Hoare Logic

Various

November 22, 2007

Abstract

These theories contain a Hoare logic for a simple imperative programming language with while-loops, including a verification condition generator.

Special infrastructure for modelling and reasoning about pointer programs is provided, together with many examples, including Schorr-Waite. See [1, 2] for an excellent exposition.

Contents

theory *Hoare* **imports** *Main*
uses (*hoare-tac.ML*)
begin

types
'a bexp = 'a set
'a assn = 'a set

datatype
'a com = Basic 'a ⇒ 'a
| Seq 'a com 'a com ((-;/ -) [61,60] 60)
| Cond 'a bexp 'a com 'a com ((1IF -/ THEN - / ELSE -/ FI) [0,0,0] 61)
| While 'a bexp 'a assn 'a com ((1WHILE -/ INV {-} //DO - /OD) [0,0,0] 61)

syntax
@assign :: id => 'b => 'a com ((2- :=/ -) [70,65] 61)
@annskip :: 'a com (SKIP)

translations
SKIP == Basic id

types *'a sem = 'a => 'a => bool*

consts *iter :: nat => 'a bexp => 'a sem => 'a sem*
primrec
iter 0 b S = (%s s'. s ~: b & (s=s'))
iter (Suc n) b S = (%s s'. s : b & (? s''. S s s'' & iter n b S s'' s'))

consts *Sem :: 'a com => 'a sem*
primrec
Sem(Basic f) s s' = (s' = f s)
Sem(c1;c2) s s' = (? s''. Sem c1 s s'' & Sem c2 s'' s')
Sem(IF b THEN c1 ELSE c2 FI) s s' = ((s : b --> Sem c1 s s') &
(s ~: b --> Sem c2 s s'))
Sem(While b x c) s s' = (? n. iter n b (Sem c) s s')

constdefs *Valid :: 'a bexp ⇒ 'a com ⇒ 'a bexp ⇒ bool*
Valid p c q == !s s'. Sem c s s' --> s : p --> s' : q

syntax
@hoare-vars :: [idts, 'a assn,'a com,'a assn] => bool
(VARS -// {-} // - // {-} [0,0,55,0] 50)
syntax (**output**)
@hoare :: ['a assn,'a com,'a assn] => bool
({-} // - // {-} [0,55,0] 50)

ML⟪

```
local

fun abs((a,T),body) =
  let val a = absfree(a, dummyT, body)
  in if T = Bound 0 then a else Const(Syntax.constrainAbsC,dummyT) $ a $ T
end
in

fun mk-abstuple [x] body = abs (x, body)
  | mk-abstuple (x::xs) body =
      Syntax.const split $ abs (x, mk-abstuple xs body);

fun mk-fbody a e [x as (b,-)] = if a=b then e else Syntax.free b
  | mk-fbody a e ((b,-)::xs) =
      Syntax.const Pair $ (if a=b then e else Syntax.free b) $ mk-fbody a e xs;

fun mk-fexp a e xs = mk-abstuple xs (mk-fbody a e xs)
end
```

⟫

ML⟪

```
fun bexp-tr (Const (TRUE, -)) xs = Syntax.const TRUE
  | bexp-tr b xs = Syntax.const Collect $ mk-abstuple xs b;

fun assn-tr r xs = Syntax.const Collect $ mk-abstuple xs r;
```

⟫

ML⟪

```
fun com-tr (Const(@assign,-) $ Free (a,-) $ e) xs =
      Syntax.const Basic $ mk-fexp a e xs
  | com-tr (Const (Basic,-) $ f) xs = Syntax.const Basic $ f
  | com-tr (Const (Seq,-) $ c1 $ c2) xs =
      Syntax.const Seq $ com-tr c1 xs $ com-tr c2 xs
  | com-tr (Const (Cond,-) $ b $ c1 $ c2) xs =
      Syntax.const Cond $ bexp-tr b xs $ com-tr c1 xs $ com-tr c2 xs
  | com-tr (Const (While,-) $ b $ I $ c) xs =
      Syntax.const While $ bexp-tr b xs $ assn-tr I xs $ com-tr c xs
  | com-tr t - = t (* if t is just a Free/Var *)
```

⟫

ML⟪

```
local

fun var-tr(Free(a,-)) = (a,Bound 0) (* Bound 0 = dummy term *)
  | var-tr(Const (-constrain, -) $ (Free (a,-)) $ T) = (a,T);

fun vars-tr (Const (-idts, -) $ idt $ vars) = var-tr idt :: vars-tr vars
  | vars-tr t = [var-tr t]

in
fun hoare-vars-tr [vars, pre, prg, post] =
      let val xs = vars-tr vars
      in Syntax.const Valid $
         assn-tr pre xs $ com-tr prg xs $ assn-tr post xs
      end
  | hoare-vars-tr ts = raise TERM (hoare-vars-tr, ts);
end
⟩⟩

parse-translation ⟨⟨ [(@hoare-vars, hoare-vars-tr)] ⟩⟩
```

```
ML⟨⟨
fun dest-abstuple (Const (split,-) $ (Abs(v,-, body))) =
                        subst-bound (Syntax.free v, dest-abstuple body)
  | dest-abstuple (Abs(v,-, body)) = subst-bound (Syntax.free v, body)
  | dest-abstuple trm = trm;

fun abs2list (Const (split,-) $ (Abs(x,T,t))) = Free (x, T)::abs2list t
  | abs2list (Abs(x,T,t)) = [Free (x, T)]
  | abs2list - = [];

fun mk-ts (Const (split,-) $ (Abs(x,-,t))) = mk-ts t
  | mk-ts (Abs(x,-,t)) = mk-ts t
  | mk-ts (Const (Pair,-) $ a $ b) = a::(mk-ts b)
  | mk-ts t = [t];

fun mk-vts (Const (split,-) $ (Abs(x,-,t))) =
          ((Syntax.free x)::(abs2list t), mk-ts t)
  | mk-vts (Abs(x,-,t)) = ([Syntax.free x], [t])
  | mk-vts t = raise Match;

fun find-ch [] i xs = (false, (Syntax.free not-ch,Syntax.free not-ch ))
  | find-ch ((v,t)::vts) i xs = if t=(Bound i) then find-ch vts (i-1) xs
             else (true, (v, subst-bounds (xs,t)));

fun is-f (Const (split,-) $ (Abs(x,-,t))) = true
```

```
  | is-f (Abs(x,-,t)) = true
  | is-f t = false;
⟩⟩

ML⟨⟨
fun assn-tr' (Const (Collect,-) $ T) = dest-abstuple T
  | assn-tr' (Const (op Int,-) $ (Const (Collect,-) $ T1) $
                                 (Const (Collect,-) $ T2)) =
          Syntax.const op Int $ dest-abstuple T1 $ dest-abstuple T2
  | assn-tr' t = t;

fun bexp-tr' (Const (Collect,-) $ T) = dest-abstuple T
  | bexp-tr' t = t;
⟩⟩

ML⟨⟨
fun mk-assign f =
  let val (vs, ts) = mk-vts f;
      val (ch, which) = find-ch (vs@@ts) ((length vs)-1) (rev vs)
  in if ch then Syntax.const @assign $ fst(which) $ snd(which)
     else Syntax.const @skip end;

fun com-tr' (Const (Basic,-) $ f) = if is-f f then mk-assign f
                                    else Syntax.const Basic $ f
  | com-tr' (Const (Seq,-) $ c1 $ c2) = Syntax.const Seq $
                                        com-tr' c1 $ com-tr' c2
  | com-tr' (Const (Cond,-) $ b $ c1 $ c2) = Syntax.const Cond $
                                    bexp-tr' b $ com-tr' c1 $ com-tr' c2
  | com-tr' (Const (While,-) $ b $ I $ c) = Syntax.const While $
                                      bexp-tr' b $ assn-tr' I $ com-tr' c
  | com-tr' t = t;


fun spec-tr' [p, c, q] =
  Syntax.const @hoare $ assn-tr' p $ com-tr' c $ assn-tr' q
⟩⟩

print-translation ⟨⟨ [(Valid, spec-tr')] ⟩⟩

lemma SkipRule: p ⊆ q ⟹ Valid p (Basic id) q
by (auto simp:Valid-def)

lemma BasicRule: p ⊆ {s. f s ∈ q} ⟹ Valid p (Basic f) q
by (auto simp:Valid-def)

lemma SeqRule: Valid P c1 Q ⟹ Valid Q c2 R ⟹ Valid P (c1;c2) R
by (auto simp:Valid-def)
```

lemma *CondRule*:
$p \subseteq \{s.\ (s \in b \longrightarrow s \in w) \wedge (s \notin b \longrightarrow s \in w')\}$
$\Longrightarrow$ *Valid w c1 q* $\Longrightarrow$ *Valid w' c2 q* $\Longrightarrow$ *Valid p* (*Cond b c1 c2*) *q*
by (*auto simp*:*Valid-def*)

lemma *iter-aux*: ! *s s'*. *Sem c s s'* −−> *s* : *I* & *s* : *b* −−> *s'* : *I* ==>
$(\bigwedge s\ s'.\ s : I \Longrightarrow iter\ n\ b\ (Sem\ c)\ s\ s' \Longrightarrow s' : I\ \&\ s'\ \sim : b)$
apply(*induct n*)
apply *clarsimp*
apply(*simp* (*no-asm-use*))
apply *blast*
done

lemma *WhileRule*:
$p \subseteq i \Longrightarrow$ *Valid* $(i \cap b)$ *c i* $\Longrightarrow$ $i \cap (-b) \subseteq q \Longrightarrow$ *Valid p* (*While b i c*) *q*
apply (*clarsimp simp*:*Valid-def*)
apply(*drule iter-aux*)
prefer *2* **apply** *assumption*
apply *blast*
apply *blast*
done

lemma *Compl-Collect*: $-(Collect\ b) = \{x.\ \sim(b\ x)\}$
by *blast*

use *hoare-tac.ML*

method-setup *vcg* = $\langle\langle$
Method.no-args (*Method.SIMPLE-METHOD'* (*hoare-tac* (*K all-tac*))) $\rangle\rangle$
verification condition generator

method-setup *vcg-simp* = $\langle\langle$
Method.ctxt-args (*fn ctxt* =>
Method.SIMPLE-METHOD' (*hoare-tac* (*asm-full-simp-tac* (*local-simpset-of ctxt*)))) $\rangle\rangle$
verification condition generator plus simplification

end

theory *Arith2*
imports *Main*
begin

constdefs
cd :: [*nat*, *nat*, *nat*] => *bool*

```
  cd x m n  == x dvd m & x dvd n

  gcd     :: [nat, nat] => nat
  gcd m n     == @x.(cd x m n) & (!y.(cd y m n) --> y<=x)

consts fac     :: nat => nat

primrec
  fac 0 = Suc 0
  fac(Suc n) = (Suc n)*fac(n)
```

cd

```
lemma cd-nnn: 0<n ==> cd n n n
  apply (simp add: cd-def)
  done

lemma cd-le: [| cd x m n; 0<m; 0<n |] ==> x<=m & x<=n
  apply (unfold cd-def)
  apply (blast intro: dvd-imp-le)
  done

lemma cd-swap: cd x m n = cd x n m
  apply (unfold cd-def)
  apply blast
  done

lemma cd-diff-l: n<=m ==> cd x m n = cd x (m-n) n
  apply (unfold cd-def)
  apply (blast intro: dvd-diff dest: dvd-diffD)
  done

lemma cd-diff-r: m<=n ==> cd x m n = cd x m (n-m)
  apply (unfold cd-def)
  apply (blast intro: dvd-diff dest: dvd-diffD)
  done
```

gcd

```
lemma gcd-nnn: 0<n ==> n = gcd n n
  apply (unfold gcd-def)
  apply (frule cd-nnn)
  apply (rule some-equality [symmetric])
  apply (blast dest: cd-le)
  apply (blast intro: le-anti-sym dest: cd-le)
  done

lemma gcd-swap: gcd m n = gcd n m
  apply (simp add: gcd-def cd-swap)
  done
```

lemma *gcd-diff-l*: *n<=m ==> gcd m n = gcd (m−n) n*
apply (*unfold gcd-def*)
apply (*subgoal-tac n<=m ==> !x. cd x m n = cd x (m−n) n*)
apply *simp*
apply (*rule allI*)
apply (*erule cd-diff-l*)
done

lemma *gcd-diff-r*: *m<=n ==> gcd m n = gcd m (n−m)*
apply (*unfold gcd-def*)
apply (*subgoal-tac m<=n ==> !x. cd x m n = cd x m (n−m)*)
apply *simp*
apply (*rule allI*)
apply (*erule cd-diff-r*)
done

pow

lemma *sq-pow-div2* [*simp*]:
m mod 2 = 0 ==> ((n::nat)∗n) ^(m div 2) = n ^m
apply (*simp add*: *power2-eq-square* [*symmetric*] *power-mult* [*symmetric*] *mult-div-cancel*)
done

end

theory *Examples* **imports** *Hoare Arith2* **begin**

lemma *multiply-by-add*: *VARS m s a b*
{*a=A & b=B*}
m := 0; *s := 0*;
WHILE m~=a
INV {*s=m∗b & a=A & b=B*}
DO s := s+b; *m := m+(1::nat) OD*
{*s = A∗B*}
by *vcg-simp*

lemma *VARS M N P :: int*
{*m=M & n=N*}
IF M < 0 THEN M := −M; *N := −N ELSE SKIP FI*;
P := 0;
WHILE 0 < M
INV {*0 <= M & (EX p. p = (if m<0 then −m else m) & p∗N = m∗n & P =*

$(p-M)*N)\}$
DO $P := P+N$; $M := M - 1$ *OD*
$\{P = m*n\}$
apply *vcg-simp*
apply (*simp add*:*int-distrib*)
apply *clarsimp*
apply(*rule conjI*)
apply *clarsimp*
apply *clarsimp*
done

lemma *Euclid-GCD*: *VARS a b*
$\{0<A \ \&\ 0<B\}$
$a := A$; $b := B$;
WHILE $a \neq b$
INV $\{0<a \ \&\ 0<b \ \&\ gcd\ A\ B = gcd\ a\ b\}$
DO IF $a<b$ *THEN* $b := b-a$ *ELSE* $a := a-b$ *FI OD*
$\{a = gcd\ A\ B\}$
apply *vcg*

apply *auto*
apply(*simp add*: *gcd-diff-r less-imp-le*)
apply(*simp add*: *linorder-not-less gcd-diff-l*)
apply(*erule gcd-nnn*)
done

lemmas *distribs* =
diff-mult-distrib diff-mult-distrib2 add-mult-distrib add-mult-distrib2

lemma *gcd-scm*: *VARS a b x y*
$\{0<A \ \&\ 0<B \ \&\ a=A \ \&\ b=B \ \&\ x=B \ \&\ y=A\}$
WHILE $a \sim= b$
INV $\{0<a \ \&\ 0<b \ \&\ gcd\ A\ B = gcd\ a\ b \ \&\ 2*A*B = a*x + b*y\}$
DO IF $a<b$ *THEN* $(b := b-a;\ x := x+y)$ *ELSE* $(a := a-b;\ y := y+x)$ *FI OD*
$\{a = gcd\ A\ B \ \&\ 2*A*B = a*(x+y)\}$
apply *vcg*
apply *simp*
apply(*simp add*: *distribs gcd-diff-r linorder-not-less gcd-diff-l*)
apply(*simp add*: *distribs gcd-nnn*)
done

lemma *power-by-mult*: *VARS a b c*

```
 {a=A & b=B}
 c := (1::nat);
 WHILE b ~= 0
 INV {A^B = c * a^b}
 DO  WHILE b mod 2 = 0
     INV {A^B = c * a^b}
     DO  a := a*a; b := b div 2 OD;
     c := c*a; b := b - 1
 OD
 {c = A^B}
apply vcg-simp
apply(case-tac b)
 apply(simp add: mod-less)
apply simp
done



lemma factorial: VARS a b
 {a=A}
 b := 1;
 WHILE a ~= 0
 INV {fac A = b * fac a}
 DO b := b*a; a := a - 1 OD
 {b = fac A}
apply vcg-simp
apply(clarsimp split: nat-diff-split)
done

lemma [simp]: 1 ≤ i ⟹ fac (i - Suc 0) * i = fac i
by(induct i, simp-all)

lemma VARS i f
 {True}
 i := (1::nat); f := 1;
 WHILE i <= n INV {f = fac(i - 1) & 1 <= i & i <= n+1}
 DO f := f*i; i := i+1 OD
 {f = fac n}
apply vcg-simp
apply(subgoal-tac i = Suc n)
apply simp
apply arith
done



lemma sqrt: VARS r x
```

```
 {True}
 x := X; r := (0::nat);
 WHILE (r+1)*(r+1) <= x
 INV {r*r <= x & x=X}
 DO r := r+1 OD
 {r*r <= X & X < (r+1)*(r+1)}
apply vcg-simp
done
```

```
lemma sqrt-without-multiplication: VARS u w r x
 {True}
 x := X; u := 1; w := 1; r := (0::nat);
 WHILE w <= x
 INV {u = r+r+1 & w = (r+1)*(r+1) & r*r <= x & x=X}
 DO r := r + 1; w := w + u + 2; u := u + 2 OD
 {r*r <= X & X < (r+1)*(r+1)}
apply vcg-simp
done
```

```
lemma imperative-reverse: VARS y x
 {x=X}
 y:=[];
 WHILE x ~= []
 INV {rev(x)@y = rev(X)}
 DO y := (hd x # y); x := tl x OD
 {y=rev(X)}
apply vcg-simp
 apply(simp add: neq-Nil-conv)
 apply auto
done

lemma imperative-append: VARS x y
 {x=X & y=Y}
 x := rev(x);
 WHILE x~=[]
 INV {rev(x)@y = X@Y}
 DO y := (hd x # y);
    x := tl x
 OD
 {y = X@Y}
apply vcg-simp
apply(simp add: neq-Nil-conv)
apply auto
done
```

