

# The Isabelle/HOL Algebra Library

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November 22, 2007

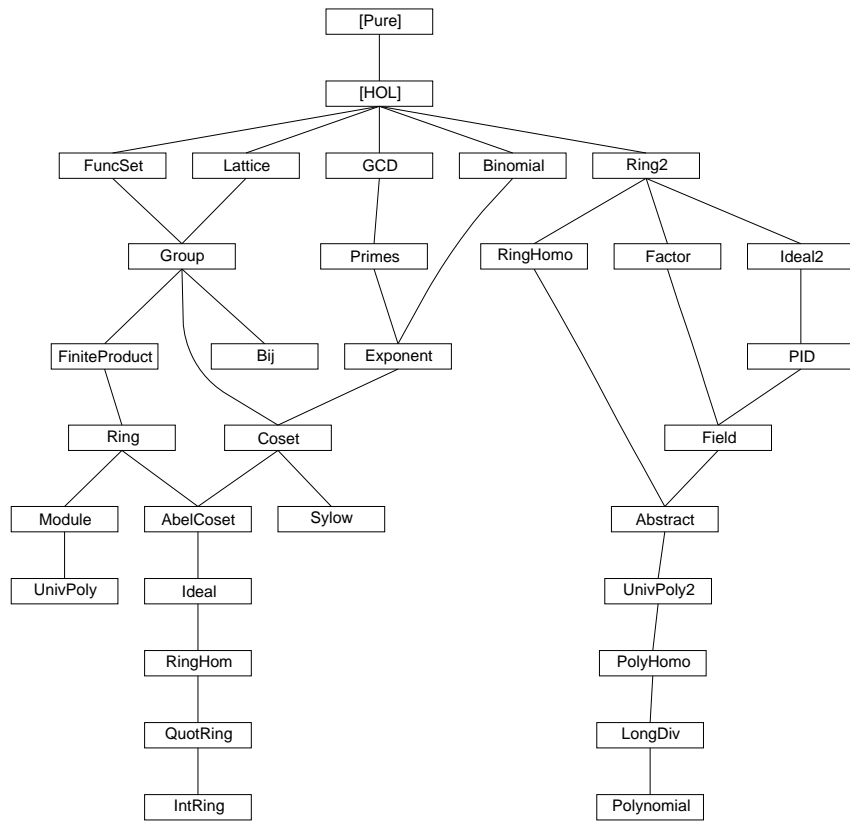
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**theory** *Lattice* **imports** *Main* **begin**

## 1 Orders and Lattices

Object with a carrier set.

**record** *'a partial-object* =  
*carrier* :: *'a set*

### 1.1 Partial Orders

**record** *'a order* = *'a partial-object* +  
*le* :: [*'a*, *'a*] ==> *bool* (**infixl**  $\sqsubseteq$  50)

**locale** *partial-order* =  
**fixes** *L* (**structure**)  
**assumes** *refl* [*intro*, *simp*]:  
 $x \in \text{carrier } L \implies x \sqsubseteq x$   
**and** *anti-sym* [*intro*]:  
 $[x \sqsubseteq y; y \sqsubseteq x; x \in \text{carrier } L; y \in \text{carrier } L] \implies x = y$   
**and** *trans* [*trans*]:  
 $[x \sqsubseteq y; y \sqsubseteq z; x \in \text{carrier } L; y \in \text{carrier } L; z \in \text{carrier } L] \implies x \sqsubseteq z$

**constdefs** (**structure** *L*)  
*lless* :: [*-*, *'a*, *'a*] ==> *bool* (**infixl**  $\sqsubset$  50)  
 $x \sqsubset y \iff x \sqsubseteq y \ \& \ x \neq y$

— Upper and lower bounds of a set.

*Upper* :: [*-*, *'a set*] ==> *'a set*  
 $\text{Upper } L \ A == \{u. (\text{ALL } x. x \in A \cap \text{carrier } L \implies x \sqsubseteq u)\} \cap \text{carrier } L$

*Lower* :: [*-*, *'a set*] ==> *'a set*  
 $\text{Lower } L \ A == \{l. (\text{ALL } x. x \in A \cap \text{carrier } L \implies l \sqsubseteq x)\} \cap \text{carrier } L$

— Least and greatest, as predicate.

