

# Examples of Inductive and Coinductive Definitions in HOL

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## Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

## Contents

<b>1</b>	<b>Common patterns of induction</b>	<b>5</b>
1.1	Variations on statement structure . . . . .	5
1.1.1	Local facts and parameters . . . . .	5
1.1.2	Local definitions . . . . .	5
1.1.3	Simple simultaneous goals . . . . .	6
1.1.4	Compound simultaneous goals . . . . .	6
1.2	Multiple rules . . . . .	6
1.3	Inductive predicates . . . . .	8
<b>2</b>	<b>The Mutilated Chess Board Problem</b>	<b>8</b>
<b>3</b>	<b>Defining an Initial Algebra by Quotienting a Free Algebra</b>	<b>11</b>
3.1	Defining the Free Algebra . . . . .	11
3.2	Some Functions on the Free Algebra . . . . .	12
3.2.1	The Set of Nonces . . . . .	12
3.2.2	The Left Projection . . . . .	12
3.2.3	The Right Projection . . . . .	12
3.2.4	The Discriminator for Constructors . . . . .	13
3.3	The Initial Algebra: A Quotiented Message Type . . . . .	13
3.3.1	Characteristic Equations for the Abstract Constructors	14
3.4	The Abstract Function to Return the Set of Nonces . . . . .	14
3.5	The Abstract Function to Return the Left Part . . . . .	15

3.6	The Abstract Function to Return the Right Part . . . . .	15
3.7	Injectivity Properties of Some Constructors . . . . .	16
3.8	The Abstract Discriminator . . . . .	17
<b>4</b>	<b>Quotienting a Free Algebra Involving Nested Recursion</b>	<b>18</b>
4.1	Defining the Free Algebra . . . . .	18
4.2	Some Functions on the Free Algebra . . . . .	19
4.2.1	The Set of Variables . . . . .	19
4.2.2	Functions for Freeness . . . . .	19
4.3	The Initial Algebra: A Quotiented Message Type . . . . .	20
4.4	Every list of abstract expressions can be expressed in terms of a list of concrete expressions . . . . .	21
4.4.1	Characteristic Equations for the Abstract Constructors	21
4.5	The Abstract Function to Return the Set of Variables . . . . .	22
4.6	Injectivity Properties of Some Constructors . . . . .	23
4.7	Injectivity of <i>FnCall</i> . . . . .	23
4.8	The Abstract Discriminator . . . . .	24
<b>5</b>	<b>Terms over a given alphabet</b>	<b>24</b>
<b>6</b>	<b>Arithmetic and boolean expressions</b>	<b>25</b>
<b>7</b>	<b>Infinitely branching trees</b>	<b>27</b>
7.1	The Brouwer ordinals, as in ZF/Induct/Brouwer.thy. . . . .	27
7.2	A WF Ordering for The Brouwer ordinals (Michael Compton)	28
<b>8</b>	<b>Ordinals</b>	<b>29</b>
<b>9</b>	<b>Sigma algebras</b>	<b>30</b>
<b>10</b>	<b>Combinatory Logic example: the Church-Rosser Theorem</b>	<b>31</b>
10.1	Definitions . . . . .	31
10.2	Reflexive/Transitive closure preserves Church-Rosser property	32
10.3	Non-contraction results . . . . .	32
10.4	Results about Parallel Contraction . . . . .	33
10.5	Basic properties of parallel contraction . . . . .	33
<b>11</b>	<b>Meta-theory of propositional logic</b>	<b>34</b>
11.1	The datatype of propositions . . . . .	34
11.2	The proof system . . . . .	34
11.3	The semantics . . . . .	35
11.3.1	Semantics of propositional logic. . . . .	35
11.3.2	Logical consequence . . . . .	35
11.4	Proof theory of propositional logic . . . . .	35
11.4.1	Weakening, left and right . . . . .	35

11.4.2	The deduction theorem . . . . .	36
11.4.3	The cut rule . . . . .	36
11.4.4	Soundness of the rules wrt truth-table semantics . . .	36
11.5	Completeness . . . . .	36
11.5.1	Towards the completeness proof . . . . .	36
11.6	Completeness – lemmas for reducing the set of assumptions .	37
11.6.1	Completeness theorem . . . . .	37
<b>12</b>	<b>Definition of type <i>l</i>list by a greatest fixed point</b>	<b>58</b>
12.0.2	Sample function definitions. Item-based ones start with <i>L</i> . . . . .	59
12.0.3	Simplification . . . . .	60
12.1	Type checking by coinduction . . . . .	60
12.2	<i>LList-corec</i> satisfies the desired recursion equation . . . . .	61
12.2.1	The directions of the equality are proved separately .	61
12.3	<i>l</i> list equality as a <i>gfp</i> ; the bisimulation principle . . . . .	62
12.3.1	Coinduction, using <i>LListD-Fun</i> . . . . .	62
12.3.2	To show two <i>LLists</i> are equal, exhibit a bisimulation! [also admits true equality] Replace <i>A</i> by some particular set, like $\{x. True\}???$ . . . . .	63
12.4	Finality of <i>l</i> list( <i>A</i> ): Uniqueness of functions defined by corecursion . . . . .	63
12.4.1	Obsolete proof of <i>LList-corec-unique</i> : complete induction, not coinduction . . . . .	63
12.5	<i>Lconst</i> : defined directly by <i>lfp</i> . . . . .	64
12.6	Isomorphisms . . . . .	64
12.6.1	Distinctness of constructors . . . . .	64
12.6.2	<i>l</i> list constructors . . . . .	64
12.6.3	Injectiveness of <i>CONS</i> and <i>LCons</i> . . . . .	65
12.7	Reasoning about <i>l</i> list( <i>A</i> ) . . . . .	65
12.8	The functional <i>Lmap</i> . . . . .	65
12.8.1	Two easy results about <i>Lmap</i> . . . . .	66
12.9	<i>Lappend</i> – its two arguments cause some complications! . . .	66
12.9.1	Alternative type-checking proofs for <i>Lappend</i> . . . . .	66
12.10	Lazy lists as the type ' <i>a l</i> list – strongly typed versions of above	67
12.10.1	<i>l</i> list-case: case analysis for ' <i>a l</i> list . . . . .	67
12.10.2	<i>l</i> list-corec: corecursion for ' <i>a l</i> list . . . . .	67
12.11	Proofs about type ' <i>a l</i> list functions . . . . .	67
12.12	Deriving <i>l</i> list-equalityI – <i>l</i> list equality is a bisimulation . . . .	67
12.12.1	To show two <i>l</i> lists are equal, exhibit a bisimulation! [also admits true equality] . . . . .	68
12.12.2	Rules to prove the 2nd premise of <i>l</i> list-equalityI . . . . .	68
12.13	The functional <i>lmap</i> . . . . .	69
12.13.1	Two easy results about <i>lmap</i> . . . . .	69

12.14	iterates – <i>l</i> list- <i>fun</i> -equalityI cannot be used!	69
12.15	A rather complex proof about iterates – cf Andy Pitts	69
12.15.1	Two lemmas about <i>natrec</i> <i>n</i> <i>x</i> (% <i>m</i> . <i>g</i> ), which is essentially $(g^{\hat{n}})(x)$	69
12.16	<i>l</i> append – its two arguments cause some complications!	69
12.16.1	Two proofs that <i>l</i> map distributes over <i>l</i> append	70
<b>13</b>	<b>The "filter" functional for coinductive lists –defined by a combination of induction and coinduction</b>	<b>70</b>
13.1	<i>findRel</i> : basic laws	71
13.2	Properties of <i>Domain</i> ( <i>findRel</i> <i>p</i> )	71
13.3	<i>find</i> : basic equations	72
13.4	<i>lfilter</i> : basic equations	72
13.5	<i>lfilter</i> : simple facts by coinduction	73
13.6	Numerous lemmas required to prove <i>lfilter-conj</i>	73
13.7	Numerous lemmas required to prove ??: <i>lfilter</i> <i>p</i> ( <i>lmap</i> <i>f</i> <i>l</i> ) = <i>lmap</i> <i>f</i> ( <i>lfilter</i> (% <i>x</i> . <i>p</i> ( <i>f</i> <i>x</i> )) <i>l</i> )	74
<b>14</b>	<b>Mutual Induction via Iterated Inductive Definitions</b>	<b>74</b>
14.1	Commands	75
14.2	Expressions	76
14.3	Equivalence of IF <i>e</i> THEN <i>c</i> ;;(WHILE <i>e</i> DO <i>c</i> ) ELSE SKIP and WHILE <i>e</i> DO <i>c</i>	78
14.4	Equivalence of (IF <i>e</i> THEN <i>c</i> 1 ELSE <i>c</i> 2);; <i>c</i> and IF <i>e</i> THEN ( <i>c</i> 1;; <i>c</i> ) ELSE ( <i>c</i> 2;; <i>c</i> )	78
14.5	Equivalence of VALOF <i>c</i> 1 RESULTIS (VALOF <i>c</i> 2 RESULTIS <i>e</i> ) and VALOF <i>c</i> 1;; <i>c</i> 2 RESULTIS <i>e</i>	79
14.6	Equivalence of VALOF SKIP RESULTIS <i>e</i> and <i>e</i>	79
14.7	Equivalence of VALOF <i>x</i> := <i>e</i> RESULTIS <i>x</i> and <i>e</i>	79

# 1 Common patterns of induction

```
theory Common-Patterns  
imports Main  
begin
```

The subsequent Isar proof schemes illustrate common proof patterns supported by the generic *induct* method.

To demonstrate variations on statement (goal) structure we refer to the induction rule of Peano natural numbers:  $\llbracket P\ 0; \bigwedge n. P\ n \implies P\ (Suc\ n) \rrbracket \implies P\ n$ , which is the simplest case of datatype induction. We shall also see more complex (mutual) datatype inductions involving several rules. Working with inductive predicates is similar, but involves explicit facts about membership, instead of implicit syntactic typing.

## 1.1 Variations on statement structure

### 1.1.1 Local facts and parameters

Augmenting a problem by additional facts and locally fixed variables is a bread-and-butter method in many applications. This is where unwieldy object-level  $\forall$  and  $\longrightarrow$  used to occur in the past. The *induct* method works with primary means of the proof language instead.

```
lemma  
  fixes  $n :: nat$   
    and  $x :: 'a$   
  assumes  $A\ n\ x$   
  shows  $P\ n\ x$  <proof>
```

### 1.1.2 Local definitions

Here the idea is to turn sub-expressions of the problem into a defined induction variable. This is often accompanied with fixing of auxiliary parameters in the original expression, otherwise the induction step would refer invariably to particular entities. This combination essentially expresses a partially abstracted representation of inductive expressions.

```
lemma  
  fixes  $a :: 'a \Rightarrow nat$   
  assumes  $A\ (a\ x)$   
  shows  $P\ (a\ x)$  <proof>
```

Observe how the local definition  $n = a\ x$  recurs in the inductive cases as  $0 = a\ x$  and  $Suc\ n = a\ x$ , according to underlying induction rule.

### 1.1.3 Simple simultaneous goals

The most basic simultaneous induction operates on several goals one-by-one, where each case refers to induction hypotheses that are duplicated according to the number of conclusions.

```
lemma  
  fixes  $n :: nat$   
  shows  $P\ n$  and  $Q\ n$   
(proof)
```

The split into subcases may be deferred as follows – this is particularly relevant for goal statements with local premises.

```
lemma  
  fixes  $n :: nat$   
  shows  $A\ n \implies P\ n$   
  and  $B\ n \implies Q\ n$   
(proof)
```

### 1.1.4 Compound simultaneous goals

The following pattern illustrates the slightly more complex situation of simultaneous goals with individual local assumptions. In compound simultaneous statements like this, local assumptions need to be included into each goal, using  $\implies$  of the Pure framework. In contrast, local parameters do not require separate  $\wedge$  prefixes here, but may be moved into the common context of the whole statement.

```
lemma  
  fixes  $n :: nat$   
  and  $x :: 'a$   
  and  $y :: 'b$   
  shows  $A\ n\ x \implies P\ n\ x$   
  and  $B\ n\ y \implies Q\ n\ y$   
(proof)
```

Here *induct* provides again nested cases with numbered sub-cases, which allows to share common parts of the body context. In typical applications, there could be a long intermediate proof of general consequences of the induction hypotheses, before finishing each conclusion separately.

## 1.2 Multiple rules

Multiple induction rules emerge from mutual definitions of datatypes, inductive predicates, functions etc. The *induct* method accepts replicated arguments (with *and* separator), corresponding to each projection of the induction principle.

The goal statement essentially follows the same arrangement, although it might be subdivided into simultaneous sub-problems as before!

```
datatype foo = Foo1 nat | Foo2 bar
and bar = Bar1 bool | Bar2 bazar
and bazar = Bazar foo
```

The pack of induction rules for this datatype is:

```
[[ $\wedge nat. P1 (Foo1\ nat); \wedge bar. P2\ bar \implies P1 (Foo2\ bar); \wedge bool. P2 (Bar1\ bool);$ 
 $\wedge bazar. P3\ bazar \implies P2 (Bar2\ bazar); \wedge foo. P1\ foo \implies P3 (Bazar\ foo)$ ]]
 $\implies P1\ foo$ 
[[ $\wedge nat. P1 (Foo1\ nat); \wedge bar. P2\ bar \implies P1 (Foo2\ bar); \wedge bool. P2 (Bar1\ bool);$ 
 $\wedge bazar. P3\ bazar \implies P2 (Bar2\ bazar); \wedge foo. P1\ foo \implies P3 (Bazar\ foo)$ ]]
 $\implies P2\ bar$ 
[[ $\wedge nat. P1 (Foo1\ nat); \wedge bar. P2\ bar \implies P1 (Foo2\ bar); \wedge bool. P2 (Bar1\ bool);$ 
 $\wedge bazar. P3\ bazar \implies P2 (Bar2\ bazar); \wedge foo. P1\ foo \implies P3 (Bazar\ foo)$ ]]
 $\implies P3\ bazar$ 
```

This corresponds to the following basic proof pattern:

```
lemma
fixes foo :: foo
and bar :: bar
and bazar :: bazar
shows P foo
and Q bar
and R bazar
<proof>
```

This can be combined with the previous techniques for compound statements, e.g. like this.

```
lemma
fixes x :: 'a and y :: 'b and z :: 'c
and foo :: foo
and bar :: bar
and bazar :: bazar
shows
  A x foo  $\implies$  P x foo
and
  B1 y bar  $\implies$  Q1 y bar
  B2 y bar  $\implies$  Q2 y bar
and
  C1 z bazar  $\implies$  R1 z bazar
  C2 z bazar  $\implies$  R2 z bazar
  C3 z bazar  $\implies$  R3 z bazar
<proof>
```

### 1.3 Inductive predicates

The most basic form of induction involving predicates (or sets) essentially eliminates a given membership fact.

```
inductive Even :: nat => bool where  
  zero: Even 0  
| double: Even n ==> Even (2 * n)
```

**lemma**

```
  assumes Even n  
  shows P n  
  <proof>
```

Alternatively, an initial rule statement may be proven as follows, performing “in-situ” elimination with explicit rule specification.

```
lemma Even n ==> P n  
  <proof>
```

Simultaneous goals do not introduce anything new.

**lemma**

```
  assumes Even n  
  shows P1 n and P2 n  
  <proof>
```

Working with mutual rules requires special care in composing the statement as a two-level conjunction, using lists of propositions separated by *and*. For example:

```
inductive Evn :: nat => bool and Odd :: nat => bool  
where  
  zero: Evn 0  
| succ-Evn: Evn n ==> Odd (Suc n)  
| succ-Odd: Odd n ==> Evn (Suc n)
```

**lemma**

```
  Evn n ==> P1 n  
  Evn n ==> P2 n  
  Evn n ==> P3 n  
  and  
  Odd n ==> Q1 n  
  Odd n ==> Q2 n  
  <proof>
```

**end**

## 2 The Mutilated Chess Board Problem

```
theory Mutil imports Main begin
```

The Mutilated Chess Board Problem, formalized inductively.

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

**inductive-set**

*tiling* :: 'a set set => 'a set set  
**for** *A* :: 'a set set  
**where**  
*empty* [*simp*, *intro*]: {} ∈ *tiling A*  
| *Un* [*simp*, *intro*]: [| *a* ∈ *A*; *t* ∈ *tiling A*; *a* ∩ *t* = {} |]  
==> *a* ∪ *t* ∈ *tiling A*

**inductive-set**

*domino* :: (nat × nat) set set  
**where**  
*horiz* [*simp*]: {(*i*, *j*), (*i*, *Suc j*)} ∈ *domino*  
| *vertl* [*simp*]: {(*i*, *j*), (*Suc i*, *j*)} ∈ *domino*

Sets of squares of the given colour

**definition**

*coloured* :: nat => (nat × nat) set **where**  
*coloured b* = {(*i*, *j*). (*i* + *j*) mod 2 = *b*}

**abbreviation**

*whites* :: (nat × nat) set **where**  
*whites* == *coloured 0*

**abbreviation**

*blacks* :: (nat × nat) set **where**  
*blacks* == *coloured (Suc 0)*

The union of two disjoint tilings is a tiling

**lemma** *tiling-UnI* [*intro*]:

[| *t* ∈ *tiling A*; *u* ∈ *tiling A*; *t* ∩ *u* = {} |] ==> *t* ∪ *u* ∈ *tiling A*  
⟨*proof*⟩

Chess boards

**lemma** *Sigma-Suc1* [*simp*]:

*lessThan (Suc n) × B* = ({*n*} × *B*) ∪ ((*lessThan n*) × *B*)  
⟨*proof*⟩

**lemma** *Sigma-Suc2* [*simp*]:

*A × lessThan (Suc n)* = (*A × {n}*) ∪ (*A × lessThan n*)  
⟨*proof*⟩

**lemma** *sing-Times-lemma*: ({*i*} × {*n*}) ∪ ({*i*} × {*m*}) = {(*i*, *m*), (*i*, *n*)}

⟨*proof*⟩

**lemma** *dominoes-tile-row* [intro!]:  $\{i\} \times \text{lessThan } (2 * n) \in \text{tiling domino}$   
 ⟨proof⟩

**lemma** *dominoes-tile-matrix*:  $(\text{lessThan } m) \times \text{lessThan } (2 * n) \in \text{tiling domino}$   
 ⟨proof⟩

*coloured* and Dominoes

**lemma** *coloured-insert* [simp]:  
 $\text{coloured } b \cap (\text{insert } (i, j) t) =$   
 $(\text{if } (i + j) \bmod 2 = b \text{ then } \text{insert } (i, j) (\text{coloured } b \cap t)$   
 $\text{else } \text{coloured } b \cap t)$   
 ⟨proof⟩

**lemma** *domino-singletons*:  
 $d \in \text{domino} \implies$   
 $(\exists i j. \text{whites} \cap d = \{(i, j)\}) \wedge$   
 $(\exists m n. \text{blacks} \cap d = \{(m, n)\})$   
 ⟨proof⟩

**lemma** *domino-finite* [simp]:  $d \in \text{domino} \implies \text{finite } d$   
 ⟨proof⟩

Tilings of dominoes

**lemma** *tiling-domino-finite* [simp]:  $t \in \text{tiling domino} \implies \text{finite } t$   
 ⟨proof⟩

**declare**

*Int-Un-distrib* [simp]  
*Diff-Int-distrib* [simp]

**lemma** *tiling-domino-0-1*:  
 $t \in \text{tiling domino} \implies \text{card}(\text{whites} \cap t) = \text{card}(\text{blacks} \cap t)$   
 ⟨proof⟩

Final argument is surprisingly complex

**theorem** *gen-mutil-not-tiling*:  
 $t \in \text{tiling domino} \implies$   
 $(i + j) \bmod 2 = 0 \implies (m + n) \bmod 2 = 0 \implies$   
 $\{(i, j), (m, n)\} \subseteq t$   
 $\implies (t - \{(i, j)\} - \{(m, n)\}) \notin \text{tiling domino}$   
 ⟨proof⟩

Apply the general theorem to the well-known case

**theorem** *mutil-not-tiling*:  
 $t = \text{lessThan } (2 * \text{Suc } m) \times \text{lessThan } (2 * \text{Suc } n)$   
 $\implies t - \{(0, 0)\} - \{(\text{Suc } (2 * m), \text{Suc } (2 * n))\} \notin \text{tiling domino}$

*<proof>*

**end**

### 3 Defining an Initial Algebra by Quotienting a Free Algebra

**theory** *QuoDataType* **imports** *Main* **begin**

#### 3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

**datatype**

*freemsg* = *NONCE* *nat*  
| *MPAIR* *freemsg freemsg*  
| *CRYPT* *nat freemsg*  
| *DECRYPT* *nat freemsg*

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

**inductive-set**

*msgrel* :: (*freemsg* \* *freemsg*) *set*  
**and** *msg-rel* :: [*freemsg*, *freemsg*] => *bool* (**infixl** ~ 50)

**where**

$X \sim Y == (X, Y) \in \text{msgrel}$   
| *CD*:  $\text{CRYPT } K (\text{DECRYPT } K X) \sim X$   
| *DC*:  $\text{DECRYPT } K (\text{CRYPT } K X) \sim X$   
| *NONCE*:  $\text{NONCE } N \sim \text{NONCE } N$   
| *MPAIR*:  $\llbracket X \sim X'; Y \sim Y' \rrbracket \implies \text{MPAIR } X Y \sim \text{MPAIR } X' Y'$   
| *CRYPT*:  $X \sim X' \implies \text{CRYPT } K X \sim \text{CRYPT } K X'$   
| *DECRYPT*:  $X \sim X' \implies \text{DECRYPT } K X \sim \text{DECRYPT } K X'$   
| *SYM*:  $X \sim Y \implies Y \sim X$   
| *TRANS*:  $\llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$

Proving that it is an equivalence relation

**lemma** *msgrel-refl*:  $X \sim X$

*<proof>*

**theorem** *equiv-msgrel*: *equiv UNIV msgrel*

*<proof>*

## 3.2 Some Functions on the Free Algebra

### 3.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts**

*freenonces* :: *freemsg*  $\Rightarrow$  *nat set*

**primrec**

*freenonces* (*NONCE* *N*) = {*N*}

*freenonces* (*MPAIR* *X* *Y*) = *freenonces* *X*  $\cup$  *freenonces* *Y*

*freenonces* (*CRYPT* *K* *X*) = *freenonces* *X*

*freenonces* (*DECRYPT* *K* *X*) = *freenonces* *X*

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

**theorem** *msgrel-imp-eq-freenonces*:  $U \sim V \implies \text{freenonces } U = \text{freenonces } V$   
(*proof*)

### 3.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts** *freeleft* :: *freemsg*  $\Rightarrow$  *freemsg*

**primrec**

*freeleft* (*NONCE* *N*) = *NONCE* *N*

*freeleft* (*MPAIR* *X* *Y*) = *X*

*freeleft* (*CRYPT* *K* *X*) = *freeleft* *X*

*freeleft* (*DECRYPT* *K* *X*) = *freeleft* *X*

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

**theorem** *msgrel-imp-eq-freeleft*:  
 $U \sim V \implies \text{freeleft } U \sim \text{freeleft } V$   
(*proof*)

### 3.2.3 The Right Projection

A function to return the right part of the top pair in a message.