lemma *zero-search*: *VARS A i*
{True}
i := 0;
WHILE i < length A & A!i ~= key
INV {!j. j<i --> A!j ~= key}
DO i := i+1 OD
{(i < length A --> A!i = key) &
(i = length A --> (!j. j < length A --> A!j ~= key))}
apply *vcg-simp*
apply(*blast elim*!: *less-SucE*)
done

lemma *lem*: *m − Suc 0 < n ==> m < Suc n*
by *arith*

lemma *Partition*:
[| leq == %A i. !k. k<i --> A!k <= pivot;
geq == %A i. !k. i<k & k<length A --> pivot <= A!k |] ==>
VARS A u l
{0 < length(A::('a::order)list)}
l := 0; u := length A − Suc 0;
WHILE l <= u
INV {leq A l & geq A u & u<length A & l<=length A}
DO WHILE l < length A & A!l <= pivot
INV {leq A l & geq A u & u<length A & l<=length A}
DO l := l+1 OD;
WHILE 0 < u & pivot <= A!u
INV {leq A l & geq A u & u<length A & l<=length A}
DO u := u − 1 OD;
IF l <= u THEN A := A[l := A!u, u := A!l] ELSE SKIP FI
OD
{leq A u & (!k. u<k & k<l --> A!k = pivot) & geq A l}

apply (*simp*)
apply (*erule thin-rl*)+
apply *vcg-simp*
apply (*force simp*: *neq-Nil-conv*)
apply (*blast elim*!: *less-SucE intro*: *Suc-leI*)
apply (*blast elim*!: *less-SucE intro*: *less-imp-diff-less dest*: *lem*)
apply (*force simp*: *nth-list-update*)
done

end

theory *HoareAbort* **imports** *Main*
begin

types
'a bexp = 'a set
'a assn = 'a set

datatype
'a com = Basic 'a ⇒ 'a
| *Abort*
| *Seq 'a com 'a com* ((-;/ -) [61,60] 60)
| *Cond 'a bexp 'a com 'a com* ((1IF -/ THEN - / ELSE -/ FI) [0,0,0] 61)
| *While 'a bexp 'a assn 'a com* ((1WHILE -/ INV {-} //DO - /OD) [0,0,0] 61)

syntax
@*assign* :: *id => 'b => 'a com* ((2- :=/ -) [70,65] 61)
@*annskip* :: *'a com* (SKIP)

translations
SKIP == Basic id

types *'a sem = 'a option => 'a option => bool*

consts *iter* :: *nat => 'a bexp => 'a sem => 'a sem*
primrec
iter 0 b S = (λ*s s'*. *s* ∉ *Some* ‘ *b* ∧ *s=s'*)
iter (*Suc n*) *b S* =
(λ*s s'*. *s* ∈ *Some* ‘ *b* ∧ (∃ *s''*. *S s s''* ∧ *iter n b S s'' s'*))

consts *Sem* :: *'a com => 'a sem*
primrec
Sem(*Basic f*) *s s'* = (*case s of None* ⇒ *s'* = *None* | *Some t* ⇒ *s'* = *Some*(*f t*))
Sem Abort s s' = (*s'* = *None*)
Sem(*c1;c2*) *s s'* = (∃ *s''*. *Sem c1 s s''* ∧ *Sem c2 s'' s'*)
Sem(*IF b THEN c1 ELSE c2 FI*) *s s'* =
(*case s of None* ⇒ *s'* = *None*
| *Some t* ⇒ ((*t* ∈ *b* ⟶ *Sem c1 s s'*) ∧ (*t* ∉ *b* ⟶ *Sem c2 s s'*)))
Sem(*While b x c*) *s s'* =
(*if s* = *None then s'* = *None else* ∃ *n*. *iter n b* (*Sem c*) *s s'*)

constdefs *Valid* :: *'a bexp* ⇒ *'a com* ⇒ *'a bexp* ⇒ *bool*
Valid p c q == ∀ *s s'*. *Sem c s s'* ⟶ *s* : *Some* ‘ *p* ⟶ *s'* : *Some* ‘ *q*

syntax

```
 @hoare-vars :: [idts, 'a assn,'a com,'a assn] => bool
                (VARS -// {-} // - // {-} [0,0,55,0] 50)
syntax ( output)
 @hoare      :: ['a assn,'a com,'a assn] => bool
                ({-} // - // {-} [0,55,0] 50)


ML⟪

local
fun free a = Free(a,dummyT)
fun abs((a,T),body) =
  let val a = absfree(a, dummyT, body)
  in if T = Bound 0 then a else Const(Syntax.constrainAbsC,dummyT) $ a $ T
end
in

fun mk-abstuple [x] body = abs (x, body)
  | mk-abstuple (x::xs) body =
      Syntax.const split $ abs (x, mk-abstuple xs body);

fun mk-fbody a e [x as (b,-)] = if a=b then e else free b
  | mk-fbody a e ((b,-)::xs) =
      Syntax.const Pair $ (if a=b then e else free b) $ mk-fbody a e xs;

fun mk-fexp a e xs = mk-abstuple xs (mk-fbody a e xs)
end
⟫


ML⟪
fun bexp-tr (Const (TRUE, -)) xs = Syntax.const TRUE
  | bexp-tr b xs = Syntax.const Collect $ mk-abstuple xs b;

fun assn-tr r xs = Syntax.const Collect $ mk-abstuple xs r;
⟫

ML⟪
fun com-tr (Const(@assign,-) $ Free (a,-) $ e) xs =
      Syntax.const Basic $ mk-fexp a e xs
  | com-tr (Const (Basic,-) $ f) xs = Syntax.const Basic $ f
  | com-tr (Const (Seq,-) $ c1 $ c2) xs =
      Syntax.const Seq $ com-tr c1 xs $ com-tr c2 xs
  | com-tr (Const (Cond,-) $ b $ c1 $ c2) xs =
      Syntax.const Cond $ bexp-tr b xs $ com-tr c1 xs $ com-tr c2 xs
  | com-tr (Const (While,-) $ b $ I $ c) xs =
      Syntax.const While $ bexp-tr b xs $ assn-tr I xs $ com-tr c xs
```

```
  | com-tr t - = t (* if t is just a Free/Var *)
⟩⟩

ML⟨⟨
local

fun var-tr(Free(a,-)) = (a,Bound 0) (* Bound 0 = dummy term *)
  | var-tr(Const (-constrain, -) $ (Free (a,-)) $ T) = (a,T);

fun vars-tr (Const (-idts, -) $ idt $ vars) = var-tr idt :: vars-tr vars
  | vars-tr t = [var-tr t]

in
fun hoare-vars-tr [vars, pre, prg, post] =
      let val xs = vars-tr vars
      in Syntax.const Valid $
         assn-tr pre xs $ com-tr prg xs $ assn-tr post xs
      end
  | hoare-vars-tr ts = raise TERM (hoare-vars-tr, ts);
end
⟩⟩

parse-translation ⟨⟨ [(@hoare-vars, hoare-vars-tr)] ⟩⟩



ML⟨⟨
fun dest-abstuple (Const (split,-) $ (Abs(v,-, body))) =
                        subst-bound (Syntax.free v, dest-abstuple body)
  | dest-abstuple (Abs(v,-, body)) = subst-bound (Syntax.free v, body)
  | dest-abstuple trm = trm;

fun abs2list (Const (split,-) $ (Abs(x,T,t))) = Free (x, T)::abs2list t
  | abs2list (Abs(x,T,t)) = [Free (x, T)]
  | abs2list - = [];

fun mk-ts (Const (split,-) $ (Abs(x,-,t))) = mk-ts t
  | mk-ts (Abs(x,-,t)) = mk-ts t
  | mk-ts (Const (Pair,-) $ a $ b) = a::(mk-ts b)
  | mk-ts t = [t];

fun mk-vts (Const (split,-) $ (Abs(x,-,t))) =
          ((Syntax.free x)::(abs2list t), mk-ts t)
  | mk-vts (Abs(x,-,t)) = ([Syntax.free x], [t])
  | mk-vts t = raise Match;
```

```
fun find-ch [] i xs = (false, (Syntax.free not-ch,Syntax.free not-ch ))
  | find-ch ((v,t)::vts) i xs = if t=(Bound i) then find-ch vts (i−1) xs
            else (true, (v, subst-bounds (xs,t)));

fun is-f (Const (split,-) $ (Abs(x,-,t))) = true
  | is-f (Abs(x,-,t)) = true
  | is-f t = false;
⟩⟩
```

ML⟨⟨
```
fun assn-tr' (Const (Collect,-) $ T) = dest-abstuple T
  | assn-tr' (Const (op Int,-) $ (Const (Collect,-) $ T1) $
                                 (Const (Collect,-) $ T2)) =
          Syntax.const op Int $ dest-abstuple T1 $ dest-abstuple T2
  | assn-tr' t = t;

fun bexp-tr' (Const (Collect,-) $ T) = dest-abstuple T
  | bexp-tr' t = t;
⟩⟩
```

ML⟨⟨
```
fun mk-assign f =
  let val (vs, ts) = mk-vts f;
      val (ch, which) = find-ch (vs^~^ts) ((length vs)−1) (rev vs)
  in if ch then Syntax.const @assign $ fst(which) $ snd(which)
     else Syntax.const @skip end;

fun com-tr' (Const (Basic,-) $ f) = if is-f f then mk-assign f
                                    else Syntax.const Basic $ f
  | com-tr' (Const (Seq,-) $ c1 $ c2) = Syntax.const Seq $
                                        com-tr' c1 $ com-tr' c2
  | com-tr' (Const (Cond,-) $ b $ c1 $ c2) = Syntax.const Cond $
                                    bexp-tr' b $ com-tr' c1 $ com-tr' c2
  | com-tr' (Const (While,-) $ b $ I $ c) = Syntax.const While $
                                      bexp-tr' b $ assn-tr' I $ com-tr' c
  | com-tr' t = t;


fun spec-tr' [p, c, q] =
  Syntax.const @hoare $ assn-tr' p $ com-tr' c $ assn-tr' q
⟩⟩
```

print-translation ⟨⟨ [(*Valid*, *spec-tr'*)] ⟩⟩

lemma *SkipRule*: $p \subseteq q \Longrightarrow$ *Valid* p (*Basic id*) q

by (*auto simp*:*Valid-def*)

lemma *BasicRule*: $p \subseteq \{s.\ f\ s \in q\} \Longrightarrow$ *Valid* p (*Basic* f) q
by (*auto simp*:*Valid-def*)

lemma *SeqRule*: *Valid* P *c1* $Q \Longrightarrow$ *Valid* Q *c2* $R \Longrightarrow$ *Valid* P (*c1*;*c2*) R
by (*auto simp*:*Valid-def*)

lemma *CondRule*:
$p \subseteq \{s.\ (s \in b \longrightarrow s \in w) \wedge (s \notin b \longrightarrow s \in w')\}$
$\Longrightarrow$ *Valid* w *c1* $q \Longrightarrow$ *Valid* w' *c2* $q \Longrightarrow$ *Valid* p (*Cond* b *c1* *c2*) q
by (*fastsimp simp*:*Valid-def image-def*)

lemma *iter-aux*:
$!\ s\ s'.$ *Sem* $c\ s\ s' \longrightarrow s \in$ *Some* ‘ $(I \cap b) \longrightarrow s' \in$ *Some* ‘ $I \Longrightarrow$
$(\bigwedge s\ s'.\ s \in$ *Some* ‘ $I \Longrightarrow$ *iter* $n\ b$ (*Sem* c) $s\ s' \Longrightarrow s' \in$ *Some* ‘ $(I \cap -b))$
apply(*unfold image-def*)
apply(*induct n*)
apply *clarsimp*
apply(*simp* (*no-asm-use*))
apply *blast*
done

lemma *WhileRule*:
$p \subseteq i \Longrightarrow$ *Valid* $(i \cap b)\ c\ i \Longrightarrow i \cap (-b) \subseteq q \Longrightarrow$ *Valid* p (*While* $b\ i\ c$) q
apply(*simp add*:*Valid-def*)
apply(*simp* (*no-asm*) *add*:*image-def*)
apply *clarify*
apply(*drule iter-aux*)
prefer *2* **apply** *assumption*
apply *blast*
apply *blast*
done

lemma *AbortRule*: $p \subseteq \{s.\ False\} \Longrightarrow$ *Valid* p *Abort* q
by(*auto simp*:*Valid-def*)

0.0.1 Derivation of the proof rules and, most importantly, the VCG tactic

ML ⟪
(*** *The tactics* ***)

(***)
(** *The function Mset makes the theorem* **)
(** *?Mset <= {(x1,...,xn). ?P (x1,...,xn)} ==> ?Mset <= {s. ?P s},* **)
(** *where (x1,...,xn) are the variables of the particular program we are* **)
(** *working on at the moment of the call* **)
(***)

```
local open HOLogic in

(** maps (%x1 ... xn. t) to [x1,...,xn] **)
fun abs2list (Const (split,-) $ (Abs(x,T,t))) = Free (x, T)::abs2list t
  | abs2list (Abs(x,T,t)) = [Free (x, T)]
  | abs2list - = [];

(** maps {(x1,...,xn). t} to [x1,...,xn] **)
fun mk-vars (Const (Collect,-) $ T) = abs2list T
  | mk-vars - = [];

(** abstraction of body over a tuple formed from a list of free variables.
Types are also built **)
fun mk-abstupleC []      body = absfree (x, unitT, body)
  | mk-abstupleC (v::w) body = let val (n,T) = dest-Free v
                               in if w=[] then absfree (n, T, body)
       else let val z  = mk-abstupleC w body;
                val T2 = case z of Abs(-,T,-) => T
                     | Const (-, Type (-,[-, Type (-,[T,-])])) $ - => T;
       in Const (split, (T --> T2 --> boolT) --> mk-prodT (T,T2) -->
boolT)
         $ absfree (n, T, z) end end;

(** maps [x1,...,xn] to (x1,...,xn) and types**)
fun mk-bodyC []      = HOLogic.unit
  | mk-bodyC (x::xs) = if xs=[] then x
             else let val (n, T) = dest-Free x ;
                      val z = mk-bodyC xs;
                      val T2 = case z of Free(-, T) => T
                                  | Const (Pair, Type (fun, [-, Type
                                    (fun, [-, T])])) $ - $ - => T;
               in Const (Pair, [T, T2] ---> mk-prodT (T, T2)) $ x $ z end;

(** maps a goal of the form:
       1. [| P |] ==> VARS x1 ... xn {.-.} - {.-.} or to [x1,...,xn]**)
fun get-vars thm = let  val c = Logic.unprotect (concl-of (thm));
                        val d = Logic.strip-assums-concl c;
                        val Const - $ pre $ - $ - = dest-Trueprop d;
     in mk-vars pre end;


(** Makes Collect with type **)
fun mk-CollectC trm = let val T as Type (fun,[t,-]) = fastype-of trm
                      in Collect-const t $ trm end;

fun inclt ty = Const (@{const-name HOL.less-eq}, [ty,ty] ---> boolT);

(** Makes Mset <= t **)
```

```
fun Mset-incl t = let val MsetT = fastype-of t
                  in mk-Trueprop ((inclt MsetT) $ Free (Mset, MsetT) $ t) end;


fun Mset thm = let val vars = get-vars(thm);
                   val varsT = fastype-of (mk-bodyC vars);
                   val big-Collect = mk-CollectC (mk-abstupleC vars
                         (Free (P,varsT --> boolT) $ mk-bodyC vars));
                   val small-Collect = mk-CollectC (Abs(x,varsT,
                          Free (P,varsT --> boolT) $ Bound 0));
                   val impl = implies $ (Mset-incl big-Collect) $
                                        (Mset-incl small-Collect);
  in Goal.prove (ProofContext.init (Thm.theory-of-thm thm)) [Mset, P] [] impl (K
(CLASET' blast-tac 1)) end;

end;
⟩⟩
```