*least* :: [*-*, *'a*, *'a set*] ==> *bool*  
 $\text{least } L \ l \ A == A \subseteq \text{carrier } L \ \& \ l \in A \ \& \ (\text{ALL } x : A. l \sqsubseteq x)$

*greatest* :: [*-*, *'a*, *'a set*] ==> *bool*  
 $\text{greatest } L \ g \ A == A \subseteq \text{carrier } L \ \& \ g \in A \ \& \ (\text{ALL } x : A. x \sqsubseteq g)$

— Supremum and infimum

*sup* :: [*-*, *'a set*] ==> *'a* ( $\sqcup$  1- [90] 90)  
 $\sqcup A == \text{THE } x. \text{least } L \ x \ (\text{Upper } L \ A)$

$inf :: [-, 'a \text{ set}] \Rightarrow 'a \ (\sqcap_{1-} [90] \ 90)$   
 $\sqcap A == \text{THE } x. \text{ greatest } L \ x \ (\text{Lower } L \ A)$

$join :: [-, 'a, 'a] \Rightarrow 'a \ (\text{infixl } \sqcup_1 \ 65)$   
 $x \sqcup y == \text{sup } L \ \{x, y\}$

$meet :: [-, 'a, 'a] \Rightarrow 'a \ (\text{infixl } \sqcap_1 \ 70)$   
 $x \sqcap y == \text{inf } L \ \{x, y\}$

### 1.1.1 Upper

**lemma** *Upper-closed* [intro, simp]:  
 $\text{Upper } L \ A \subseteq \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** *UpperD* [dest]:  
**fixes**  $L$  (**structure**)  
**shows**  $[| \ u \in \text{Upper } L \ A; \ x \in A; \ A \subseteq \text{carrier } L \ |] \Rightarrow x \sqsubseteq u$   
 $\langle \text{proof} \rangle$

**lemma** *Upper-memI*:  
**fixes**  $L$  (**structure**)  
**shows**  $[| \ ! y. \ y \in A \Rightarrow y \sqsubseteq x; \ x \in \text{carrier } L \ |] \Rightarrow x \in \text{Upper } L \ A$   
 $\langle \text{proof} \rangle$

**lemma** *Upper-antimono*:  
 $A \subseteq B \Rightarrow \text{Upper } L \ B \subseteq \text{Upper } L \ A$   
 $\langle \text{proof} \rangle$

### 1.1.2 Lower

**lemma** *Lower-closed* [intro, simp]:  
 $\text{Lower } L \ A \subseteq \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** *LowerD* [dest]:  
**fixes**  $L$  (**structure**)  
**shows**  $[| \ l \in \text{Lower } L \ A; \ x \in A; \ A \subseteq \text{carrier } L \ |] \Rightarrow l \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *Lower-memI*:  
**fixes**  $L$  (**structure**)  
**shows**  $[| \ ! y. \ y \in A \Rightarrow x \sqsubseteq y; \ x \in \text{carrier } L \ |] \Rightarrow x \in \text{Lower } L \ A$   
 $\langle \text{proof} \rangle$

**lemma** *Lower-antimono*:  
 $A \subseteq B \Rightarrow \text{Lower } L \ B \subseteq \text{Lower } L \ A$   
 $\langle \text{proof} \rangle$

### 1.1.3 least

**lemma** *least-carrier* [*intro*, *simp*]:  
**shows**  $\text{least } L \ l \ A \implies l \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** *least-mem*:  
 $\text{least } L \ l \ A \implies l \in A$   
 $\langle \text{proof} \rangle$

**lemma** (*in partial-order*) *least-unique*:  
 $[| \text{least } L \ x \ A; \text{least } L \ y \ A |] \implies x = y$   
 $\langle \text{proof} \rangle$

**lemma** *least-le*:  
**fixes**  $L$  (**structure**)  
**shows**  $[| \text{least } L \ x \ A; a \in A |] \implies x \sqsubseteq a$   
 $\langle \text{proof} \rangle$