**consts** *freeright* :: *freemsg*  $\Rightarrow$  *freemsg*

**primrec**

*freeright* (*NONCE* *N*) = *NONCE* *N*

*freeright* (*MPAIR* *X* *Y*) = *Y*

*freeright* (*CRYPT* *K* *X*) = *freeright* *X*

$$\text{freeright } (\text{DECRYPT } K \ X) = \text{freeright } X$$

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

**theorem** *msgrel-imp-eq-freeright*:

$$U \sim V \implies \text{freeright } U \sim \text{freeright } V$$

*<proof>*

### 3.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

**consts** *freediscrim* :: *freemsg*  $\Rightarrow$  *int*

**primrec**

$$\begin{aligned} \text{freediscrim } (\text{NONCE } N) &= 0 \\ \text{freediscrim } (\text{MPAIR } X \ Y) &= 1 \\ \text{freediscrim } (\text{CRYPT } K \ X) &= \text{freediscrim } X + 2 \\ \text{freediscrim } (\text{DECRYPT } K \ X) &= \text{freediscrim } X - 2 \end{aligned}$$

This theorem helps us prove  $\text{Nonce } N \neq \text{MPair } X \ Y$

**theorem** *msgrel-imp-eq-freediscrim*:

$$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$$

*<proof>*

### 3.3 The Initial Algebra: A Quotiented Message Type

**typedef** (*Msg*) *msg* = *UNIV* // *msgrel*

*<proof>*

The abstract message constructors

**definition**

$$\begin{aligned} \text{Nonce} &:: \text{nat} \Rightarrow \text{msg} \textbf{ where} \\ \text{Nonce } N &= \text{Abs-Msg}(\text{msgrel}^{\{\text{NONCE } N\}}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{MPair} &:: [\text{msg}, \text{msg}] \Rightarrow \text{msg} \textbf{ where} \\ \text{MPair } X \ Y &= \\ &\text{Abs-Msg} (\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel}^{\{\text{MPAIR } U \ V\}}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{Crypt} &:: [\text{nat}, \text{msg}] \Rightarrow \text{msg} \textbf{ where} \\ \text{Crypt } K \ X &= \\ &\text{Abs-Msg} (\bigcup U \in \text{Rep-Msg } X. \text{msgrel}^{\{\text{CRYPT } K \ U\}}) \end{aligned}$$

**definition**

$$\begin{aligned} \text{Decrypt} &:: [\text{nat}, \text{msg}] \Rightarrow \text{msg} \textbf{ where} \\ \text{Decrypt } K \ X &= \\ &\text{Abs-Msg} (\bigcup U \in \text{Rep-Msg } X. \text{msgrel}^{\{\text{DECRYPT } K \ U\}}) \end{aligned}$$

Reduces equality of equivalence classes to the *msgrel* relation: (*msgrel* “  
 $\{x\} = \text{msgrel} \text{ “ } \{y\} = (x \sim y)$

**lemmas** *equiv-msgrel-iff* = *eq-equiv-class-iff* [*OF equiv-msgrel UNIV-I UNIV-I*]

**declare** *equiv-msgrel-iff* [*simp*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]: *msgrel*“ $\{U\} \in \text{Msg}$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-Abs-Msg*: *inj-on Abs-Msg Msg*  
 $\langle \text{proof} \rangle$

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Msg* [*THEN inj-on-iff, simp*]

**declare** *Abs-Msg-inverse* [*simp*]

### 3.3.1 Characteristic Equations for the Abstract Constructors

**lemma** *MPair*: *MPair (Abs-Msg(msgrel“ $\{U\}$ )) (Abs-Msg(msgrel“ $\{V\}$ )) =*  
 $\text{Abs-Msg (msgrel“\{MPAIR } U V\})$   
 $\langle \text{proof} \rangle$

**lemma** *Crypt*: *Crypt K (Abs-Msg(msgrel“ $\{U\}$ )) = Abs-Msg (msgrel“ $\{\text{CRYPT } K$*   
 $U\}$ )  
 $\langle \text{proof} \rangle$

**lemma** *Decrypt*:  
 $\text{Decrypt } K (\text{Abs-Msg}(\text{msgrel} \text{ “ } \{U\})) = \text{Abs-Msg} (\text{msgrel} \text{ “ } \{\text{DECRYPT } K U\})$   
 $\langle \text{proof} \rangle$

Case analysis on the representation of a msg as an equivalence class.

**lemma** *eq-Abs-Msg* [*case-names Abs-Msg, cases type: msg*]:  
 $(!!U. z = \text{Abs-Msg}(\text{msgrel} \text{ “ } \{U\}) \implies P) \implies P$   
 $\langle \text{proof} \rangle$

Establishing these two equations is the point of the whole exercise

**theorem** *CD-eq* [*simp*]: *Crypt K (Decrypt K X) = X*  
 $\langle \text{proof} \rangle$

**theorem** *DC-eq* [*simp*]: *Decrypt K (Crypt K X) = X*  
 $\langle \text{proof} \rangle$

### 3.4 The Abstract Function to Return the Set of Nonces

**definition**

*nonces* :: *msg*  $\Rightarrow$  *nat set* **where**

$nonces\ X = (\bigcup U \in Rep\text{-}Msg\ X. freenonces\ U)$

**lemma** *nonces-congruent: freenonces respects msgrel*  
*<proof>*

Now prove the four equations for *nonces*

**lemma** *nonces-Nonce [simp]: nonces (Nonce N) = {N}*  
*<proof>*

**lemma** *nonces-MPair [simp]: nonces (MPair X Y) = nonces X  $\cup$  nonces Y*  
*<proof>*

**lemma** *nonces-Crypt [simp]: nonces (Crypt K X) = nonces X*  
*<proof>*

**lemma** *nonces-Decrypt [simp]: nonces (Decrypt K X) = nonces X*  
*<proof>*

### 3.5 The Abstract Function to Return the Left Part

**definition**

*left* :: *msg*  $\Rightarrow$  *msg* **where**  
 $left\ X = Abs\text{-}Msg\ (\bigcup U \in Rep\text{-}Msg\ X. msgrel\ \{\text{freeleft}\ U\})$

**lemma** *left-congruent: ( $\lambda U. msgrel\ \{\text{freeleft}\ U\})$  respects msgrel*  
*<proof>*

Now prove the four equations for *left*

**lemma** *left-Nonce [simp]: left (Nonce N) = Nonce N*  
*<proof>*

**lemma** *left-MPair [simp]: left (MPair X Y) = X*  
*<proof>*

**lemma** *left-Crypt [simp]: left (Crypt K X) = left X*  
*<proof>*

**lemma** *left-Decrypt [simp]: left (Decrypt K X) = left X*  
*<proof>*

### 3.6 The Abstract Function to Return the Right Part

**definition**

*right* :: *msg*  $\Rightarrow$  *msg* **where**  
 $right\ X = Abs\text{-}Msg\ (\bigcup U \in Rep\text{-}Msg\ X. msgrel\ \{\text{freeright}\ U\})$

**lemma** *right-congruent: ( $\lambda U. msgrel\ \{\text{freeright}\ U\})$  respects msgrel*  
*<proof>*

Now prove the four equations for *right*

**lemma** *right-Nonce* [simp]:  $\text{right} (\text{Nonce } N) = \text{Nonce } N$   
(proof)

**lemma** *right-MPair* [simp]:  $\text{right} (\text{MPair } X \ Y) = Y$   
(proof)

**lemma** *right-Crypt* [simp]:  $\text{right} (\text{Crypt } K \ X) = \text{right } X$   
(proof)

**lemma** *right-Decrypt* [simp]:  $\text{right} (\text{Decrypt } K \ X) = \text{right } X$   
(proof)

### 3.7 Injectivity Properties of Some Constructors

**lemma** *NONCE-imp-eq*:  $\text{NONCE } m \sim \text{NONCE } n \implies m = n$   
(proof)

Can also be proved using the function *nonces*

**lemma** *Nonce-Nonce-eq* [iff]:  $(\text{Nonce } m = \text{Nonce } n) = (m = n)$   
(proof)

**lemma** *MPAIR-imp-eqv-left*:  $\text{MPAIR } X \ Y \sim \text{MPAIR } X' \ Y' \implies X \sim X'$   
(proof)

**lemma** *MPair-imp-eq-left*:  
assumes *eq*:  $\text{MPair } X \ Y = \text{MPair } X' \ Y'$  shows  $X = X'$   
(proof)

**lemma** *MPAIR-imp-eqv-right*:  $\text{MPAIR } X \ Y \sim \text{MPAIR } X' \ Y' \implies Y \sim Y'$   
(proof)

**lemma** *MPair-imp-eq-right*:  $\text{MPair } X \ Y = \text{MPair } X' \ Y' \implies Y = Y'$   
(proof)

**theorem** *MPair-MPair-eq* [iff]:  $(\text{MPair } X \ Y = \text{MPair } X' \ Y') = (X = X' \ \& \ Y = Y')$   
(proof)

**lemma** *NONCE-nejv-MPAIR*:  $\text{NONCE } m \sim \text{MPAIR } X \ Y \implies \text{False}$   
(proof)

**theorem** *Nonce-nejv-MPair* [iff]:  $\text{Nonce } N \neq \text{MPair } X \ Y$   
(proof)

Example suggested by a referee

**theorem** *Crypt-Nonce-nejv-Nonce*:  $\text{Crypt } K (\text{Nonce } M) \neq \text{Nonce } N$   
(proof)

...and many similar results

**theorem** *Crypt2-Nonce-neq-Nonce*:  $\text{Crypt } K (\text{Crypt } K' (\text{Nonce } M)) \neq \text{Nonce } N$   
 ⟨proof⟩

**theorem** *Crypt-Crypt-eq [iff]*:  $(\text{Crypt } K X = \text{Crypt } K X') = (X=X')$   
 ⟨proof⟩

**theorem** *Decrypt-Decrypt-eq [iff]*:  $(\text{Decrypt } K X = \text{Decrypt } K X') = (X=X')$   
 ⟨proof⟩

**lemma** *msg-induct* [case-names *Nonce MPair Crypt Decrypt*, cases type: *msg*]:  
 assumes  $N: \bigwedge N. P (\text{Nonce } N)$   
 and  $M: \bigwedge X Y. \llbracket P X; P Y \rrbracket \implies P (\text{MPair } X Y)$   
 and  $C: \bigwedge K X. P X \implies P (\text{Crypt } K X)$   
 and  $D: \bigwedge K X. P X \implies P (\text{Decrypt } K X)$   
 shows  $P \text{ msg}$   
 ⟨proof⟩

### 3.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don't need this function in order to prove discrimination theorems.

**definition**

$\text{discrim} :: \text{msg} \Rightarrow \text{int}$  **where**  
 $\text{discrim } X = \text{contents } (\bigcup U \in \text{Rep-Msg } X. \{\text{freediscrim } U\})$

**lemma** *discrim-congruent*:  $(\lambda U. \{\text{freediscrim } U\})$  respects *msgrel*  
 ⟨proof⟩

Now prove the four equations for *discrim*

**lemma** *discrim-Nonce [simp]*:  $\text{discrim } (\text{Nonce } N) = 0$   
 ⟨proof⟩

**lemma** *discrim-MPair [simp]*:  $\text{discrim } (\text{MPair } X Y) = 1$   
 ⟨proof⟩

**lemma** *discrim-Crypt [simp]*:  $\text{discrim } (\text{Crypt } K X) = \text{discrim } X + 2$   
 ⟨proof⟩

**lemma** *discrim-Decrypt [simp]*:  $\text{discrim } (\text{Decrypt } K X) = \text{discrim } X - 2$   
 ⟨proof⟩

**end**

## 4 Quotienting a Free Algebra Involving Nested Recursion

**theory** *QuoNestedDataType* **imports** *Main* **begin**

### 4.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```
datatype
  freeExp = VAR nat
          | PLUS freeExp freeExp
          | FNCALL nat freeExp list
```

The equivalence relation, which makes PLUS associative.

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

```
inductive-set
  exprel :: (freeExp * freeExp) set
and exp-rel :: [freeExp, freeExp] => bool (infixl ~ 50)
where
  X ~ Y == (X, Y) ∈ exprel
| ASSOC: PLUS X (PLUS Y Z) ~ PLUS (PLUS X Y) Z
| VAR: VAR N ~ VAR N
| PLUS:  $\llbracket X \sim X'; Y \sim Y' \rrbracket \implies PLUS X Y \sim PLUS X' Y'$ 
| FNCALL:  $(Xs, Xs') \in listrel\ exprel \implies FNCALL F Xs \sim FNCALL F Xs'$ 
| SYM:  $X \sim Y \implies Y \sim X$ 
| TRANS:  $\llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$ 
monos listrel-mono
```

Proving that it is an equivalence relation

```
lemma exprel-refl: X ~ X
and list-exprel-refl: (Xs, Xs) ∈ listrel(exprel)
<proof>
```