lemma *Compl-Collect*: $-(Collect\ b) = \{x.\ ^\sim(b\ x)\}$
by *blast*

ML ⟨⟨

```
(**Simp-tacs**)

val before-set2pred-simp-tac =
 (simp-tac (HOL-basic-ss addsimps [@{thm Collect-conj-eq} RS sym, @{thm Compl-Collect}]));

val split-simp-tac = (simp-tac (HOL-basic-ss addsimps [split-conv]));

(*************************************************************************)
(** set2pred transforms sets inclusion into predicates implication,      **)
(** maintaining the original variable names.                             **)
(** Ex. {x. x=0} <= {x. x <= 1} -set2pred-> x=0 --> x <= 1               **)
(** Subgoals containing intersections (A Int B) or complement sets (-A)  **)
(** are first simplified by before-set2pred-simp-tac, that returns only  **)
(** subgoals of the form {x. P x} <= {x. Q x}, which are easily          **)
(** transformed.                                                         **)
(** This transformation may solve very easy subgoals due to a ligth      **)
(** simplification done by (split-all-tac)                               **)
(*************************************************************************)
```

```
fun set2pred i thm =
  let val var-names = map (fst o dest-Free) (get-vars thm) in
    ((before-set2pred-simp-tac i) THEN-MAYBE
      (EVERY [rtac subsetI i,
              rtac CollectI i,
              dtac CollectD i,
              (TRY(split-all-tac i)) THEN-MAYBE
              ((rename-params-tac var-names i) THEN
               (full-simp-tac (HOL-basic-ss addsimps [split-conv]) i)) ])) thm
  end;

(*************************************************************************)
(** BasicSimpTac is called to simplify all verification conditions. It does **)
(** a light simplification by applying mem-Collect-eq, then it calls      **)
(** MaxSimpTac, which solves subgoals of the form A <= A,                 **)
(** and transforms any other into predicates, applying then               **)
(** the tactic chosen by the user, which may solve the subgoal completely. **)
(*************************************************************************)

fun MaxSimpTac tac = FIRST'[rtac subset-refl, set2pred THEN-MAYBE' tac];

fun BasicSimpTac tac =
  simp-tac
   (HOL-basic-ss addsimps [mem-Collect-eq,split-conv] addsimprocs [record-simproc])
  THEN-MAYBE' MaxSimpTac tac;

(** HoareRuleTac **)

fun WlpTac Mlem tac i =
  rtac @{thm SeqRule} i THEN  HoareRuleTac Mlem tac false (i+1)
and HoareRuleTac Mlem tac pre-cond i st = st |>
       (*abstraction over st prevents looping*)
   ( (WlpTac Mlem tac i THEN HoareRuleTac Mlem tac pre-cond i)
     ORELSE
     (FIRST[rtac @{thm SkipRule} i,
            rtac @{thm AbortRule} i,
            EVERY[rtac @{thm BasicRule} i,
                  rtac Mlem i,
                  split-simp-tac i],
            EVERY[rtac @{thm CondRule} i,
                  HoareRuleTac Mlem tac false (i+2),
                  HoareRuleTac Mlem tac false (i+1)],
            EVERY[rtac @{thm WhileRule} i,
                  BasicSimpTac tac (i+2),
                  HoareRuleTac Mlem tac true (i+1)] ]
      THEN (if pre-cond then (BasicSimpTac tac i) else rtac subset-refl i) ));


(** tac:(int -> tactic) is the tactic the user chooses to solve or simplify **)
```

```
(** the final verification conditions                                    **)

fun hoare-tac tac i thm =
  let val Mlem = Mset(thm)
  in SELECT-GOAL(EVERY[HoareRuleTac Mlem tac true 1]) i thm end;
⟩⟩

method-setup vcg = ⟨⟨
  Method.no-args (Method.SIMPLE-METHOD' (hoare-tac (K all-tac))) ⟩⟩
  verification condition generator

method-setup vcg-simp = ⟨⟨
  Method.ctxt-args (fn ctxt =>
     Method.SIMPLE-METHOD' (hoare-tac (asm-full-simp-tac (local-simpset-of
ctxt)))) ⟩⟩
  verification condition generator plus simplification
```

syntax
  guarded-com :: *bool* ⇒ *'a com* ⇒ *'a com* ((*2*- →/ -) *71*)
  array-update :: *'a list* ⇒ *nat* ⇒ *'a* ⇒ *'a com* ((*2*-[-] :=/ -) [*70*,*65*] *61*)
translations
  P → *c* == *IF P THEN c ELSE Abort FI*
  a[*i*] := *v* => (*i* < *CONST length a*) → (*a* := *list-update a i v*)

Note: there is no special syntax for guarded array access. Thus you must write $j < length\ a \rightarrow a[i] := a!j$.

end

theory *ExamplesAbort* **imports** *HoareAbort* **begin**

lemma *VARS x y z*::*nat*
 {*y* = *z* & *z* ≠ *0*} *z* ≠ *0* → *x* := *y div z* {*x* = *1*}
by *vcg-simp*

lemma
 VARS a i j
 {*k* <= *length a* & *i* < *k* & *j* < *k*} *j* < *length a* → *a*[*i*] := *a*!*j* {*True*}
apply *vcg-simp*
done

lemma *VARS* (*a*::*int list*) *i*
 {*True*}
 i := *0*;
 WHILE i < *length a*
 INV {*i* <= *length a*}

DO a[i] := 7; i := i+1 OD
{True}
apply *vcg-simp*
done

end

theory *Pointers0* **imports** *Hoare* **begin**

0.0.2 References

axclass *ref < type*
consts *Null :: 'a::ref*

0.0.3 Field access and update

syntax
@fassign :: 'a::ref => id => 'v => 's com
((2-^.- :=/ -) [70,1000,65] 61)
@faccess :: 'a::ref => ('a::ref ⇒ 'v) => 'v
(-^.- [65,1000] 65)
translations
p^.f := e => f := fun-upd f p e
p^.f => f p

An example due to Suzuki:

lemma *VARS v n*
{distinct[w,x,y,z]}
w^.v := (1::int); w^.n := x;
x^.v := 2; x^.n := y;
y^.v := 3; y^.n := z;
z^.v := 4; x^.n := z
{w^.n^.n^.v = 4}
by *vcg-simp*

0.1 The heap

0.1.1 Paths in the heap

consts
Path :: ('a::ref ⇒ 'a) ⇒ 'a ⇒ 'a list ⇒ 'a ⇒ bool
primrec
Path h x [] y = (x = y)
Path h x (a#as) y = (x ≠ Null ∧ x = a ∧ Path h (h a) as y)

lemma [*iff*]: *Path h Null xs y = (xs = [] ∧ y = Null)*
apply(*case-tac xs*)

apply *fastsimp*
apply *fastsimp*
done

lemma [*simp*]: $a \neq Null \Longrightarrow Path\ h\ a\ as\ z =$
$(as = [] \wedge z = a \ \vee \ (\exists\, bs.\ as = a\#bs \wedge Path\ h\ (h\ a)\ bs\ z))$
apply(*case-tac as*)
apply *fastsimp*
apply *fastsimp*
done

lemma [*simp*]: $\bigwedge x.\ Path\ f\ x\ (as@bs)\ z = (\exists\, y.\ Path\ f\ x\ as\ y \wedge Path\ f\ y\ bs\ z)$
by(*induct as*, *simp+*)

lemma [*simp*]: $\bigwedge x.\ u \notin set\ as \Longrightarrow Path\ (f(u := v))\ x\ as\ y = Path\ f\ x\ as\ y$
by(*induct as*, *simp*, *simp add*:*eq-sym-conv*)

0.1.2 Lists on the heap

Relational abstraction

constdefs
$List :: ('a::ref \Rightarrow 'a) \Rightarrow 'a \Rightarrow 'a\ list \Rightarrow bool$
$List\ h\ x\ as == Path\ h\ x\ as\ Null$

lemma [*simp*]: $List\ h\ x\ [] = (x = Null)$
by(*simp add*:*List-def*)

lemma [*simp*]: $List\ h\ x\ (a\#as) = (x \neq Null \wedge x = a \wedge List\ h\ (h\ a)\ as)$
by(*simp add*:*List-def*)

lemma [*simp*]: $List\ h\ Null\ as = (as = [])$
by(*case-tac as*, *simp-all*)

lemma *List-Ref*[*simp*]:
$a \neq Null \Longrightarrow List\ h\ a\ as = (\exists\, bs.\ as = a\#bs \wedge List\ h\ (h\ a)\ bs)$
by(*case-tac as*, *simp-all*, *fast*)

theorem *notin-List-update*[*simp*]:
$\bigwedge x.\ a \notin set\ as \Longrightarrow List\ (h(a := y))\ x\ as = List\ h\ x\ as$
apply(*induct as*)
apply *simp*
apply(*clarsimp simp add*:*fun-upd-apply*)
done

declare *fun-upd-apply*[*simp del*]*fun-upd-same*[*simp*] *fun-upd-other*[*simp*]

lemma *List-unique*: $\bigwedge x\ bs.\ List\ h\ x\ as \Longrightarrow List\ h\ x\ bs \Longrightarrow as = bs$
by(*induct as*, *simp*, *clarsimp*)

lemma *List-unique1*: *List h p as* $\Longrightarrow \exists!$*as. List h p as*
by(*blast intro*:*List-unique*)

lemma *List-app*: $\bigwedge$*x. List h x* (*as*@*bs*) = ($\exists$ *y. Path h x as y* $\wedge$ *List h y bs*)
by(*induct as*, *simp*, *clarsimp*)

lemma *List-hd-not-in-tl*[*simp*]: *List h* (*h a*) *as* $\Longrightarrow$ *a* $\notin$ *set as*
apply (*clarsimp simp add*:*in-set-conv-decomp*)
apply(*frule List-app*[*THEN iffD1*])
apply(*fastsimp dest*: *List-unique*)
done

lemma *List-distinct*[*simp*]: $\bigwedge$*x. List h x as* $\Longrightarrow$ *distinct as*
apply(*induct as*, *simp*)
apply(*fastsimp dest*:*List-hd-not-in-tl*)
done

0.1.3 Functional abstraction

constdefs
islist :: ('*a*::*ref* $\Rightarrow$ '*a*) $\Rightarrow$ '*a* $\Rightarrow$ *bool*
islist h p == $\exists$ *as. List h p as*
list :: ('*a*::*ref* $\Rightarrow$ '*a*) $\Rightarrow$ '*a* $\Rightarrow$ '*a list*
list h p == *SOME as. List h p as*

lemma *List-conv-islist-list*: *List h p as* = (*islist h p* $\wedge$ *as* = *list h p*)
apply(*simp add*:*islist-def list-def*)
apply(*rule iffI*)
apply(*rule conjI*)
apply *blast*
apply(*subst some1-equality*)
apply(*erule List-unique1*)
apply *assumption*
apply(*rule refl*)
apply *simp*
apply(*rule someI-ex*)
apply *fast*
done

lemma [*simp*]: *islist h Null*
by(*simp add*:*islist-def*)

lemma [*simp*]: *a* $\neq$ *Null* $\Longrightarrow$ *islist h a* = *islist h* (*h a*)
by(*simp add*:*islist-def*)

lemma [*simp*]: *list h Null* = []
by(*simp add*:*list-def*)

lemma *list-Ref-conv*[*simp*]:
⟦ *a* ≠ *Null*; *islist h* (*h a*) ⟧ ⟹ *list h a* = *a* # *list h* (*h a*)
apply(*insert List-Ref*[*of - h*])
apply(*fastsimp simp*:*List-conv-islist-list*)
done

lemma [*simp*]: *islist h* (*h a*) ⟹ *a* ∉ *set*(*list h* (*h a*))
apply(*insert List-hd-not-in-tl*[*of h*])
apply(*simp add*:*List-conv-islist-list*)
done

lemma *list-upd-conv*[*simp*]:
islist h p ⟹ *y* ∉ *set*(*list h p*) ⟹ *list* (*h*(*y* := *q*)) *p* = *list h p*
apply(*drule notin-List-update*[*of - - h q p*])
apply(*simp add*:*List-conv-islist-list*)
done

lemma *islist-upd*[*simp*]:
islist h p ⟹ *y* ∉ *set*(*list h p*) ⟹ *islist* (*h*(*y* := *q*)) *p*
apply(*frule notin-List-update*[*of - - h q p*])
apply(*simp add*:*List-conv-islist-list*)
done

0.2 Verifications

0.2.1 List reversal

A short but unreadable proof:

lemma *VARS tl p q r*
{*List tl p Ps* ∧ *List tl q Qs* ∧ *set Ps* ∩ *set Qs* = {}}
WHILE p ≠ *Null*
INV {∃ *ps qs*. *List tl p ps* ∧ *List tl q qs* ∧ *set ps* ∩ *set qs* = {} ∧
rev ps @ *qs* = *rev Ps* @ *Qs*}
DO r := *p*; *p* := *p*^.*tl*; *r*^.*tl* := *q*; *q* := *r OD*
{*List tl q* (*rev Ps* @ *Qs*)}
apply *vcg-simp*
apply *fastsimp*
apply(*fastsimp intro*:*notin-List-update*[*THEN iffD2*])

apply *fastsimp*
done

A longer readable version:

lemma *VARS tl p q r*
{*List tl p Ps* ∧ *List tl q Qs* ∧ *set Ps* ∩ *set Qs* = {}}
WHILE p ≠ *Null*
INV {∃ *ps qs*. *List tl p ps* ∧ *List tl q qs* ∧ *set ps* ∩ *set qs* = {} ∧
rev ps @ *qs* = *rev Ps* @ *Qs*}
DO r := *p*; *p* := *p*^.*tl*; *r*^.*tl* := *q*; *q* := *r OD*

```
  {List tl q (rev Ps @ Qs)}
proof vcg
  fix tl p q r
  assume List tl p Ps ∧ List tl q Qs ∧ set Ps ∩ set Qs = {}
  thus ∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
                rev ps @ qs = rev Ps @ Qs by fastsimp
next
  fix tl p q r
  assume (∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
                  rev ps @ qs = rev Ps @ Qs) ∧ p ≠ Null
         (is (∃ps qs. ?I ps qs) ∧ -)
  then obtain ps qs where I: ?I ps qs ∧ p ≠ Null by fast
  then obtain ps' where ps = p # ps' by fastsimp
  hence List (tl(p := q)) (p^.tl) ps' ∧
        List (tl(p := q)) p       (p#qs) ∧
        set ps' ∩ set (p#qs) = {} ∧
        rev ps' @ (p#qs) = rev Ps @ Qs
    using I by fastsimp
  thus ∃ps' qs'. List (tl(p := q)) (p^.tl) ps' ∧
                 List (tl(p := q)) p       qs' ∧
                 set ps' ∩ set qs' = {} ∧
                 rev ps' @ qs' = rev Ps @ Qs by fast
next
  fix tl p q r
  assume (∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
                  rev ps @ qs = rev Ps @ Qs) ∧ ¬ p ≠ Null
  thus List tl q (rev Ps @ Qs) by fastsimp
qed
```

Finaly, the functional version. A bit more verbose, but automatic!

```
lemma VARS tl p q r
  {islist tl p ∧ islist tl q ∧
   Ps = list tl p ∧ Qs = list tl q ∧ set Ps ∩ set Qs = {}}
  WHILE p ≠ Null
  INV {islist tl p ∧ islist tl q ∧
       set(list tl p) ∩ set(list tl q) = {} ∧
       rev(list tl p) @ (list tl q) = rev Ps @ Qs}
  DO r := p; p := p^.tl; r^.tl := q; q := r OD
  {islist tl q ∧ list tl q = rev Ps @ Qs}
apply vcg-simp
  apply clarsimp
 apply clarsimp
apply clarsimp
done
```

0.2.2 Searching in a list

What follows is a sequence of successively more intelligent proofs that a simple loop finds an element in a linked list.