**lemma** *least-UpperI*:  
**fixes**  $L$  (**structure**)  
**assumes** *above*:  $!! x. x \in A \implies x \sqsubseteq s$   
**and** *below*:  $!! y. y \in \text{Upper } L \ A \implies s \sqsubseteq y$   
**and**  $L: A \subseteq \text{carrier } L \ s \in \text{carrier } L$   
**shows**  $\text{least } L \ s \ (\text{Upper } L \ A)$   
 $\langle \text{proof} \rangle$

### 1.1.4 greatest

**lemma** *greatest-carrier* [*intro*, *simp*]:  
**shows**  $\text{greatest } L \ l \ A \implies l \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** *greatest-mem*:  
 $\text{greatest } L \ l \ A \implies l \in A$   
 $\langle \text{proof} \rangle$

**lemma** (*in partial-order*) *greatest-unique*:  
 $[| \text{greatest } L \ x \ A; \text{greatest } L \ y \ A |] \implies x = y$   
 $\langle \text{proof} \rangle$

**lemma** *greatest-le*:  
**fixes**  $L$  (**structure**)  
**shows**  $[| \text{greatest } L \ x \ A; a \in A |] \implies a \sqsubseteq x$   
 $\langle \text{proof} \rangle$

**lemma** *greatest-LowerI*:  
**fixes**  $L$  (**structure**)  
**assumes** *below*:  $!! x. x \in A \implies i \sqsubseteq x$   
**and** *above*:  $!! y. y \in \text{Lower } L \ A \implies y \sqsubseteq i$



**and**  $L: A \subseteq \text{carrier } L \quad i \in \text{carrier } L$   
**shows**  $\text{greatest } L \ i \ (\text{Lower } L \ A)$   
 $\langle \text{proof} \rangle$

## 1.2 Lattices

**locale**  $\text{lattice} = \text{partial-order} +$   
**assumes**  $\text{sup-of-two-exists}$ :  
 $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies \text{EX } s. \text{least } L \ s \ (\text{Upper } L \ \{x, y\})$   
**and**  $\text{inf-of-two-exists}$ :  
 $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies \text{EX } s. \text{greatest } L \ s \ (\text{Lower } L \ \{x, y\})$

**lemma**  $\text{least-Upper-above}$ :  
**fixes**  $L$  (**structure**)  
**shows**  $\llbracket \text{least } L \ s \ (\text{Upper } L \ A); x \in A; A \subseteq \text{carrier } L \rrbracket \implies x \sqsubseteq s$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{greatest-Lower-above}$ :  
**fixes**  $L$  (**structure**)  
**shows**  $\llbracket \text{greatest } L \ i \ (\text{Lower } L \ A); x \in A; A \subseteq \text{carrier } L \rrbracket \implies i \sqsubseteq x$   
 $\langle \text{proof} \rangle$

### 1.2.1 Supremum

**lemma** (**in**  $\text{lattice}$ )  $\text{joinI}$ :  
 $\llbracket \llbracket !l. \text{least } L \ l \ (\text{Upper } L \ \{x, y\}) \rrbracket \implies P \ l; x \in \text{carrier } L; y \in \text{carrier } L \rrbracket$   
 $\implies P \ (x \sqcup y)$   
 $\langle \text{proof} \rangle$

**lemma** (**in**  $\text{lattice}$ )  $\text{join-closed}$  [ $\text{simp}$ ]:  
 $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqcup y \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** (**in**  $\text{partial-order}$ )  $\text{sup-of-singletonI}$ :  
 $x \in \text{carrier } L \implies \text{least } L \ x \ (\text{Upper } L \ \{x\})$   
 $\langle \text{proof} \rangle$

**lemma** (**in**  $\text{partial-order}$ )  $\text{sup-of-singleton}$  [ $\text{simp}$ ]:  
 $x \in \text{carrier } L \implies \bigsqcup \{x\} = x$   
 $\langle \text{proof} \rangle$

Condition on  $A$ : supremum exists.

