]:  $\text{drop } xs \ 0 = xs$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Suc1* [*simp*]:  $\text{drop } [] (\text{Suc } x) = []$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Suc2* [*simp*]:  $\text{drop}(a\#xs)(\text{Suc } x) = \text{drop } xs \ x$   
 $\langle \text{proof} \rangle$

**lemma** *copy-0* [*simp*]:  $\text{copy } x \ 0 = []$   
 $\langle \text{proof} \rangle$

**lemma** *copy-Suc* [*simp*]:  $\text{copy } x (\text{Suc } y) = x \# \text{copy } x \ y$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-Nil* [*simp*]:  $\text{foldl } f \ a \ [] = a$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-Cons* [*simp*]:  $\text{foldl } f \ a (x\#xs) = \text{foldl } f \ (f \ a \ x) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-Nil* [*simp*]:  $\text{foldr } f \ a \ [] = a$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-Cons* [*simp*]:  $\text{foldr } f \ z (x\#xs) = f \ x (\text{foldr } f \ z \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *flat-Nil* [*simp*]:  $\text{flat } [] = []$   
 $\langle \text{proof} \rangle$

**lemma** *flat-Cons* [*simp*]:  $\text{flat } (x \# xs) = x \ @ \ \text{flat } xs$   
 $\langle \text{proof} \rangle$

**lemma** *rev-Nil* [simp]:  $\text{rev } [] = []$   
 $\langle \text{proof} \rangle$

**lemma** *rev-Cons* [simp]:  $\text{rev } (x \# xs) = \text{rev } xs @ [x]$   
 $\langle \text{proof} \rangle$

**lemma** *zipWith-Cons-Cons* [simp]:  
 $\text{zipWith } f \ (a \# as, b \# bs) = f(a, b) \# \text{zipWith } f \ (as, bs)$   
 $\langle \text{proof} \rangle$

**lemma** *zipWith-Nil-Nil* [simp]:  $\text{zipWith } f \ ([], []) = []$   
 $\langle \text{proof} \rangle$

**lemma** *zipWith-Cons-Nil* [simp]:  $\text{zipWith } f \ (x, []) = []$   
 $\langle \text{proof} \rangle$

**lemma** *zipWith-Nil-Cons* [simp]:  $\text{zipWith } f \ ([], x) = []$   
 $\langle \text{proof} \rangle$

**lemma** *unzip-Nil* [simp]:  $\text{unzip } [] = ( [], [] )$   
 $\langle \text{proof} \rangle$

**lemma** *map-compose-ext*:  $\text{map}(f \circ g) = ((\text{map } f) \circ (\text{map } g))$   
 $\langle \text{proof} \rangle$

**lemma** *map-flat*:  $\text{map } f \ (\text{flat } S) = \text{flat}(\text{map } (\text{map } f) \ S)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-map-eq*:  $(\text{Alls } u:xs. f(u) = g(u)) \longrightarrow \text{map } f \ xs = \text{map } g \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *filter-map-d*:  $\text{filter } p \ (\text{map } f \ xs) = \text{map } f \ (\text{filter}(p \circ f)(xs))$   
 $\langle \text{proof} \rangle$

**lemma** *filter-compose*:  $\text{filter } p \ (\text{filter } q \ xs) = \text{filter}(\%x. p \ x \ \& \ q \ x) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *filter-append* [*rule-format*, *simp*]:  
 $\forall B. \text{filter } p \ (A @ B) = (\text{filter } p \ A @ \text{filter } p \ B)$   
 $\langle \text{proof} \rangle$

**lemma** *length-append*:  $\text{length}(xs @ ys) = \text{length}(xs) + \text{length}(ys)$   
 $\langle \text{proof} \rangle$

**lemma** *length-map*:  $\text{length}(\text{map } f \ xs) = \text{length}(xs)$   
 $\langle \text{proof} \rangle$

**lemma** *take-Nil* [*simp*]:  $\text{take } [] \ n = []$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-eq* [*simp*]:  $\forall n. \text{take } (\text{take } xs \ n) \ n = \text{take } xs \ n$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-Suc-eq1* [*rule-format*]:  
 $\forall n. \text{take } (\text{take } xs \ (\text{Suc}(n+m))) \ n = \text{take } xs \ n$   
 $\langle \text{proof} \rangle$

**declare** *take-Suc* [*simp del*]

**lemma** *take-take-1*:  $\text{take } (\text{take } xs \ (n+m)) \ n = \text{take } xs \ n$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-Suc-eq2* [*rule-format*]:  
 $\forall n. \text{take } (\text{take } xs \ n) (\text{Suc}(n+m)) = \text{take } xs \ n$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-2*:  $\text{take}(\text{take } xs \ n)(n+m) = \text{take } xs \ n$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Nil* [*simp*]:  $\text{drop } [] \ n = []$   
 $\langle \text{proof} \rangle$

**lemma** *drop-drop* [*rule-format*]:  $\forall xs. \text{drop } (\text{drop } xs \ m) \ n = \text{drop } xs \ (m+n)$   
 $\langle \text{proof} \rangle$

**lemma** *take-drop* [*rule-format*]:  $\forall xs. (\text{take } xs \ n) @ (\text{drop } xs \ n) = xs$

$\langle proof \rangle$

**lemma** *copy-copy*:  $copy\ x\ n\ @\ copy\ x\ m = copy\ x\ (n+m)$   
 $\langle proof \rangle$

**lemma** *length-copy*:  $length(copy\ x\ n) = n$   
 $\langle proof \rangle$

**lemma** *length-take* [*rule-format*, *simp*]:  
 $\forall xs. length(take\ xs\ n) = min\ (length\ xs)\ n$   
 $\langle proof \rangle$

**lemma** *length-take-drop*:  $length(take\ A\ k) + length(drop\ A\ k) = length(A)$   
 $\langle proof \rangle$

**lemma** *take-append* [*rule-format*]:  $\forall A. length(A) = n \dashrightarrow take(A@B)\ n = A$   
 $\langle proof \rangle$

**lemma** *take-append2* [*rule-format*]:  
 $\forall A. length(A) = n \dashrightarrow take(A@B)\ (n+k) = A @ take\ B\ k$   
 $\langle proof \rangle$

**lemma** *take-map* [*rule-format*]:  $\forall n. take\ (map\ f\ A)\ n = map\ f\ (take\ A\ n)$   
 $\langle proof \rangle$

**lemma** *drop-append* [*rule-format*]:  $\forall A. length(A) = n \dashrightarrow drop(A@B)\ n = B$   
 $\langle proof \rangle$

**lemma** *drop-append2* [*rule-format*]:  
 $\forall A. length(A) = n \dashrightarrow drop(A@B)\ (n+k) = drop\ B\ k$   
 $\langle proof \rangle$

**lemma** *drop-all* [*rule-format*]:  $\forall A. length(A) = n \dashrightarrow drop\ A\ n = []$   
 $\langle proof \rangle$

**lemma** *drop-map* [*rule-format*]:  $\forall n. drop\ (map\ f\ A)\ n = map\ f\ (drop\ A\ n)$   
 $\langle proof \rangle$

**lemma** *take-all* [*rule-format*]:  $\forall A. length(A) = n \dashrightarrow take\ A\ n = A$   
 $\langle proof \rangle$

**lemma** *foldl-single*:  $foldl\ f\ a\ [b] = f\ a\ b$   
 $\langle proof \rangle$

**lemma** *foldl-append* [*rule-format*, *simp*]:  
 $\forall a. foldl\ f\ a\ (A @ B) = foldl\ f\ (foldl\ f\ a\ A)\ B$   
 $\langle proof \rangle$

**lemma** *foldl-map* [*rule-format*]:

$\forall e. \text{foldl } f \ e \ (\text{map } g \ S) = \text{foldl } (\%x \ y. f \ x \ (g \ y)) \ e \ S$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-neutr-distr* [*rule-format*]:

**assumes** *r-neutr*:  $\forall a. f \ a \ e = a$   
**and** *r-neutl*:  $\forall a. f \ e \ a = a$   
**and** *assoc*:  $\forall a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c$   
**shows**  $\forall y. f \ y \ (\text{foldl } f \ e \ A) = \text{foldl } f \ y \ A$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-append-sym*:

$[[ !a. f \ a \ e = a; !a. f \ e \ a = a;$   
 $!a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   
 $\implies \text{foldl } f \ e \ (A \ @ \ B) = f \ (\text{foldl } f \ e \ A) (\text{foldl } f \ e \ B)$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-append* [*rule-format*, *simp*]:

$\forall a. \text{foldr } f \ a \ (A \ @ \ B) = \text{foldr } f \ (\text{foldr } f \ a \ B) \ A$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-map* [*rule-format*]:  $\forall e. \text{foldr } f \ e \ (\text{map } g \ S) = \text{foldr } (f \ o \ g) \ e \ S$

$\langle \text{proof} \rangle$

**lemma** *foldr-Un-eq-UN*:  $\text{foldr } op \ Un \ \{ \} \ S = (UN \ X: \{t. t \ mem \ S\}. X)$

$\langle \text{proof} \rangle$

**lemma** *foldr-neutr-distr*:

$[[ !a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   
 $\implies \text{foldr } f \ y \ S = f \ (\text{foldr } f \ e \ S) \ y$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-append2*:

$[[ !a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   
 $\implies \text{foldr } f \ e \ (A \ @ \ B) = f \ (\text{foldr } f \ e \ A) (\text{foldr } f \ e \ B)$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-flat*:

$[[ !a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   $\implies$   
 $\text{foldr } f \ e \ (\text{flat } S) = (\text{foldr } f \ e) (\text{map } (\text{foldr } f \ e) \ S)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-map*:  $(\text{Alls } x:\text{map } f \ xs \ . P(x)) = (\text{Alls } x:xs. (P \ o \ f)(x))$

$\langle \text{proof} \rangle$

**lemma** *list-all-and*:

$(\text{Alls } x:xs. P(x) \ \& \ Q(x)) = ((\text{Alls } x:xs. P(x)) \ \& \ (\text{Alls } x:xs. Q(x)))$



$\langle proof \rangle$

**lemma** *nth-map* [rule-format]:

$$\forall i. i < \text{length}(A) \longrightarrow \text{nth } i (\text{map } f A) = f(\text{nth } i A)$$

$\langle proof \rangle$

**lemma** *nth-app-cancel-right* [rule-format]:

$$\forall i. i < \text{length}(A) \longrightarrow \text{nth } i (A @ B) = \text{nth } i A$$

$\langle proof \rangle$

**lemma** *nth-app-cancel-left* [rule-format]:

$$\forall n. n = \text{length}(A) \longrightarrow \text{nth}(n+i)(A @ B) = \text{nth } i B$$

$\langle proof \rangle$

**lemma** *flat-append* [simp]:  $\text{flat}(xs @ ys) = \text{flat}(xs) @ \text{flat}(ys)$

$\langle proof \rangle$

**lemma** *filter-flat*:  $\text{filter } p (\text{flat } S) = \text{flat}(\text{map } (\text{filter } p) S)$

$\langle proof \rangle$

**lemma** *rev-append* [simp]:  $\text{rev}(xs @ ys) = \text{rev}(ys) @ \text{rev}(xs)$

$\langle proof \rangle$

**lemma** *rev-rev-ident* [simp]:  $\text{rev}(\text{rev } l) = l$

$\langle proof \rangle$

**lemma** *rev-flat*:  $\text{rev}(\text{flat } ls) = \text{flat } (\text{map } \text{rev } (\text{rev } ls))$

$\langle proof \rangle$

**lemma** *rev-map-distrib*:  $\text{rev}(\text{map } f l) = \text{map } f (\text{rev } l)$

$\langle proof \rangle$

**lemma** *foldl-rev*:  $\text{foldl } f b (\text{rev } l) = \text{foldr } (\%x y. f y x) b l$

$\langle proof \rangle$

**lemma** *foldr-rev*:  $\text{foldr } f b (\text{rev } l) = \text{foldl } (\%x y. f y x) b l$

$\langle proof \rangle$

**end**

## 12 Definition of type llist by a greatest fixed point

**theory** *LList* **imports** *SList* **begin**

**coinductive-set**

*llist* :: 'a item set => 'a item set

**for** *A* :: 'a item set

**where**

*NIL-I*:  $NIL \in llist(A)$

| *CONS-I*:  $[\mid a \in A; M \in llist(A) \mid] \implies CONS\ a\ M \in llist(A)$

**coinductive-set**

*LListD* :: ('a item \* 'a item) set => ('a item \* 'a item) set

**for** *r* :: ('a item \* 'a item) set

**where**

*NIL-I*:  $(NIL, NIL) \in LListD(r)$

| *CONS-I*:  $[\mid (a,b) \in r; (M,N) \in LListD(r) \mid] \implies (CONS\ a\ M, CONS\ b\ N) \in LListD(r)$

**typedef** (*LList*)

'a llist = *llist*(range *Leaf*) :: 'a item set

*<proof>*

**definition**

*list-Fun* :: ['a item set, 'a item set] => 'a item set **where**

— Now used exclusively for abbreviating the coinduction rule

$list-Fun\ A\ X = \{z. z = NIL \mid (\exists M\ a. z = CONS\ a\ M \ \& \ a \in A \ \& \ M \in X)\}$

**definition**

*LListD-Fun* ::

$[(('a\ item * 'a\ item)\ set, ('a\ item * 'a\ item)\ set)] \implies$

$('a\ item * 'a\ item)\ set$  **where**

*LListD-Fun* *r* *X* =

$\{z. z = (NIL, NIL) \mid$   
 $(\exists M\ N\ a\ b. z = (CONS\ a\ M, CONS\ b\ N) \ \& \ (a, b) \in r \ \& \ (M, N) \in X)\}$

**definition**

*LNil* :: 'a llist **where**

— abstract constructor

$LNil = Abs-LList\ NIL$

**definition**

*LCons* :: ['a, 'a llist] => 'a llist **where**

— abstract constructor

$LCons\ x\ xs = Abs-LList(CONS\ (Leaf\ x)\ (Rep-LList\ xs))$

**definition**

*llist-case* :: ['b, ['a, 'a llist] => 'b, 'a llist] => 'b **where**

$$\text{llist-case } c \ d \ l = \\ \text{List-case } c \ (\%x \ y. \ d \ (\text{inv Leaf } x) \ (\text{Abs-LList } y)) \ (\text{Rep-LList } l)$$

### definition

$$\begin{aligned}
& LList\text{-}corec\text{-}fun :: [nat, 'a \Rightarrow ('b\ item * 'a)\ option, 'a] \Rightarrow 'b\ item\ \mathbf{where} \\
& LList\text{-}corec\text{-}fun\ k\ f == \\
& \quad nat\text{-}rec\ (\%x.\ \{\}) \\
& \quad \quad (\%j\ r\ x.\ case\ f\ x\ of\ None \quad \Rightarrow\ NIL \\
& \quad \quad \quad | Some(z,w) \Rightarrow CONS\ z\ (r\ w)) \\
& \quad k
\end{aligned}$$

### definition

$$\begin{aligned} LList\text{-corec} &:: [ 'a, 'a \Rightarrow ('b \text{ item} * 'a) \text{ option} ] \Rightarrow 'b \text{ item} \textbf{ where} \\ LList\text{-corec} \ a \ f &= (\bigcup k. LList\text{-corec-fun} \ k \ f \ a) \end{aligned}$$

**definition**

$$\begin{aligned} \text{llist-corec} &:: ['a, 'a \Rightarrow ('b * 'a) \text{ option}] \Rightarrow 'b \text{ llist } \mathbf{where} \\ \text{llist-corec } a \text{ f} &= \\ &\text{Abs-LList}(\text{LList-corec } a \\ &\quad (\%z. \text{ case } f \text{ } z \text{ of None} \Rightarrow \text{None} \\ &\quad \quad | \text{ Some}(v, w) \Rightarrow \text{Some}(\text{Leaf}(v), w))) \end{aligned}$$

### definition

$$\begin{aligned} \text{llistD-Fun} :: ('a \text{ llist} * 'a \text{ llist}) \text{set} \Rightarrow ('a \text{ llist} * 'a \text{ llist}) \text{set} \text{ where} \\ \text{llistD-Fun}(r) = \\ \text{prod-fun Abs-LList Abs-LList } ' \\ \text{LListD-Fun } (\text{diag}(\text{range Leaf})) \\ (\text{prod-fun Rep-LList Rep-LList } ' r) \end{aligned}$$

The case syntax for type *'a llist*

## syntax

$$\begin{array}{l} LNil :: \text{logic} \\ LCons :: \text{logic} \end{array}$$

translations

$$\text{case } p \text{ of } LNil \Rightarrow a \mid LCons \ x \ l \Rightarrow b == \text{CONST } llist\text{-case } a \ (\%x \ l. \ b) \ p$$