We start with a proof based on the *List* predicate. This means it only works for acyclic lists.

lemma *VARS tl p*
  $\{List\ tl\ p\ Ps \wedge X \in set\ Ps\}$
  WHILE $p \neq Null \wedge p \neq X$
  INV $\{p \neq Null \wedge (\exists ps.\ List\ tl\ p\ ps \wedge X \in set\ ps)\}$
  DO $p := p\hat{}.tl$ *OD*
  $\{p = X\}$
apply *vcg-simp*
 apply(*case-tac* $p = Null$)
  apply *clarsimp*
 apply *fastsimp*
apply *clarsimp*
apply *fastsimp*
apply *clarsimp*
done

Using *Path* instead of *List* generalizes the correctness statement to cyclic lists as well:

lemma *VARS tl p*
  $\{Path\ tl\ p\ Ps\ X\}$
  WHILE $p \neq Null \wedge p \neq X$
  INV $\{\exists ps.\ Path\ tl\ p\ ps\ X\}$
  DO $p := p\hat{}.tl$ *OD*
  $\{p = X\}$
apply *vcg-simp*
 apply *blast*
apply *fastsimp*
apply *clarsimp*
done

Now it dawns on us that we do not need the list witness at all — it suffices to talk about reachability, i.e. we can use relations directly.

lemma *VARS tl p*
  $\{(p,X) \in \{(x,y).\ y = tl\ x\ \&\ x \neq Null\}\hat{}*\}$
  WHILE $p \neq Null \wedge p \neq X$
  INV $\{(p,X) \in \{(x,y).\ y = tl\ x\ \&\ x \neq Null\}\hat{}*\}$
  DO $p := p\hat{}.tl$ *OD*
  $\{p = X\}$
apply *vcg-simp*
apply *clarsimp*
apply(*erule converse-rtranclE*)
 apply *simp*
apply(*simp*)
apply(*fastsimp elim*:*converse-rtranclE*)
done

0.2.3 Merging two lists

This is still a bit rough, especially the proof.

consts *merge* :: *'a list* ∗ *'a list* ∗ (*'a* ⇒ *'a* ⇒ *bool*) ⇒ *'a list*

recdef *merge measure*(%(*xs*,*ys*,*f*). *size xs* + *size ys*)
merge(*x*#*xs*,*y*#*ys*,*f*) = (*if f x y then x* # *merge*(*xs*,*y*#*ys*,*f*)
else y # *merge*(*x*#*xs*,*ys*,*f*))
merge(*x*#*xs*,[],*f*) = *x* # *merge*(*xs*,[],*f*)
merge([],*y*#*ys*,*f*) = *y* # *merge*([],*ys*,*f*)
merge([],[],*f*) = []

lemma *imp-disjCL*: (*P*|*Q* ⟶ *R*) = ((*P* ⟶ *R*) ∧ (~*P* ⟶ *Q* ⟶ *R*))
by *blast*

declare *disj-not1*[*simp del*] *imp-disjL*[*simp del*] *imp-disjCL*[*simp*]

lemma *VARS hd tl p q r s*
{*List tl p Ps* ∧ *List tl q Qs* ∧ *set Ps* ∩ *set Qs* = {} ∧
(*p* ≠ *Null* ∨ *q* ≠ *Null*)}
IF if q = *Null then True else p* ~= *Null* & *p*^.*hd* ≤ *q*^.*hd*
THEN r := *p*; *p* := *p*^.*tl ELSE r* := *q*; *q* := *q*^.*tl FI*;
s := *r*;
WHILE p ≠ *Null* ∨ *q* ≠ *Null*
INV {*EX rs ps qs*. *Path tl r rs s* ∧ *List tl p ps* ∧ *List tl q qs* ∧
distinct(*s* # *ps* @ *qs* @ *rs*) ∧ *s* ≠ *Null* ∧
merge(*Ps*,*Qs*,λ*x y*. *hd x* ≤ *hd y*) =
rs @ *s* # *merge*(*ps*,*qs*,λ*x y*. *hd x* ≤ *hd y*) ∧
(*tl s* = *p* ∨ *tl s* = *q*)}
DO IF if q = *Null then True else p* ≠ *Null* ∧ *p*^.*hd* ≤ *q*^.*hd*
THEN s^.*tl* := *p*; *p* := *p*^.*tl ELSE s*^.*tl* := *q*; *q* := *q*^.*tl FI*;
s := *s*^.*tl*
OD
{*List tl r* (*merge*(*Ps*,*Qs*,λ*x y*. *hd x* ≤ *hd y*))}
apply *vcg-simp*

apply (*fastsimp*)

apply *clarsimp*
apply(*rule conjI*)
apply *clarsimp*
apply(*simp add*:*eq-sym-conv*)
apply(*rule-tac x* = *rs* @ [*s*] **in** *exI*)
apply *simp*
apply(*rule-tac x* = *bs* **in** *exI*)
apply (*fastsimp simp*:*eq-sym-conv*)

apply *clarsimp*
apply(*rule conjI*)

apply *clarsimp*
apply(*rule-tac* x = *rs* @ [s] **in** *exI*)
apply *simp*
apply(*rule-tac* x = *bsa* **in** *exI*)
apply(*rule conjI*)
apply (*simp add*:*eq-sym-conv*)
apply(*rule exI*)
apply(*rule conjI*)
apply(*rule-tac* x = *bs* **in** *exI*)
apply(*rule conjI*)
apply(*rule refl*)
apply (*simp add*:*eq-sym-conv*)
apply (*simp add*:*eq-sym-conv*)

apply(*rule conjI*)
apply *clarsimp*
apply(*rule-tac* x = *rs* @ [s] **in** *exI*)
apply *simp*
apply(*rule-tac* x = *bs* **in** *exI*)
apply (*simp add*:*eq-sym-conv*)
apply *clarsimp*
apply(*rule-tac* x = *rs* @ [s] **in** *exI*)
apply (*simp add*:*eq-sym-conv*)
apply(*rule exI*)
apply(*rule conjI*)
apply(*rule-tac* x = *bsa* **in** *exI*)
apply(*rule conjI*)
apply(*rule refl*)
apply (*simp add*:*eq-sym-conv*)
apply(*rule-tac* x = *bs* **in** *exI*)
apply (*simp add*:*eq-sym-conv*)

apply(*clarsimp simp add*:*List-app*)
done

0.2.4 Storage allocation

constdefs *new* :: *'a set* ⇒ *'a*::*ref*
new A == *SOME a*. *a* ∉ *A* & *a* ≠ *Null*

lemma *new-notin*:
⟦ ~*finite*(*UNIV*::(*'a*::*ref*)*set*); *finite*(*A*::*'a set*); *B* ⊆ *A* ⟧ ⟹
new (*A*) ∉ *B* & *new A* ≠ *Null*
apply(*unfold new-def*)
apply(*rule someI2-ex*)
apply (*fast dest*:*ex-new-if-finite*[*of insert Null A*])
apply (*fast*)
done

lemma ~*finite*(*UNIV*::('*a*::*ref*)*set*) ⟹
VARS xs elem next alloc p q
{*Xs* = *xs* ∧ *p* = (*Null*::'*a*)}
WHILE xs ≠ []
INV {*islist next p* ∧ *set*(*list next p*) ⊆ *set alloc* ∧
map elem (*rev*(*list next p*)) @ *xs* = *Xs*}
DO q := *new*(*set alloc*); *alloc* := *q*#*alloc*;
q^.*next* := *p*; *q*^.*elem* := *hd xs*; *xs* := *tl xs*; *p* := *q*
OD
{*islist next p* ∧ *map elem* (*rev*(*list next p*)) = *Xs*}
apply *vcg-simp*
apply (*clarsimp simp*: *subset-insert-iff neq-Nil-conv fun-upd-apply new-notin*)
apply *fastsimp*
done

end

theory *Heap* **imports** *Main* **begin**

0.2.5 References

datatype '*a ref* = *Null* | *Ref* '*a*

lemma *not-Null-eq* [*iff*]: (*x* ~= *Null*) = (*EX y*. *x* = *Ref y*)
by (*induct x*) *auto*

lemma *not-Ref-eq* [*iff*]: (*ALL y*. *x* ~= *Ref y*) = (*x* = *Null*)
by (*induct x*) *auto*

consts *addr* :: '*a ref* ⇒ '*a*
primrec *addr*(*Ref a*) = *a*

0.3 The heap

0.3.1 Paths in the heap

consts
Path :: ('*a* ⇒ '*a ref*) ⇒ '*a ref* ⇒ '*a list* ⇒ '*a ref* ⇒ *bool*
primrec
Path h x [] *y* = (*x* = *y*)
Path h x (*a*#*as*) *y* = (*x* = *Ref a* ∧ *Path h* (*h a*) *as y*)

lemma [*iff*]: *Path h Null xs y* = (*xs* = [] ∧ *y* = *Null*)
apply(*case-tac xs*)
apply *fastsimp*

apply *fastsimp*
done

lemma [*simp*]: *Path h* (*Ref a*) *as z* =
(*as* = [] ∧ *z* = *Ref a* ∨ (∃ *bs*. *as* = *a*#*bs* ∧ *Path h* (*h a*) *bs z*))
apply(*case-tac as*)
apply *fastsimp*
apply *fastsimp*
done

lemma [*simp*]: ⋀*x*. *Path f x* (*as*@*bs*) *z* = (∃ *y*. *Path f x as y* ∧ *Path f y bs z*)
by(*induct as*, *simp*+)

lemma *Path-upd*[*simp*]:
⋀*x*. *u* ∉ *set as* ⟹ *Path* (*f*(*u* := *v*)) *x as y* = *Path f x as y*
by(*induct as*, *simp*, *simp add*:*eq-sym-conv*)

lemma *Path-snoc*:
Path (*f*(*a* := *q*)) *p as* (*Ref a*) ⟹ *Path* (*f*(*a* := *q*)) *p* (*as* @ [*a*]) *q*
by *simp*

0.3.2 Non-repeating paths

constdefs
distPath :: ('*a* ⇒ '*a ref*) ⇒ '*a ref* ⇒ '*a list* ⇒ '*a ref* ⇒ *bool*
distPath h x as y ≡ *Path h x as y* ∧ *distinct as*

The term *distPath h x as y* expresses the fact that a non-repeating path *as* connects location x to location y by means of the h field. In the case where $x = y$, and there is a cycle from x to itself, *as* can be both [] and the non-repeating list of nodes in the cycle.

lemma *neq-dP*: *p* ≠ *q* ⟹ *Path h p Ps q* ⟹ *distinct Ps* ⟹
EX a Qs. *p* = *Ref a* & *Ps* = *a*#*Qs* & *a* ∉ *set Qs*
by (*case-tac Ps*, *auto*)

lemma *neq-dP-disp*: ⟦ *p* ≠ *q*; *distPath h p Ps q* ⟧ ⟹
EX a Qs. *p* = *Ref a* ∧ *Ps* = *a*#*Qs* ∧ *a* ∉ *set Qs*
apply (*simp only*:*distPath-def*)
by (*case-tac Ps*, *auto*)

0.3.3 Lists on the heap

Relational abstraction

constdefs
List :: ('*a* ⇒ '*a ref*) ⇒ '*a ref* ⇒ '*a list* ⇒ *bool*
List h x as == *Path h x as Null*

lemma [*simp*]: *List h x* [] = (*x* = *Null*)

by(*simp add*:*List-def*)

lemma [*simp*]: *List h x* (*a*#*as*) = (*x* = *Ref a* ∧ *List h* (*h a*) *as*)
by(*simp add*:*List-def*)

lemma [*simp*]: *List h Null as* = (*as* = [])
by(*case-tac as*, *simp-all*)

lemma *List-Ref*[*simp*]: *List h* (*Ref a*) *as* = (∃ *bs*. *as* = *a*#*bs* ∧ *List h* (*h a*) *bs*)
by(*case-tac as*, *simp-all*, *fast*)

theorem *notin-List-update*[*simp*]:
⋀*x*. *a* ∉ *set as* ⟹ *List* (*h*(*a* := *y*)) *x as* = *List h x as*
apply(*induct as*)
apply *simp*
apply(*clarsimp simp add*:*fun-upd-apply*)
done

lemma *List-unique*: ⋀*x bs*. *List h x as* ⟹ *List h x bs* ⟹ *as* = *bs*
by(*induct as*, *simp*, *clarsimp*)

lemma *List-unique1*: *List h p as* ⟹ ∃!*as*. *List h p as*
by(*blast intro*:*List-unique*)

lemma *List-app*: ⋀*x*. *List h x* (*as*@*bs*) = (∃ *y*. *Path h x as y* ∧ *List h y bs*)
by(*induct as*, *simp*, *clarsimp*)

lemma *List-hd-not-in-tl*[*simp*]: *List h* (*h a*) *as* ⟹ *a* ∉ *set as*
apply (*clarsimp simp add*:*in-set-conv-decomp*)
apply(*frule List-app*[*THEN iffD1*])
apply(*fastsimp dest*: *List-unique*)
done

lemma *List-distinct*[*simp*]: ⋀*x*. *List h x as* ⟹ *distinct as*
apply(*induct as*, *simp*)
apply(*fastsimp dest*:*List-hd-not-in-tl*)
done

lemma *Path-is-List*:
⟦*Path h b Ps* (*Ref a*); *a* ∉ *set Ps*⟧ ⟹ *List* (*h*(*a* := *Null*)) *b* (*Ps* @ [*a*])
apply (*induct Ps arbitrary*: *b*)
apply (*auto simp add*:*fun-upd-apply*)
done

0.3.4 Functional abstraction

constdefs
islist :: (*'a* ⇒ *'a ref*) ⇒ *'a ref* ⇒ *bool*
islist h p == ∃ *as*. *List h p as*

list :: (*'a* ⇒ *'a ref*) ⇒ *'a ref* ⇒ *'a list*
list h p == *SOME as*. *List h p as*

lemma *List-conv-islist-list*: *List h p as* = (*islist h p* ∧ *as* = *list h p*)
apply(*simp add*:*islist-def list-def*)
apply(*rule iffI*)
apply(*rule conjI*)
apply *blast*
apply(*subst some1-equality*)
apply(*erule List-unique1*)
apply *assumption*
apply(*rule refl*)
apply *simp*
apply(*rule someI-ex*)
apply *fast*
done

lemma [*simp*]: *islist h Null*
by(*simp add*:*islist-def*)

lemma [*simp*]: *islist h* (*Ref a*) = *islist h* (*h a*)
by(*simp add*:*islist-def*)

lemma [*simp*]: *list h Null* = []
by(*simp add*:*list-def*)

lemma *list-Ref-conv*[*simp*]:
islist h (*h a*) ⟹ *list h* (*Ref a*) = *a* # *list h* (*h a*)
apply(*insert List-Ref*[*of h*])
apply(*fastsimp simp*:*List-conv-islist-list*)
done

lemma [*simp*]: *islist h* (*h a*) ⟹ *a* ∉ *set*(*list h* (*h a*))
apply(*insert List-hd-not-in-tl*[*of h*])
apply(*simp add*:*List-conv-islist-list*)
done

lemma *list-upd-conv*[*simp*]:
islist h p ⟹ *y* ∉ *set*(*list h p*) ⟹ *list* (*h*(*y* := *q*)) *p* = *list h p*
apply(*drule notin-List-update*[*of - - h q p*])
apply(*simp add*:*List-conv-islist-list*)
done

lemma *islist-upd*[*simp*]:
islist h p ⟹ *y* ∉ *set*(*list h p*) ⟹ *islist* (*h*(*y* := *q*)) *p*
apply(*frule notin-List-update*[*of - - h q p*])
apply(*simp add*:*List-conv-islist-list*)
done

end

theory *HeapSyntax* **imports** *Hoare Heap* **begin**

0.3.5 Field access and update

syntax
  @*refupdate* :: ('*a* ⇒ '*b*) ⇒ '*a ref* ⇒ '*b* ⇒ ('*a* ⇒ '*b*)
   (-/'((- → -)') [*1000*,*0*] *900*)
  @*fassign* :: '*a ref* => *id* => '*v* => '*s com*
   ((*2*-ˆ.- :=/ -) [*70*,*1000*,*65*] *61*)
  @*faccess* :: '*a ref* => ('*a ref* ⇒ '*v*) => '*v*
   (-ˆ.- [*65*,*1000*] *65*)
translations
  f(*r* → *v*) == *f*(*addr r* := *v*)
  *p*ˆ.*f* := *e* => *f* := *f*(*p* → *e*)
  *p*ˆ.*f* => *f*(*addr p*)

declare *fun-upd-apply*[*simp del*] *fun-upd-same*[*simp*] *fun-upd-other*[*simp*]

An example due to Suzuki:

lemma *VARS v n*
  {*w* = *Ref w0* & *x* = *Ref x0* & *y* = *Ref y0* & *z* = *Ref z0* &
   distinct[*w0*,*x0*,*y0*,*z0*]}
  *w*ˆ.*v* := (*1*::*int*); *w*ˆ.*n* := *x*;
  *x*ˆ.*v* := *2*; *x*ˆ.*n* := *y*;
  *y*ˆ.*v* := *3*; *y*ˆ.*n* := *z*;
  *z*ˆ.*v* := *4*; *x*ˆ.*n* := *z*
  {*w*ˆ.*n*ˆ.*n*ˆ.*v* = *4*}
by *vcg-simp*

end

theory *Pointer-Examples* **imports** *HeapSyntax* **begin**

axiomatization where *unproven*: *PROP A*

0.4 Verifications

0.4.1 List reversal

A short but unreadable proof:

lemma *VARS tl p q r*
  {*List tl p Ps* ∧ *List tl q Qs* ∧ *set Ps* ∩ *set Qs* = {}}

```
  WHILE p ≠ Null
  INV {∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
              rev ps @ qs = rev Ps @ Qs}
  DO r := p; p := p^.tl; r^.tl := q; q := r OD
  {List tl q (rev Ps @ Qs)}
apply vcg-simp
 apply fastsimp
apply(fastsimp intro:notin-List-update[THEN iffD2])

apply fastsimp
done
```

And now with ghost variables *ps* and *qs*. Even "more automatic".

```
lemma VARS next p ps q qs r
  {List next p Ps ∧ List next q Qs ∧ set Ps ∩ set Qs = {} ∧
   ps = Ps ∧ qs = Qs}
  WHILE p ≠ Null
  INV {List next p ps ∧ List next q qs ∧ set ps ∩ set qs = {} ∧
       rev ps @ qs = rev Ps @ Qs}
  DO r := p; p := p^.next; r^.next := q; q := r;
     qs := (hd ps) # qs; ps := tl ps OD
  {List next q (rev Ps @ Qs)}
apply vcg-simp
 apply fastsimp
apply fastsimp
done
```

A longer readable version:

```
lemma VARS tl p q r
  {List tl p Ps ∧ List tl q Qs ∧ set Ps ∩ set Qs = {}}
  WHILE p ≠ Null
  INV {∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
              rev ps @ qs = rev Ps @ Qs}
  DO r := p; p := p^.tl; r^.tl := q; q := r OD
  {List tl q (rev Ps @ Qs)}
proof vcg
  fix tl p q r
  assume List tl p Ps ∧ List tl q Qs ∧ set Ps ∩ set Qs = {}
  thus ∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
              rev ps @ qs = rev Ps @ Qs by fastsimp
next
  fix tl p q r
  assume (∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
                  rev ps @ qs = rev Ps @ Qs) ∧ p ≠ Null
         (is (∃ps qs. ?I ps qs) ∧ -)
  then obtain ps qs a where I: ?I ps qs ∧ p = Ref a
    by fast
  then obtain ps' where ps = a # ps' by fastsimp
  hence List (tl(p → q)) (p^.tl) ps' ∧
```

```
        List (tl(p → q)) p        (a#qs) ∧
        set ps' ∩ set (a#qs) = {} ∧
        rev ps' @ (a#qs) = rev Ps @ Qs
    using I by fastsimp
  thus ∃ps' qs'. List (tl(p → q)) (p^.tl) ps' ∧
                 List (tl(p → q)) p        qs' ∧
                 set ps' ∩ set qs' = {} ∧
                 rev ps' @ qs' = rev Ps @ Qs by fast
next
  fix tl p q r
  assume (∃ps qs. List tl p ps ∧ List tl q qs ∧ set ps ∩ set qs = {} ∧
                  rev ps @ qs = rev Ps @ Qs) ∧ ¬ p ≠ Null
  thus List tl q (rev Ps @ Qs) by fastsimp
qed
```

Finaly, the functional version. A bit more verbose, but automatic!

```
lemma VARS tl p q r
  {islist tl p ∧ islist tl q ∧
   Ps = list tl p ∧ Qs = list tl q ∧ set Ps ∩ set Qs = {}}
  WHILE p ≠ Null
  INV {islist tl p ∧ islist tl q ∧
       set(list tl p) ∩ set(list tl q) = {} ∧
       rev(list tl p) @ (list tl q) = rev Ps @ Qs}
  DO r := p; p := p^.tl; r^.tl := q; q := r OD
  {islist tl q ∧ list tl q = rev Ps @ Qs}
apply vcg-simp
  apply clarsimp
 apply clarsimp
apply clarsimp
done
```

0.4.2 Searching in a list

What follows is a sequence of successively more intelligent proofs that a simple loop finds an element in a linked list.