```
theorem equiv-exprel: equiv UNIV exprel
<proof>
```

```
theorem equiv-list-exprel: equiv UNIV (listrel exprel)
<proof>
```

```
lemma FNCALL-Nil: FNCALL F [] ~ FNCALL F []
<proof>
```

```
lemma FNCALL-Cons:
 $\llbracket X \sim X'; (Xs, Xs') \in listrel(exprel) \rrbracket$ 
```

$\implies \text{FNCALL } F (X \# Xs) \sim \text{FNCALL } F (X' \# Xs')$   
 ⟨proof⟩

## 4.2 Some Functions on the Free Algebra

### 4.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

**consts**

$\text{freevars} \quad :: \text{freeExp} \Rightarrow \text{nat set}$   
 $\text{freevars-list} :: \text{freeExp list} \Rightarrow \text{nat set}$

**primrec**

$\text{freevars } (\text{VAR } N) = \{N\}$   
 $\text{freevars } (\text{PLUS } X Y) = \text{freevars } X \cup \text{freevars } Y$   
 $\text{freevars } (\text{FNCALL } F Xs) = \text{freevars-list } Xs$

$\text{freevars-list } [] = \{\}$   
 $\text{freevars-list } (X \# Xs) = \text{freevars } X \cup \text{freevars-list } Xs$

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

**theorem** *exprel-imp-eq-freevars*:  $U \sim V \implies \text{freevars } U = \text{freevars } V$   
 ⟨proof⟩

### 4.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

**consts** *freediscrim* ::  $\text{freeExp} \Rightarrow \text{int}$

**primrec**

$\text{freediscrim } (\text{VAR } N) = 0$   
 $\text{freediscrim } (\text{PLUS } X Y) = 1$   
 $\text{freediscrim } (\text{FNCALL } F Xs) = 2$

**theorem** *exprel-imp-eq-freediscrim*:

$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$   
 ⟨proof⟩

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

**consts** *freefun* ::  $\text{freeExp} \Rightarrow \text{nat}$

**primrec**

$freefun (VAR N) = 0$   
 $freefun (PLUS X Y) = 0$   
 $freefun (FNCALL F Xs) = F$

**theorem** *exprel-imp-eq-freefun*:  
 $U \sim V \implies freefun U = freefun V$   
 <proof>

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

**consts** *freeargs* :: *freeExp*  $\Rightarrow$  *freeExp list*  
**primrec**  
 $freeargs (VAR N) = []$   
 $freeargs (PLUS X Y) = []$   
 $freeargs (FNCALL F Xs) = Xs$

**theorem** *exprel-imp-eqv-freeargs*:  
 $U \sim V \implies (freeargs U, freeargs V) \in listrel\ exprel$   
 <proof>

### 4.3 The Initial Algebra: A Quotiented Message Type

**typedef** (*Exp*) *exp* = *UNIV* // *exprel*  
 <proof>

The abstract message constructors

**definition**  
 $Var :: nat \Rightarrow exp$  **where**  
 $Var N = Abs-Exp(exprel\ \{VAR\ N\})$

**definition**  
 $Plus :: [exp, exp] \Rightarrow exp$  **where**  
 $Plus X Y =$   
 $Abs-Exp (\bigcup U \in Rep-Exp X. \bigcup V \in Rep-Exp Y. exprel\ \{PLUS\ U\ V\})$

**definition**  
 $FnCall :: [nat, exp list] \Rightarrow exp$  **where**  
 $FnCall F Xs =$   
 $Abs-Exp (\bigcup Us \in listset (map\ Rep-Exp\ Xs). exprel\ \{FNCALL\ F\ Us\})$

Reduces equality of equivalence classes to the *exprel* relation:  $(exprel\ \{x\} = exprel\ \{y\}) = (x \sim y)$

**lemmas** *equiv-exprel-iff* = *eq-equiv-class-iff* [OF *equiv-exprel UNIV-I UNIV-I*]

**declare** *equiv-exprel-iff* [simp]

All equivalence classes belong to set of representatives

**lemma** [simp]:  $exprel\ \{U\} \in Exp$

*<proof>*

**lemma** *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*  
*<proof>*

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Exp* [*THEN inj-on-iff, simp*]

**declare** *Abs-Exp-inverse* [*simp*]

Case analysis on the representation of a exp as an equivalence class.

**lemma** *eq-Abs-Exp* [*case-names Abs-Exp, cases type: exp*]:  
( $!!U. z = \text{Abs-Exp}(\text{exprel}\{U\}) \implies P$ )  $\implies P$   
*<proof>*

#### 4.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

**definition**

*Abs-ExpList* :: *freeExp list*  $\implies$  *exp list* **where**  
*Abs-ExpList* *Xs* = *map* ( $\%U. \text{Abs-Exp}(\text{exprel}\{U\})$ ) *Xs*

**lemma** *Abs-ExpList-Nil* [*simp*]: *Abs-ExpList* [] == []  
*<proof>*

**lemma** *Abs-ExpList-Cons* [*simp*]:  
*Abs-ExpList* (*X* # *Xs*) == *Abs-Exp* (*exprel*{*X*}) # *Abs-ExpList* *Xs*  
*<proof>*

**lemma** *ExpList-rep*:  $\exists Us. z = \text{Abs-ExpList } Us$   
*<proof>*

**lemma** *eq-Abs-ExpList* [*case-names Abs-ExpList*]:  
( $!!Us. z = \text{Abs-ExpList } Us \implies P$ )  $\implies P$   
*<proof>*

##### 4.4.1 Characteristic Equations for the Abstract Constructors

**lemma** *Plus*: *Plus* (*Abs-Exp*(*exprel*{*U*})) (*Abs-Exp*(*exprel*{*V*})) =  
*Abs-Exp* (*exprel*{*PLUS U V*})  
*<proof>*

It is not clear what to do with *FnCall*: its argument is an abstraction of an *exp list*. Is it just *Nil* or *Cons*? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There's no obvious way even to state the analogous result, *FnCall-Cons*.

**lemma** *FnCall-Nil*: *FnCall* *F* [] = *Abs-Exp* (*exprel*{*FNCALL F* []})

*<proof>*

**lemma** *FnCall-respects*:

$(\lambda Us. \text{exprel} \text{ `` } \{FNCALL F Us\}) \text{ respects } (\text{listrel } \text{exprel})$

*<proof>*

**lemma** *FnCall-sing*:

$FnCall F [Abs-Exp(\text{exprel} \text{ `` } \{U\})] = Abs-Exp (\text{exprel} \text{ `` } \{FNCALL F [U]\})$

*<proof>*

**lemma** *listset-Rep-Exp-Abs-Exp*:

$\text{listset } (\text{map } \text{Rep-Exp } (Abs-ExpList Us)) = \text{listrel } \text{exprel} \text{ `` } \{Us\}$

*<proof>*

**lemma** *FnCall*:

$FnCall F (Abs-ExpList Us) = Abs-Exp (\text{exprel} \text{ `` } \{FNCALL F Us\})$

*<proof>*

Establishing this equation is the point of the whole exercise

**theorem** *Plus-assoc*:  $\text{Plus } X (\text{Plus } Y Z) = \text{Plus } (\text{Plus } X Y) Z$

*<proof>*

## 4.5 The Abstract Function to Return the Set of Variables

**definition**

$\text{vars} :: \text{exp} \Rightarrow \text{nat set}$  **where**

$\text{vars } X = (\bigcup U \in \text{Rep-Exp } X. \text{freevars } U)$

**lemma** *vars-respects*:  $\text{freevars}$  respects  $\text{exprel}$

*<proof>*

The extension of the function  $\text{vars}$  to lists

**consts**  $\text{vars-list} :: \text{exp list} \Rightarrow \text{nat set}$

**primrec**

$\text{vars-list } [] = \{\}$

$\text{vars-list}(E \# Es) = \text{vars } E \cup \text{vars-list } Es$

Now prove the three equations for  $\text{vars}$

**lemma** *vars-Variable* [*simp*]:  $\text{vars } (\text{Var } N) = \{N\}$

*<proof>*

**lemma** *vars-Plus* [*simp*]:  $\text{vars } (\text{Plus } X Y) = \text{vars } X \cup \text{vars } Y$

*<proof>*

**lemma** *vars-FnCall* [*simp*]:  $\text{vars } (\text{FnCall } F Xs) = \text{vars-list } Xs$

*<proof>*

**lemma** *vars-FnCall-Nil*:  $\text{vars } (\text{FnCall } F Nil) = \{\}$

*<proof>*

**lemma** *vars-FnCall-Cons*:  $\text{vars } (FnCall\ F\ (X\ \#Xs)) = \text{vars } X \cup \text{vars-list } Xs$   
 ⟨proof⟩

## 4.6 Injectivity Properties of Some Constructors

**lemma** *VAR-imp-eq*:  $VAR\ m \sim VAR\ n \implies m = n$   
 ⟨proof⟩

Can also be proved using the function *vars*

**lemma** *Var-Var-eq* [iff]:  $(Var\ m = Var\ n) = (m = n)$   
 ⟨proof⟩

**lemma** *VAR-neqv-PLUS*:  $VAR\ m \sim PLUS\ X\ Y \implies False$   
 ⟨proof⟩

**theorem** *Var-neqv-Plus* [iff]:  $Var\ N \neq Plus\ X\ Y$   
 ⟨proof⟩

**theorem** *Var-neqv-FnCall* [iff]:  $Var\ N \neq FnCall\ F\ Xs$   
 ⟨proof⟩

## 4.7 Injectivity of *FnCall*

### definition

*fun* ::  $exp \Rightarrow nat$  **where**  
*fun*  $X = \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{freefun } U\})$

**lemma** *fun-respects*:  $(\%U. \{\text{freefun } U\})$  respects *exprel*  
 ⟨proof⟩

**lemma** *fun-FnCall* [simp]:  $\text{fun } (FnCall\ F\ Xs) = F$   
 ⟨proof⟩

### definition

*args* ::  $exp \Rightarrow exp\ list$  **where**  
*args*  $X = \text{contents } (\bigcup U \in \text{Rep-Exp } X. \{\text{Abs-ExpList } (\text{freeargs } U)\})$

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

**lemma** *Abs-ExpList-eq*:  
 $(y, z) \in \text{listrel } \text{exprel} \implies \text{Abs-ExpList } (y) = \text{Abs-ExpList } (z)$   
 ⟨proof⟩

**lemma** *args-respects*:  $(\%U. \{\text{Abs-ExpList } (\text{freeargs } U)\})$  respects *exprel*  
 ⟨proof⟩

**lemma** *args-FnCall* [simp]:  $\text{args } (FnCall\ F\ Xs) = Xs$   
 ⟨proof⟩

**lemma** *FnCall-FnCall-eq* [iff]:  
 $(FnCall\ F\ Xs = FnCall\ F'\ Xs') = (F=F' \ \&\ Xs=Xs')$   
 <proof>

## 4.8 The Abstract Discriminator

However, as *FnCall-Var-neq-Var* illustrates, we don't need this function in order to prove discrimination theorems.

**definition**

*discrim* :: *exp*  $\Rightarrow$  *int* **where**  
*discrim* *X* = *contents* ( $\bigcup U \in Rep\text{-Exp}\ X. \{freediscri\ m\ U\}$ )

**lemma** *discrim-respects*:  $(\lambda U. \{freediscri\ m\ U\})$  respects *exprel*  
 <proof>

Now prove the four equations for *discrim*

**lemma** *discrim-Var* [simp]: *discrim* (*Var* *N*) = 0  
 <proof>

**lemma** *discrim-Plus* [simp]: *discrim* (*Plus* *X* *Y*) = 1  
 <proof>

**lemma** *discrim-FnCall* [simp]: *discrim* (*FnCall* *F* *Xs*) = 2  
 <proof>

The structural induction rule for the abstract type

**theorem** *exp-inducts*:

**assumes** *V*:  $\bigwedge nat. P1\ (Var\ nat)$   
**and** *P*:  $\bigwedge exp1\ exp2. \llbracket P1\ exp1; P1\ exp2 \rrbracket \Longrightarrow P1\ (Plus\ exp1\ exp2)$   
**and** *F*:  $\bigwedge nat\ list. P2\ list \Longrightarrow P1\ (FnCall\ nat\ list)$   
**and** *Nil*:  $P2\ []$   
**and** *Cons*:  $\bigwedge exp\ list. \llbracket P1\ exp; P2\ list \rrbracket \Longrightarrow P2\ (exp\ \#\ list)$   
**shows** *P1* *exp* **and** *P2* *list*  
 <proof>

**end**

## 5 Terms over a given alphabet

**theory** *Term* **imports** *Main* **begin**

**datatype** ('a, 'b) *term* =  
*Var* 'a  
 | *App* 'b ('a, 'b) *term* *list*

Substitution function on terms

**consts**

```
subst-term :: ('a => ('a, 'b) term) => ('a, 'b) term => ('a, 'b) term
subst-term-list ::
  ('a => ('a, 'b) term) => ('a, 'b) term list => ('a, 'b) term list
```

**primrec**

```
subst-term f (Var a) = f a
subst-term f (App b ts) = App b (subst-term-list f ts)

subst-term-list f [] = []
subst-term-list f (t # ts) =
  subst-term f t # subst-term-list f ts
```

A simple theorem about composition of substitutions

**lemma** *subst-comp*:

```
subst-term (subst-term f1 o f2) t =
  subst-term f1 (subst-term f2 t)
and subst-term-list (subst-term f1 o f2) ts =
  subst-term-list f1 (subst-term-list f2 ts)
<proof>
```

Alternative induction rule

**lemma**

```
assumes var: !!v. P (Var v)
and app: !!f ts. list-all P ts ==> P (App f ts)
shows term-induct2: P t
and list-all P ts
<proof>
```

**end**

## 6 Arithmetic and boolean expressions

**theory** *ABexp* **imports** *Main* **begin**

**datatype** 'a *aexp* =

```
  IF 'a bexp 'a aexp 'a aexp
  | Sum 'a aexp 'a aexp
  | Diff 'a aexp 'a aexp
  | Var 'a
  | Num nat
```

**and** 'a *bexp* =

```
  Less 'a aexp 'a aexp
  | And 'a bexp 'a bexp
  | Neg 'a bexp
```

## Evaluation of arithmetic and boolean expressions

### consts

$evala :: ('a \Rightarrow nat) \Rightarrow 'a \ aexp \Rightarrow nat$

$evalb :: ('a \Rightarrow nat) \Rightarrow 'a \ bexp \Rightarrow bool$

### primrec

$evala \ env \ (IF \ b \ a1 \ a2) = (if \ evalb \ env \ b \ then \ evala \ env \ a1 \ else \ evala \ env \ a2)$

$evala \ env \ (Sum \ a1 \ a2) = evala \ env \ a1 + evala \ env \ a2$

$evala \ env \ (Diff \ a1 \ a2) = evala \ env \ a1 - evala \ env \ a2$

$evala \ env \ (Var \ v) = env \ v$

$evala \ env \ (Num \ n) = n$

$evalb \ env \ (Less \ a1 \ a2) = (evala \ env \ a1 < evala \ env \ a2)$

$evalb \ env \ (And \ b1 \ b2) = (evalb \ env \ b1 \wedge evalb \ env \ b2)$

$evalb \ env \ (Neg \ b) = (\neg \ evalb \ env \ b)$

## Substitution on arithmetic and boolean expressions

### consts

$subst_a :: ('a \Rightarrow 'b \ aexp) \Rightarrow 'a \ aexp \Rightarrow 'b \ aexp$

$subst_b :: ('a \Rightarrow 'b \ aexp) \Rightarrow 'a \ bexp \Rightarrow 'b \ bexp$

### primrec

$subst_a \ f \ (IF \ b \ a1 \ a2) = IF \ (subst_b \ f \ b) \ (subst_a \ f \ a1) \ (subst_a \ f \ a2)$

$subst_a \ f \ (Sum \ a1 \ a2) = Sum \ (subst_a \ f \ a1) \ (subst_a \ f \ a2)$

$subst_a \ f \ (Diff \ a1 \ a2) = Diff \ (subst_a \ f \ a1) \ (subst_a \ f \ a2)$

$subst_a \ f \ (Var \ v) = f \ v$

$subst_a \ f \ (Num \ n) = Num \ n$

$subst_b \ f \ (Less \ a1 \ a2) = Less \ (subst_a \ f \ a1) \ (subst_a \ f \ a2)$

$subst_b \ f \ (And \ b1 \ b2) = And \ (subst_b \ f \ b1) \ (subst_b \ f \ b2)$

$subst_b \ f \ (Neg \ b) = Neg \ (subst_b \ f \ b)$

### lemma subst1-aexp:

$evala \ env \ (subst_a \ (Var \ (v := a')) \ a) = evala \ (env \ (v := evala \ env \ a')) \ a$

### and subst1-bexp:

$evalb \ env \ (subst_b \ (Var \ (v := a')) \ b) = evalb \ (env \ (v := evala \ env \ a')) \ b$

— one variable

$\langle proof \rangle$

### lemma subst-all-aexp:

$evala \ env \ (subst_a \ s \ a) = evala \ (\lambda x. \ evala \ env \ (s \ x)) \ a$

### and subst-all-bexp:

$evalb \ env \ (subst_b \ s \ b) = evalb \ (\lambda x. \ evala \ env \ (s \ x)) \ b$

$\langle proof \rangle$

**end**

## 7 Infinitely branching trees

**theory** *Tree* imports *Main* begin

**datatype** *'a tree* =  
  *Atom 'a*  
  | *Branch nat => 'a tree*

**consts**

*map-tree* :: (*'a => 'b*) => *'a tree => 'b tree*

**primrec**

*map-tree f (Atom a) = Atom (f a)*  
*map-tree f (Branch ts) = Branch ( $\lambda x.$  map-tree f (ts x))*

**lemma** *tree-map-compose*: *map-tree g (map-tree f t) = map-tree (g  $\circ$  f) t*  
*<proof>*

**consts**

*exists-tree* :: (*'a => bool*) => *'a tree => bool*

**primrec**

*exists-tree P (Atom a) = P a*  
*exists-tree P (Branch ts) = ( $\exists x.$  exists-tree P (ts x))*

**lemma** *exists-map*:

*( $\forall x.$  P x ==> Q (f x)) ==>*  
*exists-tree P ts ==> exists-tree Q (map-tree f ts)*  
*<proof>*

### 7.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

**datatype** *brouwer* = *Zero* | *Succ brouwer* | *Lim nat => brouwer*

Addition of ordinals

**consts**

*add* :: [*brouwer, brouwer*] => *brouwer*

**primrec**

*add i Zero = i*  
*add i (Succ j) = Succ (add i j)*  
*add i (Lim f) = Lim (%n. add i (f n))*

**lemma** *add-assoc*: *add (add i j) k = add i (add j k)*  
*<proof>*

Multiplication of ordinals

**consts**

*mult* :: [*brouwer, brouwer*] => *brouwer*

**primrec**

*mult i Zero = Zero*  
*mult i (Succ j) = add (mult i j) i*

$mult\ i\ (Lim\ f) = Lim\ (\%n.\ mult\ i\ (f\ n))$

**lemma** *add-mult-distrib*:  $mult\ i\ (add\ j\ k) = add\ (mult\ i\ j)\ (mult\ i\ k)$   
 ⟨*proof*⟩

**lemma** *mult-assoc*:  $mult\ (mult\ i\ j)\ k = mult\ i\ (mult\ j\ k)$   
 ⟨*proof*⟩

We could probably instantiate some axiomatic type classes and use the standard infix operators.

## 7.2 A WF Ordering for The Brouwer ordinals (Michael Comp-ton)

To define recdef style functions we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

**definition**

*brouwer-pred* :: (brouwer \* brouwer) set **where**  
*brouwer-pred* = ( $\bigcup i.\ \{(m,n).\ n = Succ\ m \vee (EX\ f.\ n = Lim\ f \ \&\ m = f\ i)\}$ )

**definition**

*brouwer-order* :: (brouwer \* brouwer) set **where**  
*brouwer-order* = *brouwer-pred*<sup>+</sup>

**lemma** *wf-brouwer-pred*: *wf\ brouwer-pred*  
 ⟨*proof*⟩

**lemma** *wf-brouwer-order*: *wf\ brouwer-order*  
 ⟨*proof*⟩

**lemma** [*simp*]:  $(j,\ Succ\ j) : brouwer-order$   
 ⟨*proof*⟩

**lemma** [*simp*]:  $(f\ n,\ Lim\ f) : brouwer-order$   
 ⟨*proof*⟩

Example of a recdef

**consts**

*add2* :: (brouwer\*brouwer) => brouwer

**recdef** *add2* *inv-image\ brouwer-order* ( $\lambda\ (x,y).\ y$ )

*add2* (*i*, *Zero*) = *i*

*add2* (*i*, (*Succ* *j*)) = *Succ* (*add2* (*i*, *j*))

*add2* (*i*, (*Lim* *f*)) = *Lim* ( $\lambda\ n.\ add2\ (i,\ (f\ n))$ )

(**hints** *recdef-wf*: *wf-brouwer-order*)

**lemma** *add2-assoc*:  $add2\ (add2\ (i,\ j),\ k) = add2\ (i,\ add2\ (j,\ k))$   
 ⟨*proof*⟩

end

## 8 Ordinals

**theory** *Ordinals* imports *Main* begin

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

**datatype** *ordinal* =  
  *Zero*  
  | *Succ ordinal*  
  | *Limit nat => ordinal*

**consts**

*pred* :: *ordinal* => *nat* => *ordinal option*

**primrec**

*pred Zero n* = *None*  
*pred (Succ a) n* = *Some a*  
*pred (Limit f) n* = *Some (f n)*

**consts**

*iter* :: (*'a* => *'a*) => *nat* => (*'a* => *'a*)

**primrec**

*iter f 0* = *id*  
*iter f (Suc n)* = *f* ∘ (*iter f n*)

**definition**

*OpLim* :: (*nat* => (*ordinal* => *ordinal*)) => (*ordinal* => *ordinal*) **where**  
*OpLim F a* = *Limit (λn. F n a)*

**definition**

*OpItw* :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*) (□) **where**  
□ *f* = *OpLim (iter f)*

**consts**

*cantor* :: *ordinal* => *ordinal* => *ordinal*

**primrec**

*cantor a Zero* = *Succ a*  
*cantor a (Succ b)* = □ (*λx. cantor x b*) *a*  
*cantor a (Limit f)* = *Limit (λn. cantor a (f n))*

**consts**

*Nabla* :: (*ordinal* => *ordinal*) => (*ordinal* => *ordinal*) (∇)

**primrec**

∇ *f Zero* = *f Zero*  
∇ *f (Succ a)* = *f (Succ (∇f a))*

$\nabla f (Limit\ h) = Limit\ (\lambda n. \nabla f (h\ n))$

**definition**

$deriv :: (ordinal \Rightarrow ordinal) \Rightarrow (ordinal \Rightarrow ordinal)$  **where**  
 $deriv\ f = \nabla(\bigsqcup f)$

**consts**

$veblen :: ordinal \Rightarrow ordinal \Rightarrow ordinal$

**primrec**

$veblen\ Zero = \nabla(OpLim\ (iter\ (cantor\ Zero)))$   
 $veblen\ (Succ\ a) = \nabla(OpLim\ (iter\ (veblen\ a)))$   
 $veblen\ (Limit\ f) = \nabla(OpLim\ (\lambda n. veblen\ (f\ n)))$

**definition**  $veb\ a = veblen\ a\ Zero$

**definition**  $\varepsilon_0 = veb\ Zero$

**definition**  $\Gamma_0 = Limit\ (\lambda n. iter\ veb\ n\ Zero)$

**end**

## 9 Sigma algebras

**theory** *Sigma-Algebra* **imports** *Main* **begin**

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least  $\sigma$ -algebra over a given set of sets.

**inductive-set**

$\sigma\text{-algebra} :: 'a\ set\ set \Rightarrow 'a\ set\ set$

**for**  $A :: 'a\ set\ set$

**where**

$basic: a \in A \implies a \in \sigma\text{-algebra}\ A$

|  $UNIV: UNIV \in \sigma\text{-algebra}\ A$

|  $complement: a \in \sigma\text{-algebra}\ A \implies -a \in \sigma\text{-algebra}\ A$

|  $Union: (!i::nat. a\ i \in \sigma\text{-algebra}\ A) \implies (\bigcup i. a\ i) \in \sigma\text{-algebra}\ A$

The following basic facts are consequences of the closure properties of any  $\sigma$ -algebra, merely using the introduction rules, but no induction nor cases.

**theorem** *sigma-algebra-empty*:  $\{\} \in \sigma\text{-algebra}\ A$

*<proof>*

**theorem** *sigma-algebra-Inter*:

$(!i::nat. a\ i \in \sigma\text{-algebra}\ A) \implies (\bigcap i. a\ i) \in \sigma\text{-algebra}\ A$

*<proof>*

**end**

## 10 Combinatory Logic example: the Church-Rosser Theorem

**theory** *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

HOL system proofs may be found in the HOL distribution at .../contrib/rule-induction/cl.ml

### 10.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

```
datatype comb = K
          | S
          | Ap comb comb (infixl ## 90)
```

**notation** (*xsymbols*)

*Ap* (**infixl** · 90)

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $---->$ .

**inductive-set**

```
contract :: (comb*comb) set
and contract-rel1 :: [comb,comb] => bool (infixl  $-1->$  50)
where
  x -1-> y == (x,y) ∈ contract
  | K:   K##x##y -1-> x
  | S:   S##x##y##z -1-> (x##z)##(y##z)
  | Ap1: x-1->y ==> x##z -1-> y##z
  | Ap2: x-1->y ==> z##x -1-> z##y
```

**abbreviation**