### 12.0.2 Sample function definitions. Item-based ones start with $L$

### definition

$$\begin{aligned} Lmap &:: ('a \text{ item} \Rightarrow 'b \text{ item}) \Rightarrow ('a \text{ item} \Rightarrow 'b \text{ item}) \textbf{ where} \\ Lmap\ f\ M &= LList\text{-corec}\ M\ (List\text{-case}\ None\ (\%x\ M'.\ Some((f\ x),\ M')))) \end{aligned}$$

### definition

$$\begin{aligned} \text{lmap} &:: ('a \Rightarrow 'b) \Rightarrow ('a \text{ llist} \Rightarrow 'b \text{ llist}) \textbf{\textit{where}} \\ \text{lmap } f\ l = \&\text{lcorec}\ l\ (\%z.\ case\ z\ of\ LNil \Rightarrow None \\ &\quad | LCons\ y\ z \Rightarrow Some(f(y),\ z)) \end{aligned}$$

### definition

*iterates* ::  $[ 'a \Rightarrow 'a, 'a ] \Rightarrow 'a$  **l**list **w**here

$iterates\ f\ a = llist\_corec\ a\ (\%x.\ Some((x, f(x))))$

**definition**

$Lconst :: 'a\ item \Rightarrow 'a\ item\ \mathbf{where}$   
 $Lconst(M) == lfp(\%N.\ CONS\ M\ N)$

**definition**

$Lappend :: ['a\ item, 'a\ item] \Rightarrow 'a\ item\ \mathbf{where}$   
 $Lappend\ M\ N = LList\_corec\ (M, N)$   
 $(split(List\_case\ (List\_case\ None\ (\%N1\ N2.\ Some((N1, (NIL, N2)))))$   
 $(\%M1\ M2\ N.\ Some((M1, (M2, N)))))$

**definition**

$lappend :: ['a\ llist, 'a\ llist] \Rightarrow 'a\ llist\ \mathbf{where}$   
 $lappend\ l\ n = llist\_corec\ (l, n)$   
 $(split(llist\_case\ (llist\_case\ None\ (\%n1\ n2.\ Some((n1, (LNil, n2)))))$   
 $(\%l1\ l2\ n.\ Some((l1, (l2, n)))))$

Append generates its result by applying f, where  $f((NIL, NIL)) = None$   
 $f((NIL, CONS\ N1\ N2)) = Some((N1, (NIL, N2)))$   $f((CONS\ M1\ M2, N)) =$   
 $Some((M1, (M2, N)))$

SHOULD *LListD-Fun-CONS-I*, etc., be equations (for rewriting)?

**lemmas** *UN1-I = UNIV-I [THEN UN-I, standard]*

### 12.0.3 Simplification

**declare** *option.split [split]*

This justifies using llist in other recursive type definitions

**lemma** *llist-mono:*

**assumes** *subset: A ⊆ B*

**shows** *llist A ⊆ llist B*

*<proof>*

**lemma** *llist-unfold: llist(A) = usum {Numb(0)} (uprod A (llist A))*

*<proof>*

## 12.1 Type checking by coinduction

... using *list-Fun* THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

**lemma** *llist-coinduct:*

$[| M \in X; X \subseteq list\_Fun\ A\ (X\ Un\ llist(A)) |] \Rightarrow M \in llist(A)$

*<proof>*

**lemma** *list-Fun-NIL-I [iff]: NIL ∈ list-Fun A X*

$\langle proof \rangle$

**lemma** *list-Fun-CONS-I* [*intro!*, *simp*]:

$[[ M \in A; N \in X ]] \implies CONS\ M\ N \in list-Fun\ A\ X$

$\langle proof \rangle$

Utilise the “strong” part, i.e.  $gfp(f)$

**lemma** *list-Fun-llist-I*:  $M \in llist(A) \implies M \in list-Fun\ A\ (X\ Un\ llist(A))$

$\langle proof \rangle$

## 12.2 *LList-corec* satisfies the desired recursion equation

A continuity result?

**lemma** *CONS-UN1*:  $CONS\ M\ (\bigcup x. f(x)) = (\bigcup x. CONS\ M\ (f\ x))$

$\langle proof \rangle$

**lemma** *CONS-mono*:  $[[ M \subseteq M'; N \subseteq N' ]] \implies CONS\ M\ N \subseteq CONS\ M'\ N'$

$\langle proof \rangle$

**declare** *LList-corec-fun-def* [*THEN def-nat-rec-0*, *simp*]

*LList-corec-fun-def* [*THEN def-nat-rec-Suc*, *simp*]

### 12.2.1 The directions of the equality are proved separately

**lemma** *LList-corec-subset1*:

$LList-corec\ a\ f \subseteq$

$(case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (LList-corec\ w\ f))$

$\langle proof \rangle$

**lemma** *LList-corec-subset2*:

$(case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (LList-corec\ w\ f)) \subseteq$

$LList-corec\ a\ f$

$\langle proof \rangle$

the recursion equation for *LList-corec* – NOT SUITABLE FOR REWRITING!

**lemma** *LList-corec*:

$LList-corec\ a\ f =$

$(case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (LList-corec\ w\ f))$

$\langle proof \rangle$

definitional version of same

**lemma** *def-LList-corec*:

$[[ !x. h(x) = LList-corec\ x\ f ]]$

$\implies h(a) = (case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (h\ w))$

$\langle proof \rangle$

A typical use of co-induction to show membership in the *gfp*. Bisimulation is  $range(\%x. LList-corec\ x\ f)$

**lemma** *LList-corec-type*:  $LList\text{-}corec\ a\ f \in llist\ UNIV$   
 $\langle proof \rangle$

### 12.3 *llist* equality as a *gfp*; the bisimulation principle

This theorem is actually used, unlike the many similar ones in ZF

**lemma** *LListD-unfold*:  $LListD\ r = dsum\ (diag\ \{Numb\ 0\})\ (dprod\ r\ (LListD\ r))$   
 $\langle proof \rangle$

**lemma** *LListD-implies-ntrunc-equality* [rule-format]:  
 $\forall M\ N. (M, N) \in LListD(diag\ A) \longrightarrow ntrunc\ k\ M = ntrunc\ k\ N$   
 $\langle proof \rangle$

The domain of the *LListD* relation

**lemma** *Domain-LListD*:  
 $Domain\ (LListD(diag\ A)) \subseteq llist(A)$   
 $\langle proof \rangle$

This inclusion justifies the use of coinduction to show  $M = N$

**lemma** *LListD-subset-diag*:  $LListD(diag\ A) \subseteq diag(llist(A))$   
 $\langle proof \rangle$

#### 12.3.1 Coinduction, using *LListD-Fun*

THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

**lemma** *LListD-Fun-mono*:  $A \subseteq B \implies LListD\text{-}Fun\ r\ A \subseteq LListD\text{-}Fun\ r\ B$   
 $\langle proof \rangle$

**lemma** *LListD-coinduct*:  
 $[| M \in X; X \subseteq LListD\text{-}Fun\ r\ (X\ Un\ LListD(r)) |] \implies M \in LListD(r)$   
 $\langle proof \rangle$

**lemma** *LListD-Fun-NIL-I*:  $(NIL, NIL) \in LListD\text{-}Fun\ r\ s$   
 $\langle proof \rangle$

**lemma** *LListD-Fun-CONS-I*:  
 $[| x \in A; (M, N) : s |] \implies (CONS\ x\ M, CONS\ x\ N) \in LListD\text{-}Fun\ (diag\ A)\ s$   
 $\langle proof \rangle$

Utilise the "strong" part, i.e.  $gfp(f)$

**lemma** *LListD-Fun-LListD-I*:  
 $M \in LListD(r) \implies M \in LListD\text{-}Fun\ r\ (X\ Un\ LListD(r))$   
 $\langle proof \rangle$

This converse inclusion helps to strengthen *LList-equalityI*

**lemma** *diag-subset-LListD*:  $diag(llist(A)) \subseteq LListD(diag\ A)$   
 $\langle proof \rangle$

**lemma** *LListD-eq-diag*:  $LListD(diag\ A) = diag(llist(A))$   
 <proof>

**lemma** *LListD-Fun-diag-I*:  $M \in llist(A) ==> (M,M) \in LListD-Fun\ (diag\ A)\ (X\ Un\ diag(llist(A)))$   
 <proof>

**12.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace  $A$  by some particular set, like  $\{x.\ True\}???$**

**lemma** *LList-equalityI*:  
 $[ (M,N) \in r; \ r \subseteq LListD-Fun\ (diag\ A)\ (r\ Un\ diag(llist(A))) ]$   
 $==> M=N$   
 <proof>

## 12.4 Finality of $llist(A)$ : Uniqueness of functions defined by corecursion

We must remove *Pair-eq* because it may turn an instance of reflexivity  $(h1\ b, h2\ b) = (h1\ ?x17, h2\ ?x17)$  into a conjunction! (or strengthen the Solver?)