We start with a proof based on the *List* predicate. This means it only works for acyclic lists.

```
lemma VARS tl p
  {List tl p Ps ∧ X ∈ set Ps}
  WHILE p ≠ Null ∧ p ≠ Ref X
  INV {∃ps. List tl p ps ∧ X ∈ set ps}
  DO p := p^.tl OD
  {p = Ref X}
apply vcg-simp
  apply blast
 apply clarsimp
apply clarsimp
done
```

Using *Path* instead of *List* generalizes the correctness statement to cyclic lists as well:

lemma *VARS tl p*
 {*Path tl p Ps X*}
 WHILE p $\neq$ *Null* $\wedge$ *p* $\neq$ *X*
 INV {$\exists$ *ps*. *Path tl p ps X*}
 DO p := *p*ˆ.*tl OD*
 {*p* = *X*}
apply *vcg-simp*
 apply *blast*
apply *fastsimp*
apply *clarsimp*
done

Now it dawns on us that we do not need the list witness at all — it suffices to talk about reachability, i.e. we can use relations directly. The first version uses a relation on $'a\ ref$:

lemma *VARS tl p*
 {(*p*,*X*) $\in$ {(*Ref x*,*tl x*) |*x*. *True*}ˆ∗}
 WHILE p $\neq$ *Null* $\wedge$ *p* $\neq$ *X*
 INV {(*p*,*X*) $\in$ {(*Ref x*,*tl x*) |*x*. *True*}ˆ∗}
 DO p := *p*ˆ.*tl OD*
 {*p* = *X*}
apply *vcg-simp*
apply *clarsimp*
apply(*erule converse-rtranclE*)
 apply *simp*
apply(*clarsimp elim*:*converse-rtranclE*)
apply(*fast elim*:*converse-rtranclE*)
done

Finally, a version based on a relation on type $'a$:

lemma *VARS tl p*
 {*p* $\neq$ *Null* $\wedge$ (*addr p*,*X*) $\in$ {(*x*,*y*). *tl x* = *Ref y*}ˆ∗}
 WHILE p $\neq$ *Null* $\wedge$ *p* $\neq$ *Ref X*
 INV {*p* $\neq$ *Null* $\wedge$ (*addr p*,*X*) $\in$ {(*x*,*y*). *tl x* = *Ref y*}ˆ∗}
 DO p := *p*ˆ.*tl OD*
 {*p* = *Ref X*}
apply *vcg-simp*
apply *clarsimp*
apply(*erule converse-rtranclE*)
 apply *simp*
apply *clarsimp*
apply *clarsimp*
done

0.4.3 Splicing two lists

lemma *VARS tl p q pp qq*

```
  {List tl p Ps ∧ List tl q Qs ∧ set Ps ∩ set Qs = {} ∧ size Qs ≤ size Ps}
  pp := p;
  WHILE q ≠ Null
  INV {∃ as bs qs.
    distinct as ∧ Path tl p as pp ∧ List tl pp bs ∧ List tl q qs ∧
    set bs ∩ set qs = {} ∧ set as ∩ (set bs ∪ set qs) = {} ∧
    size qs ≤ size bs ∧ splice Ps Qs = as @ splice bs qs}
  DO qq := q^.tl; q^.tl := pp^.tl; pp^.tl := q; pp := q^.tl; q := qq OD
  {List tl p (splice Ps Qs)}
apply vcg-simp
 apply(rule-tac x = [] in exI)
 apply fastsimp
apply clarsimp
apply(rename-tac y bs qqs)
apply(case-tac bs) apply simp
apply clarsimp
apply(rename-tac x bbs)
apply(rule-tac x = as @ [x,y] in exI)
apply simp
apply(rule-tac x = bbs in exI)
apply simp
apply(rule-tac x = qqs in exI)
apply simp
apply (fastsimp simp:List-app)
done
```

0.4.4 Merging two lists

This is still a bit rough, especially the proof.

```
constdefs
 cor :: bool ⇒ bool ⇒ bool
cor P Q == if P then True else Q
 cand :: bool ⇒ bool ⇒ bool
cand P Q == if P then Q else False

consts merge :: 'a list * 'a list * ('a ⇒ 'a ⇒ bool) ⇒ 'a list

recdef merge measure(%(xs,ys,f). size xs + size ys)
merge(x#xs,y#ys,f) = (if f x y then x # merge(xs,y#ys,f)
                                else y # merge(x#xs,ys,f))
merge(x#xs,[],f) = x # merge(xs,[],f)
merge([],y#ys,f) = y # merge([],ys,f)
merge([],[],f) = []
```

Simplifies the proof a little:

```
lemma [simp]: ({} = insert a A ∩ B) = (a ∉ B & {} = A ∩ B)
by blast
lemma [simp]: ({} = A ∩ insert b B) = (b ∉ A & {} = A ∩ B)
by blast
```

lemma [*simp*]: $(\{\} = A \cap (B \cup C)) = (\{\} = A \cap B \ \& \ \{\} = A \cap C)$
by *blast*

lemma *VARS hd tl p q r s*
$\{List\ tl\ p\ Ps \wedge List\ tl\ q\ Qs \wedge set\ Ps \cap set\ Qs = \{\} \wedge$
$(p \neq Null \vee q \neq Null)\}$
IF cor $(q = Null)$ $(cand\ (p \neq Null)\ (p\hat{}.hd \leq q\hat{}.hd))$
THEN $r := p$; $p := p\hat{}.tl$ *ELSE* $r := q$; $q := q\hat{}.tl$ *FI*;
$s := r$;
WHILE $p \neq Null \vee q \neq Null$
INV $\{EX\ rs\ ps\ qs\ a.\ Path\ tl\ r\ rs\ s \wedge List\ tl\ p\ ps \wedge List\ tl\ q\ qs \wedge$
$distinct(a\ \#\ ps\ @\ qs\ @\ rs) \wedge s = Ref\ a \wedge$
$merge(Ps,Qs,\lambda x\ y.\ hd\ x \leq hd\ y) =$
$rs\ @\ a\ \#\ merge(ps,qs,\lambda x\ y.\ hd\ x \leq hd\ y) \wedge$
$(tl\ a = p \vee tl\ a = q)\}$
DO IF cor $(q = Null)$ $(cand\ (p \neq Null)\ (p\hat{}.hd \leq q\hat{}.hd))$
THEN $s\hat{}.tl := p$; $p := p\hat{}.tl$ *ELSE* $s\hat{}.tl := q$; $q := q\hat{}.tl$ *FI*;
$s := s\hat{}.tl$
OD
$\{List\ tl\ r\ (merge(Ps,Qs,\lambda x\ y.\ hd\ x \leq hd\ y))\}$
apply *vcg-simp*
apply (*simp-all add*: *cand-def cor-def*)

apply (*fastsimp*)

apply *clarsimp*
apply(*rule conjI*)
apply *clarsimp*
apply(*rule conjI*)
apply (*fastsimp intro*!:*Path-snoc intro*:*Path-upd*[*THEN iffD2*] *notin-List-update*[*THEN iffD2*] *simp*:*eq-sym-conv*)
apply *clarsimp*
apply(*rule conjI*)
apply (*clarsimp*)
apply(*rule-tac* $x = rs\ @\ [a]$ **in** *exI*)
apply(*clarsimp simp*:*eq-sym-conv*)
apply(*rule-tac* $x = bs$ **in** *exI*)
apply(*clarsimp simp*:*eq-sym-conv*)
apply(*rule-tac* $x = ya\#bsa$ **in** *exI*)
apply(*simp*)
apply(*clarsimp simp*:*eq-sym-conv*)
apply(*rule-tac* $x = rs\ @\ [a]$ **in** *exI*)
apply(*clarsimp simp*:*eq-sym-conv*)
apply(*rule-tac* $x = y\#bs$ **in** *exI*)
apply(*clarsimp simp*:*eq-sym-conv*)
apply(*rule-tac* $x = bsa$ **in** *exI*)
apply(*simp*)
apply (*fastsimp intro*!:*Path-snoc intro*:*Path-upd*[*THEN iffD2*] *notin-List-update*[*THEN iffD2*] *simp*:*eq-sym-conv*)

apply(*clarsimp simp add*:*List-app*)
done

And now with ghost variables:

lemma *VARS elem next p q r s ps qs rs a*
{*List next p Ps* ∧ *List next q Qs* ∧ *set Ps* ∩ *set Qs* = {} ∧
(*p* ≠ *Null* ∨ *q* ≠ *Null*) ∧ *ps* = *Ps* ∧ *qs* = *Qs*}
IF cor (*q* = *Null*) (*cand* (*p* ≠ *Null*) (*p*ˆ.*elem* ≤ *q*ˆ.*elem*))
THEN r := *p*; *p* := *p*ˆ.*next*; *ps* := *tl ps*
ELSE r := *q*; *q* := *q*ˆ.*next*; *qs* := *tl qs FI*;
s := *r*; *rs* := []; *a* := *addr s*;
WHILE p ≠ *Null* ∨ *q* ≠ *Null*
INV {*Path next r rs s* ∧ *List next p ps* ∧ *List next q qs* ∧
distinct(*a* # *ps* @ *qs* @ *rs*) ∧ *s* = *Ref a* ∧
merge(*Ps*,*Qs*,λ*x y*. *elem x* ≤ *elem y*) =
rs @ *a* # *merge*(*ps*,*qs*,λ*x y*. *elem x* ≤ *elem y*) ∧
(*next a* = *p* ∨ *next a* = *q*)}
DO IF cor (*q* = *Null*) (*cand* (*p* ≠ *Null*) (*p*ˆ.*elem* ≤ *q*ˆ.*elem*))
*THEN s*ˆ.*next* := *p*; *p* := *p*ˆ.*next*; *ps* := *tl ps*
*ELSE s*ˆ.*next* := *q*; *q* := *q*ˆ.*next*; *qs* := *tl qs FI*;
rs := *rs* @ [*a*]; *s* := *s*ˆ.*next*; *a* := *addr s*
OD
{*List next r* (*merge*(*Ps*,*Qs*,λ*x y*. *elem x* ≤ *elem y*))}
apply *vcg-simp*
apply (*simp-all add*: *cand-def cor-def*)

apply (*fastsimp*)

apply *clarsimp*
apply(*rule conjI*)
apply(*clarsimp*)
apply(*rule conjI*)
apply(*clarsimp simp*:*neq-commute*)
apply(*clarsimp simp*:*neq-commute*)
apply(*clarsimp simp*:*neq-commute*)

apply(*clarsimp simp add*:*List-app*)
done

The proof is a LOT simpler because it does not need instantiations anymore, but it is still not quite automatic, probably because of this wrong orientation business.

More of the previous proof without ghost variables can be automated, but the runtime goes up drastically. In general it is usually more efficient to give the witness directly than to have it found by proof.

Now we try a functional version of the abstraction relation *Path*. Since the result is not that convincing, we do not prove any of the lemmas.

consts *ispath*:: $('a \Rightarrow 'a\ ref) \Rightarrow 'a\ ref \Rightarrow 'a\ ref \Rightarrow bool$
path:: $('a \Rightarrow 'a\ ref) \Rightarrow 'a\ ref \Rightarrow 'a\ ref \Rightarrow 'a\ list$

First some basic lemmas:

lemma [*simp*]: *ispath f p p*
by (*rule unproven*)
lemma [*simp*]: *path f p p* = []
by (*rule unproven*)
lemma [*simp*]: *ispath f p q* $\Longrightarrow$ *a* $\notin$ *set*(*path f p q*) $\Longrightarrow$ *ispath* (*f*(*a* := *r*)) *p q*
by (*rule unproven*)
lemma [*simp*]: *ispath f p q* $\Longrightarrow$ *a* $\notin$ *set*(*path f p q*) $\Longrightarrow$
path (*f*(*a* := *r*)) *p q* = *path f p q*
by (*rule unproven*)

Some more specific lemmas needed by the example:

lemma [*simp*]: *ispath* (*f*(*a* := *q*)) *p* (*Ref a*) $\Longrightarrow$ *ispath* (*f*(*a* := *q*)) *p q*
by (*rule unproven*)
lemma [*simp*]: *ispath* (*f*(*a* := *q*)) *p* (*Ref a*) $\Longrightarrow$
path (*f*(*a* := *q*)) *p q* = *path* (*f*(*a* := *q*)) *p* (*Ref a*) @ [*a*]
by (*rule unproven*)
lemma [*simp*]: *ispath f p* (*Ref a*) $\Longrightarrow$ *f a* = *Ref b* $\Longrightarrow$
b $\notin$ *set* (*path f p* (*Ref a*))
by (*rule unproven*)
lemma [*simp*]: *ispath f p* (*Ref a*) $\Longrightarrow$ *f a* = *Null* $\Longrightarrow$ *islist f p*
by (*rule unproven*)
lemma [*simp*]: *ispath f p* (*Ref a*) $\Longrightarrow$ *f a* = *Null* $\Longrightarrow$ *list f p* = *path f p* (*Ref a*) @
[*a*]
by (*rule unproven*)

lemma [*simp*]: *islist f p* $\Longrightarrow$ *distinct* (*list f p*)
by (*rule unproven*)

lemma *VARS hd tl p q r s*
{*islist tl p* & *Ps* = *list tl p* $\wedge$ *islist tl q* & *Qs* = *list tl q* $\wedge$
set Ps $\cap$ *set Qs* = {} $\wedge$
(*p* $\neq$ *Null* $\vee$ *q* $\neq$ *Null*)}
IF cor (*q* = *Null*) (*cand* (*p* $\neq$ *Null*) (*p*^.*hd* $\leq$ *q*^.*hd*))
THEN r := *p*; *p* := *p*^.*tl ELSE r* := *q*; *q* := *q*^.*tl FI*;
s := *r*;
WHILE p $\neq$ *Null* $\vee$ *q* $\neq$ *Null*
INV {*EX rs ps qs a*. *ispath tl r s* & *rs* = *path tl r s* $\wedge$
islist tl p & *ps* = *list tl p* $\wedge$ *islist tl q* & *qs* = *list tl q* $\wedge$
distinct(*a* # *ps* @ *qs* @ *rs*) $\wedge$ *s* = *Ref a* $\wedge$
merge(*Ps*,*Qs*,λ*x y*. *hd x* $\leq$ *hd y*) =
rs @ *a* # *merge*(*ps*,*qs*,λ*x y*. *hd x* $\leq$ *hd y*) $\wedge$
(*tl a* = *p* $\vee$ *tl a* = *q*)}
DO IF cor (*q* = *Null*) (*cand* (*p* $\neq$ *Null*) (*p*^.*hd* $\leq$ *q*^.*hd*))
THEN s^.*tl* := *p*; *p* := *p*^.*tl ELSE s*^.*tl* := *q*; *q* := *q*^.*tl FI*;
s := *s*^.*tl*

OD
{*islist tl r & list tl r = (merge(Ps,Qs,λx y. hd x ≤ hd y))*}
apply *vcg-simp*

apply (*simp-all add*: *cand-def cor-def*)
apply (*fastsimp*)
apply (*fastsimp simp*: *eq-sym-conv*)
apply(*clarsimp*)
done

The proof is automatic, but requires a numbet of special lemmas.

0.4.5 Cyclic list reversal

We consider two algorithms for the reversal of circular lists.

lemma *circular-list-rev-I*:
VARS next root p q tmp
{*root = Ref r ∧ distPath next root (r#Ps) root*}
p := root; *q := root^.next*;
WHILE q ≠ root
INV {∃ *ps qs. distPath next p ps root ∧ distPath next q qs root ∧*
root = Ref r ∧ r ∉ set Ps ∧ set ps ∩ set qs = {} ∧
Ps = (rev ps) @ qs }
DO tmp := q; *q := q^.next*; *tmp^.next := p*; *p:=tmp OD*;
root^.next := p
{ *root = Ref r ∧ distPath next root (r#rev Ps) root*}
apply (*simp only*:*distPath-def*)
apply *vcg-simp*
apply (*rule-tac x*=[] **in** *exI*)
apply *auto*
apply (*drule* (*2*) *neq-dP*)
apply *clarsimp*
apply(*rule-tac x*=*a # ps* **in** *exI*)
apply *clarsimp*
done

In the beginning, we are able to assert *distPath next root as root*, with *as* set to [] or [r, a, b, c]. Note that *Path next root as root* would additionally give us an infinite number of lists with the recurring sequence [r, a, b, c].