```
contract-rel :: [comb,comb] => bool (infixl  $---->$  50) where
  x ----> y == (x,y) ∈ contract^*
```

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $===>$ .

**inductive-set**

```
parcontract :: (comb*comb) set
and parcontract-rel1 :: [comb,comb] => bool (infixl  $=1=>$  50)
where
  x =1=> y == (x,y) ∈ parcontract
  | refl: x =1=> x
  | K:   K##x##y =1=> x
  | S:   S##x##y##z =1=> (x##z)##(y##z)
  | Ap:  [| x=1=>y; z=1=>w |] ==> x##z =1=> y##w
```

### abbreviation

$parcontract\text{-}rel :: [comb, comb] => bool$  (**infixl**  $====>$  50) **where**  
 $x ====> y == (x, y) \in parcontract^*$

Misc definitions.

### definition

$I :: comb$  **where**  
 $I = S\#\#K\#\#K$

### definition

$diamond :: ('a * 'a) set => bool$  **where**  
— confluence; Lambda/Commutation treats this more abstractly  
 $diamond(r) = (\forall x y. (x, y) \in r \dashrightarrow$   
 $(\forall y'. (x, y') \in r \dashrightarrow$   
 $(\exists z. (y, z) \in r \ \& \ (y', z) \in r)))$

## 10.2 Reflexive/Transitive closure preserves Church-Rosser property

So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

**lemma** *diamond-strip-lemmaE* [rule-format]:

$[[ diamond(r); (x, y) \in r^* ]] ==>$   
 $\forall y'. (x, y') \in r \dashrightarrow (\exists z. (y', z) \in r^* \ \& \ (y, z) \in r)$   
*<proof>*

**lemma** *diamond-rtrancl*:  $diamond(r) ==> diamond(r^*)$

*<proof>*

## 10.3 Non-contraction results

Derive a case for each combinator constructor.

### inductive-cases

$K\text{-contractE}$  [elim!]:  $K -1-> r$   
**and**  $S\text{-contractE}$  [elim!]:  $S -1-> r$   
**and**  $Ap\text{-contractE}$  [elim!]:  $p\#\#q -1-> r$

**declare** *contract.K* [intro!] *contract.S* [intro!]

**declare** *contract.Ap1* [intro] *contract.Ap2* [intro]

**lemma** *I-contract-E* [elim!]:  $I -1-> z ==> P$

*<proof>*

**lemma** *K1-contractD* [elim!]:  $K\#\#x -1-> z ==> (\exists x'. z = K\#\#x' \ \& \ x -1-> x')$

*<proof>*

**lemma** *Ap-reduce1* [*intro*]:  $x \dashrightarrow y \implies x \#\#z \dashrightarrow y \#\#z$   
*<proof>*

**lemma** *Ap-reduce2* [*intro*]:  $x \dashrightarrow y \implies z \#\#x \dashrightarrow z \#\#y$   
*<proof>*

**lemma** *KIII-contract1*:  $K \#\#I \#\#(I \#\#I) \dashrightarrow I$   
*<proof>*

**lemma** *KIII-contract2*:  $K \#\#I \#\#(I \#\#I) \dashrightarrow K \#\#I \#\#(K \#\#I \#\#(K \#\#I))$   
*<proof>*

**lemma** *KIII-contract3*:  $K \#\#I \#\#(K \#\#I \#\#(K \#\#I)) \dashrightarrow I$   
*<proof>*

**lemma** *not-diamond-contract*:  $\sim \text{diamond}(\text{contract})$   
*<proof>*

## 10.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

**inductive-cases**

*K-parcontractE* [*elim!*]:  $K = 1 \implies r$   
**and** *S-parcontractE* [*elim!*]:  $S = 1 \implies r$   
**and** *Ap-parcontractE* [*elim!*]:  $p \#\#q = 1 \implies r$

**declare** *parcontract.intros* [*intro*]

## 10.5 Basic properties of parallel contraction

**lemma** *K1-parcontractD* [*dest!*]:  $K \#\#x = 1 \implies z \implies (\exists x'. z = K \#\#x' \ \& \ x = 1 \implies x')$   
*<proof>*

**lemma** *S1-parcontractD* [*dest!*]:  $S \#\#x = 1 \implies z \implies (\exists x'. z = S \#\#x' \ \& \ x = 1 \implies x')$   
*<proof>*

**lemma** *S2-parcontractD* [*dest!*]:  
 $S \#\#x \#\#y = 1 \implies z \implies (\exists x' y'. z = S \#\#x' \#\#y' \ \& \ x = 1 \implies x' \ \& \ y = 1 \implies y')$   
*<proof>*

The rules above are not essential but make proofs much faster

Church-Rosser property for parallel contraction

**lemma** *diamond-parcontract*: *diamond parcontract*  
⟨*proof*⟩

Equivalence of  $p \dashrightarrow q$  and  $p \implies q$ .

**lemma** *contract-subset-parcontract*: *contract <= parcontract*  
⟨*proof*⟩

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

**declare** *r-into-rtrancl* [*intro*] *rtrancl-trans* [*intro*]

**lemma** *reduce-I*:  $I \# \# x \dashrightarrow x$   
⟨*proof*⟩

**lemma** *parcontract-subset-reduce*: *parcontract <= contract<sup>\*</sup>*  
⟨*proof*⟩

**lemma** *reduce-eq-parreduce*: *contract<sup>\*</sup> = parcontract<sup>\*</sup>*  
⟨*proof*⟩

**lemma** *diamond-reduce*: *diamond(contract<sup>\*</sup>)*  
⟨*proof*⟩

**end**

## 11 Meta-theory of propositional logic

**theory** *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in \text{Fin}(H)$

### 11.1 The datatype of propositions

**datatype** *'a pl* =  
  *false* |  
  *var 'a* (*#*- [1000]) |  
  *imp 'a pl 'a pl* (**infix**  $\rightarrow$  90)

### 11.2 The proof system

**inductive**

$thms :: ['a\ pl\ set, 'a\ pl] \Rightarrow bool$  (**infixl**  $|-$  50)  
**for**  $H :: 'a\ pl\ set$   
**where**  
 $H$  [intro]:  $p \in H \Rightarrow H \mid- p$   
 $|$   $K$ :  $H \mid- p \rightarrow q \rightarrow p$   
 $|$   $S$ :  $H \mid- (p \rightarrow q \rightarrow r) \rightarrow (p \rightarrow q) \rightarrow p \rightarrow r$   
 $|$   $DN$ :  $H \mid- ((p \rightarrow false) \rightarrow false) \rightarrow p$   
 $|$   $MP$ :  $[| H \mid- p \rightarrow q; H \mid- p |] \Rightarrow H \mid- q$

### 11.3 The semantics

#### 11.3.1 Semantics of propositional logic.

**consts**

$eval :: ['a\ set, 'a\ pl] \Rightarrow bool$  ( $-[[-]]$  [100,0] 100)

**primrec**  $tt[false] = False$

$tt[\#v] = (v \in tt)$

$eval\text{-}imp: tt[p \rightarrow q] = (tt[p] \rightarrow tt[q])$

A finite set of hypotheses from  $t$  and the  $Vars$  in  $p$ .

**consts**

$hyps :: ['a\ pl, 'a\ set] \Rightarrow 'a\ pl\ set$

**primrec**

$hyps\ false\ tt = \{\}$

$hyps\ (\#v)\ tt = \{if\ v \in tt\ then\ \#v\ else\ \#v \rightarrow false\}$

$hyps\ (p \rightarrow q)\ tt = hyps\ p\ tt\ \cup\ hyps\ q\ tt$

#### 11.3.2 Logical consequence

For every valuation, if all elements of  $H$  are true then so is  $p$ .

**definition**

$sat :: ['a\ pl\ set, 'a\ pl] \Rightarrow bool$  (**infixl**  $|=$  50) **where**

$H \mid= p = (\forall tt. (\forall q \in H. tt[q]) \rightarrow tt[p])$

### 11.4 Proof theory of propositional logic

**lemma**  $thms\text{-}mono: G \leq H \Rightarrow thms(G) \leq thms(H)$

$\langle proof \rangle$

**lemma**  $thms\text{-}I: H \mid- p \rightarrow p$

— Called  $I$  for Identity Combinator, not for Introduction.

$\langle proof \rangle$

#### 11.4.1 Weakening, left and right

**lemma**  $weaken\text{-}left: [| G \subseteq H; G \mid- p |] \Rightarrow H \mid- p$

— Order of premises is convenient with  $THEN$

*<proof>*

**lemmas** *weaken-left-insert* = *subset-insertI* [THEN *weaken-left*]

**lemmas** *weaken-left-Un1* = *Un-upper1* [THEN *weaken-left*]

**lemmas** *weaken-left-Un2* = *Un-upper2* [THEN *weaken-left*]

**lemma** *weaken-right*:  $H \mid\!-\ q \implies H \mid\!-\ p \rightarrow q$

*<proof>*

### 11.4.2 The deduction theorem

**theorem** *deduction*:  $\text{insert } p \ H \mid\!-\ q \implies H \mid\!-\ p \rightarrow q$

*<proof>*

### 11.4.3 The cut rule

**lemmas** *cut* = *deduction* [THEN *thms.MP*]

**lemmas** *thms-falseE* = *weaken-right* [THEN *thms.DN* [THEN *thms.MP*]]

**lemmas** *thms-notE* = *thms.MP* [THEN *thms-falseE*, *standard*]

### 11.4.4 Soundness of the rules wrt truth-table semantics

**theorem** *soundness*:  $H \mid\!-\ p \implies H \models p$

*<proof>*

## 11.5 Completeness

### 11.5.1 Towards the completeness proof

**lemma** *false-imp*:  $H \mid\!-\ p \rightarrow \text{false} \implies H \mid\!-\ p \rightarrow q$

*<proof>*

**lemma** *imp-false*:

$[ [ H \mid\!-\ p; H \mid\!-\ q \rightarrow \text{false} ] \implies H \mid\!-\ (p \rightarrow q) \rightarrow \text{false}$

*<proof>*

**lemma** *hyps-thms-if*:  $\text{hyps } p \ tt \mid\!-\ (\text{if } tt[[p]] \text{ then } p \text{ else } p \rightarrow \text{false})$

— Typical example of strengthening the induction statement.

*<proof>*

**lemma** *sat-thms-p*:  $\{ \} \models p \implies \text{hyps } p \ tt \mid\!-\ p$

— Key lemma for completeness; yields a set of assumptions satisfying  $p$

*<proof>*

For proving certain theorems in our new propositional logic.

**declare** *deduction* [*intro!*]

**declare** *thms.H* [THEN *thms.MP*, *intro*]

The excluded middle in the form of an elimination rule.

**lemma** *thms-excluded-middle*:  $H \mid- (p \rightarrow q) \rightarrow ((p \rightarrow \text{false}) \rightarrow q) \rightarrow q$   
 $\langle \text{proof} \rangle$

**lemma** *thms-excluded-middle-rule*:

$[[ \text{insert } p \ H \mid- q; \text{insert } (p \rightarrow \text{false}) \ H \mid- q ]] \implies H \mid- q$   
 — Hard to prove directly because it requires cuts  
 $\langle \text{proof} \rangle$

## 11.6 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps } p \ t - \text{insert } \#v \ Y \mid- p$  we also have  $\text{hyps } p \ t - \{\#v\} \subseteq \text{hyps } p \ (t - \{v\})$ .

**lemma** *hyps-Diff*:  $\text{hyps } p \ (t - \{v\}) \leq \text{insert } (\#v \rightarrow \text{false}) \ ((\text{hyps } p \ t) - \{\#v\})$   
 $\langle \text{proof} \rangle$

For the case  $\text{hyps } p \ t - \text{insert } (\#v \rightarrow \text{Fls}) \ Y \mid- p$  we also have  $\text{hyps } p \ t - \{\#v \rightarrow \text{Fls}\} \subseteq \text{hyps } p \ (\text{insert } v \ t)$ .

**lemma** *hyps-insert*:  $\text{hyps } p \ (\text{insert } v \ t) \leq \text{insert } (\#v) \ (\text{hyps } p \ t - \{\#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

Two lemmas for use with *weaken-left*

**lemma** *insert-Diff-same*:  $B - C \leq \text{insert } a \ (B - \text{insert } a \ C)$   
 $\langle \text{proof} \rangle$

**lemma** *insert-Diff-subset2*:  $\text{insert } a \ (B - \{c\}) - D \leq \text{insert } a \ (B - \text{insert } c \ D)$   
 $\langle \text{proof} \rangle$

The set  $\text{hyps } p \ t$  is finite, and elements have the form  $\#v$  or  $\#v \rightarrow \text{Fls}$ .

**lemma** *hyps-finite*:  $\text{finite}(\text{hyps } p \ t)$   
 $\langle \text{proof} \rangle$

**lemma** *hyps-subset*:  $\text{hyps } p \ t \leq (\text{UN } v. \{\#v, \#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

**lemmas** *Diff-weaken-left = Diff-mono [OF - subset-refl, THEN weaken-left]*

### 11.6.1 Completeness theorem

Induction on the finite set of assumptions  $\text{hyps } p \ t0$ . We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma*:

$\{\} \mid= p \implies \forall t. \text{hyps } p \ t - \text{hyps } p \ t0 \mid- p$   
 $\langle \text{proof} \rangle$

The base case for completeness

**lemma** *completeness-0*:  $\{\} \models p \implies \{\} \vdash p$   
 <proof>

A semantic analogue of the Deduction Theorem

**lemma** *sat-imp*:  $\text{insert } p \ H \models q \implies H \models p \rightarrow q$   
 <proof>

**theorem** *completeness*:  $\text{finite } H \implies H \models p \implies H \vdash p$   
 <proof>

**theorem** *syntax-iff-semantics*:  $\text{finite } H \implies (H \vdash p) = (H \models p)$   
 <proof>

**end**

**theory** *Sexp* **imports** *Main* **begin**

**types**

*'a item* = *'a Datatype.item*

**abbreviation** *Leaf* == *Datatype.Leaf*

**abbreviation** *Numb* == *Datatype.Numb*

**inductive-set**

*sexp* :: *'a item set*

**where**

*LeafI*:  $\text{Leaf}(a) \in \text{sexp}$

| *NumbI*:  $\text{Numb}(i) \in \text{sexp}$

| *SconsI*:  $[\ M \in \text{sexp}; \ N \in \text{sexp} \ ] \implies \text{Scons } M \ N \in \text{sexp}$

**definition**

*sexp-case* ::  $[\ 'a => 'b, \text{nat} => 'b, \text{'a item}, \text{'a item}] => 'b,$   
 $\text{'a item}] => 'b$  **where**

*sexp-case* *c d e M* =  $(\text{THE } z. (\text{EX } x. \ M = \text{Leaf}(x) \ \& \ z = c(x))$

|  $(\text{EX } k. \ M = \text{Numb}(k) \ \& \ z = d(k))$

|  $(\text{EX } N1 \ N2. \ M = \text{Scons } N1 \ N2 \ \& \ z = e \ N1 \ N2))$

**definition**

*pred-sexp* ::  $(\text{'a item} * \text{'a item})\text{set}$  **where**

*pred-sexp* =  $(\bigcup M \in \text{sexp}. \bigcup N \in \text{sexp}. \{(M, \text{Scons } M \ N), (N, \text{Scons } M \ N)\})$

**definition**

*sexp-rec* ::  $[\ 'a \text{ item}, \ 'a => 'b, \ \text{nat} => 'b,$   
 $\text{'a item}, \ \text{'a item}, \ 'b, \ 'b] => 'b$  **where**

*sexp-rec* *M c d e* = *wfrec pred-sexp*

$(\%g. \ \text{sexp-case } c \ d \ (\%N1 \ N2. \ e \ N1 \ N2 \ (g \ N1) \ (g \ N2))) \ M$

**lemma** *sexp-case-Leaf* [*simp*]: *sexp-case* *c d e* (*Leaf a*) = *c(a)*  
⟨*proof*⟩

**lemma** *sexp-case-Numb* [*simp*]: *sexp-case* *c d e* (*Numb k*) = *d(k)*  
⟨*proof*⟩

**lemma** *sexp-case-Scons* [*simp*]: *sexp-case* *c d e* (*Scons M N*) = *e M N*  
⟨*proof*⟩

**lemma** *sexp-In0I*:  $M \in \text{sexp} \implies \text{In0}(M) \in \text{sexp}$   
⟨*proof*⟩

**lemma** *sexp-In1I*:  $M \in \text{sexp} \implies \text{In1}(M) \in \text{sexp}$   
⟨*proof*⟩

**declare** *sexp.intros* [*intro, simp*]

**lemma** *range-Leaf-subset-sexp*:  $\text{range}(\text{Leaf}) \leq \text{sexp}$   
⟨*proof*⟩

**lemma** *Scons-D*:  $\text{Scons } M \ N \in \text{sexp} \implies M \in \text{sexp} \ \& \ N \in \text{sexp}$   
⟨*proof*⟩

**lemma** *pred-sexp-subset-Sigma*:  $\text{pred-sexp} \leq \text{sexp} \langle * \rangle \text{sexp}$   
⟨*proof*⟩

**lemmas** *trancl-pred-sexpD1* =  
  *pred-sexp-subset-Sigma*  
  [*THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD1*]  
**and** *trancl-pred-sexpD2* =  
  *pred-sexp-subset-Sigma*  
  [*THEN trancl-subset-Sigma, THEN subsetD, THEN SigmaD2*]

**lemma** *pred-sexpI1*:  
  [ $M \in \text{sexp}; N \in \text{sexp}$ ]  $\implies (M, \text{Scons } M \ N) \in \text{pred-sexp}$   
⟨*proof*⟩

**lemma** *pred-sexpI2*:  
  [ $M \in \text{sexp}; N \in \text{sexp}$ ]  $\implies (N, \text{Scons } M \ N) \in \text{pred-sexp}$   
⟨*proof*⟩

**lemmas** *pred-sexp-t1* [*simp*] = *pred-sexpI1* [*THEN r-into-trancl*]  
**and** *pred-sexp-t2* [*simp*] = *pred-sexpI2* [*THEN r-into-trancl*]

**lemmas** *pred-sexp-trans1* [*simp*] = *trans-trancl* [*THEN transD, OF - pred-sexp-t1*]  
**and** *pred-sexp-trans2* [*simp*] = *trans-trancl* [*THEN transD, OF - pred-sexp-t2*]

**declare** *cut-apply* [*simp*]

**lemma** *pred-sexpE*:

[[ *p* ∈ *pred-sexp*;  
!!*M N*. [[ *p* = (*M*, *Scons M N*); *M* ∈ *sexp*; *N* ∈ *sexp* ]] ==> *R*;  
!!*M N*. [[ *p* = (*N*, *Scons M N*); *M* ∈ *sexp*; *N* ∈ *sexp* ]] ==> *R*  
]] ==> *R*  
⟨*proof*⟩

**lemma** *wf-pred-sexp*: *wf(pred-sexp)*  
⟨*proof*⟩

**lemma** *sexp-rec-unfold-lemma*:

(%*M*. *sexp-rec M c d e*) ==  
*wfrec pred-sexp* (%*g*. *sexp-case c d* (%*N1 N2*. *e N1 N2* (*g N1*) (*g N2*)))  
⟨*proof*⟩

**lemmas** *sexp-rec-unfold* = *def-wfrec* [*OF sexp-rec-unfold-lemma wf-pred-sexp*]

**lemma** *sexp-rec-Leaf*: *sexp-rec (Leaf a) c d h* = *c(a)*  
⟨*proof*⟩

**lemma** *sexp-rec-Numb*: *sexp-rec (Numb k) c d h* = *d(k)*  
⟨*proof*⟩

**lemma** *sexp-rec-Scons*: [[ *M* ∈ *sexp*; *N* ∈ *sexp* ]] ==>  
*sexp-rec (Scons M N) c d h* = *h M N (sexp-rec M c d h) (sexp-rec N c d h)*  
⟨*proof*⟩

**end**

```
theory SList
imports Sexp
begin
```

**definition**

```
NIL :: 'a item where
NIL = In0(Numb(0))
```

**definition**

```
CONS :: ['a item, 'a item] => 'a item where
CONS M N = In1(Scons M N)
```

**inductive-set**

```
list :: 'a item set => 'a item set
```

```
for A :: 'a item set
```

**where**

```
  NIL-I: NIL: list A
| CONS-I: [| a: A; M: list A |] ==> CONS a M : list A
```

**typedef** (*List*)