**declare** *Pair-eq* [*simp del*]

abstract proof using a bisimulation

**lemma** *LList-corec-unique*:  
 $[ !x. h1(x) = (case\ f\ x\ of\ None ==> NIL \mid Some(z,w) ==> CONS\ z\ (h1\ w));$   
 $!x. h2(x) = (case\ f\ x\ of\ None ==> NIL \mid Some(z,w) ==> CONS\ z\ (h2\ w)) ]$   
 $==> h1=h2$   
 <proof>

**lemma** *equals-LList-corec*:  
 $[ !x. h(x) = (case\ f\ x\ of\ None ==> NIL \mid Some(z,w) ==> CONS\ z\ (h\ w)) ]$   
 $==> h = (\%x. LList-corec\ x\ f)$   
 <proof>

### 12.4.1 Obsolete proof of *LList-corec-unique*: complete induction, not coinduction

**lemma** *ntrunc-one-CONS* [*simp*]:  $ntrunc\ (Suc\ 0)\ (CONS\ M\ N) = \{\}$   
 <proof>

**lemma** *ntrunc-CONS* [*simp*]:  
 $ntrunc\ (Suc(Suc(k)))\ (CONS\ M\ N) = CONS\ (ntrunc\ k\ M)\ (ntrunc\ k\ N)$   
 <proof>

**lemma**

```

assumes prem1:
    !!x. h1 x = (case f x of None ==> NIL | Some(z,w) ==> CONS z (h1 w))
and prem2:
    !!x. h2 x = (case f x of None ==> NIL | Some(z,w) ==> CONS z (h2 w))
shows h1=h2
<proof>

```

## 12.5 Lconst: defined directly by lfp

But it could be defined by corecursion.

```

lemma Lconst-fun-mono: mono(CONS(M))
<proof>

```

$$Lconst(M) = CONS\ M\ (Lconst\ M)$$

```

lemmas Lconst = Lconst-fun-mono [THEN Lconst-def [THEN def-lfp-unfold]]

```

A typical use of co-induction to show membership in the gfp. The containing set is simply the singleton  $\{Lconst(M)\}$ .

```

lemma Lconst-type: M ∈ A ==> Lconst(M): llist(A)
<proof>

```

```

lemma Lconst-eq-LList-corec: Lconst(M) = LList-corec M (%x. Some(x,x))
<proof>

```

Thus we could have used gfp in the definition of Lconst

```

lemma gfp-Lconst-eq-LList-corec: gfp(%N. CONS M N) = LList-corec M (%x.
Some(x,x))
<proof>

```

## 12.6 Isomorphisms

```

lemma LListI: x ∈ llist (range Leaf) ==> x ∈ LList
<proof>

```

```

lemma LListD: x ∈ LList ==> x ∈ llist (range Leaf)
<proof>

```

### 12.6.1 Distinctness of constructors

```

lemma LCons-not-LNil [iff]: ~ LCons x xs = LNil
<proof>

```

```

lemmas LNil-not-LCons [iff] = LCons-not-LNil [THEN not-sym, standard]

```

### 12.6.2 llist constructors

```

lemma Rep-LList-LNil: Rep-LList LNil = NIL
<proof>

```



**lemma** *Rep-LList-LCons*:  $\text{Rep-LList}(\text{LCons } x \ l) = \text{CONS } (\text{Leaf } x) (\text{Rep-LList } l)$   
 $\langle \text{proof} \rangle$

### 12.6.3 Injectiveness of *CONS* and *LCons*

**lemma** *CONS-CONS-eq2*:  $(\text{CONS } M \ N = \text{CONS } M' \ N') = (M = M' \ \& \ N = N')$   
 $\langle \text{proof} \rangle$

**lemmas** *CONS-inject* = *CONS-CONS-eq* [*THEN iffD1*, *THEN conjE*, *standard*]

For reasoning about abstract llist constructors

**declare** *Rep-LList* [*THEN LListD*, *intro*] *LListI* [*intro*]  
**declare** *llist.intros* [*intro*]

**lemma** *LCons-LCons-eq* [*iff*]:  $(\text{LCons } x \ xs = \text{LCons } y \ ys) = (x = y \ \& \ xs = ys)$   
 $\langle \text{proof} \rangle$

**lemma** *CONS-D2*:  $\text{CONS } M \ N \in \text{llist}(A) \implies M \in A \ \& \ N \in \text{llist}(A)$   
 $\langle \text{proof} \rangle$

## 12.7 Reasoning about *llist*(*A*)

A special case of *list-equality* for functions over lazy lists

**lemma** *LList-fun-equalityI*:  

$$\begin{aligned} & [| M \in \text{llist}(A); \ g(\text{NIL}): \text{llist}(A); \\ & \quad f(\text{NIL}) = g(\text{NIL}); \\ & \quad !!x \ l. [| x \in A; \ l \in \text{llist}(A) |] \implies \\ & \quad \quad (f(\text{CONS } x \ l), g(\text{CONS } x \ l)) \in \\ & \quad \quad \quad \text{LListD-Fun } (\text{diag } A) ((\%u. (f(u), g(u))) \text{llist}(A) \ \text{Un} \\ & \quad \quad \quad \text{diag}(\text{llist}(A))) \\ & |] \implies f(M) = g(M) \end{aligned}$$
  
 $\langle \text{proof} \rangle$

## 12.8 The functional *Lmap*

**lemma** *Lmap-NIL* [*simp*]:  $\text{Lmap } f \ \text{NIL} = \text{NIL}$   
 $\langle \text{proof} \rangle$

**lemma** *Lmap-CONS* [*simp*]:  $\text{Lmap } f \ (\text{CONS } M \ N) = \text{CONS } (f \ M) (\text{Lmap } f \ N)$   
 $\langle \text{proof} \rangle$

Another type-checking proof by coinduction

**lemma** *Lmap-type*:  

$$[| M \in \text{llist}(A); \ !!x. x \in A \implies f(x):B |] \implies \text{Lmap } f \ M \in \text{llist}(B)$$
  
 $\langle \text{proof} \rangle$

This type checking rule synthesises a sufficiently large set for *f*

**lemma** *Lmap-type2*:  $M \in \text{llist}(A) \implies \text{Lmap } f \ M \in \text{llist}(f'A)$   
 $\langle \text{proof} \rangle$

### 12.8.1 Two easy results about *Lmap*

**lemma** *Lmap-compose*:  $M \in \text{llist}(A) \implies \text{Lmap } (f \circ g) \ M = \text{Lmap } f \ (\text{Lmap } g \ M)$   
 $\langle \text{proof} \rangle$

**lemma** *Lmap-ident*:  $M \in \text{llist}(A) \implies \text{Lmap } (\%x. x) \ M = M$   
 $\langle \text{proof} \rangle$

## 12.9 *Lappend* – its two arguments cause some complications!

**lemma** *Lappend-NIL-NIL* [*simp*]:  $\text{Lappend } \text{NIL } \text{NIL} = \text{NIL}$   
 $\langle \text{proof} \rangle$

**lemma** *Lappend-NIL-CONS* [*simp*]:  
 $\text{Lappend } \text{NIL } (\text{CONS } N \ N') = \text{CONS } N \ (\text{Lappend } \text{NIL } N')$   
 $\langle \text{proof} \rangle$

**lemma** *Lappend-CONS* [*simp*]:  
 $\text{Lappend } (\text{CONS } M \ M') \ N = \text{CONS } M \ (\text{Lappend } M' \ N)$   
 $\langle \text{proof} \rangle$

**declare** *llist.intros* [*simp*] *LListD-Fun-CONS-I* [*simp*]  
 $\text{range-eqI}$  [*simp*]  $\text{image-eqI}$  [*simp*]

**lemma** *Lappend-NIL* [*simp*]:  $M \in \text{llist}(A) \implies \text{Lappend } \text{NIL } M = M$   
 $\langle \text{proof} \rangle$

**lemma** *Lappend-NIL2*:  $M \in \text{llist}(A) \implies \text{Lappend } M \ \text{NIL} = M$   
 $\langle \text{proof} \rangle$

### 12.9.1 Alternative type-checking proofs for *Lappend*

weak co-induction: bisimulation and case analysis on both variables

**lemma** *Lappend-type*:  $[| M \in \text{llist}(A); N \in \text{llist}(A) |] \implies \text{Lappend } M \ N \in \text{llist}(A)$   
 $\langle \text{proof} \rangle$

strong co-induction: bisimulation and case analysis on one variable

**lemma** *Lappend-type'*:  $[| M \in \text{llist}(A); N \in \text{llist}(A) |] \implies \text{Lappend } M \ N \in \text{llist}(A)$   
 $\langle \text{proof} \rangle$

## 12.10 Lazy lists as the type $'a\ \text{llist}$ – strongly typed versions of above

### 12.10.1 *llist-case*: case analysis for $'a\ \text{llist}$

**declare** *LListI* [*THEN Abs-LList-inverse*, *simp*]  
**declare** *Rep-LList-inverse* [*simp*]  
**declare** *Rep-LList* [*THEN LListD*, *simp*]  
**declare** *rangeI* [*simp*] *inj-Leaf* [*simp*]

**lemma** *llist-case-LNil* [*simp*]: *llist-case* *c* *d* *LNil* = *c*  
 $\langle \text{proof} \rangle$

**lemma** *llist-case-LCons* [*simp*]: *llist-case* *c* *d* (*LCons* *M* *N*) = *d* *M* *N*  
 $\langle \text{proof} \rangle$

Elimination is case analysis, not induction.