The precondition states that there exists a non-empty non-repeating path r # *Ps* from pointer *root* to itself, given that *root* points to location r. Pointers p and q are then set to *root* and the successor of *root* respectively. If q = *root*, we have circled the loop, otherwise we set the *next* pointer field of q to point to p, and shift p and q one step forward. The invariant thus states that p and q point to two disjoint lists *ps* and *qs*, such that *Ps* = *rev ps* @ *qs*. After the loop terminates, one extra step is needed to close the loop. As expected, the postcondition states that the *distPath* from *root* to itself is now r # *rev Ps*.

It may come as a surprise to the reader that the simple algorithm for acyclic list reversal, with modified annotations, works for cyclic lists as well:

lemma *circular-list-rev-II*:
VARS next p q tmp
$\{p = Ref\ r \wedge distPath\ next\ p\ (r\#Ps)\ p\}$
q:=*Null*;
WHILE $p \neq Null$
INV
$\{\ ((q = Null) \longrightarrow (\exists\, ps.\ distPath\ next\ p\ (ps)\ (Ref\ r) \wedge ps = r\#Ps)) \wedge$
$\quad ((q \neq Null) \longrightarrow (\exists\, ps\ qs.\ distPath\ next\ q\ (qs)\ (Ref\ r) \wedge List\ next\ p\ ps\ \wedge$
$\qquad\qquad set\ ps \cap set\ qs = \{\} \wedge rev\ qs\ @\ ps = Ps@[r])) \wedge$
$\quad \neg\ (p = Null \wedge q = Null)\ \}$
DO tmp := *p*; *p* := *p*ˆ.*next*; *tmp*ˆ.*next* := *q*; *q*:=*tmp OD*
$\{q = Ref\ r \wedge distPath\ next\ q\ (r\ \#\ rev\ Ps)\ q\}$
apply (*simp only*:*distPath-def*)
apply *vcg-simp*
apply *clarsimp*
apply *clarsimp*
apply (*case-tac* $(q = Null)$)
apply (*fastsimp intro*: *Path-is-List*)
apply *clarsimp*
apply (*rule-tac x*= *bs* **in** *exI*)
apply (*rule-tac x*= *y* # *qs* **in** *exI*)
apply *clarsimp*
apply (*auto simp*:*fun-upd-apply*)
done

0.4.6 Storage allocation

constdefs *new* :: $'a\ set \Rightarrow 'a$
$new\ A == SOME\ a.\ a \notin A$

lemma *new-notin*:
$[\![\ \sim finite(UNIV::'a\ set);\ finite(A::'a\ set);\ B \subseteq A\ ]\!] \Longrightarrow new\ (A) \notin B$
apply(*unfold new-def*)
apply(*rule someI2-ex*)
apply (*fast intro*:*ex-new-if-finite*)
apply (*fast*)
done

lemma $\sim finite(UNIV::'a\ set) \Longrightarrow$
VARS xs elem next alloc p q
$\{Xs = xs \wedge p = (Null::'a\ ref)\}$
WHILE $xs \neq []$
INV $\{islist\ next\ p \wedge set(list\ next\ p) \subseteq set\ alloc \wedge$
$\quad map\ elem\ (rev(list\ next\ p))\ @\ xs = Xs\}$
DO q := *Ref*(*new*(*set alloc*)); *alloc* := (*addr q*)#*alloc*;

```
    q^.next := p; q^.elem := hd xs; xs := tl xs; p := q
  OD
  {islist next p ∧ map elem (rev(list next p)) = Xs}
apply vcg-simp
 apply (clarsimp simp: subset-insert-iff neq-Nil-conv fun-upd-apply new-notin)
apply fastsimp
done


end


theory HeapSyntaxAbort imports HoareAbort Heap begin
```

0.4.7 Field access and update

Heap update $p^.h := e$ is now guarded against p being Null. However, p may still be illegal, e.g. uninitialized or dangling. To guard against that, one needs a more detailed model of the heap where allocated and free addresses are distinguished, e.g. by making the heap a map, or by carrying the set of free addresses around. This is needed anyway as soon as we want to reason about storage allocation/deallocation.

```
syntax
  refupdate :: ('a ⇒ 'b) ⇒ 'a ref ⇒ 'b ⇒ ('a ⇒ 'b)
   (-/'((- → -)') [1000,0] 900)
  @fassign  :: 'a ref => id => 'v => 's com
   ((2-^.- :=/ -) [70,1000,65] 61)
  @faccess  :: 'a ref => ('a ref ⇒ 'v) => 'v
   (-^.- [65,1000] 65)
translations
  refupdate f r v  ==  f(addr r := v)
  p^.f := e  =>  (p ≠ Null) → (f := refupdate f p e)
  p^.f       =>  f(addr p)


declare fun-upd-apply[simp del] fun-upd-same[simp] fun-upd-other[simp]
```

An example due to Suzuki:

```
lemma VARS v n
  {w = Ref w0 & x = Ref x0 & y = Ref y0 & z = Ref z0 &
   distinct[w0,x0,y0,z0]}
  w^.v := (1::int); w^.n := x;
  x^.v := 2; x^.n := y;
  y^.v := 3; y^.n := z;
  z^.v := 4; x^.n := z
  {w^.n^.n^.v = 4}
by vcg-simp
```

end

theory *Pointer-ExamplesAbort* **imports** *HeapSyntaxAbort* **begin**

0.5 Verifications

0.5.1 List reversal

Interestingly, this proof is the same as for the unguarded program:

lemma *VARS tl p q r*
 {*List tl p Ps* ∧ *List tl q Qs* ∧ *set Ps* ∩ *set Qs* = {}}
 WHILE p ≠ *Null*
 INV {∃ *ps qs*. *List tl p ps* ∧ *List tl q qs* ∧ *set ps* ∩ *set qs* = {} ∧
 rev ps @ *qs* = *rev Ps* @ *Qs*}
 DO r := *p*; (*p* ≠ *Null* → *p* := *p*^.*tl*); *r*^.*tl* := *q*; *q* := *r OD*
 {*List tl q* (*rev Ps* @ *Qs*)}
apply *vcg-simp*
 apply *fastsimp*
apply(*fastsimp intro*:*notin-List-update*[*THEN iffD2*])
apply *fastsimp*
done

end

theory *SchorrWaite* **imports** *HeapSyntax* **begin**

0.6 Machinery for the Schorr-Waite proof

constdefs
 — Relations induced by a mapping
 rel :: ('*a* ⇒ '*a ref*) ⇒ ('*a* × '*a*) *set*
 rel m == {(*x*,*y*). *m x* = *Ref y*}
 relS :: ('*a* ⇒ '*a ref*) *set* ⇒ ('*a* × '*a*) *set*
 relS M == (⋃ *m* ∈ *M*. *rel m*)
 addrs :: '*a ref set* ⇒ '*a set*
 addrs P == {*a*. *Ref a* ∈ *P*}
 reachable :: ('*a* × '*a*) *set* ⇒ '*a ref set* ⇒ '*a set*
 reachable r P == (*r** `` *addrs P*)

lemmas *rel-defs* = *relS-def rel-def*

Rewrite rules for relations induced by a mapping

lemma *self-reachable*: $b \in B \Longrightarrow b \in R^*$ `` B
apply *blast*
done

lemma *oneStep-reachable*: $b \in R``B \Longrightarrow b \in R^*$ `` B
apply *blast*
done

lemma *still-reachable*: $[\![B \subseteq Ra^*``A;\ \forall\ (x,y) \in Rb - Ra.\ y \in (Ra^*``A)]\!] \Longrightarrow Rb^*$ `` $B \subseteq Ra^*$ `` A
apply (*clarsimp simp only*:*Image-iff intro*:*subsetI*)
apply (*erule rtrancl-induct*)
apply *blast*
apply (*subgoal-tac* $(y,\ z) \in Ra \cup (Rb - Ra)$)
apply (*erule UnE*)
apply (*auto intro*:*rtrancl-into-rtrancl*)
apply *blast*
done

lemma *still-reachable-eq*: $[\![\ A \subseteq Rb^*``B;\ B \subseteq Ra^*``A;\ \forall\ (x,y) \in Ra - Rb.\ y \in (Rb^*``B);\ \forall\ (x,y) \in Rb - Ra.\ y \in (Ra^*``A)]\!] \Longrightarrow Ra^*``A = Rb^*``B$
apply (*rule equalityI*)
apply (*erule still-reachable* ,*assumption*)+
done

lemma *reachable-null*: *reachable mS* $\{Null\} = \{\}$
apply (*simp add*: *reachable-def addrs-def*)
done

lemma *reachable-empty*: *reachable mS* $\{\} = \{\}$
apply (*simp add*: *reachable-def addrs-def*)
done

lemma *reachable-union*: (*reachable mS aS* $\cup$ *reachable mS bS*) = *reachable mS* (*aS* $\cup$ *bS*)
apply (*simp add*: *reachable-def rel-defs addrs-def*)
apply *blast*
done

lemma *reachable-union-sym*: *reachable r* (*insert a aS*) = (r^* `` *addrs* $\{a\}$) $\cup$ *reachable r aS*
apply (*simp add*: *reachable-def rel-defs addrs-def*)
apply *blast*
done

lemma *rel-upd1*: $(a,b) \notin rel\ (r(q:=t)) \Longrightarrow (a,b) \in rel\ r \Longrightarrow a=q$
apply (*rule classical*)
apply (*simp add*:*rel-defs fun-upd-apply*)
done

lemma *rel-upd2*: $(a,b) \notin rel\ r \Longrightarrow (a,b) \in rel\ (r(q:=t)) \Longrightarrow a=q$
apply (*rule classical*)
apply (*simp add:rel-defs fun-upd-apply*)
done

constdefs
— Restriction of a relation
restr ::$('a \times 'a)\ set \Rightarrow ('a \Rightarrow bool) \Rightarrow ('a \times 'a)\ set$ ((-/ | -) [50, 51] 50)
restr r m == $\{(x,y).\ (x,y) \in r \wedge \neg\ m\ x\}$

Rewrite rules for the restriction of a relation

lemma *restr-identity*[*simp*]:
$(\forall x.\ \neg\ m\ x) \Longrightarrow (R\ |m) = R$
by (*auto simp add:restr-def*)

lemma *restr-rtrancl*[*simp*]: $[\![m\ l]\!] \Longrightarrow (R \mid m)^*\ ``\ \{l\} = \{l\}$
by (*auto simp add:restr-def elim:converse-rtranclE*)

lemma [*simp*]: $[\![m\ l]\!] \Longrightarrow (l,x) \in (R \mid m)^* = (l=x)$
by (*auto simp add:restr-def elim:converse-rtranclE*)

lemma *restr-upd*: $((rel\ (r\ (q := t)))|(m(q := True))) = ((rel\ (r))|(m(q := True)))$

apply (*auto simp:restr-def rel-def fun-upd-apply*)
apply (*rename-tac a b*)
apply (*case-tac a=q*)
apply *auto*
done

lemma *restr-un*: $((r \cup s)|m) = (r|m) \cup (s|m)$
by (*auto simp add:restr-def*)

lemma *rel-upd3*: $(a,\ b) \notin (r|(m(q := t))) \Longrightarrow (a,b) \in (r|m) \Longrightarrow a = q$
apply (*rule classical*)
apply (*simp add:restr-def fun-upd-apply*)
done

constdefs
— A short form for the stack mapping function for List
S :: $('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref)$
S c l r == $(\lambda x.\ if\ c\ x\ then\ r\ x\ else\ l\ x)$

Rewrite rules for Lists using S as their mapping

lemma [*rule-format*,*simp*]:
$\forall p.\ a \notin set\ stack \longrightarrow List\ (S\ c\ l\ r)\ p\ stack = List\ (S\ (c(a:=x))\ (l(a:=y))\ (r(a:=z)))\ p\ stack$
apply(*induct-tac stack*)
apply(*simp add:fun-upd-apply S-def*)+

done

lemma [*rule-format*,*simp*]:
$\forall p.\ a \notin set\ stack \longrightarrow List\ (S\ c\ l\ (r(a{:=}z)))\ p\ stack = List\ (S\ c\ l\ r)\ p\ stack$
apply(*induct-tac stack*)
apply(*simp add*:*fun-upd-apply S-def*)+
done

lemma [*rule-format*,*simp*]:
$\forall p.\ a \notin set\ stack \longrightarrow List\ (S\ c\ (l(a{:=}z))\ r)\ p\ stack = List\ (S\ c\ l\ r)\ p\ stack$
apply(*induct-tac stack*)
apply(*simp add*:*fun-upd-apply S-def*)+
done

lemma [*rule-format*,*simp*]:
$\forall p.\ a \notin set\ stack \longrightarrow List\ (S\ (c(a{:=}z))\ l\ r)\ p\ stack = List\ (S\ c\ l\ r)\ p\ stack$
apply(*induct-tac stack*)
apply(*simp add*:*fun-upd-apply S-def*)+
done

consts
— Recursive definition of what is means for a the graph/stack structure to be reconstructible
stkOk :: $('a \Rightarrow bool) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow ('a \Rightarrow 'a\ ref) \Rightarrow 'a\ ref \Rightarrow 'a\ list \Rightarrow bool$
primrec
stkOk-nil: $stkOk\ c\ l\ r\ iL\ iR\ t\ [] = True$
stkOk-cons: $stkOk\ c\ l\ r\ iL\ iR\ t\ (p\#stk) = (stkOk\ c\ l\ r\ iL\ iR\ (Ref\ p)\ (stk) \wedge$
$iL\ p = (if\ c\ p\ then\ l\ p\ else\ t) \wedge$
$iR\ p = (if\ c\ p\ then\ t\ else\ r\ p))$

Rewrite rules for stkOk

lemma [*simp*]: $\bigwedge t.\ [\![\ x \notin set\ xs;\ Ref\ x{\neq}t\ ]\!] \Longrightarrow$
$stkOk\ (c(x := f))\ l\ r\ iL\ iR\ t\ xs = stkOk\ c\ l\ r\ iL\ iR\ t\ xs$
apply (*induct xs*)
apply (*auto simp*:*eq-sym-conv*)
done

lemma [*simp*]: $\bigwedge t.\ [\![\ x \notin set\ xs;\ Ref\ x{\neq}t\ ]\!] \Longrightarrow$
$stkOk\ c\ (l(x := g))\ r\ iL\ iR\ t\ xs = stkOk\ c\ l\ r\ iL\ iR\ t\ xs$
apply (*induct xs*)
apply (*auto simp*:*eq-sym-conv*)
done

lemma [*simp*]: $\bigwedge t.\ [\![\ x \notin set\ xs;\ Ref\ x{\neq}t\ ]\!] \Longrightarrow$
$stkOk\ c\ l\ (r(x := g))\ iL\ iR\ t\ xs = stkOk\ c\ l\ r\ iL\ iR\ t\ xs$
apply (*induct xs*)
apply (*auto simp*:*eq-sym-conv*)
done

lemma *stkOk-r-rewrite* [*simp*]: $\bigwedge x.\ x \notin set\ xs \Longrightarrow$
stkOk c l (r(x := g)) iL iR (Ref x) xs = stkOk c l r iL iR (Ref x) xs
apply (*induct xs*)
apply (*auto simp*:*eq-sym-conv*)
done

lemma [*simp*]: $\bigwedge x.\ x \notin set\ xs \Longrightarrow$
stkOk c (l(x := g)) r iL iR (Ref x) xs = stkOk c l r iL iR (Ref x) xs
apply (*induct xs*)
apply (*auto simp*:*eq-sym-conv*)
done

lemma [*simp*]: $\bigwedge x.\ x \notin set\ xs \Longrightarrow$
stkOk (c(x := g)) l r iL iR (Ref x) xs = stkOk c l r iL iR (Ref x) xs
apply (*induct xs*)
apply (*auto simp*:*eq-sym-conv*)
done