```
'a list = list(range Leaf) :: 'a item set
⟨proof⟩
```

**abbreviation** *Case* == *Datatype.Case*

**abbreviation** *Split* == *Datatype.Split*

**definition**

*List-case* :: [*'b*, [*'a item*, *'a item*] => *'b*, *'a item*] => *'b* **where**  
*List-case* *c d* = *Case*(%*x*. *c*)(*Split*(*d*))

**definition**

*List-rec* :: [*'a item*, *'b*, [*'a item*, *'a item*, *'b*] => *'b*] => *'b* **where**  
*List-rec* *M c d* = *wfrec* (*pred-sexp* ^ +)  
 (%*g*. *List-case* *c* (%*x y*. *d x y* (*g y*))) *M*

**definition**

*Nil* :: *'a list* ([]) **where**  
*Nil* = *Abs-List*(*NIL*)

**definition**

*Cons* :: [*'a*, *'a list*] => *'a list* (**infixr** # 65) **where**  
*x#xs* = *Abs-List*(*CONS* (*Leaf* *x*)(*Rep-List* *xs*))

**definition**

*list-rec* :: [*'a list*, *'b*, [*'a*, *'a list*, *'b*] => *'b*] => *'b* **where**  
*list-rec* *l c d* =  
*List-rec*(*Rep-List* *l*) *c* (%*x y r*. *d*(*inv Leaf* *x*)(*Abs-List* *y*) *r*)

**definition**

*list-case* :: [*'b*, [*'a*, *'a list*] => *'b*, *'a list*] => *'b* **where**  
*list-case* *a f xs* = *list-rec* *xs a* (%*x xs r*. *f x xs*)

**translations**

[*x*, *xs*] == *x#[xs]*  
 [*x*] == *x#[]*

*case xs of [] => a | y#ys => b* == *CONST list-case*(*a*, %*y ys*. *b*, *xs*)

**definition**

*Rep-map* :: ('b => 'a item) => ('b list => 'a item) **where**  
*Rep-map* f xs = list-rec xs NIL(%x l r. CONS(f x) r)

**definition**

*Abs-map* :: ('a item => 'b) => 'a item => 'b list **where**  
*Abs-map* g M = List-rec M Nil (%N L r. g(N)#r)

**definition**

*null* :: 'a list => bool **where**  
*null* xs = list-rec xs True (%x xs r. False)

**definition**

*hd* :: 'a list => 'a **where**  
*hd* xs = list-rec xs (@x. True) (%x xs r. x)

**definition**

*tl* :: 'a list => 'a list **where**  
*tl* xs = list-rec xs (@xs. True) (%x xs r. xs)

**definition**

*tll* :: 'a list => 'a list **where**  
*tll* xs = list-rec xs [] (%x xs r. xs)

**definition**

*member* :: ['a, 'a list] => bool (**infixl mem 55**) **where**  
*x mem* xs = list-rec xs False (%y ys r. if y=x then True else r)

**definition**

*list-all* :: ('a => bool) => ('a list => bool) **where**  
*list-all* P xs = list-rec xs True(%x l r. P(x) & r)

**definition**

*map* :: ('a=>'b) => ('a list => 'b list) **where**  
*map* f xs = list-rec xs [] (%x l r. f(x)#r)

**definition**

*append* :: ['a list, 'a list] => 'a list (**infixr @ 65**) **where**  
*xs@ys* = list-rec xs ys (%x l r. x#r)

**definition**

*filter* :: ['a => bool, 'a list] => 'a list **where**  
*filter* P xs = list-rec xs [] (%x xs r. if P(x) then x#r else r)

**definition**

*foldl* :: [*'b, 'a*] => *'b, 'b, 'a list*] => *'b* **where**  
*foldl f a xs* = *list-rec xs (%a. a)(%x xs r.%a. r(f a x))(a)*

**definition**

*foldr* :: [*'a, 'b*] => *'b, 'b, 'a list*] => *'b* **where**  
*foldr f a xs* = *list-rec xs a (%x xs r. (f x r))*

**definition**

*length* :: *'a list* => *nat* **where**  
*length xs* = *list-rec xs 0 (%x xs r. Suc r)*

**definition**

*drop* :: [*'a list, nat*] => *'a list* **where**  
*drop t n* = (*nat-rec (%x. x)(%m r xs. r(tl xs))*)(*n*)(*t*)

**definition**

*copy* :: [*'a, nat*] => *'a list* **where**  
*copy t* = *nat-rec [] (%m xs. t # xs)*

**definition**

*flat* :: *'a list list* => *'a list* **where**  
*flat* = *foldr (op @) []*

**definition**

*nth* :: [*nat, 'a list*] => *'a* **where**  
*nth* = *nat-rec hd (%m r xs. r(tl xs))*

**definition**

*rev* :: *'a list* => *'a list* **where**  
*rev xs* = *list-rec xs [] (%x xs xsa. xsa @ [x])*

**definition**

*zipWith* :: [*'a \* 'b*] => *'c, 'a list \* 'b list*] => *'c list* **where**  
*zipWith f S* = (*list-rec (fst S) (%T. [])*)  
                   (*%x xs r. %T. if null T then []*  
                   *else f(x,hd T) # r(tl T))*)(*snd(S)*)

**definition**

*zip* :: *'a list \* 'b list* => *('a\*'b) list* **where**  
*zip* = *zipWith (%s. s)*

**definition**

*unzip* :: *('a\*'b) list* => *('a list \* 'b list)* **where**  
*unzip* = *foldr (% (a,b)(c,d).(a#c,b#d))([], [])*

**consts** *take* :: [*'a list, nat*] => *'a list*

**primrec**

*take-0*:  $\text{take } xs \ 0 = []$

*take-Suc*:  $\text{take } xs \ (\text{Suc } n) = \text{list-case } [] \ (\%x \ l. \ x \ \# \ \text{take } l \ n) \ xs$

**consts** *enum* ::  $[nat, nat] \Rightarrow nat \ list$

**primrec**

*enum-0*:  $\text{enum } i \ 0 = []$

*enum-Suc*:  $\text{enum } i \ (\text{Suc } j) = (\text{if } i \leq j \ \text{then } \text{enum } i \ j \ @ \ [j] \ \text{else } [])$

**no-translations**

$[x \leftarrow xs. P] == \text{filter } (\%x. P) \ xs$

**syntax**

$@Alls \quad :: [idt, 'a \ list, bool] \Rightarrow bool \quad ((2Alls \ -:\ -) \ 10)$

**translations**

$[x \leftarrow xs. P] == \text{CONST } \text{filter } (\%x. P) \ xs$

$Alls \ x:xs. P == \text{CONST } \text{list-all } (\%x. P) \ xs$

**lemma** *ListI*:  $x : \text{list } (\text{range } \text{Leaf}) \Longrightarrow x : \text{List}$

*<proof>*

**lemma** *ListD*:  $x : \text{List} \Longrightarrow x : \text{list } (\text{range } \text{Leaf})$

*<proof>*

**lemma** *list-unfold*:  $\text{list}(A) = \text{usum } \{\text{Numb}(0)\} \ (\text{uprod } A \ (\text{list}(A)))$

*<proof>*

**lemma** *list-mono*:  $A \leq B \Longrightarrow \text{list}(A) \leq \text{list}(B)$

*<proof>*

**lemma** *list-sexp*:  $\text{list}(\text{sexp}) \leq \text{sexp}$

*<proof>*

**lemmas** *list-subset-sexp* = *subset-trans* [OF *list-mono list-sexp*]

**lemma** *list-induct*:

$[| P(\text{Nil});$

$!!x \ xs. P(xs) \Longrightarrow P(x \ \# \ xs) \ |] \Longrightarrow P(l)$

*<proof>*

**lemma** *inj-on-Abs-list*: *inj-on Abs-List (list(range Leaf))*  
⟨*proof*⟩

**lemma** *CONS-not-NIL* [iff]: *CONS M N ~ = NIL*  
⟨*proof*⟩

**lemmas** *NIL-not-CONS* [iff] = *CONS-not-NIL* [THEN *not-sym*]  
**lemmas** *CONS-neq-NIL* = *CONS-not-NIL* [THEN *notE*, *standard*]  
**lemmas** *NIL-neq-CONS* = *sym* [THEN *CONS-neq-NIL*]

**lemma** *Cons-not-Nil* [iff]: *x # xs ~ = Nil*  
⟨*proof*⟩

**lemmas** *Nil-not-Cons* [iff] = *Cons-not-Nil* [THEN *not-sym*, *standard*]  
**lemmas** *Cons-neq-Nil* = *Cons-not-Nil* [THEN *notE*, *standard*]  
**lemmas** *Nil-neq-Cons* = *sym* [THEN *Cons-neq-Nil*]

**lemma** *CONS-CONS-eq* [iff]: *(CONS K M)=(CONS L N) = (K=L & M=N)*  
⟨*proof*⟩

**declare** *Rep-List* [THEN *ListD*, *intro*] *ListI* [*intro*]  
**declare** *list.intros* [*intro*, *simp*]  
**declare** *Leaf-inject* [*dest!*]

**lemma** *Cons-Cons-eq* [iff]: *(x#xs=y#ys) = (x=y & xs=ys)*  
⟨*proof*⟩

**lemmas** *Cons-inject2* = *Cons-Cons-eq* [THEN *iffD1*, THEN *conjE*, *standard*]

**lemma** *CONS-D*: *CONS M N: list(A) ==> M: A & N: list(A)*  
⟨*proof*⟩

**lemma** *sexp-CONS-D*: *CONS M N: sexp ==> M: sexp & N: sexp*  
⟨*proof*⟩

**lemma** *not-CONS-self*: *N: list(A) ==> !M. N ~ = CONS M N*  
⟨*proof*⟩

**lemma** *not-Cons-self2*:  $\forall x. l \sim = x\#l$

*<proof>*

**lemma** *neq-Nil-conv2*:  $(xs \sim = []) = (\exists y ys. xs = y\#ys)$

*<proof>*

**lemma** *List-case-NIL* [*simp*]: *List-case c h NIL = c*

*<proof>*

**lemma** *List-case-CONS* [*simp*]: *List-case c h (CONS M N) = h M N*

*<proof>*

**lemma** *List-rec-unfold-lemma*:

$(\%M. \text{List-rec } M \ c \ d) ==$

$\text{wfrec } (\text{pred-sexp } \hat{+}) \ (\%g. \text{List-case } c \ (\%x \ y. \ d \ x \ y \ (g \ y)))$

*<proof>*

**lemmas** *List-rec-unfold =*

*def-wfrec [OF List-rec-unfold-lemma wf-pred-sexp [THEN wf-trancl], standard]*

**lemma** *pred-sexp-CONS-I1*:

$[| M: \text{sexp}; N: \text{sexp} |] ==> (M, \text{CONS } M \ N) : \text{pred-sexp } \hat{+}$

*<proof>*

**lemma** *pred-sexp-CONS-I2*:

$[| M: \text{sexp}; N: \text{sexp} |] ==> (N, \text{CONS } M \ N) : \text{pred-sexp } \hat{+}$

*<proof>*

**lemma** *pred-sexp-CONS-D*:

$(\text{CONS } M1 \ M2, N) : \text{pred-sexp } \hat{+} ==>$

$(M1, N) : \text{pred-sexp } \hat{+} \ \& \ (M2, N) : \text{pred-sexp } \hat{+}$

*<proof>*

**lemma** *List-rec-NIL* [*simp*]: *List-rec NIL c h = c*  
⟨*proof*⟩

**lemma** *List-rec-CONS* [*simp*]:  
[[ *M: sexp*; *N: sexp* ]]  
==> *List-rec (CONS M N) c h = h M N (List-rec N c h)*  
⟨*proof*⟩

**lemmas** *Rep-List-in-sexp =*  
*subsetD [OF range-Leaf-subset-sexp [THEN list-subset-sexp]*  
*Rep-List [THEN ListD]]*

**lemma** *list-rec-Nil* [*simp*]: *list-rec Nil c h = c*  
⟨*proof*⟩

**lemma** *list-rec-Cons* [*simp*]: *list-rec (a#l) c h = h a l (list-rec l c h)*  
⟨*proof*⟩

**lemma** *List-rec-type*:  
[[ *M: list(A)*;  
*A <= sexp*;  
*c: C(NIL)*;  
*!!x y r. [[ x: A; y: list(A); r: C(y) ]]* ==> *h x y r: C(CONS x y)*  
]] ==> *List-rec M c h : C(M :: 'a item)*  
⟨*proof*⟩

**lemma** *Rep-map-Nil* [*simp*]: *Rep-map f Nil = NIL*  
⟨*proof*⟩

**lemma** *Rep-map-Cons* [*simp*]:  
*Rep-map f (x#xs) = CONS(f x)(Rep-map f xs)*  
⟨*proof*⟩

**lemma** *Rep-map-type*: (*!!x. f(x): A*) ==> *Rep-map f xs: list(A)*  
⟨*proof*⟩

**lemma** *Abs-map-NIL* [*simp*]: *Abs-map g NIL = Nil*  
⟨*proof*⟩

**lemma** *Abs-map-CONS* [*simp*]:

$\llbracket M: \text{sexp}; N: \text{sexp} \rrbracket \implies \text{Abs-map } g \text{ (CONS } M \ N) = g(M) \# \text{Abs-map } g \ N$   
*<proof>*

**lemma** *def-list-rec-NilCons*:

$\llbracket !xs. f(xs) = \text{list-rec } xs \ c \ h \rrbracket$   
 $\implies f \ [] = c \ \& \ f(x\#xs) = h \ x \ xs \ (f \ xs)$   
*<proof>*

**lemma** *Abs-map-inverse*:

$\llbracket M: \text{list}(A); A \leq \text{sexp}; !z. z: A \implies f(g(z)) = z \rrbracket$   
 $\implies \text{Rep-map } f \ (\text{Abs-map } g \ M) = M$   
*<proof>*

Better to have a single theorem with a conjunctive conclusion.

**declare** *def-list-rec-NilCons* [*OF list-case-def, simp*]

**lemma** *expand-list-case*:

$P(\text{list-case } a \ f \ xs) = ((xs = [] \ \longrightarrow \ P \ a) \ \& \ (!y \ ys. xs = y\#ys \ \longrightarrow \ P(f \ y \ ys)))$   
*<proof>*

**declare** *def-list-rec-NilCons* [*OF null-def, simp*]

**declare** *def-list-rec-NilCons* [*OF hd-def, simp*]

**declare** *def-list-rec-NilCons* [*OF tl-def, simp*]

**declare** *def-list-rec-NilCons* [*OF ttl-def, simp*]

**declare** *def-list-rec-NilCons* [*OF append-def, simp*]

**declare** *def-list-rec-NilCons* [*OF member-def, simp*]

**declare** *def-list-rec-NilCons* [*OF map-def, simp*]

**declare** *def-list-rec-NilCons* [*OF filter-def, simp*]

**declare** *def-list-rec-NilCons* [*OF list-all-def, simp*]

**lemma** *def-nat-rec-0-eta*:

$\llbracket !n. f = \text{nat-rec } c \ h \rrbracket \implies f(0) = c$   
*<proof>*

**lemma** *def-nat-rec-Suc-eta*:

$\llbracket !n. f = \text{nat-rec } c \ h \rrbracket \implies f(\text{Suc}(n)) = h \ n \ (f \ n)$

*<proof>*

**declare** *def-nat-rec-0-eta* [*OF nth-def, simp*]

**declare** *def-nat-rec-Suc-eta* [*OF nth-def, simp*]

**lemma** *length-Nil* [*simp*]:  $\text{length}([]) = 0$

*<proof>*

**lemma** *length-Cons* [*simp*]:  $\text{length}(a\#xs) = \text{Suc}(\text{length}(xs))$

*<proof>*

**lemma** *append-assoc* [*simp*]:  $(xs@ys)@zs = xs@(ys@zs)$

*<proof>*

**lemma** *append-Nil2* [*simp*]:  $xs @ [] = xs$

*<proof>*

**lemma** *mem-append* [*simp*]:  $x \text{ mem } (xs@ys) = (x \text{ mem } xs \mid x \text{ mem } ys)$

*<proof>*

**lemma** *mem-filter* [*simp*]:  $x \text{ mem } [x \leftarrow xs. P\ x] = (x \text{ mem } xs \ \& \ P(x))$

*<proof>*

**lemma** *list-all-True* [*simp*]:  $(\text{Alls } x:xs. \text{True}) = \text{True}$

*<proof>*

**lemma** *list-all-conj* [*simp*]:

$\text{list-all } p \ (xs@ys) = ((\text{list-all } p \ xs) \ \& \ (\text{list-all } p \ ys))$

*<proof>*

**lemma** *list-all-mem-conv*:  $(\text{Alls } x:xs. P(x)) = (!x. x \text{ mem } xs \ \longrightarrow P(x))$

*<proof>*

**lemma** *nat-case-dist* :  $(!n. P\ n) = (P\ 0 \ \& \ (!n. P\ (\text{Suc } n)))$

*<proof>*

**lemma** *alls-P-eq-P-nth*:  $(\text{Alls } u:A. P\ u) = (!n. n < \text{length } A \ \longrightarrow P(\text{nth } n\ A))$

*<proof>*

**lemma** *list-all-imp*:

$\llbracket !x. P\ x \dashrightarrow Q\ x; (A\lls\ x:xs. P(x)) \rrbracket \implies (A\lls\ x:xs. Q(x))$   
*<proof>*

**lemma** *Abs-Rep-map*:

$(!x. f(x):\ sexp) \implies$   
 $Abs\text{-}map\ g\ (Rep\text{-}map\ f\ xs) = map\ (\%t. g(f(t)))\ xs$   
*<proof>*

**lemma** *map-ident* [*simp*]:  $map(\%x. x)(xs) = xs$   
*<proof>*

**lemma** *map-append* [*simp*]:  $map\ f\ (xs@ys) = map\ f\ xs\ @\ map\ f\ ys$   
*<proof>*

**lemma** *map-compose*:  $map(f\ o\ g)(xs) = map\ f\ (map\ g\ xs)$   
*<proof>*

**lemma** *mem-map-aux1* [*rule-format*]:

$x\ mem\ (map\ f\ q) \dashrightarrow (\exists\ y. y\ mem\ q\ \&\ x = f\ y)$   
*<proof>*

**lemma** *mem-map-aux2* [*rule-format*]:

$(\exists\ y. y\ mem\ q\ \&\ x = f\ y) \dashrightarrow x\ mem\ (map\ f\ q)$   
*<proof>*

**lemma** *mem-map*:  $x\ mem\ (map\ f\ q) = (\exists\ y. y\ mem\ q\ \&\ x = f\ y)$   
*<proof>*

**lemma** *hd-append* [*rule-format*]:  $A\ \sim = [] \dashrightarrow hd(A\ @\ B) = hd(A)$   
*<proof>*

**lemma** *tl-append* [*rule-format*]:  $A\ \sim = [] \dashrightarrow tl(A\ @\ B) = tl(A)\ @\ B$   
*<proof>*

**lemma** *take-Suc1* [*simp*]:  $take\ []\ (Suc\ x) = []$   
*<proof>*

**lemma** *take-Suc2* [*simp*]:  $\text{take}(a\#xs)(\text{Suc } x) = a\#\text{take } xs \ x$   
<proof>

**lemma** *drop-0* [*simp*]:  $\text{drop } xs \ 0 = xs$   
<proof>

**lemma** *drop-Suc1* [*simp*]:  $\text{drop } [] (\text{Suc } x) = []$   
<proof>

**lemma** *drop-Suc2* [*simp*]:  $\text{drop}(a\#xs)(\text{Suc } x) = \text{drop } xs \ x$   
<proof>

**lemma** *copy-0* [*simp*]:  $\text{copy } x \ 0 = []$   
<proof>

**lemma** *copy-Suc* [*simp*]:  $\text{copy } x (\text{Suc } y) = x \# \text{copy } x \ y$   
<proof>

**lemma** *foldl-Nil* [*simp*]:  $\text{foldl } f \ a \ [] = a$   
<proof>

**lemma** *foldl-Cons* [*simp*]:  $\text{foldl } f \ a(x\#xs) = \text{foldl } f \ (f \ a \ x) \ xs$   
<proof>

**lemma** *foldr-Nil* [*simp*]:  $\text{foldr } f \ a \ [] = a$   
<proof>

**lemma** *foldr-Cons* [*simp*]:  $\text{foldr } f \ z(x\#xs) = f \ x (\text{foldr } f \ z \ xs)$   
<proof>

**lemma** *flat-Nil* [*simp*]:  $\text{flat } [] = []$   
<proof>

**lemma** *flat-Cons* [*simp*]:  $\text{flat } (x \# xs) = x \ @ \ \text{flat } xs$   
<proof>

**lemma** *rev-Nil* [*simp*]:  $rev [] = []$   
<proof>

**lemma** *rev-Cons* [*simp*]:  $rev (x \# xs) = rev xs @ [x]$   
<proof>

**lemma** *zipWith-Cons-Cons* [*simp*]:  
 $zipWith f (a \# as, b \# bs) = f(a, b) \# zipWith f (as, bs)$   
<proof>

**lemma** *zipWith-Nil-Nil* [*simp*]:  $zipWith f ([], []) = []$   
<proof>

**lemma** *zipWith-Cons-Nil* [*simp*]:  $zipWith f (x, []) = []$   
<proof>

**lemma** *zipWith-Nil-Cons* [*simp*]:  $zipWith f ([], x) = []$   
<proof>

**lemma** *unzip-Nil* [*simp*]:  $unzip [] = ([], [])$   
<proof>

**lemma** *map-compose-ext*:  $map(f \circ g) = ((map f) \circ (map g))$   
<proof>

**lemma** *map-flat*:  $map f (flat S) = flat(map (map f) S)$   
<proof>

**lemma** *list-all-map-eq*:  $(\text{All } u:xs. f(u) = g(u)) \longrightarrow map f xs = map g xs$   
<proof>

**lemma** *filter-map-d*:  $filter p (map f xs) = map f (filter(p \circ f)(xs))$   
<proof>

**lemma** *filter-compose*:  $filter p (filter q xs) = filter(\%x. p x \& q x) xs$   
<proof>

**lemma** *filter-append* [rule-format, simp]:  
     $\forall B. \text{filter } p (A @ B) = (\text{filter } p A @ \text{filter } p B)$   
<proof>

**lemma** *length-append*:  $\text{length}(xs@ys) = \text{length}(xs) + \text{length}(ys)$   
<proof>

**lemma** *length-map*:  $\text{length}(\text{map } f \text{ } xs) = \text{length}(xs)$   
<proof>

**lemma** *take-Nil* [simp]:  $\text{take } [] \ n = []$   
<proof>

**lemma** *take-take-eq* [simp]:  $\forall n. \text{take } (\text{take } xs \ n) \ n = \text{take } xs \ n$   
<proof>

**lemma** *take-take-Suc-eq1* [rule-format]:  
     $\forall n. \text{take } (\text{take } xs (\text{Suc}(n+m))) \ n = \text{take } xs \ n$   
<proof>

**declare** *take-Suc* [simp del]

**lemma** *take-take-1*:  $\text{take } (\text{take } xs \ (n+m)) \ n = \text{take } xs \ n$   
<proof>

**lemma** *take-take-Suc-eq2* [rule-format]:  
     $\forall n. \text{take } (\text{take } xs \ n) (\text{Suc}(n+m)) = \text{take } xs \ n$   
<proof>

**lemma** *take-take-2*:  $\text{take}(\text{take } xs \ n)(n+m) = \text{take } xs \ n$   
<proof>

**lemma** *drop-Nil* [simp]:  $\text{drop } [] \ n = []$   
<proof>

**lemma** *drop-drop* [rule-format]:  $\forall xs. \text{drop } (\text{drop } xs \ m) \ n = \text{drop } xs (m+n)$   
<proof>

**lemma** *take-drop* [rule-format]:  $\forall xs. (\text{take } xs \ n) @ (\text{drop } xs \ n) = xs$

*<proof>*

**lemma** *copy-copy*:  $\text{copy } x \ n \ @ \ \text{copy } x \ m = \text{copy } x \ (n+m)$   
*<proof>*

**lemma** *length-copy*:  $\text{length}(\text{copy } x \ n) = n$   
*<proof>*

**lemma** *length-take* [*rule-format, simp*]:  
 $\forall xs. \text{length}(\text{take } xs \ n) = \min(\text{length } xs) \ n$   
*<proof>*

**lemma** *length-take-drop*:  $\text{length}(\text{take } A \ k) + \text{length}(\text{drop } A \ k) = \text{length}(A)$   
*<proof>*

**lemma** *take-append* [*rule-format*]:  $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{take}(A@B) \ n = A$   
*<proof>*

**lemma** *take-append2* [*rule-format*]:  
 $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{take}(A@B) \ (n+k) = A \ @ \ \text{take } B \ k$   
*<proof>*

**lemma** *take-map* [*rule-format*]:  $\forall n. \text{take}(\text{map } f \ A) \ n = \text{map } f \ (\text{take } A \ n)$   
*<proof>*

**lemma** *drop-append* [*rule-format*]:  $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{drop}(A@B) \ n = B$   
*<proof>*

**lemma** *drop-append2* [*rule-format*]:  
 $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{drop}(A@B) \ (n+k) = \text{drop } B \ k$   
*<proof>*

**lemma** *drop-all* [*rule-format*]:  $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{drop } A \ n = []$   
*<proof>*

**lemma** *drop-map* [*rule-format*]:  $\forall n. \text{drop}(\text{map } f \ A) \ n = \text{map } f \ (\text{drop } A \ n)$   
*<proof>*

**lemma** *take-all* [*rule-format*]:  $\forall A. \text{length}(A) = n \ \dashrightarrow \ \text{take } A \ n = A$   
*<proof>*

**lemma** *foldl-single*:  $\text{foldl } f \ a \ [b] = f \ a \ b$   
*<proof>*

**lemma** *foldl-append* [*rule-format, simp*]:  
 $\forall a. \text{foldl } f \ a \ (A \ @ \ B) = \text{foldl } f \ (\text{foldl } f \ a \ A) \ B$   
*<proof>*

**lemma** *foldl-map* [*rule-format*]:

$\forall e. \text{foldl } f \ e \ (\text{map } g \ S) = \text{foldl } (\%x \ y. f \ x \ (g \ y)) \ e \ S$   