**lemma** *llistE*:  $[\ l = \text{LNil} \implies P; \ \forall x\ l'.\ l = \text{LCons}\ x\ l' \implies P\ ] \implies P$   
 $\langle \text{proof} \rangle$

### 12.10.2 *llist-corec*: corecursion for $'a\ \text{llist}$

Lemma for the proof of *llist-corec*

**lemma** *LList-corec-type2*:  
 $\text{LList-corec}\ a$   
 $(\%z.\ \text{case}\ f\ z\ \text{of}\ \text{None} \implies \text{None} \mid \text{Some}(v,w) \implies \text{Some}(\text{Leaf}(v),w))$   
 $\in \text{llist}(\text{range}\ \text{Leaf})$   
 $\langle \text{proof} \rangle$

**lemma** *llist-corec*:  
 $\text{llist-corec}\ a\ f =$   
 $(\text{case}\ f\ a\ \text{of}\ \text{None} \implies \text{LNil} \mid \text{Some}(z,w) \implies \text{LCons}\ z\ (\text{llist-corec}\ w\ f))$   
 $\langle \text{proof} \rangle$

definitional version of same

**lemma** *def-llist-corec*:  
 $[\ \forall x.\ h(x) = \text{llist-corec}\ x\ f\ ] \implies$   
 $h(a) = (\text{case}\ f\ a\ \text{of}\ \text{None} \implies \text{LNil} \mid \text{Some}(z,w) \implies \text{LCons}\ z\ (h\ w))$   
 $\langle \text{proof} \rangle$

## 12.11 Proofs about type $'a\ \text{llist}$ functions

### 12.12 Deriving *llist-equalityI* – *llist* equality is a bisimulation

**lemma** *LListD-Fun-subset-Times-llist*:  
 $r \subseteq (\text{llist}\ A) <*> (\text{llist}\ A)$   
 $\implies \text{LListD-Fun}\ (\text{diag}\ A)\ r \subseteq (\text{llist}\ A) <*> (\text{llist}\ A)$   
 $\langle \text{proof} \rangle$

**lemma** *subset-Times-llist*:

$prod\_fun\ Rep\_LList\ Rep\_LList\ 'r \subseteq$   
 $(llist(range\ Leaf)) <*> (llist(range\ Leaf))$   
 $\langle proof \rangle$

**lemma** *prod-fun-lemma*:

$r \subseteq (llist(range\ Leaf)) <*> (llist(range\ Leaf))$   
 $==> prod\_fun\ (Rep\_LList\ o\ Abs\_LList)\ (Rep\_LList\ o\ Abs\_LList)\ 'r \subseteq r$   
 $\langle proof \rangle$

**lemma** *prod-fun-range-eq-diag*:

$prod\_fun\ Rep\_LList\ Rep\_LList\ 'range(\%x.\ (x,\ x)) =$   
 $diag(llist(range\ Leaf))$   
 $\langle proof \rangle$

Used with *lfilter*

**lemma** *llistD-Fun-mono*:

$A \subseteq B ==> llistD\_Fun\ A \subseteq llistD\_Fun\ B$   
 $\langle proof \rangle$

**12.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]**

**lemma** *llist-equalityI*:

$[ (l1,l2) \in r;\ r \subseteq llistD\_Fun(r\ Un\ range(\%x.(x,x))) ] ==> l1=l2$   
 $\langle proof \rangle$

**12.12.2 Rules to prove the 2nd premise of *llist-equalityI***

**lemma** *llistD-Fun-LNil-I* [*simp*]:  $(LNil,LNil) \in llistD\_Fun(r)$   
 $\langle proof \rangle$

**lemma** *llistD-Fun-LCons-I* [*simp*]:

$(l1,l2):r ==> (LCons\ x\ l1,\ LCons\ x\ l2) \in llistD\_Fun(r)$   
 $\langle proof \rangle$

Utilise the "strong" part, i.e.  $gfp(f)$

**lemma** *llistD-Fun-range-I*:  $(l,l) \in llistD\_Fun(r\ Un\ range(\%x.(x,x)))$   
 $\langle proof \rangle$

A special case of *list-equality* for functions over lazy lists

**lemma** *llist-fun-equalityI*:

$[ f(LNil)=g(LNil);$   
 $!!x\ l.\ (f(LCons\ x\ l),g(LCons\ x\ l))$   
 $\in llistD\_Fun(range(\%u.\ (f(u),g(u)))\ Un\ range(\%v.\ (v,v)))$   
 $] ==> f(l) = (g(l :: 'a\ llist) :: 'b\ llist)$   
 $\langle proof \rangle$

### 12.13 The functional *lmap*

**lemma** *lmap-LNil* [simp]: *lmap* *f* *LNil* = *LNil*  
⟨proof⟩

**lemma** *lmap-LCons* [simp]: *lmap* *f* (*LCons* *M* *N*) = *LCons* (*f* *M*) (*lmap* *f* *N*)  
⟨proof⟩

#### 12.13.1 Two easy results about *lmap*

**lemma** *lmap-compose* [simp]: *lmap* (*f* *o* *g*) *l* = *lmap* *f* (*lmap* *g* *l*)  
⟨proof⟩

**lemma** *lmap-ident* [simp]: *lmap* (%*x*. *x*) *l* = *l*  
⟨proof⟩

### 12.14 iterates – *llist-fun-equalityI* cannot be used!

**lemma** *iterates*: *iterates* *f* *x* = *LCons* *x* (*iterates* *f* (*f* *x*))  
⟨proof⟩

**lemma** *lmap-iterates* [simp]: *lmap* *f* (*iterates* *f* *x*) = *iterates* *f* (*f* *x*)  
⟨proof⟩

**lemma** *iterates-lmap*: *iterates* *f* *x* = *LCons* *x* (*lmap* *f* (*iterates* *f* *x*))  
⟨proof⟩

### 12.15 A rather complex proof about iterates – cf Andy Pitts

#### 12.15.1 Two lemmas about *natrec* *n* *x* (%*m*. *g*), which is essentially $(g^n)(x)$

**lemma** *fun-power-lmap*: *nat-rec* (*LCons* *b* *l*) (%*m*. *lmap*(*f*)) *n* =  
*LCons* (*nat-rec* *b* (%*m*. *f*) *n*) (*nat-rec* *l* (%*m*. *lmap*(*f*)) *n*)  
⟨proof⟩

**lemma** *fun-power-Suc*: *nat-rec* (*g* *x*) (%*m*. *g*) *n* = *nat-rec* *x* (%*m*. *g*) (*Suc* *n*)  
⟨proof⟩

**lemmas** *Pair-cong* = *refl* [*THEN* *cong*, *THEN* *cong*, of **concl**: *Pair*]

The bisimulation consists of  $\{(lmap(f) \hat{n} (h(u)), lmap(f) \hat{n} (iterates(f,u)))\}$   
for all *u* and all *n::nat*.