0.7 The Schorr-Waite algorithm

theorem *SchorrWaiteAlgorithm*:
VARS c m l r t p q root
$\{R = reachable\ (relS\ \{l, r\})\ \{root\} \wedge (\forall x.\ \neg\ m\ x) \wedge iR = r \wedge iL = l\}$
t := root; p := Null;
WHILE p ≠ Null ∨ t ≠ Null ∧ ¬ t^.m
INV $\{\exists stack.$
List (S c l r) p stack ∧ (∗*i1*∗)
$(\forall x \in set\ stack.\ m\ x) \wedge$ (∗*i2*∗)
$R = reachable\ (relS\{l, r\})\ \{t,p\} \wedge$ (∗*i3*∗)
$(\forall x.\ x \in R \wedge \neg m\ x \longrightarrow$ (∗*i4*∗)
x ∈ reachable (relS{l,r}|m) ({t}∪set(map r stack))) ∧
$(\forall x.\ m\ x \longrightarrow x \in R) \wedge$ (∗*i5*∗)
$(\forall x.\ x \notin set\ stack \longrightarrow r\ x = iR\ x \wedge l\ x = iL\ x) \wedge$ (∗*i6*∗)
(stkOk c l r iL iR t stack) (∗*i7*∗) $\}$
DO IF t = Null ∨ t^.m
THEN IF p^.c
THEN q := t; t := p; p := p^.r; t^.r := q (∗*pop*∗)
ELSE q := t; t := p^.r; p^.r := p^.l; (∗*swing*∗)
p^.l := q; p^.c := True FI
ELSE q := p; p := t; t := t^.l; p^.l := q; (∗*push*∗)
p^.m := True; p^.c := False FI OD
$\{(\forall x.\ (x \in R) = m\ x) \wedge (r = iR \wedge l = iL)\}$
(**is** *VARS c m l r t p q root* $\{?Pre\ c\ m\ l\ r\ root\}$ (*?c1*; *?c2*; *?c3*) $\{?Post\ c\ m\ l\ r\}$)
proof (*vcg*)
let *While* $\{(c, m, l, r, t, p, q, root).\ ?whileB\ m\ t\ p\}$
$\{(c, m, l, r, t, p, q, root).\ ?inv\ c\ m\ l\ r\ t\ p\}$ *?body = ?c3*
{

fix *c m l r t p q root*
assume *?Pre c m l r root*
thus *?inv c m l r root Null* **by** (*auto simp add*: *reachable-def addrs-def*)
next

fix *c m l r t p q*
let ∃ *stack*. *?Inv stack* = *?inv c m l r t p*
assume *a*: *?inv c m l r t p* ∧ ¬(*p* ≠ *Null* ∨ *t* ≠ *Null* ∧ ¬ *t*ˆ.*m*)
then obtain *stack* **where** *inv*: *?Inv stack* **by** *blast*
from *a* **have** *pNull*: *p* = *Null* **and** *tDisj*: *t*=*Null* ∨ (*t*≠*Null* ∧ *t*ˆ.*m*) **by** *auto*
let *?I1* ∧ - ∧ - ∧ *?I4* ∧ *?I5* ∧ *?I6* ∧ - = *?Inv stack*
from *inv* **have** *i1*: *?I1* **and** *i4*: *?I4* **and** *i5*: *?I5* **and** *i6*: *?I6* **by** *simp+*
from *pNull i1* **have** *stackEmpty*: *stack* = [] **by** *simp*
from *tDisj i4* **have** *RisMarked*[*rule-format*]: ∀ *x*. *x* ∈ *R* ⟶ *m x* **by**(*auto simp*: *reachable-def addrs-def stackEmpty*)
from *i5 i6* **show** (∀ *x*.(*x* ∈ *R*) = *m x*) ∧ *r* = *iR* ∧ *l* = *iL* **by**(*auto simp*: *stackEmpty expand-fun-eq intro*:*RisMarked*)

next
fix *c m l r t p q root*
let ∃ *stack*. *?Inv stack* = *?inv c m l r t p*
let ∃ *stack*. *?popInv stack* = *?inv c m l* (*r*(*p* → *t*)) *p* (*p*ˆ.*r*)
let ∃ *stack*. *?swInv stack* =
?inv (*c*(*p* → *True*)) *m* (*l*(*p* → *t*)) (*r*(*p* → *p*ˆ.*l*)) (*p*ˆ.*r*) *p*
let ∃ *stack*. *?puInv stack* =
?inv (*c*(*t* → *False*)) (*m*(*t* → *True*)) (*l*(*t* → *p*)) *r* (*t*ˆ.*l*) *t*
let *?ifB1* = (*t* = *Null* ∨ *t*ˆ.*m*)
let *?ifB2* = *p*ˆ.*c*

assume (∃ *stack*.*?Inv stack*) ∧ (*p* ≠ *Null* ∨ *t* ≠ *Null* ∧ ¬ *t*ˆ.*m*) (**is** - ∧ *?whileB*)
then obtain *stack* **where** *inv*: *?Inv stack* **and** *whileB*: *?whileB* **by** *blast*
let *?I1* ∧ *?I2* ∧ *?I3* ∧ *?I4* ∧ *?I5* ∧ *?I6* ∧ *?I7* = *?Inv stack*
from *inv* **have** *i1*: *?I1* **and** *i2*: *?I2* **and** *i3*: *?I3* **and** *i4*: *?I4*
and *i5*: *?I5* **and** *i6*: *?I6* **and** *i7*: *?I7* **by** *simp+*
have *stackDist*: *distinct* (*stack*) **using** *i1* **by** (*rule List-distinct*)

show (*?ifB1* ⟶ (*?ifB2* ⟶ (∃ *stack*.*?popInv stack*)) ∧
(¬*?ifB2* ⟶ (∃ *stack*.*?swInv stack*))) ∧
(¬*?ifB1* ⟶ (∃ *stack*.*?puInv stack*))
proof −
{
assume *ifB1*: *t* = *Null* ∨ *t*ˆ.*m* **and** *ifB2*: *p*ˆ.*c*
from *ifB1 whileB* **have** *pNotNull*: *p* ≠ *Null* **by** *auto*
then obtain *addr-p* **where** *addr-p-eq*: *p* = *Ref addr-p* **by** *auto*
with *i1* **obtain** *stack-tl* **where** *stack-eq*: *stack* = (*addr p*) # *stack-tl*
by *auto*
with *i2* **have** *m-addr-p*: *p*ˆ.*m* **by** *auto*
have *stackDist*: *distinct* (*stack*) **using** *i1* **by** (*rule List-distinct*)

```
        from stack-eq stackDist have p-notin-stack-tl: addr p ∉ set stack-tl by
simp
      let ?poI1∧ ?poI2∧ ?poI3∧ ?poI4∧ ?poI5∧ ?poI6∧ ?poI7 = ?popInv stack-tl
        have ?popInv stack-tl
        proof −

          — List property is maintained:
          from i1 p-notin-stack-tl ifB2
          have poI1: List (S c l (r(p → t))) (p^.r) stack-tl
            by(simp add: addr-p-eq stack-eq, simp add: S-def)

          moreover
          — Everything on the stack is marked:
          from i2 have poI2: ∀ x ∈ set stack-tl. m x by (simp add:stack-eq)
          moreover

          — Everything is still reachable:
          let (R = reachable ?Ra ?A) = ?I3
          let ?Rb = (relS {l, r(p → t)})
          let ?B = {p, p^.r}
          — Our goal is R = reachable ?Rb ?B.
          have ?Ra* `` addrs ?A = ?Rb* `` addrs ?B (is ?L = ?R)
          proof
            show ?L ⊆ ?R
            proof (rule still-reachable)
                show addrs ?A ⊆ ?Rb* `` addrs ?B by(fastsimp simp:addrs-def
relS-def rel-def addr-p-eq
                  intro:oneStep-reachable Image-iff[THEN iffD2])
              show ∀(x,y) ∈ ?Ra−?Rb. y ∈ (?Rb* `` addrs ?B) by (clarsimp
simp:relS-def)
                  (fastsimp simp add:rel-def Image-iff addrs-def dest:rel-upd1)
            qed
            show ?R ⊆ ?L
            proof (rule still-reachable)
              show addrs ?B ⊆ ?Ra* `` addrs ?A
                by(fastsimp simp:addrs-def rel-defs addr-p-eq
                   intro:oneStep-reachable Image-iff[THEN iffD2])
            next
              show ∀(x, y)∈?Rb−?Ra. y∈(?Ra*``addrs ?A)
                by (clarsimp simp:relS-def)
                  (fastsimp simp add:rel-def Image-iff addrs-def dest:rel-upd2)
            qed
          qed
         with i3 have poI3: R = reachable ?Rb ?B by (simp add:reachable-def)
          moreover

          — If it is reachable and not marked, it is still reachable using...
          let ∀x. x ∈ R ∧ ¬ m x ⟶ x ∈ reachable ?Ra ?A = ?I4
          let ?Rb = relS {l, r(p → t)} | m
```

let *?B* = {*p*} ∪ *set* (*map* (*r*(*p* → *t*)) *stack-tl*)
— Our goal is ∀ *x*. *x* ∈ *R* ∧ ¬ *m x* ⟶ *x* ∈ *reachable ?Rb ?B*.
let *?T* = {*t*, *p* ^ .*r*}

have *?Ra** `` *addrs ?A* ⊆ *?Rb*\* `` (*addrs ?B* ∪ *addrs ?T*)
proof (*rule still-reachable*)
have *rewrite*: ∀ *s*∈*set stack-tl*. (*r*(*p* → *t*)) *s* = *r s*
by (*auto simp add*:*p-notin-stack-tl intro*:*fun-upd-other*)
show *addrs ?A* ⊆ *?Rb** `` (*addrs ?B* ∪ *addrs ?T*)
by (*fastsimp cong*:*map-cong simp*:*stack-eq addrs-def rewrite intro*:*self-reachable*)
show ∀ (*x*, *y*)∈*?Ra*−*?Rb*. *y*∈(*?Rb** ``(*addrs ?B* ∪ *addrs ?T*))
by (*clarsimp simp*:*restr-def relS-def*)
(*fastsimp simp add*:*rel-def Image-iff addrs-def dest*:*rel-upd1*)
qed
— We now bring a term from the right to the left of the subset relation.
hence *subset*: *?Ra** `` *addrs ?A* − *?Rb*\* `` *addrs ?T* ⊆ *?Rb** `` *addrs ?B*
by *blast*
have *poI4*: ∀ *x*. *x* ∈ *R* ∧ ¬ *m x* ⟶ *x* ∈ *reachable ?Rb ?B*
proof (*rule allI*, *rule impI*)
fix *x*
assume *a*: *x* ∈ *R* ∧ ¬ *m x*
— First, a disjunction on *r* (*addr p*) used later in the proof
have *pDisj*:*p* ^ .*r* = *Null* ∨ (*p* ^ .*r* ≠ *Null* ∧ *p* ^ .*r* ^ .*m*) **using** *poI1 poI2*
by *auto*
— *x* belongs to the left hand side of *subset*:
have *incl*: *x* ∈ *?Ra** ``*addrs ?A* **using** *a i4* **by** (*simp only*:*reachable-def*, *clarsimp*)
have *excl*: *x* ∉ *?Rb** `` *addrs ?T* **using** *pDisj ifB1 a* **by** (*auto simp add*:*addrs-def*)
— And therefore also belongs to the right hand side of *subset*,
— which corresponds to our goal.
from *incl excl subset* **show** *x* ∈ *reachable ?Rb ?B* **by** (*auto simp add*:*reachable-def*)
qed
moreover

— If it is marked, then it is reachable
from *i5* **have** *poI5*: ∀ *x*. *m x* ⟶ *x* ∈ *R* **.**
moreover

— If it is not on the stack, then its *l* and *r* fields are unchanged
from *i7 i6 ifB2*
have *poI6*: ∀ *x*. *x* ∉ *set stack-tl* ⟶ (*r*(*p* → *t*)) *x* = *iR x* ∧ *l x* = *iL x*
by(*auto simp*: *addr-p-eq stack-eq fun-upd-apply*)

moreover

— If it is on the stack, then its *l* and *r* fields can be reconstructed

```
      from p-notin-stack-tl i7 have poI7: stkOk c l (r(p → t)) iL iR p stack-tl
        by (clarsimp simp:stack-eq addr-p-eq)

      ultimately show ?popInv stack-tl by simp
    qed
    hence ∃ stack. ?popInv stack ..
  }
  moreover

  — Proofs of the Swing and Push arm follow.
  — Since they are in principle simmilar to the Pop arm proof,
  — we show fewer comments and use frequent pattern matching.
  {
    — Swing arm
    assume ifB1: ?ifB1 and nifB2: ¬?ifB2
    from ifB1 whileB have pNotNull: p ≠ Null by clarsimp
    then obtain addr-p where addr-p-eq: p = Ref addr-p by clarsimp
    with i1 obtain stack-tl where stack-eq: stack = (addr p) # stack-tl by
clarsimp
    with i2 have m-addr-p: p^.m by clarsimp
    from stack-eq stackDist have p-notin-stack-tl: (addr p) ∉ set stack-tl
      by simp
    let ?swI1∧?swI2∧?swI3∧?swI4∧?swI5∧?swI6∧?swI7 = ?swInv stack
    have ?swInv stack
    proof −

      — List property is maintained:
      from i1 p-notin-stack-tl nifB2
      have swI1: ?swI1
        by (simp add:addr-p-eq stack-eq, simp add:S-def)
      moreover

      — Everything on the stack is marked:
      from i2
      have swI2: ?swI2 .
      moreover

      — Everything is still reachable:
      let R = reachable ?Ra ?A = ?I3
      let R = reachable ?Rb ?B = ?swI3
      have ?Ra* `` addrs ?A = ?Rb* `` addrs ?B
      proof (rule still-reachable-eq)
        show addrs ?A ⊆ ?Rb* `` addrs ?B
          by(fastsimp simp:addrs-def rel-defs addr-p-eq intro:oneStep-reachable
Image-iff[THEN iffD2])
      next
        show addrs ?B ⊆ ?Ra* `` addrs ?A
          by(fastsimp simp:addrs-def rel-defs addr-p-eq intro:oneStep-reachable
Image-iff[THEN iffD2])
```

next
show $\forall (x,\ y) \in ?Ra - ?Rb.\ y \in (?Rb^* \text{``} addrs\ ?B)$
by (*clarsimp simp*:*relS-def*) (*fastsimp simp add*:*rel-def Image-iff addrs-def fun-upd-apply dest*:*rel-upd1*)
next
show $\forall (x,\ y) \in ?Rb - ?Ra.\ y \in (?Ra^* \text{``} addrs\ ?A)$
by (*clarsimp simp*:*relS-def*) (*fastsimp simp add*:*rel-def Image-iff addrs-def fun-upd-apply dest*:*rel-upd2*)
qed
with *i3*
have *swI3*: *?swI3* **by** (*simp add*:*reachable-def*)
moreover

— If it is reachable and not marked, it is still reachable using...
let $\forall x.\ x \in R \wedge \neg\ m\ x \longrightarrow x \in reachable\ ?Ra\ ?A = ?I4$
let $\forall x.\ x \in R \wedge \neg\ m\ x \longrightarrow x \in reachable\ ?Rb\ ?B = ?swI4$
let $?T = \{t\}$
have $?Ra^* \text{``} addrs\ ?A \subseteq ?Rb^* \text{``} (addrs\ ?B \cup addrs\ ?T)$
proof (*rule still-reachable*)
have *rewrite*: $(\forall s \in set\ stack\text{-}tl.\ (r(addr\ p := l(addr\ p)))\ s = r\ s)$
by (*auto simp add*:*p-notin-stack-tl intro*:*fun-upd-other*)
show $addrs\ ?A \subseteq ?Rb^*\ \text{``}\ (addrs\ ?B \cup addrs\ ?T)$
by (*fastsimp cong*:*map-cong simp*:*stack-eq addrs-def rewrite intro*:*self-reachable*)
next
show $\forall (x,\ y) \in ?Ra - ?Rb.\ y \in (?Rb^* \text{``} (addrs\ ?B \cup addrs\ ?T))$
by (*clarsimp simp*:*relS-def restr-def*) (*fastsimp simp add*:*rel-def Image-iff addrs-def fun-upd-apply dest*:*rel-upd1*)
qed
then have *subset*: $?Ra^* \text{``} addrs\ ?A - ?Rb^* \text{``} addrs\ ?T \subseteq ?Rb^* \text{``} addrs\ ?B$
by *blast*
have *?swI4*
proof (*rule allI*, *rule impI*)
fix *x*
assume *a*: $x \in R \wedge \neg\ m\ x$
with *i4 addr-p-eq stack-eq* **have** *inc*: $x \in ?Ra^* \text{``} addrs\ ?A$
by (*simp only*:*reachable-def*, *clarsimp*)
with *ifB1 a*
have *exc*: $x \notin ?Rb^*\ \text{``}\ addrs\ ?T$
by (*auto simp add*:*addrs-def*)
from *inc exc subset* **show** $x \in reachable\ ?Rb\ ?B$
by (*auto simp add*:*reachable-def*)
qed
moreover

— If it is marked, then it is reachable
from *i5*
have *?swI5* **.**
moreover

```
      — If it is not on the stack, then its l and r fields are unchanged
      from i6 stack-eq
      have ?swI6
        by clarsimp
      moreover

      — If it is on the stack, then its l and r fields can be reconstructed
      from stackDist i7 nifB2
      have ?swI7
        by (clarsimp simp:addr-p-eq stack-eq)

      ultimately show ?thesis by auto
    qed
    then have ∃ stack. ?swInv stack by blast
  }
  moreover

  {
    — Push arm
    assume nifB1: ¬ ?ifB1
    from nifB1 whileB have tNotNull: t ≠ Null by clarsimp
    then obtain addr-t where addr-t-eq: t = Ref addr-t by clarsimp
    with i1 obtain new-stack where new-stack-eq: new-stack = (addr t) #
stack by clarsimp
    from tNotNull nifB1 have n-m-addr-t: ¬ (t^.m) by clarsimp
    with i2 have t-notin-stack: (addr t) ∉ set stack by blast
   let ?puI1∧?puI2∧?puI3∧?puI4∧?puI5∧?puI6∧?puI7 = ?puInv new-stack
    have ?puInv new-stack
    proof −

      — List property is maintained:
      from i1 t-notin-stack
      have puI1: ?puI1
        by (simp add:addr-t-eq new-stack-eq, simp add:S-def)
      moreover

      — Everything on the stack is marked:
      from i2
      have puI2: ?puI2
        by (simp add:new-stack-eq fun-upd-apply)
      moreover

      — Everything is still reachable:
      let R = reachable ?Ra ?A = ?I3
      let R = reachable ?Rb ?B = ?puI3
      have ?Ra* `` addrs ?A = ?Rb* `` addrs ?B
      proof (rule still-reachable-eq)
        show addrs ?A ⊆ ?Rb* `` addrs ?B
```

by(*fastsimp simp*:*addrs-def rel-defs addr-t-eq intro*:*oneStep-reachable Image-iff*[*THEN iffD2*])
next
show *addrs ?B* ⊆ *?Ra* `` addrs ?A*
by(*fastsimp simp*:*addrs-def rel-defs addr-t-eq intro*:*oneStep-reachable Image-iff*[*THEN iffD2*])
next
show ∀(*x*, *y*)∈*?Ra*−*?Rb*. *y*∈(*?Rb* ``addrs ?B*)
by (*clarsimp simp*:*relS-def*) (*fastsimp simp add*:*rel-def Image-iff addrs-def dest*:*rel-upd1*)
next
show ∀(*x*, *y*)∈*?Rb*−*?Ra*. *y*∈(*?Ra* ``addrs ?A*)
by (*clarsimp simp*:*relS-def*) (*fastsimp simp add*:*rel-def Image-iff addrs-def fun-upd-apply dest*:*rel-upd2*)
qed
with *i3*
have *puI3*: *?puI3* **by** (*simp add*:*reachable-def*)
moreover