<proof>

**lemma** *foldl-neutr-distr* [*rule-format*]:

**assumes** *r-neutr*:  $\forall a. f \ a \ e = a$   
**and** *r-neutl*:  $\forall a. f \ e \ a = a$   
**and** *assoc*:  $\forall a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c$   
**shows**  $\forall y. f \ y \ (\text{foldl } f \ e \ A) = \text{foldl } f \ y \ A$   
<proof>

**lemma** *foldl-append-sym*:

$[[ !a. f \ a \ e = a; !a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   
 $\implies \text{foldl } f \ e \ (A \ @ \ B) = f \ (\text{foldl } f \ e \ A) \ (\text{foldl } f \ e \ B)$   
<proof>

**lemma** *foldr-append* [*rule-format*, *simp*]:

$\forall a. \text{foldr } f \ a \ (A \ @ \ B) = \text{foldr } f \ (\text{foldr } f \ a \ B) \ A$   
<proof>

**lemma** *foldr-map* [*rule-format*]:  $\forall e. \text{foldr } f \ e \ (\text{map } g \ S) = \text{foldr } (f \ o \ g) \ e \ S$   
<proof>

**lemma** *foldr-Un-eq-UN*:  $\text{foldr } op \ Un \ \{ \} \ S = (UN \ X: \{t. t \ mem \ S\}. X)$   
<proof>

**lemma** *foldr-neutr-distr*:

$[[ !a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   
 $\implies \text{foldr } f \ y \ S = f \ (\text{foldr } f \ e \ S) \ y$   
<proof>

**lemma** *foldr-append2*:

$[[ !a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   
 $\implies \text{foldr } f \ e \ (A \ @ \ B) = f \ (\text{foldr } f \ e \ A) \ (\text{foldr } f \ e \ B)$   
<proof>

**lemma** *foldr-flat*:

$[[ !a. f \ e \ a = a; !a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c ]]$   $\implies$   
 $\text{foldr } f \ e \ (\text{flat } S) = (\text{foldr } f \ e) \ (\text{map } (\text{foldr } f \ e) \ S)$   
<proof>

**lemma** *list-all-map*:  $(\text{Alls } x:\text{map } f \ xs \ .P(x)) = (\text{Alls } x:xs. (P \ o \ f)(x))$   
<proof>

**lemma** *list-all-and*:

$(\text{Alls } x:xs. P(x) \ \& \ Q(x)) = ((\text{Alls } x:xs. P(x)) \ \& \ (\text{Alls } x:xs. Q(x)))$

*<proof>*

**lemma** *nth-map* [*rule-format*]:

$\forall i. i < \text{length}(A) \rightarrow \text{nth } i (\text{map } f A) = f(\text{nth } i A)$   
*<proof>*

**lemma** *nth-app-cancel-right* [*rule-format*]:

$\forall i. i < \text{length}(A) \rightarrow \text{nth } i (A @ B) = \text{nth } i A$   
*<proof>*

**lemma** *nth-app-cancel-left* [*rule-format*]:

$\forall n. n = \text{length}(A) \rightarrow \text{nth}(n+i)(A @ B) = \text{nth } i B$   
*<proof>*

**lemma** *flat-append* [*simp*]:  $\text{flat}(xs @ ys) = \text{flat}(xs) @ \text{flat}(ys)$

*<proof>*

**lemma** *filter-flat*:  $\text{filter } p (\text{flat } S) = \text{flat}(\text{map } (\text{filter } p) S)$

*<proof>*

**lemma** *rev-append* [*simp*]:  $\text{rev}(xs @ ys) = \text{rev}(ys) @ \text{rev}(xs)$

*<proof>*

**lemma** *rev-rev-ident* [*simp*]:  $\text{rev}(\text{rev } l) = l$

*<proof>*

**lemma** *rev-flat*:  $\text{rev}(\text{flat } ls) = \text{flat}(\text{map } \text{rev } (\text{rev } ls))$

*<proof>*

**lemma** *rev-map-distrib*:  $\text{rev}(\text{map } f l) = \text{map } f (\text{rev } l)$

*<proof>*

**lemma** *foldl-rev*:  $\text{foldl } f b (\text{rev } l) = \text{foldr } (\%x y. f y x) b l$

*<proof>*

**lemma** *foldr-rev*:  $\text{foldr } f b (\text{rev } l) = \text{foldl } (\%x y. f y x) b l$

*<proof>*

**end**

## 12 Definition of type llist by a greatest fixed point

**theory** *LList* **imports** *SList* **begin**

**coinductive-set**

*llist* :: 'a item set => 'a item set

**for** *A* :: 'a item set

**where**

*NIL-I*:  $NIL \in llist(A)$

| *CONS-I*:  $[\![ a \in A; M \in llist(A) ]\!] \implies CONS\ a\ M \in llist(A)$

**coinductive-set**

*LListD* :: ('a item \* 'a item) set => ('a item \* 'a item) set

**for** *r* :: ('a item \* 'a item) set

**where**

*NIL-I*:  $(NIL, NIL) \in LListD(r)$

| *CONS-I*:  $[\![ (a,b) \in r; (M,N) \in LListD(r) ]\!] \implies (CONS\ a\ M, CONS\ b\ N) \in LListD(r)$

**typedef** (*LList*)

'a llist = *llist*(range *Leaf*) :: 'a item set

*<proof>*

**definition**

*list-Fun* :: ['a item set, 'a item set] => 'a item set **where**

— Now used exclusively for abbreviating the coinduction rule

*list-Fun* *A* *X* =  $\{z. z = NIL \mid (\exists M\ a. z = CONS\ a\ M \ \&\ a \in A \ \&\ M \in X)\}$

**definition**

*LListD-Fun* ::

$[( 'a\ item\ * 'a\ item)\ set, ( 'a\ item\ * 'a\ item)\ set] \implies$

$( 'a\ item\ * 'a\ item)\ set$  **where**

*LListD-Fun* *r* *X* =

$\{z. z = (NIL, NIL) \mid$

$(\exists M\ N\ a\ b. z = (CONS\ a\ M, CONS\ b\ N) \ \&\ (a, b) \in r \ \&\ (M, N) \in X)\}$

**definition**

*LNil* :: 'a llist **where**

— abstract constructor

*LNil* = *Abs-LList* *NIL*

**definition**

*LCons* :: ['a, 'a llist] => 'a llist **where**

— abstract constructor

*LCons* *x* *xs* = *Abs-LList*(*CONS* (*Leaf* *x*) (*Rep-LList* *xs*))

**definition**

*list-case* :: ['b, ['a, 'a llist] => 'b, 'a llist] => 'b **where**

*l*ist-case *c d l* =  
*List-case c* (%*x y. d (inv Leaf x) (Abs-LList y) (Rep-LList l)*)

**definition**

*LList-corec-fun* :: [*nat, 'a* => ('*b item \* 'a*) option, '*a*] => '*b item* **where**  
*LList-corec-fun k f* ==  
*nat-rec* (%*x. {}*)  
(%*j r x. case f x of None => NIL*  
| *Some(z,w) => CONS z (r w)*)  
*k*

**definition**

*LList-corec* :: [*'a, 'a* => ('*b item \* 'a*) option] => '*b item* **where**  
*LList-corec a f* = ( $\bigcup k. \text{LList-corec-fun } k \text{ f } a$ )

**definition**

*l*ist-corec :: [*'a, 'a* => ('*b \* 'a*) option] => '*b llist* **where**  
*l*ist-corec *a f* =  
*Abs-LList(LList-corec a*  
(%*z. case f z of None => None*  
| *Some(v,w) => Some(Leaf(v), w))*)

**definition**

*l*istD-Fun :: ('*a llist \* 'a llist*)set => ('*a llist \* 'a llist*)set **where**  
*l*istD-Fun(*r*) =  
*prod-fun Abs-LList Abs-LList ' r*  
*LListD-Fun (diag(range Leaf))*  
(*prod-fun Rep-LList Rep-LList ' r*)

The case syntax for type '*a llist*

**syntax**

*LNil* :: logic  
*LCons* :: logic

**translations**

*case p of LNil => a | LCons x l => b* == *CONST llist-case a* (%*x l. b*) *p*

## 12.0.2 Sample function definitions. Item-based ones start with *L*

**definition**

*Lmap* :: ('*a item => 'b item*) => ('*a item => 'b item*) **where**  
*Lmap f M* = *LList-corec M (List-case None* (%*x M'. Some((f(x), M'))*))

**definition**

*lmap* :: ('*a=>'b*) => ('*a llist => 'b llist*) **where**  
*lmap f l* = *l*ist-corec *l* (%*z. case z of LNil => None*  
| *LCons y z => Some(f(y), z)*)

**definition**

*iterates* :: [*'a => 'a, 'a*] => '*a llist* **where**

iterates  $f$   $a = \text{lList-corec } a \ (\%x. \text{Some}((x, f(x))))$

**definition**

$\text{lconst} \quad :: 'a \text{ item} \Rightarrow 'a \text{ item} \text{ where}$   
 $\text{lconst}(M) == \text{lfp}(\%N. \text{CONS } M \ N)$

**definition**

$\text{lappend} \quad :: ['a \text{ item}, 'a \text{ item}] \Rightarrow 'a \text{ item} \text{ where}$   
 $\text{lappend } M \ N = \text{LList-corec } (M, N)$   
 $(\text{split}(\text{List-case } (\text{List-case } \text{None } (\%N1 \ N2. \text{Some}((N1, (\text{NIL}, N2))))))$   
 $(\%M1 \ M2 \ N. \text{Some}((M1, (M2, N))))))$

**definition**

$\text{lappend} \quad :: ['a \text{ llist}, 'a \text{ llist}] \Rightarrow 'a \text{ llist} \text{ where}$   
 $\text{lappend } l \ n = \text{lList-corec } (l, n)$   
 $(\text{split}(\text{lList-case } (\text{lList-case } \text{None } (\%n1 \ n2. \text{Some}((n1, (\text{LNil}, n2))))))$   
 $(\%l1 \ l2 \ n. \text{Some}((l1, (l2, n))))))$

Append generates its result by applying  $f$ , where  $f((\text{NIL}, \text{NIL})) = \text{None}$   
 $f((\text{NIL}, \text{CONS } N1 \ N2)) = \text{Some}((N1, (\text{NIL}, N2))$   
 $f((\text{CONS } M1 \ M2, N)) = \text{Some}((M1, (M2, N))$

SHOULD *LListD-Fun-CONS-I*, etc., be equations (for rewriting)?

**lemmas** *UN1-I = UNIV-I* [*THEN UN-I, standard*]

**12.0.3 Simplification**

**declare** *option.split* [*split*]

This justifies using *lList* in other recursive type definitions

**lemma** *lList-mono*:

**assumes** *subset*:  $A \subseteq B$

**shows**  $\text{lList } A \subseteq \text{lList } B$

*<proof>*

**lemma** *lList-unfold*:  $\text{lList}(A) = \text{usum } \{\text{Numb}(0)\} (\text{uprod } A (\text{lList } A))$

*<proof>*

**12.1 Type checking by coinduction**

... using *list-Fun* THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

**lemma** *lList-coinduct*:

$[[ M \in X; X \subseteq \text{list-Fun } A (X \ \text{Un } \text{lList}(A)) ]] \Rightarrow M \in \text{lList}(A)$

*<proof>*

**lemma** *list-Fun-NIL-I* [*iff*]:  $\text{NIL} \in \text{list-Fun } A \ X$

*<proof>*

**lemma** *list-Fun-CONS-I* [*intro!,simp*]:

$[[ M \in A; N \in X ]] \implies CONS\ M\ N \in list-Fun\ A\ X$

*<proof>*

Utilise the “strong” part, i.e.  $gfp(f)$

**lemma** *list-Fun-llist-I*:  $M \in llist(A) \implies M \in list-Fun\ A\ (X\ Un\ llist(A))$

*<proof>*

## 12.2 *LList-corec* satisfies the desired recursion equation

A continuity result?

**lemma** *CONS-UN1*:  $CONS\ M\ (\bigcup x. f(x)) = (\bigcup x. CONS\ M\ (f\ x))$

*<proof>*

**lemma** *CONS-mono*:  $[[ M \subseteq M'; N \subseteq N' ]] \implies CONS\ M\ N \subseteq CONS\ M'\ N'$

*<proof>*

**declare** *LList-corec-fun-def* [*THEN def-nat-rec-0, simp*]

*LList-corec-fun-def* [*THEN def-nat-rec-Suc, simp*]

### 12.2.1 The directions of the equality are proved separately

**lemma** *LList-corec-subset1*:

*LList-corec a f*  $\subseteq$

$(case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (LList-corec\ w\ f))$

*<proof>*

**lemma** *LList-corec-subset2*:

$(case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (LList-corec\ w\ f)) \subseteq$

*LList-corec a f*

*<proof>*

the recursion equation for *LList-corec* – NOT SUITABLE FOR REWRITING!

**lemma** *LList-corec*:

*LList-corec a f* =

$(case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (LList-corec\ w\ f))$

*<proof>*

definitional version of same

**lemma** *def-LList-corec*:

$[[ !!x. h(x) = LList-corec\ x\ f ]]$

$\implies h(a) = (case\ f\ a\ of\ None \implies NIL \mid Some(z,w) \implies CONS\ z\ (h\ w))$

*<proof>*

A typical use of co-induction to show membership in the *gfp*. Bisimulation is  $range(\%x. LList-corec\ x\ f)$

**lemma** *LList-corec-type*:  $LList\ corec\ a\ f \in llist\ UNIV$   
 $\langle proof \rangle$

### 12.3 *llist* equality as a *gfp*; the bisimulation principle

This theorem is actually used, unlike the many similar ones in ZF

**lemma** *LListD-unfold*:  $LListD\ r = dsum\ (diag\ \{Numb\ 0\})\ (dprod\ r\ (LListD\ r))$   
 $\langle proof \rangle$

**lemma** *LListD-implies-ntrunc-equality* [*rule-format*]:  
 $\forall M\ N. (M, N) \in LListD(diag\ A) \dashrightarrow ntrunc\ k\ M = ntrunc\ k\ N$   
 $\langle proof \rangle$

The domain of the *LListD* relation

**lemma** *Domain-LListD*:  
 $Domain\ (LListD(diag\ A)) \subseteq llist(A)$   
 $\langle proof \rangle$

This inclusion justifies the use of coinduction to show  $M = N$

**lemma** *LListD-subset-diag*:  $LListD(diag\ A) \subseteq diag(llist(A))$   
 $\langle proof \rangle$

#### 12.3.1 Coinduction, using *LListD-Fun*

THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

**lemma** *LListD-Fun-mono*:  $A \subseteq B \implies LListD-Fun\ r\ A \subseteq LListD-Fun\ r\ B$   
 $\langle proof \rangle$

**lemma** *LListD-coinduct*:  
 $[[ M \in X; X \subseteq LListD-Fun\ r\ (X\ Un\ LListD(r)) ]] \implies M \in LListD(r)$   
 $\langle proof \rangle$

**lemma** *LListD-Fun-NIL-I*:  $(NIL, NIL) \in LListD-Fun\ r\ s$   
 $\langle proof \rangle$

**lemma** *LListD-Fun-CONS-I*:  
 $[[ x \in A; (M, N):s ]] \implies (CONS\ x\ M, CONS\ x\ N) \in LListD-Fun\ (diag\ A)\ s$   
 $\langle proof \rangle$

Utilise the "strong" part, i.e.  $gfp(f)$

**lemma** *LListD-Fun-LListD-I*:  
 $M \in LListD(r) \implies M \in LListD-Fun\ r\ (X\ Un\ LListD(r))$   
 $\langle proof \rangle$

This converse inclusion helps to strengthen *LList-equalityI*

**lemma** *diag-subset-LListD*:  $diag(llist(A)) \subseteq LListD(diag\ A)$   
 $\langle proof \rangle$

**lemma** *LListD-eq-diag*:  $LListD(diag A) = diag(llist(A))$   
 ⟨proof⟩

**lemma** *LListD-Fun-diag-I*:  $M \in llist(A) ==> (M,M) \in LListD-Fun (diag A) (X \text{ Un } diag(llist(A)))$   
 ⟨proof⟩

**12.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace  $A$  by some particular set, like  $\{x. True\}$ ???**

**lemma** *LList-equalityI*:  
 $[[ (M,N) \in r; r \subseteq LListD-Fun (diag A) (r \text{ Un } diag(llist(A))) ]] ==> M=N$   
 ⟨proof⟩

## 12.4 Finality of $llist(A)$ : Uniqueness of functions defined by corecursion

We must remove *Pair-eq* because it may turn an instance of reflexivity ( $h1 b, h2 b) = (h1 ?x17, h2 ?x17)$  into a conjunction! (or strengthen the Solver?)

**declare** *Pair-eq* [*simp del*]

abstract proof using a bisimulation

**lemma** *LList-corec-unique*:  
 $[[ !!x. h1(x) = (case f x of None => NIL | Some(z,w) => CONS z (h1 w)); !!x. h2(x) = (case f x of None => NIL | Some(z,w) => CONS z (h2 w)) ]] ==> h1=h2$   
 ⟨proof⟩

**lemma** *equals-LList-corec*:  
 $[[ !!x. h(x) = (case f x of None => NIL | Some(z,w) => CONS z (h w)) ]] ==> h = (\%x. LList-corec x f)$   
 ⟨proof⟩

### 12.4.1 Obsolete proof of *LList-corec-unique*: complete induction, not coinduction

**lemma** *ntrunc-one-CONS* [*simp*]:  $ntrunc (Suc 0) (CONS M N) = \{\}$   
 ⟨proof⟩

**lemma** *ntrunc-CONS* [*simp*]:  
 $ntrunc (Suc(Suc(k))) (CONS M N) = CONS (ntrunc k M) (ntrunc k N)$   
 ⟨proof⟩

**lemma**

**assumes** *prem1*:  
    !!*x*. *h1 x* = (case *f x* of *None* => *NIL* | *Some(z,w)* => *CONS z (h1 w)*)  
**and** *prem2*:  
    !!*x*. *h2 x* = (case *f x* of *None* => *NIL* | *Some(z,w)* => *CONS z (h2 w)*)  
**shows** *h1=h2*  
⟨*proof*⟩

## 12.5 Lconst: defined directly by lfp

But it could be defined by corecursion.

**lemma** *Lconst-fun-mono*: *mono(CONS(M))*  
⟨*proof*⟩

*Lconst(M)* = *CONS M (Lconst M)*

**lemmas** *Lconst* = *Lconst-fun-mono* [THEN *Lconst-def* [THEN *def-lfp-unfold*]]

A typical use of co-induction to show membership in the gfp. The containing set is simply the singleton {*Lconst(M)*}.

**lemma** *Lconst-type*: *M ∈ A ==> Lconst(M): llist(A)*  
⟨*proof*⟩

**lemma** *Lconst-eq-LList-corec*: *Lconst(M) = LList-corec M (%x. Some(x,x))*  
⟨*proof*⟩

Thus we could have used gfp in the definition of *Lconst*

**lemma** *gfp-Lconst-eq-LList-corec*: *gfp(%N. CONS M N) = LList-corec M (%x. Some(x,x))*  
⟨*proof*⟩

## 12.6 Isomorphisms

**lemma** *LListI*: *x ∈ llist (range Leaf) ==> x ∈ LList*  
⟨*proof*⟩

**lemma** *LListD*: *x ∈ LList ==> x ∈ llist (range Leaf)*  
⟨*proof*⟩

### 12.6.1 Distinctness of constructors

**lemma** *LCons-not-LNil* [*iff*]: *~ LCons x xs = LNil*  
⟨*proof*⟩

**lemmas** *LNil-not-LCons* [*iff*] = *LCons-not-LNil* [THEN *not-sym, standard*]

### 12.6.2 llist constructors

**lemma** *Rep-LList-LNil*: *Rep-LList LNil = NIL*  
⟨*proof*⟩

**lemma** *Rep-LList-LCons*:  $\text{Rep-LList}(L\text{Cons } x \ l) = \text{CONS } (\text{Leaf } x) (\text{Rep-LList } l)$   
 ⟨proof⟩

### 12.6.3 Injectiveness of *CONS* and *LCons*

**lemma** *CONS-CONS-eq2*:  $(\text{CONS } M \ N = \text{CONS } M' \ N') = (M = M' \ \& \ N = N')$   
 ⟨proof⟩

**lemmas** *CONS-inject = CONS-CONS-eq* [*THEN iffD1, THEN conjE, standard*]

For reasoning about abstract llist constructors

**declare** *Rep-LList* [*THEN LListD, intro*] *LListI* [*intro*]  
**declare** *list.intros* [*intro*]

**lemma** *LCons-LCons-eq* [*iff*]:  $(L\text{Cons } x \ xs = L\text{Cons } y \ ys) = (x = y \ \& \ xs = ys)$   
 ⟨proof⟩

**lemma** *CONS-D2*:  $\text{CONS } M \ N \in \text{llist}(A) \implies M \in A \ \& \ N \in \text{llist}(A)$   
 ⟨proof⟩

## 12.7 Reasoning about $\text{llist}(A)$

A special case of *list-equality* for functions over lazy lists

**lemma** *LList-fun-equalityI*:

[[  $M \in \text{llist}(A)$ ;  $g(\text{NIL}) \in \text{llist}(A)$ ;  
 $f(\text{NIL}) = g(\text{NIL})$ ;  
 $\forall x \ l. \ [x \in A; \ l \in \text{llist}(A)] \implies$   
 $(f(\text{CONS } x \ l), g(\text{CONS } x \ l)) \in$   
 $\text{LListD-Fun } (\text{diag } A) ((\%u. (f(u), g(u))) \text{llist}(A) \ \text{Un}$   
 $\text{diag}(\text{llist}(A)))$   
 ]]  $\implies f(M) = g(M)$   
 ⟨proof⟩

## 12.8 The functional *Lmap*

**lemma** *Lmap-NIL* [*simp*]:  $L\text{map } f \ \text{NIL} = \text{NIL}$   
 ⟨proof⟩

**lemma** *Lmap-CONS* [*simp*]:  $L\text{map } f \ (\text{CONS } M \ N) = \text{CONS } (f \ M) \ (L\text{map } f \ N)$   
 ⟨proof⟩

Another type-checking proof by coinduction

**lemma** *Lmap-type*:

[[  $M \in \text{llist}(A)$ ;  $\forall x. x \in A \implies f(x) \in B$  ]]  $\implies L\text{map } f \ M \in \text{llist}(B)$   
 ⟨proof⟩

This type checking rule synthesises a sufficiently large set for  $f$

**lemma** *Lmap-type2*:  $M \in \text{llist}(A) \implies \text{Lmap } f \ M \in \text{llist}(f \cdot A)$   
*<proof>*

### 12.8.1 Two easy results about *Lmap*

**lemma** *Lmap-compose*:  $M \in \text{llist}(A) \implies \text{Lmap } (f \circ g) \ M = \text{Lmap } f \ (\text{Lmap } g \ M)$   
*<proof>*

**lemma** *Lmap-ident*:  $M \in \text{llist}(A) \implies \text{Lmap } (\%x. x) \ M = M$   
*<proof>*

## 12.9 *Lappend* – its two arguments cause some complications!

**lemma** *Lappend-NIL-NIL* [*simp*]:  $\text{Lappend } \text{NIL } \text{NIL} = \text{NIL}$   
*<proof>*

**lemma** *Lappend-NIL-CONS* [*simp*]:  
 $\text{Lappend } \text{NIL} \ (\text{CONS } N \ N') = \text{CONS } N \ (\text{Lappend } \text{NIL } N')$   
*<proof>*

**lemma** *Lappend-CONS* [*simp*]:  
 $\text{Lappend} \ (\text{CONS } M \ M') \ N = \text{CONS } M \ (\text{Lappend } M' \ N)$   
*<proof>*

**declare** *llist.intros* [*simp*] *LListD-Fun-CONS-I* [*simp*]  
*range-eqI* [*simp*] *image-eqI* [*simp*]

**lemma** *Lappend-NIL* [*simp*]:  $M \in \text{llist}(A) \implies \text{Lappend } \text{NIL } M = M$   
*<proof>*

**lemma** *Lappend-NIL2*:  $M \in \text{llist}(A) \implies \text{Lappend } M \ \text{NIL} = M$   
*<proof>*

### 12.9.1 Alternative type-checking proofs for *Lappend*

weak co-induction: bisimulation and case analysis on both variables

**lemma** *Lappend-type*:  $[\mid M \in \text{llist}(A); N \in \text{llist}(A) \mid] \implies \text{Lappend } M \ N \in \text{llist}(A)$   
*<proof>*

strong co-induction: bisimulation and case analysis on one variable

**lemma** *Lappend-type'*:  $[\mid M \in \text{llist}(A); N \in \text{llist}(A) \mid] \implies \text{Lappend } M \ N \in \text{llist}(A)$   
*<proof>*

## 12.10 Lazy lists as the type $'a$ *llist* – strongly typed versions of above

### 12.10.1 *llist-case*: case analysis for $'a$ *llist*