**lemma** *iterates-equality*:  
(!*x*. *h*(*x*) = *LCons* *x* (*lmap* *f* (*h* *x*))) ==> *h* = *iterates*(*f*)  
⟨proof⟩

### 12.16 *lappend* – its two arguments cause some complications!

**lemma** *lappend-LNil-LNil* [simp]: *lappend* *LNil* *LNil* = *LNil*

$\langle proof \rangle$

**lemma** *lappend-LNil-LCons* [simp]:

$$lappend\ LNil\ (LCons\ l\ l') = LCons\ l\ (lappend\ LNil\ l')$$

$\langle proof \rangle$

**lemma** *lappend-LCons* [simp]:

$$lappend\ (LCons\ l\ l')\ N = LCons\ l\ (lappend\ l'\ N)$$

$\langle proof \rangle$

**lemma** *lappend-LNil* [simp]: *lappend LNil l = l*

$\langle proof \rangle$

**lemma** *lappend-LNil2* [simp]: *lappend l LNil = l*

$\langle proof \rangle$

The infinite first argument blocks the second

**lemma** *lappend-iterates* [simp]: *lappend (iterates f x) N = iterates f x*

$\langle proof \rangle$

### 12.16.1 Two proofs that *lmap* distributes over *lappend*

Long proof requiring case analysis on both both arguments

**lemma** *lmap-lappend-distrib*:

$$lmap\ f\ (lappend\ l\ n) = lappend\ (lmap\ f\ l)\ (lmap\ f\ n)$$

$\langle proof \rangle$

Shorter proof of theorem above using *llist-equalityI* as strong coinduction

**lemma** *lmap-lappend-distrib'*:

$$lmap\ f\ (lappend\ l\ n) = lappend\ (lmap\ f\ l)\ (lmap\ f\ n)$$

$\langle proof \rangle$

Without strong coinduction, three case analyses might be needed

**lemma** *lappend-assoc'*: *lappend (lappend l1 l2) l3 = lappend l1 (lappend l2 l3)*

$\langle proof \rangle$

**end**

## 13 The "filter" functional for coinductive lists – defined by a combination of induction and coinduction

**theory** *LFilter* **imports** *LList* **begin**

**inductive-set**

```

findRel      :: ('a ==> bool) ==> ('a llist * 'a llist)set
for p :: 'a ==> bool
where
  found: p x ==> (LCons x l, LCons x l) ∈ findRel p
  | seek: [| ~p x; (l,l') ∈ findRel p |] ==> (LCons x l, l') ∈ findRel p

declare findRel.intros [intro]

definition
  find      :: ['a ==> bool, 'a llist] ==> 'a llist where
  find p l = (SOME l'. (l,l'): findRel p | (l' = LNil & l ~: Domain(findRel p)))

definition
  lfilter :: ['a ==> bool, 'a llist] ==> 'a llist where
  lfilter p l = llist-corec l (%l. case find p l of
                                LNil => None
                                | LCons y z => Some(y,z))

```

### 13.1 findRel: basic laws

#### inductive-cases

*findRel-LConsE* [elim!]:  $(LCons\ x\ l,\ l'') \in findRel\ p$

**lemma** *findRel-functional* [rule-format]:  
 $(l,l'): findRel\ p ==> (l,l''): findRel\ p \dashrightarrow l'' = l'$   
 ⟨proof⟩

**lemma** *findRel-imp-LCons* [rule-format]:  
 $(l,l'): findRel\ p ==> \exists x\ l''.\ l' = LCons\ x\ l'' \ \&\ p\ x$   
 ⟨proof⟩

**lemma** *findRel-LNil* [elim!]:  $(LNil,l): findRel\ p ==> R$   
 ⟨proof⟩

### 13.2 Properties of Domain (findRel p)

**lemma** *LCons-Domain-findRel* [simp]:  
 $LCons\ x\ l \in Domain(findRel\ p) = (p\ x \mid l \in Domain(findRel\ p))$   
 ⟨proof⟩

**lemma** *Domain-findRel-iff*:  
 $(l \in Domain\ (findRel\ p)) = (\exists x\ l'.\ (l,\ LCons\ x\ l') \in findRel\ p \ \&\ p\ x)$   
 ⟨proof⟩

**lemma** *Domain-findRel-mono*:  
 $[| !!x.\ p\ x ==> q\ x |] ==> Domain\ (findRel\ p) \leq Domain\ (findRel\ q)$   
 ⟨proof⟩

### 13.3 *find*: basic equations

**lemma** *find-LNil* [simp]:  $\text{find } p \text{ LNil} = \text{LNil}$   
 ⟨proof⟩

**lemma** *findRel-imp-find* [simp]:  $(l, l') \in \text{findRel } p \implies \text{find } p \ l = l'$   
 ⟨proof⟩

**lemma** *find-LCons-found*:  $p \ x \implies \text{find } p \ (\text{LCons } x \ l) = \text{LCons } x \ l$   
 ⟨proof⟩

**lemma** *diverge-find-LNil* [simp]:  $l \sim: \text{Domain}(\text{findRel } p) \implies \text{find } p \ l = \text{LNil}$   
 ⟨proof⟩

**lemma** *find-LCons-seek*:  $\sim (p \ x) \implies \text{find } p \ (\text{LCons } x \ l) = \text{find } p \ l$   
 ⟨proof⟩

**lemma** *find-LCons* [simp]:  
 $\text{find } p \ (\text{LCons } x \ l) = (\text{if } p \ x \text{ then } \text{LCons } x \ l \text{ else } \text{find } p \ l)$   
 ⟨proof⟩

### 13.4 *lfilter*: basic equations

**lemma** *lfilter-LNil* [simp]:  $\text{lfilter } p \ \text{LNil} = \text{LNil}$   
 ⟨proof⟩

**lemma** *diverge-lfilter-LNil* [simp]:  
 $l \sim: \text{Domain}(\text{findRel } p) \implies \text{lfilter } p \ l = \text{LNil}$   
 ⟨proof⟩

**lemma** *lfilter-LCons-found*:  
 $p \ x \implies \text{lfilter } p \ (\text{LCons } x \ l) = \text{LCons } x \ (\text{lfilter } p \ l)$   
 ⟨proof⟩

**lemma** *findRel-imp-lfilter* [simp]:  
 $(l, \text{LCons } x \ l') \in \text{findRel } p \implies \text{lfilter } p \ l = \text{LCons } x \ (\text{lfilter } p \ l')$   
 ⟨proof⟩

**lemma** *lfilter-LCons-seek*:  $\sim (p \ x) \implies \text{lfilter } p \ (\text{LCons } x \ l) = \text{lfilter } p \ l$   
 ⟨proof⟩

**lemma** *lfilter-LCons* [simp]:  
 $\text{lfilter } p \ (\text{LCons } x \ l) =$   
 $(\text{if } p \ x \text{ then } \text{LCons } x \ (\text{lfilter } p \ l) \text{ else } \text{lfilter } p \ l)$   
 ⟨proof⟩

**declare** *lfilter-LCons-I* [intro!] *lfilter-LCons-I* [intro!]

**lemma** *lfilter-eq-LNil*:  $\text{lfilter } p \ l = \text{LNil} \implies l \sim: \text{Domain}(\text{findRel } p)$



$\langle \text{proof} \rangle$

**lemma** *lfilter-eq-LCons* [rule-format]:

$\text{lfilter } p \ l = LCons \ x \ l' \dashv\dashv$   
 $(\exists l''. \ l' = \text{lfilter } p \ l'' \ \& \ (l, LCons \ x \ l'') \in \text{findRel } p)$

$\langle \text{proof} \rangle$

**lemma** *lfilter-cases*:  $\text{lfilter } p \ l = LNil \mid$

$(\exists y \ l'. \ \text{lfilter } p \ l = LCons \ y \ (\text{lfilter } p \ l') \ \& \ p \ y)$

$\langle \text{proof} \rangle$

### 13.5 *lfilter*: simple facts by coinduction

**lemma** *lfilter-K-True*:  $\text{lfilter } (\%x. \ \text{True}) \ l = l$

$\langle \text{proof} \rangle$

**lemma** *lfilter-idem*:  $\text{lfilter } p \ (\text{lfilter } p \ l) = \text{lfilter } p \ l$

$\langle \text{proof} \rangle$

### 13.6 Numerous lemmas required to prove *lfilter-conj*

**lemma** *findRel-conj-lemma* [rule-format]:

$(l, l') \in \text{findRel } q$   
 $\implies l' = LCons \ x \ l'' \dashv\dashv p \ x \dashv\dashv (l, l') \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x)$

$\langle \text{proof} \rangle$

**lemmas** *findRel-conj* = *findRel-conj-lemma* [OF - refl]

**lemma** *findRel-not-conj-Domain* [rule-format]:

$(l, l') \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x)$   
 $\implies (l, LCons \ x \ l') \in \text{findRel } q \dashv\dashv \sim p \ x \dashv\dashv$   
 $l' \in \text{Domain } (\text{findRel } (\%x. \ p \ x \ \& \ q \ x))$

$\langle \text{proof} \rangle$

**lemma** *findRel-conj2* [rule-format]:

$(l, lxx) \in \text{findRel } q$   
 $\implies lxx = LCons \ x \ lx \dashv\dashv (lx, lz) \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x) \dashv\dashv \sim p \ x$   
 $\dashv\dashv (l, lz) \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x)$