— If it is reachable and not marked, it is still reachable using...
let ∀*x*. *x* ∈ *R* ∧ ¬ *m x* ⟶ *x* ∈ *reachable ?Ra ?A* = *?I4*
let ∀*x*. *x* ∈ *R* ∧ ¬ *?new-m x* ⟶ *x* ∈ *reachable ?Rb ?B* = *?puI4*
let *?T* = {*t*}
have *?Ra* ``addrs ?A* ⊆ *?Rb\* ``(addrs ?B* ∪ *addrs ?T*)
proof (*rule still-reachable*)
show *addrs ?A* ⊆ *?Rb* `` (addrs ?B* ∪ *addrs ?T*)
by (*fastsimp simp*:*new-stack-eq addrs-def intro*:*self-reachable*)
next
show ∀(*x*, *y*)∈*?Ra*−*?Rb*. *y*∈(*?Rb* ``(addrs ?B* ∪ *addrs ?T*))
by (*clarsimp simp*:*relS-def new-stack-eq restr-un restr-upd*)
(*fastsimp simp add*:*rel-def Image-iff restr-def addrs-def fun-upd-apply addr-t-eq dest*:*rel-upd3*)
qed
then have *subset*: *?Ra* ``addrs ?A* − *?Rb\* ``addrs ?T* ⊆ *?Rb* ``addrs ?B*
by *blast*
have *?puI4*
proof (*rule allI*, *rule impI*)
fix *x*
assume *a*: *x* ∈ *R* ∧ ¬ *?new-m x*
have *xDisj*: *x*=(*addr t*) ∨ *x*≠(*addr t*) **by** *simp*
with *i4 a* **have** *inc*: *x* ∈ *?Ra* ``addrs ?A*
by (*fastsimp simp*:*addr-t-eq addrs-def reachable-def intro*:*self-reachable*)
have *exc*: *x* ∉ *?Rb* `` addrs ?T*
using *xDisj a n-m-addr-t*
by (*clarsimp simp add*:*addrs-def addr-t-eq*)
from *inc exc subset* **show** *x* ∈ *reachable ?Rb ?B*
by (*auto simp add*:*reachable-def*)
qed
moreover

— If it is marked, then it is reachable
from *i5*
have *?puI5*
by (*auto simp*:*addrs-def i3 reachable-def addr-t-eq fun-upd-apply intro*:*self-reachable*)
moreover

— If it is not on the stack, then its l and r fields are unchanged
from *i6*
have *?puI6*
by (*simp add*:*new-stack-eq*)
moreover

— If it is on the stack, then its l and r fields can be reconstructed
from *stackDist i6 t-notin-stack i7*
have *?puI7* **by** (*clarsimp simp*:*addr-t-eq new-stack-eq*)

ultimately show *?thesis* **by** *auto*
qed
then have $\exists$ *stack*. *?puInv stack* **by** *blast*

}
ultimately show *?thesis* **by** *blast*
qed
}
qed

end

theory *SepLogHeap*
imports *Main*
begin

types *heap* = (*nat* $\Rightarrow$ *nat option*)

Some means allocated, *None* means free. Address *0* serves as the null reference.

0.7.1 Paths in the heap

consts
Path :: *heap* $\Rightarrow$ *nat* $\Rightarrow$ *nat list* $\Rightarrow$ *nat* $\Rightarrow$ *bool*
primrec
Path h x [] *y* = (*x* = *y*)
Path h x (*a*#*as*) *y* = (*x*$\neq$*0* $\wedge$ *a*=*x* $\wedge$ ($\exists$ *b*. *h x* = *Some b* $\wedge$ *Path h b as y*))

lemma [*iff*]: *Path h 0 xs y* = (*xs* = [] ∧ *y* = *0*)
by (*cases xs*) *simp-all*

lemma [*simp*]: *x*≠*0* ⟹ *Path h x as z* =
(*as* = [] ∧ *z* = *x* ∨ (∃ *y bs*. *as* = *x*#*bs* ∧ *h x* = *Some y* & *Path h y bs z*))
by (*cases as*) *auto*

lemma [*simp*]: ⋀*x*. *Path f x* (*as*@*bs*) *z* = (∃ *y*. *Path f x as y* ∧ *Path f y bs z*)
by (*induct as*) *auto*

lemma *Path-upd*[*simp*]:
⋀*x*. *u* ∉ *set as* ⟹ *Path* (*f*(*u* := *v*)) *x as y* = *Path f x as y*
by (*induct as*) *simp-all*

0.7.2 Lists on the heap

constdefs
List :: *heap* ⇒ *nat* ⇒ *nat list* ⇒ *bool*
List h x as == *Path h x as 0*

lemma [*simp*]: *List h x* [] = (*x* = *0*)
by (*simp add*: *List-def*)

lemma [*simp*]:
List h x (*a*#*as*) = (*x*≠*0* ∧ *a*=*x* ∧ (∃ *y*. *h x* = *Some y* ∧ *List h y as*))
by (*simp add*: *List-def*)

lemma [*simp*]: *List h 0 as* = (*as* = [])
by (*cases as*) *simp-all*

lemma *List-non-null*: *a*≠*0* ⟹
List h a as = (∃ *b bs*. *as* = *a*#*bs* ∧ *h a* = *Some b* ∧ *List h b bs*)
by (*cases as*) *simp-all*

theorem *notin-List-update*[*simp*]:
⋀*x*. *a* ∉ *set as* ⟹ *List* (*h*(*a* := *y*)) *x as* = *List h x as*
by (*induct as*) *simp-all*

lemma *List-unique*: ⋀*x bs*. *List h x as* ⟹ *List h x bs* ⟹ *as* = *bs*
by (*induct as*) (*auto simp add*:*List-non-null*)

lemma *List-unique1*: *List h p as* ⟹ ∃!*as*. *List h p as*
by (*blast intro*: *List-unique*)

lemma *List-app*: ⋀*x*. *List h x* (*as*@*bs*) = (∃ *y*. *Path h x as y* ∧ *List h y bs*)
by (*induct as*) *auto*

lemma *List-hd-not-in-tl*[*simp*]: *List h b as* ⟹ *h a* = *Some b* ⟹ *a* ∉ *set as*

apply (*clarsimp simp add*:*in-set-conv-decomp*)
apply(*frule List-app*[*THEN iffD1*])
apply(*fastsimp dest*: *List-unique*)
done

lemma *List-distinct*[*simp*]: $\bigwedge x.$ *List h x as* $\Longrightarrow$ *distinct as*
by (*induct as*) (*auto dest*:*List-hd-not-in-tl*)

lemma *list-in-heap*: $\bigwedge p.$ *List h p ps* $\Longrightarrow$ *set ps* $\subseteq$ *dom h*
by (*induct ps*) *auto*

lemma *list-ortho-sum1*[*simp*]:
$\bigwedge p.$ $[\![$ *List h1 p ps*; *dom h1* $\cap$ *dom h2* $= \{\}]\!]$ $\Longrightarrow$ *List* (*h1*++*h2*) *p ps*
by (*induct ps*) (*auto simp add*:*map-add-def split*:*option.split*)

lemma *list-ortho-sum2*[*simp*]:
$\bigwedge p.$ $[\![$ *List h2 p ps*; *dom h1* $\cap$ *dom h2* $= \{\}]\!]$ $\Longrightarrow$ *List* (*h1*++*h2*) *p ps*
by (*induct ps*) (*auto simp add*:*map-add-def split*:*option.split*)

end

theory *Separation* **imports** *HoareAbort SepLogHeap* **begin**

The semantic definition of a few connectives:

constdefs
ortho:: *heap* $\Rightarrow$ *heap* $\Rightarrow$ *bool* (**infix** $\perp$ *55*)
h1 $\perp$ *h2* $==$ *dom h1* $\cap$ *dom h2* $= \{\}$

is-empty :: *heap* $\Rightarrow$ *bool*
is-empty h $==$ *h* $=$ *empty*

singl:: *heap* $\Rightarrow$ *nat* $\Rightarrow$ *nat* $\Rightarrow$ *bool*
singl h x y $==$ *dom h* $= \{x\}$ & *h x* $=$ *Some y*

star:: (*heap* $\Rightarrow$ *bool*) $\Rightarrow$ (*heap* $\Rightarrow$ *bool*) $\Rightarrow$ (*heap* $\Rightarrow$ *bool*)
star P Q $==$ $\lambda h.$ $\exists$ *h1 h2*. *h* $=$ *h1*++*h2* $\wedge$ *h1* $\perp$ *h2* $\wedge$ *P h1* $\wedge$ *Q h2*

wand:: (*heap* $\Rightarrow$ *bool*) $\Rightarrow$ (*heap* $\Rightarrow$ *bool*) $\Rightarrow$ (*heap* $\Rightarrow$ *bool*)
wand P Q $==$ $\lambda h.$ $\forall h'.$ $h' \perp h \wedge P\ h' \longrightarrow Q(h{+}{+}h')$

This is what assertions look like without any syntactic sugar:

lemma *VARS x y z w h*
{*star* (%*h*. *singl h x y*) (%*h*. *singl h z w*) *h*}
SKIP
{$x \neq z$}
apply *vcg*

apply(*auto simp*:*star-def ortho-def singl-def*)
done

Now we add nice input syntax. To suppress the heap parameter of the connectives, we assume it is always called H and add/remove it upon parsing/printing. Thus every pointer program needs to have a program variable H, and assertions should not contain any locally bound Hs - otherwise they may bind the implicit H.

syntax
 @*emp* :: *bool* (*emp*)
 @*singl* :: *nat* ⇒ *nat* ⇒ *bool* ([- ↦ -])
 @*star* :: *bool* ⇒ *bool* ⇒ *bool* (**infixl** ∗∗ *60*)
 @*wand* :: *bool* ⇒ *bool* ⇒ *bool* (**infixl** −∗ *60*)

ML⟪
(∗ *free-tr takes care of free vars in the scope of sep. logic connectives*:
   they are implicitly applied to the heap ∗)
fun free-tr(*t as Free* -) = *t* $ *Syntax.free H*
(∗
  | *free-tr*((*list as Free*(*List*,-))$ *p* $ *ps*) = *list* $ *Syntax.free H* $ *p* $ *ps*
∗)
  | *free-tr t* = *t*

fun emp-tr [] = *Syntax.const is-empty* $ *Syntax.free H*
  | *emp-tr ts* = *raise TERM* (*emp-tr*, *ts*);
fun singl-tr [*p*,*q*] = *Syntax.const singl* $ *Syntax.free H* $ *p* $ *q*
  | *singl-tr ts* = *raise TERM* (*singl-tr*, *ts*);
fun star-tr [*P*,*Q*] = *Syntax.const star* $
      absfree(*H*,*dummyT*,*free-tr P*) $ *absfree*(*H*,*dummyT*,*free-tr Q*) $
      Syntax.free H
  | *star-tr ts* = *raise TERM* (*star-tr*, *ts*);
fun wand-tr [*P*,*Q*] = *Syntax.const wand* $
      absfree(*H*,*dummyT*,*P*) $ *absfree*(*H*,*dummyT*,*Q*) $ *Syntax.free H*
  | *wand-tr ts* = *raise TERM* (*wand-tr*, *ts*);
⟫

parse-translation
 ⟪ [(@*emp*, *emp-tr*), (@*singl*, *singl-tr*),
    (@*star*, *star-tr*), (@*wand*, *wand-tr*)] ⟫

Now it looks much better:

lemma *VARS H x y z w*
 {[*x*↦*y*] ∗∗ [*z*↦*w*]}
 SKIP
 {*x* ≠ *z*}
apply *vcg*
apply(*auto simp*:*star-def ortho-def singl-def*)
done

lemma *VARS H x y z w*
{*emp ∗∗ emp*}
SKIP
{*emp*}
apply *vcg*
apply(*auto simp*:*star-def ortho-def is-empty-def*)
done

But the output is still unreadable. Thus we also strip the heap parameters upon output:

ML⟪
local
fun strip (*Abs*(-,-,(*t as Const*(-*free*,-) $ *Free* -) $ *Bound 0*)) = *t*
| *strip* (*Abs*(-,-,(*t as Free* -) $ *Bound 0*)) = *t*
(∗
| *strip* (*Abs*(-,-,((*list as Const*(*List*,-))$ *Bound 0* $ *p* $ *ps*))) = *list*$*p*$*ps*
∗)
| *strip* (*Abs*(-,-,(*t as Const*(-*var*,-) $ *Var* -) $ *Bound 0*)) = *t*
| *strip* (*Abs*(-,-,*P*)) = *P*
| *strip* (*Const*(*is-empty*,-)) = *Syntax.const* @*emp*
| *strip t* = *t*;
in
fun is-empty-tr′ [-] = *Syntax.const* @*emp*
fun singl-tr′ [-,*p*,*q*] = *Syntax.const* @*singl* $ *p* $ *q*
fun star-tr′ [*P*,*Q*,-] = *Syntax.const* @*star* $ *strip P* $ *strip Q*
fun wand-tr′ [*P*,*Q*,-] = *Syntax.const* @*wand* $ *strip P* $ *strip Q*
end
⟫

print-translation
⟪ [(*is-empty*, *is-empty-tr′*),(*singl*, *singl-tr′*),
(*star*, *star-tr′*),(*wand*, *wand-tr′*)] ⟫

Now the intermediate proof states are also readable:

lemma *VARS H x y z w*
{[*x*↦*y*] ∗∗ [*z*↦*w*]}
y := *w*
{*x* ≠ *z*}
apply *vcg*
apply(*auto simp*:*star-def ortho-def singl-def*)
done

lemma *VARS H x y z w*
{*emp ∗∗ emp*}
SKIP
{*emp*}
apply *vcg*
apply(*auto simp*:*star-def ortho-def is-empty-def*)

done

So far we have unfolded the separation logic connectives in proofs. Here comes a simple example of a program proof that uses a law of separation logic instead.

lemma *star-comm*: P ** $Q = Q$ ** P
by(*auto simp add*:*star-def ortho-def dest*: *map-add-comm*)

lemma *VARS H x y z w*
{P ** Q}
SKIP
{Q ** P}
apply *vcg*
apply(*simp add*: *star-comm*)
done

lemma *VARS H*
{$p \neq 0 \wedge [p \mapsto x]$ ** *List H q qs*}
$H := H(p \mapsto q)$
{*List H p* ($p \# qs$)}
apply *vcg*
apply(*simp add*: *star-def ortho-def singl-def*)
apply *clarify*
apply(*subgoal-tac* $p \notin$ *set qs*)
prefer *2*
apply(*blast dest*:*list-in-heap*)
apply *simp*
done

lemma *VARS H p q r*
{*List H p Ps* ** *List H q Qs*}
WHILE $p \neq 0$
INV {$\exists$ *ps qs*. (*List H p ps* ** *List H q qs*) $\wedge$ *rev ps* @ *qs* = *rev Ps* @ *Qs*}
DO $r := p$; $p :=$ *the*(*H p*); $H := H(r \mapsto q)$; $q := r$ *OD*
{*List H q* (*rev Ps* @ *Qs*)}
apply *vcg*
apply(*simp-all add*: *star-def ortho-def singl-def*)

apply *fastsimp*

apply (*clarsimp simp add*:*List-non-null*)
apply(*rename-tac ps'*)
apply(*rule-tac* $x = ps'$ **in** *exI*)
apply(*rule-tac* $x = p \# qs$ **in** *exI*)
apply *simp*
apply(*rule-tac* $x = h1(p{:=}None)$ **in** *exI*)
apply(*rule-tac* $x = h2(p \mapsto q)$ **in** *exI*)
apply *simp*

apply(*rule conjI*)
 apply(*rule ext*)
 apply(*simp add*:*map-add-def split*:*option.split*)
apply(*rule conjI*)
 apply *blast*
apply(*simp add*:*map-add-def split*:*option.split*)
apply(*rule conjI*)
apply(*subgoal-tac p* $\notin$ *set qs*)
 prefer *2*
 apply(*blast dest*:*list-in-heap*)
apply(*simp*)
apply *fast*

apply(*fastsimp*)
done

end

Bibliography

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