```

declare LListI [THEN Abs-LList-inverse, simp]
declare Rep-LList-inverse [simp]
declare Rep-LList [THEN LListD, simp]
declare rangeI [simp] inj-Leaf [simp]

```

**lemma** *llist-case-LNil* [*simp*]: *llist-case*  $c$   $d$   $LNil = c$   
 $\langle$ *proof* $\rangle$

**lemma** *llist-case-LCons* [*simp*]: *llist-case*  $c$   $d$   $(LCons M N) = d M N$   
 $\langle$ *proof* $\rangle$

Elimination is case analysis, not induction.

**lemma** *llistE*:  $[\mid l=LNil \implies P; \ \forall x l'. l=LCons x l' \implies P \mid] \implies P$   
 $\langle$ *proof* $\rangle$

### 12.10.2 *llist-corec*: corecursion for $'a$ *llist*

Lemma for the proof of *llist-corec*

**lemma** *LList-corec-type2*:  
 $LList-corec\ a$   
 $(\%z. case\ f\ z\ of\ None \implies None \mid Some(v,w) \implies Some(Leaf(v),w))$   
 $\in\ llist(range\ Leaf)$   
 $\langle$ *proof* $\rangle$

**lemma** *llist-corec*:  
 $llist-corec\ a\ f =$   
 $(case\ f\ a\ of\ None \implies LNil \mid Some(z,w) \implies LCons\ z\ (llist-corec\ w\ f))$   
 $\langle$ *proof* $\rangle$

definitional version of same

**lemma** *def-llist-corec*:  
 $[\mid \forall x. h(x) = llist-corec\ x\ f \mid] \implies$   
 $h(a) = (case\ f\ a\ of\ None \implies LNil \mid Some(z,w) \implies LCons\ z\ (h\ w))$   
 $\langle$ *proof* $\rangle$

## 12.11 Proofs about type $'a$ *llist* functions

### 12.12 Deriving *llist-equalityI* – *llist* equality is a bisimulation

**lemma** *LListD-Fun-subset-Times-llist*:  
 $r \subseteq (llist\ A) \lt * \gt (llist\ A)$   
 $\implies LListD-Fun\ (diag\ A)\ r \subseteq (llist\ A) \lt * \gt (llist\ A)$   
 $\langle$ *proof* $\rangle$

**lemma** *subset-Times-list*:

$prod\text{-}fun\ Rep\text{-}LList\ Rep\text{-}LList\ 'r \subseteq$   
 $(l\text{list}(\text{range}\ Leaf)) <*> (l\text{list}(\text{range}\ Leaf))$   
 $\langle proof \rangle$

**lemma** *prod-fun-lemma*:

$r \subseteq (l\text{list}(\text{range}\ Leaf)) <*> (l\text{list}(\text{range}\ Leaf))$   
 $\implies prod\text{-}fun\ (Rep\text{-}LList\ o\ Abs\text{-}LList)\ (Rep\text{-}LList\ o\ Abs\text{-}LList)\ 'r \subseteq r$   
 $\langle proof \rangle$

**lemma** *prod-fun-range-eq-diag*:

$prod\text{-}fun\ Rep\text{-}LList\ Rep\text{-}LList\ 'range(\%x.(x,x)) =$   
 $diag(l\text{list}(\text{range}\ Leaf))$   
 $\langle proof \rangle$

Used with *lfilter*

**lemma** *lListD-Fun-mono*:

$A \subseteq B \implies l\text{listD-Fun}\ A \subseteq l\text{listD-Fun}\ B$   
 $\langle proof \rangle$

**12.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]**

**lemma** *lList-equalityI*:

$[[ (l1,l2) \in r; r \subseteq l\text{listD-Fun}(r\ Un\ range(\%x.(x,x))) ]] \implies l1=l2$   
 $\langle proof \rangle$

**12.12.2 Rules to prove the 2nd premise of *lList-equalityI***

**lemma** *lListD-Fun-LNil-I* [*simp*]:  $(LNil,LNil) \in l\text{listD-Fun}(r)$   
 $\langle proof \rangle$

**lemma** *lListD-Fun-LCons-I* [*simp*]:

$(l1,l2):r \implies (LCons\ x\ l1,\ LCons\ x\ l2) \in l\text{listD-Fun}(r)$   
 $\langle proof \rangle$

Utilise the "strong" part, i.e.  $gfp(f)$

**lemma** *lListD-Fun-range-I*:  $(l,l) \in l\text{listD-Fun}(r\ Un\ range(\%x.(x,x)))$   
 $\langle proof \rangle$

A special case of *lList-equality* for functions over lazy lists

**lemma** *lList-fun-equalityI*:

$[[ f(LNil)=g(LNil);$   
 $\quad !!x\ l.\ (f(LCons\ x\ l),g(LCons\ x\ l))$   
 $\quad \quad \in l\text{listD-Fun}(range(\%u.(f(u),g(u)))\ Un\ range(\%v.(v,v)))$   
 $]] \implies f(l) = (g(l :: 'a\ llist) :: 'b\ llist)$   
 $\langle proof \rangle$

### 12.13 The functional *lmap*

**lemma** *lmap-LNil* [*simp*]:  $lmap\ f\ LNil = LNil$   
(*proof*)

**lemma** *lmap-LCons* [*simp*]:  $lmap\ f\ (LCons\ M\ N) = LCons\ (f\ M)\ (lmap\ f\ N)$   
(*proof*)

#### 12.13.1 Two easy results about *lmap*

**lemma** *lmap-compose* [*simp*]:  $lmap\ (f\ o\ g)\ l = lmap\ f\ (lmap\ g\ l)$   
(*proof*)

**lemma** *lmap-ident* [*simp*]:  $lmap\ (\%x.\ x)\ l = l$   
(*proof*)

### 12.14 iterates – *lmap-fun-equalityI* cannot be used!

**lemma** *iterates*:  $iterates\ f\ x = LCons\ x\ (iterates\ f\ (f\ x))$   
(*proof*)

**lemma** *lmap-iterates* [*simp*]:  $lmap\ f\ (iterates\ f\ x) = iterates\ f\ (f\ x)$   
(*proof*)

**lemma** *iterates-lmap*:  $iterates\ f\ x = LCons\ x\ (lmap\ f\ (iterates\ f\ x))$   
(*proof*)

### 12.15 A rather complex proof about iterates – cf Andy Pitts

#### 12.15.1 Two lemmas about $natrec\ n\ x\ (\%m.\ g)$ , which is essentially $(g\ \hat{\ }n)(x)$

**lemma** *fun-power-lmap*:  $nat-rec\ (LCons\ b\ l)\ (\%m.\ lmap(f))\ n =$   
 $LCons\ (nat-rec\ b\ (\%m.\ f)\ n)\ (nat-rec\ l\ (\%m.\ lmap(f))\ n)$   
(*proof*)

**lemma** *fun-power-Suc*:  $nat-rec\ (g\ x)\ (\%m.\ g)\ n = nat-rec\ x\ (\%m.\ g)\ (Suc\ n)$   
(*proof*)

**lemmas** *Pair-cong = refl* [*THEN cong, THEN cong, of concl: Pair*]

The bisimulation consists of  $\{(lmap(f)\ \hat{\ }n\ (h(u)), lmap(f)\ \hat{\ }n\ (iterates(f,u)))\}$   
for all  $u$  and all  $n::nat$ .

**lemma** *iterates-equality*:  
 $(!\!x.\ h(x) = LCons\ x\ (lmap\ f\ (h\ x))) ==> h = iterates(f)$   
(*proof*)

### 12.16 *lappend* – its two arguments cause some complications!

**lemma** *lappend-LNil-LNil* [*simp*]:  $lappend\ LNil\ LNil = LNil$

*<proof>*

**lemma** *lappend-LNil-LCons* [*simp*]:

$$lappend\ LNil\ (LCons\ l\ l') = LCons\ l\ (lappend\ LNil\ l')$$

*<proof>*

**lemma** *lappend-LCons* [*simp*]:

$$lappend\ (LCons\ l\ l')\ N = LCons\ l\ (lappend\ l'\ N)$$

*<proof>*

**lemma** *lappend-LNil* [*simp*]: *lappend LNil l = l*

*<proof>*

**lemma** *lappend-LNil2* [*simp*]: *lappend l LNil = l*

*<proof>*

The infinite first argument blocks the second

**lemma** *lappend-iterates* [*simp*]: *lappend (iterates f x) N = iterates f x*

*<proof>*

### 12.16.1 Two proofs that *lmap* distributes over *lappend*

Long proof requiring case analysis on both both arguments

**lemma** *lmap-lappend-distrib*:

$$lmap\ f\ (lappend\ l\ n) = lappend\ (lmap\ f\ l)\ (lmap\ f\ n)$$

*<proof>*

Shorter proof of theorem above using *lList-equalityI* as strong coinduction

**lemma** *lmap-lappend-distrib'*:

$$lmap\ f\ (lappend\ l\ n) = lappend\ (lmap\ f\ l)\ (lmap\ f\ n)$$

*<proof>*

Without strong coinduction, three case analyses might be needed

**lemma** *lappend-assoc'*: *lappend (lappend l1 l2) l3 = lappend l1 (lappend l2 l3)*

*<proof>*

**end**

## 13 The "filter" functional for coinductive lists – defined by a combination of induction and coinduction

**theory** *LFilter* **imports** *LList* **begin**

**inductive-set**

$findRel \quad :: ('a \Rightarrow bool) \Rightarrow ('a\ list * 'a\ list) set$   
**for**  $p :: 'a \Rightarrow bool$   
**where**  
 $found: p\ x \Rightarrow (LCons\ x\ l, LCons\ x\ l) \in findRel\ p$   
 $| seek: [\sim p\ x; (l, l') \in findRel\ p] \Rightarrow (LCons\ x\ l, l') \in findRel\ p$

**declare**  $findRel.intros$  [intro]

**definition**

$find \quad :: ['a \Rightarrow bool, 'a\ list] \Rightarrow 'a\ list$  **where**  
 $find\ p\ l = (SOME\ l'. (l, l') \in findRel\ p \mid (l' = LNil \ \& \ l \sim: Domain(findRel\ p)))$

**definition**

$lfilter \quad :: ['a \Rightarrow bool, 'a\ list] \Rightarrow 'a\ list$  **where**  
 $lfilter\ p\ l = llist-corec\ l$  (%l. case  $find\ p\ l$  of  
 $LNil \Rightarrow None$   
 $| LCons\ y\ z \Rightarrow Some(y, z)$ )

### 13.1 $findRel$ : basic laws

**inductive-cases**

$findRel-LConsE$  [elim!]:  $(LCons\ x\ l, l'') \in findRel\ p$

**lemma**  $findRel-functional$  [rule-format]:

$(l, l') \in findRel\ p \Rightarrow (l, l'') \in findRel\ p \dashrightarrow l'' = l'$   
 <proof>

**lemma**  $findRel-imp-LCons$  [rule-format]:

$(l, l') \in findRel\ p \Rightarrow \exists x\ l''. l' = LCons\ x\ l'' \ \& \ p\ x$   
 <proof>

**lemma**  $findRel-LNil$  [elim!]:  $(LNil, l) \in findRel\ p \Rightarrow R$

<proof>

### 13.2 Properties of $Domain (findRel\ p)$

**lemma**  $LCons-Domain-findRel$  [simp]:

$LCons\ x\ l \in Domain(findRel\ p) = (p\ x \mid l \in Domain(findRel\ p))$   
 <proof>

**lemma**  $Domain-findRel-iff$ :

$(l \in Domain (findRel\ p)) = (\exists x\ l'. (l, LCons\ x\ l') \in findRel\ p \ \& \ p\ x)$   
 <proof>

**lemma**  $Domain-findRel-mono$ :

$[\![\ !x. p\ x \Rightarrow q\ x \ ]\!] \Rightarrow Domain (findRel\ p) \leq Domain (findRel\ q)$   
 <proof>

### 13.3 *find*: basic equations

**lemma** *find-LNil* [*simp*]:  $\text{find } p \text{ LNil} = \text{LNil}$   
(*proof*)

**lemma** *findRel-imp-find* [*simp*]:  $(l, l') \in \text{findRel } p \implies \text{find } p \ l = l'$   
(*proof*)

**lemma** *find-LCons-found*:  $p \ x \implies \text{find } p \ (\text{LCons } x \ l) = \text{LCons } x \ l$   
(*proof*)

**lemma** *diverge-find-LNil* [*simp*]:  $l \sim: \text{Domain}(\text{findRel } p) \implies \text{find } p \ l = \text{LNil}$   
(*proof*)

**lemma** *find-LCons-seek*:  $\sim (p \ x) \implies \text{find } p \ (\text{LCons } x \ l) = \text{find } p \ l$   
(*proof*)

**lemma** *find-LCons* [*simp*]:  
 $\text{find } p \ (\text{LCons } x \ l) = (\text{if } p \ x \ \text{then } \text{LCons } x \ l \ \text{else } \text{find } p \ l)$   
(*proof*)

### 13.4 *lfilter*: basic equations

**lemma** *lfilter-LNil* [*simp*]:  $\text{lfilter } p \ \text{LNil} = \text{LNil}$   
(*proof*)

**lemma** *diverge-lfilter-LNil* [*simp*]:  
 $l \sim: \text{Domain}(\text{findRel } p) \implies \text{lfilter } p \ l = \text{LNil}$   
(*proof*)

**lemma** *lfilter-LCons-found*:  
 $p \ x \implies \text{lfilter } p \ (\text{LCons } x \ l) = \text{LCons } x \ (\text{lfilter } p \ l)$   
(*proof*)

**lemma** *findRel-imp-lfilter* [*simp*]:  
 $(l, \text{LCons } x \ l') \in \text{findRel } p \implies \text{lfilter } p \ l = \text{LCons } x \ (\text{lfilter } p \ l')$   
(*proof*)

**lemma** *lfilter-LCons-seek*:  $\sim (p \ x) \implies \text{lfilter } p \ (\text{LCons } x \ l) = \text{lfilter } p \ l$   
(*proof*)

**lemma** *lfilter-LCons* [*simp*]:  
 $\text{lfilter } p \ (\text{LCons } x \ l) =$   
 $(\text{if } p \ x \ \text{then } \text{LCons } x \ (\text{lfilter } p \ l) \ \text{else } \text{lfilter } p \ l)$   
(*proof*)

**declare** *lfilter-LCons-I* [*intro!*]

**lemma** *lfilter-eq-LNil*:  $\text{lfilter } p \ l = \text{LNil} \implies l \sim: \text{Domain}(\text{findRel } p)$

$\langle \text{proof} \rangle$

**lemma** *lfilter-eq-LCons* [rule-format]:

$$\begin{aligned} & \text{lfilter } p \ l = \text{LCons } x \ l' \ \longrightarrow \\ & \quad (\exists l''. \ l' = \text{lfilter } p \ l'' \ \& \ (l, \text{LCons } x \ l'') \in \text{findRel } p) \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *lfilter-cases*:  $\text{lfilter } p \ l = \text{LNil} \ |$

$$(\exists y \ l'. \ \text{lfilter } p \ l = \text{LCons } y \ (\text{lfilter } p \ l') \ \& \ p \ y)$$

$\langle \text{proof} \rangle$

### 13.5 *lfilter*: simple facts by coinduction

**lemma** *lfilter-K-True*:  $\text{lfilter } (\%x. \ \text{True}) \ l = l$

$\langle \text{proof} \rangle$

**lemma** *lfilter-idem*:  $\text{lfilter } p \ (\text{lfilter } p \ l) = \text{lfilter } p \ l$

$\langle \text{proof} \rangle$

### 13.6 Numerous lemmas required to prove *lfilter-conj*

**lemma** *findRel-conj-lemma* [rule-format]:

$$\begin{aligned} & (l, l') \in \text{findRel } q \\ & \implies l' = \text{LCons } x \ l'' \ \longrightarrow \ p \ x \ \longrightarrow \ (l, l') \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x) \end{aligned}$$

$\langle \text{proof} \rangle$