$\langle \text{proof} \rangle$

**lemma** *findRel-lfilter-Domain-conj* [rule-format]:

$(lx, ly) \in \text{findRel } p$   
 $\implies \forall l. \ lx = \text{lfilter } q \ l \dashv\dashv l \in \text{Domain } (\text{findRel } (\%x. \ p \ x \ \& \ q \ x))$

$\langle \text{proof} \rangle$

**lemma** *findRel-conj-lfilter* [rule-format]:

$(l, l'') \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x)$   
 $\implies l'' = LCons \ y \ l' \dashv\dashv$

$(\text{lfilter } q \ l, \text{LCons } y \ (\text{lfilter } q \ l')) \in \text{findRel } p$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-conj-lemma*:

$(\text{lfilter } p \ (\text{lfilter } q \ l), \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ l)$   
 $\in \text{lListD-Fun } (\text{range } (\%u. (\text{lfilter } p \ (\text{lfilter } q \ u),$   
 $\text{lfilter } (\%x. p \ x \ \& \ q \ x) \ u)))$

$\langle \text{proof} \rangle$

**lemma** *lfilter-conj*:  $\text{lfilter } p \ (\text{lfilter } q \ l) = \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ l$

$\langle \text{proof} \rangle$

### 13.7 Numerous lemmas required to prove ??: $\text{lfilter } p \ (\text{lmap } f \ l) = \text{lmap } f \ (\text{lfilter } (\%x. p(f \ x)) \ l)$

**lemma** *findRel-lmap-Domain*:

$(l, l') \in \text{findRel } (\%x. p \ (f \ x)) \implies \text{lmap } f \ l \in \text{Domain}(\text{findRel } p)$

$\langle \text{proof} \rangle$

**lemma** *lmap-eq-LCons* [rule-format]:  $\text{lmap } f \ l = \text{LCons } x \ l' \dashv\dashv$   
 $(\exists y \ l''. x = f \ y \ \& \ l' = \text{lmap } f \ l'' \ \& \ l = \text{LCons } y \ l'')$

$\langle \text{proof} \rangle$

**lemma** *lmap-LCons-findRel-lemma* [rule-format]:

$(lx, ly) \in \text{findRel } p$   
 $\implies \forall l. \text{lmap } f \ l = lx \dashv\dashv \text{ly} = \text{LCons } x \ l' \dashv\dashv$   
 $(\exists y \ l''. x = f \ y \ \& \ l' = \text{lmap } f \ l'' \ \& \ (l, \text{LCons } y \ l'') \in \text{findRel } (\%x. p(f \ x)))$

$\langle \text{proof} \rangle$

**lemmas** *lmap-LCons-findRel* = *lmap-LCons-findRel-lemma* [OF - refl refl]

**lemma** *lfilter-lmap*:  $\text{lfilter } p \ (\text{lmap } f \ l) = \text{lmap } f \ (\text{lfilter } (p \ o \ f) \ l)$

$\langle \text{proof} \rangle$

end

## 14 Mutual Induction via Iterated Inductive Definitions

**theory** *Com* **imports** *Main* **begin**

**typedecl** *loc*

**types** *state* = *loc*  $\implies$  *nat*

**datatype**

$exp = N \text{ nat}$   
 $| X \text{ loc}$   
 $| Op \text{ nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \text{ exp exp}$   
 $| valOf \text{ com exp} \quad (VALOF - RESULTIS - 60)$

**and**

$com = SKIP$   
 $| Assign \text{ loc exp} \quad (\mathbf{infixl} := 60)$   
 $| Semi \text{ com com} \quad (-;;- [60, 60] 60)$   
 $| Cond \text{ exp com com} \quad (IF - THEN - ELSE - 60)$   
 $| While \text{ exp com} \quad (WHILE - DO - 60)$

## 14.1 Commands

Execution of commands

**abbreviation** (*input*)

$generic-rel \ (-/ \ -|[-] \rightarrow \ - [50,0,50] \ 50) \ \mathbf{where}$   
 $esig \ -|[-] \rightarrow \ ns == (esig, ns) \in eval$

Command execution. Natural numbers represent Booleans: 0=True, 1=False

**inductive-set**

$exec :: ((exp*state) * (nat*state)) \ set \Rightarrow ((com*state)*state) \ set$   
 $\mathbf{and} \ exec-rel :: com * state \Rightarrow ((exp*state) * (nat*state)) \ set \Rightarrow state \Rightarrow bool$   
 $(-/ \ -|[-] \rightarrow \ - [50,0,50] \ 50)$   
 $\mathbf{for} \ eval :: ((exp*state) * (nat*state)) \ set$   
 $\mathbf{where}$   
 $csig \ -|[-] \rightarrow \ s == (csig, s) \in exec \ eval$

$| Skip: \quad (SKIP, s) \ -|[-] \rightarrow \ s$

$| Assign: \ (e, s) \ -|[-] \rightarrow \ (v, s') \Rightarrow (x := e, s) \ -|[-] \rightarrow \ s' (x:=v)$

$| Semi: \quad [| (c0, s) \ -|[-] \rightarrow \ s2; (c1, s2) \ -|[-] \rightarrow \ s1 \ |]$   
 $\Rightarrow (c0 \ ; \ c1, s) \ -|[-] \rightarrow \ s1$

$| IfTrue: [| (e, s) \ -|[-] \rightarrow \ (0, s'); (c0, s') \ -|[-] \rightarrow \ s1 \ |]$   
 $\Rightarrow (IF \ e \ THEN \ c0 \ ELSE \ c1, s) \ -|[-] \rightarrow \ s1$

$| IfFalse: [| (e, s) \ -|[-] \rightarrow \ (Suc \ 0, s'); (c1, s') \ -|[-] \rightarrow \ s1 \ |]$   
 $\Rightarrow (IF \ e \ THEN \ c0 \ ELSE \ c1, s) \ -|[-] \rightarrow \ s1$

$| WhileFalse: (e, s) \ -|[-] \rightarrow \ (Suc \ 0, s1)$   
 $\Rightarrow (WHILE \ e \ DO \ c, s) \ -|[-] \rightarrow \ s1$

$| WhileTrue: [| (e, s) \ -|[-] \rightarrow \ (0, s1);$   
 $(c, s1) \ -|[-] \rightarrow \ s2; (WHILE \ e \ DO \ c, s2) \ -|[-] \rightarrow \ s3 \ |]$   
 $\Rightarrow (WHILE \ e \ DO \ c, s) \ -|[-] \rightarrow \ s3$

**declare**  $exec.intros \ [intro]$

### inductive-cases

$[elim!]: (SKIP, s) \rightarrow [eval] \rightarrow t$   
**and**  $[elim!]: (x := a, s) \rightarrow [eval] \rightarrow t$   
**and**  $[elim!]: (c1 ;; c2, s) \rightarrow [eval] \rightarrow t$   
**and**  $[elim!]: (IF\ e\ THEN\ c1\ ELSE\ c2, s) \rightarrow [eval] \rightarrow t$   
**and** *exec-WHILE-case*:  $(WHILE\ b\ DO\ c, s) \rightarrow [eval] \rightarrow t$

Justifies using "exec" in the inductive definition of "eval"

**lemma** *exec-mono*:  $A \leq B \implies exec(A) \leq exec(B)$

*<proof>*

**lemma** *[pred-set-conv]*:

$((\lambda x\ x'\ y\ y'. ((x, x'), (y, y')) \in R) \leq (\lambda x\ x'\ y\ y'. ((x, x'), (y, y')) \in S)) = (R \leq S)$

*<proof>*

**lemma** *[pred-set-conv]*:

$((\lambda x\ x'\ y. ((x, x'), y) \in R) \leq (\lambda x\ x'\ y. ((x, x'), y) \in S)) = (R \leq S)$

*<proof>*

**declare**  $[[unify-trace-bound = 30, unify-search-bound = 60]]$

Command execution is functional (deterministic) provided evaluation is

**theorem** *single-valued-exec*:  $single-valued\ ev \implies single-valued(exec\ ev)$

*<proof>*

## 14.2 Expressions

Evaluation of arithmetic expressions

### inductive-set

$eval :: ((exp * state) * (nat * state))\ set$   
**and**  $eval-rel :: [exp * state, nat * state] \Rightarrow bool$  (**infixl**  $\rightarrow$  50)  
**where**  
 $esig \rightarrow ns == (esig, ns) \in eval$