**lemmas** *findRel-conj* = *findRel-conj-lemma* [OF - refl]

**lemma** *findRel-not-conj-Domain* [rule-format]:

$$\begin{aligned} & (l, l') \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x) \\ & \implies (l, \text{LCons } x \ l') \in \text{findRel } q \ \longrightarrow \ \sim \ p \ x \ \longrightarrow \\ & \quad l' \in \text{Domain } (\text{findRel } (\%x. \ p \ x \ \& \ q \ x)) \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *findRel-conj2* [rule-format]:

$$\begin{aligned} & (l, lxx) \in \text{findRel } q \\ & \implies lxx = \text{LCons } x \ lx \ \longrightarrow \ (lx, lz) \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x) \ \longrightarrow \ \sim \ p \ x \\ & \quad \longrightarrow \ (l, lz) \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x) \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *findRel-lfilter-Domain-conj* [rule-format]:

$$\begin{aligned} & (lx, ly) \in \text{findRel } p \\ & \implies \forall l. \ lx = \text{lfilter } q \ l \ \longrightarrow \ l \in \text{Domain } (\text{findRel } (\%x. \ p \ x \ \& \ q \ x)) \end{aligned}$$

$\langle \text{proof} \rangle$

**lemma** *findRel-conj-lfilter* [rule-format]:

$$\begin{aligned} & (l, l') \in \text{findRel } (\%x. \ p \ x \ \& \ q \ x) \\ & \implies l'' = \text{LCons } y \ l' \ \longrightarrow \end{aligned}$$

$(\text{lfilter } q \ l, \text{LCons } y \ (\text{lfilter } q \ l')) \in \text{findRel } p$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-conj-lemma*:

$(\text{lfilter } p \ (\text{lfilter } q \ l), \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ l)$   
 $\in \text{listD-Fun } (\text{range } (\%u. (\text{lfilter } p \ (\text{lfilter } q \ u),$   
 $\text{lfilter } (\%x. p \ x \ \& \ q \ x) \ u)))$

$\langle \text{proof} \rangle$

**lemma** *lfilter-conj*:  $\text{lfilter } p \ (\text{lfilter } q \ l) = \text{lfilter } (\%x. p \ x \ \& \ q \ x) \ l$

$\langle \text{proof} \rangle$

### 13.7 Numerous lemmas required to prove ??: $\text{lfilter } p \ (\text{lmap } f \ l) = \text{lmap } f \ (\text{lfilter } (\%x. p \ (f \ x)) \ l)$

**lemma** *findRel-lmap-Domain*:

$(l, l') \in \text{findRel}(\%x. p \ (f \ x)) \implies \text{lmap } f \ l \in \text{Domain}(\text{findRel } p)$

$\langle \text{proof} \rangle$

**lemma** *lmap-eq-LCons* [rule-format]:  $\text{lmap } f \ l = \text{LCons } x \ l' \dashrightarrow$

$(\exists y \ l''. x = f \ y \ \& \ l' = \text{lmap } f \ l'' \ \& \ l = \text{LCons } y \ l'')$

$\langle \text{proof} \rangle$

**lemma** *lmap-LCons-findRel-lemma* [rule-format]:

$(lx, ly) \in \text{findRel } p$   
 $\implies \forall l. \text{lmap } f \ l = lx \dashrightarrow ly = \text{LCons } x \ l' \dashrightarrow$   
 $(\exists y \ l''. x = f \ y \ \& \ l' = \text{lmap } f \ l'' \ \&$   
 $(l, \text{LCons } y \ l'') \in \text{findRel}(\%x. p \ (f \ x))$

$\langle \text{proof} \rangle$

**lemmas** *lmap-LCons-findRel = lmap-LCons-findRel-lemma* [OF - refl refl]

**lemma** *lfilter-lmap*:  $\text{lfilter } p \ (\text{lmap } f \ l) = \text{lmap } f \ (\text{lfilter } (p \ o \ f) \ l)$

$\langle \text{proof} \rangle$

end

## 14 Mutual Induction via Iterated Inductive Definitions

**theory** *Com* imports *Main* begin

**typedecl** *loc*

**types** *state* = *loc* => *nat*

**datatype**

$exp = N \text{ nat}$   
 |  $X \text{ loc}$   
 |  $Op \text{ nat} \Rightarrow \text{nat} \Rightarrow \text{nat} \text{ exp} \text{ exp}$   
 |  $valOf \text{ com} \text{ exp} \quad (VALOF - RESULTIS - 60)$

**and**

$com = SKIP$   
 |  $Assign \text{ loc} \text{ exp} \quad (\text{infixl} := 60)$   
 |  $Semi \text{ com} \text{ com} \quad (-;;- [60, 60] 60)$   
 |  $Cond \text{ exp} \text{ com} \text{ com} \quad (IF - THEN - ELSE - 60)$   
 |  $While \text{ exp} \text{ com} \quad (WHILE - DO - 60)$

## 14.1 Commands

Execution of commands

**abbreviation** (*input*)

$generic-rel \ (-/ \ -|[-] \rightarrow \ - [50,0,50] \ 50) \ \mathbf{where}$   
 $esig \ -|[-] \rightarrow \ ns \ == \ (esig, ns) \in \ eval$

Command execution. Natural numbers represent Booleans: 0=True, 1=False

**inductive-set**

$exec :: ((exp*state) * (nat*state)) \ set \Rightarrow ((com*state)*state) \ set$   
**and**  $exec-rel :: com * state \Rightarrow ((exp*state) * (nat*state)) \ set \Rightarrow state \Rightarrow bool$   
 $(-/ \ -|[-] \rightarrow \ - [50,0,50] \ 50)$   
**for**  $eval :: ((exp*state) * (nat*state)) \ set$   
**where**  
 $csig \ -|[-] \rightarrow \ s \ == \ (csig, s) \in \ exec \ eval$

| *Skip*:  $(SKIP, s) \ -|[-] \rightarrow \ s$

| *Assign*:  $(e, s) \ -|[-] \rightarrow \ (v, s') \ ==\Rightarrow (x := e, s) \ -|[-] \rightarrow \ s'(x:=v)$

| *Semi*:  $[[ (c0, s) \ -|[-] \rightarrow \ s2; (c1, s2) \ -|[-] \rightarrow \ s1 \ ]]$   
 $\ ==\Rightarrow (c0 \ ; \ ; \ c1, s) \ -|[-] \rightarrow \ s1$

| *IfTrue*:  $[[ (e, s) \ -|[-] \rightarrow \ (0, s'); (c0, s') \ -|[-] \rightarrow \ s1 \ ]]$   
 $\ ==\Rightarrow (IF \ e \ THEN \ c0 \ ELSE \ c1, s) \ -|[-] \rightarrow \ s1$

| *IfFalse*:  $[[ (e, s) \ -|[-] \rightarrow \ (Suc \ 0, s'); (c1, s') \ -|[-] \rightarrow \ s1 \ ]]$   
 $\ ==\Rightarrow (IF \ e \ THEN \ c0 \ ELSE \ c1, s) \ -|[-] \rightarrow \ s1$

| *WhileFalse*:  $(e, s) \ -|[-] \rightarrow \ (Suc \ 0, s1)$   
 $\ ==\Rightarrow (WHILE \ e \ DO \ c, s) \ -|[-] \rightarrow \ s1$

| *WhileTrue*:  $[[ (e, s) \ -|[-] \rightarrow \ (0, s1);$   
 $(c, s1) \ -|[-] \rightarrow \ s2; (WHILE \ e \ DO \ c, s2) \ -|[-] \rightarrow \ s3 \ ]]$   
 $\ ==\Rightarrow (WHILE \ e \ DO \ c, s) \ -|[-] \rightarrow \ s3$

**declare** *exec.intros* [*intro*]

### inductive-cases

$[elim!]: (SKIP, s) \text{ --}[eval]\text{--} > t$   
**and**  $[elim!]: (x:=a, s) \text{ --}[eval]\text{--} > t$   
**and**  $[elim!]: (c1;;c2, s) \text{ --}[eval]\text{--} > t$   
**and**  $[elim!]: (IF\ e\ THEN\ c1\ ELSE\ c2, s) \text{ --}[eval]\text{--} > t$   
**and** *exec-WHILE-case*:  $(WHILE\ b\ DO\ c, s) \text{ --}[eval]\text{--} > t$

Justifies using "exec" in the inductive definition of "eval"

**lemma** *exec-mono*:  $A \leq B \implies exec(A) \leq exec(B)$

*<proof>*

**lemma** *[pred-set-conv]*:

$((\lambda x\ x'\ y\ y'. ((x, x'), (y, y')) \in R) \leq (\lambda x\ x'\ y\ y'. ((x, x'), (y, y')) \in S)) = (R \leq S)$

*<proof>*

**lemma** *[pred-set-conv]*:

$((\lambda x\ x'\ y. ((x, x'), y) \in R) \leq (\lambda x\ x'\ y. ((x, x'), y) \in S)) = (R \leq S)$

*<proof>*

**declare**  $[[unify-trace-bound = 30, unify-search-bound = 60]]$

Command execution is functional (deterministic) provided evaluation is

**theorem** *single-valued-exec*:  $single\text{-valued}\ ev \implies single\text{-valued}(exec\ ev)$

*<proof>*

## 14.2 Expressions

Evaluation of arithmetic expressions

### inductive-set

*eval*  $:: ((exp*state) * (nat*state))\ set$   
**and** *eval-rel*  $:: [exp*state, nat*state] \implies bool$  (**infixl**  $-\!|\!-\!>$  50)  
**where**  
 $esig\ -\!|\!-\!>\ ns \implies (esig, ns) \in eval$

$| N\ [intro!]: (N(n), s) \text{ --}|\!-\!>\ (n, s)$

$| X\ [intro!]: (X(x), s) \text{ --}|\!-\!>\ (s(x), s)$

$| Op\ [intro]: [[ (e0, s) \text{ --}|\!-\!>\ (n0, s0); (e1, s0) \text{ --}|\!-\!>\ (n1, s1) ]]$   
 $\implies (Op\ f\ e0\ e1, s) \text{ --}|\!-\!>\ (f\ n0\ n1, s1)$

$| valOf\ [intro]: [[ (c, s) \text{ --}[eval]\text{--} > s0; (e, s0) \text{ --}|\!-\!>\ (n, s1) ]]$   
 $\implies (VALOF\ c\ RESULTIS\ e, s) \text{ --}|\!-\!>\ (n, s1)$

**monos** *exec-mono*



$\langle proof \rangle$

**lemma** *eval-N-E* [*dest!*]:  $(N\ n, s) \dashv\rightarrow (v, s') \implies (v = n \ \& \ s' = s)$   
 $\langle proof \rangle$

This theorem says that "WHILE TRUE DO c" cannot terminate

**lemma** *while-true-E*:

$(c', s) \dashv\rightarrow t \implies c' = \text{WHILE } (N\ 0) \text{ DO } c \implies \text{False}$   
 $\langle proof \rangle$

### 14.3 Equivalence of IF e THEN c;;(WHILE e DO c) ELSE SKIP and WHILE e DO c

**lemma** *while-if1*:

$(c', s) \dashv\rightarrow t$   
 $\implies c' = \text{WHILE } e \text{ DO } c \implies$   
 $(\text{IF } e \text{ THEN } c;;c' \text{ ELSE SKIP}, s) \dashv\rightarrow t$   
 $\langle proof \rangle$

**lemma** *while-if2*:

$(c', s) \dashv\rightarrow t$   
 $\implies c' = \text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP} \implies$   
 $(\text{WHILE } e \text{ DO } c, s) \dashv\rightarrow t$   
 $\langle proof \rangle$

**theorem** *while-if*:

$((\text{IF } e \text{ THEN } c;;(\text{WHILE } e \text{ DO } c) \text{ ELSE SKIP}, s) \dashv\rightarrow t) =$   
 $((\text{WHILE } e \text{ DO } c, s) \dashv\rightarrow t)$   
 $\langle proof \rangle$

### 14.4 Equivalence of (IF e THEN c1 ELSE c2);;c and IF e THEN (c1;;c) ELSE (c2;;c)

**lemma** *if-semi1*:

$(c', s) \dashv\rightarrow t$   
 $\implies c' = (\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c \implies$   
 $(\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \dashv\rightarrow t$   
 $\langle proof \rangle$

**lemma** *if-semi2*:

$(c', s) \dashv\rightarrow t$   
 $\implies c' = \text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c) \implies$   
 $((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \dashv\rightarrow t$   
 $\langle proof \rangle$

**theorem** *if-semi*:  $((\text{IF } e \text{ THEN } c1 \text{ ELSE } c2);;c, s) \dashv\rightarrow t =$   
 $((\text{IF } e \text{ THEN } (c1;;c) \text{ ELSE } (c2;;c), s) \dashv\rightarrow t)$   
 $\langle proof \rangle$

## 14.5 Equivalence of VALOF c1 RESULTIS (VALOF c2 RESULTIS e) and VALOF c1;;c2 RESULTIS e

**lemma** *valof-valof1*:

$$\begin{aligned} & (e',s) \dashv\vdash (v,s') \\ \implies & e' = \text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e) \implies \\ & (\text{VALOF } c1;;c2 \text{ RESULTIS } e, s) \dashv\vdash (v,s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *valof-valof2*:

$$\begin{aligned} & (e',s) \dashv\vdash (v,s') \\ \implies & e' = \text{VALOF } c1;;c2 \text{ RESULTIS } e \implies \\ & (\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v,s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**theorem** *valof-valof*:

$$\begin{aligned} & ((\text{VALOF } c1 \text{ RESULTIS } (\text{VALOF } c2 \text{ RESULTIS } e), s) \dashv\vdash (v,s')) = \\ & ((\text{VALOF } c1;;c2 \text{ RESULTIS } e, s) \dashv\vdash (v,s')) \\ & \langle \text{proof} \rangle \end{aligned}$$

## 14.6 Equivalence of VALOF SKIP RESULTIS e and e

**lemma** *valof-skip1*:

$$\begin{aligned} & (e',s) \dashv\vdash (v,s') \\ \implies & e' = \text{VALOF SKIP RESULTIS } e \implies \\ & (e, s) \dashv\vdash (v,s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *valof-skip2*:

$$\begin{aligned} & (e,s) \dashv\vdash (v,s') \implies (\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v,s') \\ & \langle \text{proof} \rangle \end{aligned}$$

**theorem** *valof-skip*:

$$\begin{aligned} & ((\text{VALOF SKIP RESULTIS } e, s) \dashv\vdash (v,s')) = ((e, s) \dashv\vdash (v,s')) \\ & \langle \text{proof} \rangle \end{aligned}$$

## 14.7 Equivalence of VALOF x:=e RESULTIS x and e

**lemma** *valof-assign1*:

$$\begin{aligned} & (e',s) \dashv\vdash (v,s'') \\ \implies & e' = \text{VALOF } x:=e \text{ RESULTIS } X x \implies \\ & (\exists s'. (e, s) \dashv\vdash (v,s') \ \& \ (s'' = s'(x:=v))) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *valof-assign2*:

$$\begin{aligned} & (e,s) \dashv\vdash (v,s') \implies (\text{VALOF } x:=e \text{ RESULTIS } X x, s) \dashv\vdash (v,s'(x:=v)) \\ & \langle \text{proof} \rangle \end{aligned}$$

**end**