$| N\ [intro!]: (N(n), s) \rightarrow (n, s)$

$| X\ [intro!]: (X(x), s) \rightarrow (s(x), s)$

$| Op\ [intro]: [| (e0, s) \rightarrow (n0, s0); (e1, s0) \rightarrow (n1, s1) |]$   
 $\implies (Op\ f\ e0\ e1, s) \rightarrow (f\ n0\ n1, s1)$

$| valOf\ [intro]: [| (c, s) \rightarrow [eval] \rightarrow s0; (e, s0) \rightarrow (n, s1) |]$   
 $\implies (VALOF\ c\ RESULTIS\ e, s) \rightarrow (n, s1)$

**monos** *exec-mono*

**inductive-cases**

$[elim!]: (N(n), sigma) \dashv\vdash (n', s')$   
**and**  $[elim!]: (X(x), sigma) \dashv\vdash (n, s')$   
**and**  $[elim!]: (Op\ f\ a1\ a2, sigma) \dashv\vdash (n, s')$   
**and**  $[elim!]: (VALOF\ c\ RESULTIS\ e, s) \dashv\vdash (n, s1)$

**lemma** *var-assign-eval*  $[intro!]: (X\ x, s(x:=n)) \dashv\vdash (n, s(x:=n))$   
 $\langle proof \rangle$

Make the induction rule look nicer – though *eta-contract* makes the new version look worse than it is...

**lemma** *split-lemma*:

$\{((e,s), (n, s')). P\ e\ s\ n\ s'\} = Collect\ (split\ (\%v.\ split\ (split\ P\ v)))$   
 $\langle proof \rangle$

New induction rule. Note the form of the VALOF induction hypothesis

**lemma** *eval-induct*

$[case-names\ N\ X\ Op\ valOf, consumes\ 1, induct\ set:\ eval]:$   
 $[[\ (e,s) \dashv\vdash (n,s');$   
 $\quad !!n\ s.\ P\ (N\ n)\ s\ n\ s;$   
 $\quad !!s\ x.\ P\ (X\ x)\ s\ (s\ x)\ s;$   
 $\quad !!e0\ e1\ f\ n0\ n1\ s\ s0\ s1.$   
 $\quad [[\ (e0,s) \dashv\vdash (n0,s0); P\ e0\ s\ n0\ s0;$   
 $\quad \quad (e1,s0) \dashv\vdash (n1,s1); P\ e1\ s0\ n1\ s1$   
 $\quad ]\ ] \implies P\ (Op\ f\ e0\ e1)\ s\ (f\ n0\ n1)\ s1;$   
 $\quad !!c\ e\ n\ s\ s0\ s1.$   
 $\quad [[\ (c,s) \dashv\vdash [eval\ Int\ \{((e,s), (n,s')). P\ e\ s\ n\ s'\}] \dashv\vdash s0;$   
 $\quad \quad (c,s) \dashv\vdash [eval] \dashv\vdash s0;$   
 $\quad \quad (e,s0) \dashv\vdash (n,s1); P\ e\ s0\ n\ s1\ ]]$   
 $\quad \implies P\ (VALOF\ c\ RESULTIS\ e)\ s\ n\ s1$   
 $\quad ]\ ] \implies P\ e\ s\ n\ s'$   
 $\langle proof \rangle$

Lemma for *Function-eval*. The major premise is that  $(c,s)$  executes to  $s1$  using *eval* restricted to its functional part. Note that the execution  $(c,s) \dashv\vdash [eval] \dashv\vdash s2$  can use unrestricted *eval*! The reason is that the execution  $(c,s) \dashv\vdash [eval\ Int\ \{\dots\}] \dashv\vdash s1$  assures us that execution is functional on the argument  $(c,s)$ .

**lemma** *com-Unique*:

$(c,s) \dashv\vdash [eval\ Int\ \{((e,s), (n,t)). \forall nt'. (e,s) \dashv\vdash nt' \dashv\vdash (n,t)=nt'\}] \dashv\vdash s1$   
 $\implies \forall s2. (c,s) \dashv\vdash [eval] \dashv\vdash s2 \dashv\vdash s2=s1$   
 $\langle proof \rangle$

Expression evaluation is functional, or deterministic

**theorem** *single-valued-eval*: *single-valued eval*

$\langle proof \rangle$

**lemma** *eval-N-E* [*dest!*]:  $(N\ n, s) \dashv\!\rightarrow (v, s') \implies (v = n \ \& \ s' = s)$   
 $\langle proof \rangle$

This theorem says that "WHILE TRUE DO c" cannot terminate

**lemma** *while-true-E*:  
 $(c', s) \dashv\!\rightarrow t \implies c' = \text{WHILE } (N\ 0) \text{ DO } c \implies \text{False}$   
 $\langle proof \rangle$

### 14.3 Equivalence of IF e THEN c;;(WHILE e DO c) ELSE SKIP and WHILE e DO c

**lemma** *while-if1*:  
 $(c', s) \dashv\!\rightarrow t \implies c' = \text{WHILE } e \text{ DO } c \implies$   
 $(\text{IF } e \text{ THEN } c;;c' \text{ ELSE SKIP}, s) \dashv\!\rightarrow t$   
 $\langle proof \rangle$

**lemma** *while-if2*:  
 $(c', s) \dashv\!\rightarrow t \implies c' = \text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP} \implies$   
 $(\text{WHILE } e \text{ DO } c, s) \dashv\!\rightarrow t$   
 $\langle proof \rangle$

**theorem** *while-if*:  
 $((\text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP}, s) \dashv\!\rightarrow t) =$   
 $((\text{WHILE } e \text{ DO } c, s) \dashv\!\rightarrow t)$   
 $\langle proof \rangle$

### 14.4 Equivalence of (IF e THEN c1 ELSE c2);;c and IF e THEN (c1;;c) ELSE (c2;;c)

**lemma** *if-semi1*:  
 $(c', s) \dashv\!\rightarrow t \implies c' = (\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c \implies$   
 $(\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \dashv\!\rightarrow t$   
 $\langle proof \rangle$

**lemma** *if-semi2*:  
 $(c', s) \dashv\!\rightarrow t \implies c' = \text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c) \implies$   
 $((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \dashv\!\rightarrow t$   
 $\langle proof \rangle$

**theorem** *if-semi*:  $((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \dashv\!\rightarrow t =$   
 $((\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \dashv\!\rightarrow t)$   
 $\langle proof \rangle$

### 14.5 Equivalence of VALOF c1 RESULTIS (VALOF c2 RESULTIS e) and VALOF c1;;c2 RESULTIS e

**lemma** *valof-valof1*:

$$\begin{aligned} & (e', s) \dashv\vdash (v, s') \\ \implies & e' = \text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e) \implies \\ & (\text{VALOF } c1;;c2 \text{ RESULTIS } e, s) \dashv\vdash (v, s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *valof-valof2*:

$$\begin{aligned} & (e', s) \dashv\vdash (v, s') \\ \implies & e' = \text{VALOF } c1;;c2 \text{ RESULTIS } e \implies \\ & (\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v, s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**theorem** *valof-valof*:

$$\begin{aligned} & ((\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v, s')) = \\ & ((\text{VALOF } c1;;c2 \text{ RESULTIS } e, s) \dashv\vdash (v, s')) \\ & \langle \text{proof} \rangle \end{aligned}$$

### 14.6 Equivalence of VALOF SKIP RESULTIS e and e

**lemma** *valof-skip1*:

$$\begin{aligned} & (e', s) \dashv\vdash (v, s') \\ \implies & e' = \text{VALOF SKIP RESULTIS } e \implies \\ & (e, s) \dashv\vdash (v, s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *valof-skip2*:

$$\begin{aligned} & (e, s) \dashv\vdash (v, s') \implies (\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v, s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**theorem** *valof-skip*:

$$\begin{aligned} & ((\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v, s')) = ((e, s) \dashv\vdash (v, s')) \\ & \langle \text{proof} \rangle \end{aligned}$$

### 14.7 Equivalence of VALOF x:=e RESULTIS x and e

**lemma** *valof-assign1*:

$$\begin{aligned} & (e', s) \dashv\vdash (v, s'') \\ \implies & e' = \text{VALOF } x:=e \text{ RESULTIS } X x \implies \\ & (\exists s'. (e, s) \dashv\vdash (v, s') \ \& \ (s'' = s'(x:=v))) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *valof-assign2*:

$$\begin{aligned} & (e, s) \dashv\vdash (v, s') \implies (\text{VALOF } x:=e \text{ RESULTIS } X x, s) \dashv\vdash (v, s'(x:=v)) \\ & \langle \text{proof} \rangle \end{aligned}$$

**end**