**lemma** (**in**  $\text{lattice}$ )  $\text{sup-insertI}$ :  
 $\llbracket !s. \text{least } L \ s \ (\text{Upper } L \ (\text{insert } x \ A)) \rrbracket \implies P \ s;$   
 $\text{least } L \ a \ (\text{Upper } L \ A); x \in \text{carrier } L; A \subseteq \text{carrier } L \rrbracket$   
 $\implies P \ (\bigsqcup (\text{insert } x \ A))$   
 $\langle \text{proof} \rangle$

**lemma** (**in**  $\text{lattice}$ )  $\text{finite-sup-least}$ :

$\llbracket \text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\} \rrbracket \implies \text{least } L (\bigsqcup A) (\text{Upper } L A)$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *finite-sup-insertI*:  
**assumes**  $P: \llbracket l. \text{least } L l (\text{Upper } L (\text{insert } x A)) \rrbracket \implies P l$   
**and**  $xA: \text{finite } A \quad x \in \text{carrier } L \quad A \subseteq \text{carrier } L$   
**shows**  $P (\bigsqcup (\text{insert } x A))$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *finite-sup-closed*:  
 $\llbracket \text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\} \rrbracket \implies \bigsqcup A \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *join-left*:  
 $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq x \sqcup y$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *join-right*:  
 $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies y \sqsubseteq x \sqcup y$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *sup-of-two-least*:  
 $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies \text{least } L (\bigsqcup \{x, y\}) (\text{Upper } L \{x, y\})$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *join-le*:  
**assumes**  $\text{sub}: x \sqsubseteq z \quad y \sqsubseteq z$   
**and**  $x: x \in \text{carrier } L$  **and**  $y: y \in \text{carrier } L$  **and**  $z: z \in \text{carrier } L$   
**shows**  $x \sqcup y \sqsubseteq z$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *join-assoc-lemma*:  
**assumes**  $L: x \in \text{carrier } L \quad y \in \text{carrier } L \quad z \in \text{carrier } L$   
**shows**  $x \sqcup (y \sqcup z) = \bigsqcup \{x, y, z\}$   
 $\langle \text{proof} \rangle$

**lemma** *join-comm*:  
**fixes**  $L$  (**structure**)  
**shows**  $x \sqcup y = y \sqcup x$   
 $\langle \text{proof} \rangle$

**lemma** (in *lattice*) *join-assoc*:  
**assumes**  $L: x \in \text{carrier } L \quad y \in \text{carrier } L \quad z \in \text{carrier } L$   
**shows**  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$   
 $\langle \text{proof} \rangle$

### 1.2.2 Infimum

**lemma** (in *lattice*) *meetI*:

$$[!i. \text{greatest } L \ i \ (\text{Lower } L \ \{x, y\}) \implies P \ i;$$

$$x \in \text{carrier } L; y \in \text{carrier } L \ ]$$

$$\implies P \ (x \sqcap y)$$

$$\langle \text{proof} \rangle$$

**lemma** (in *lattice*) *meet-closed* [simp]:  

$$[x \in \text{carrier } L; y \in \text{carrier } L \ ] \implies x \sqcap y \in \text{carrier } L$$

$$\langle \text{proof} \rangle$$

**lemma** (in *partial-order*) *inf-of-singletonI*:  

$$x \in \text{carrier } L \implies \text{greatest } L \ x \ (\text{Lower } L \ \{x\})$$

$$\langle \text{proof} \rangle$$

**lemma** (in *partial-order*) *inf-of-singleton* [simp]:  

$$x \in \text{carrier } L \implies \bigcap \ \{x\} = x$$

$$\langle \text{proof} \rangle$$

Condition on A: infimum exists.

**lemma** (in *lattice*) *inf-insertI*:  

$$[!i. \text{greatest } L \ i \ (\text{Lower } L \ (\text{insert } x \ A)) \implies P \ i;$$

$$\text{greatest } L \ a \ (\text{Lower } L \ A); x \in \text{carrier } L; A \subseteq \text{carrier } L \ ]$$

$$\implies P \ (\bigcap (\text{insert } x \ A))$$

$$\langle \text{proof} \rangle$$

**lemma** (in *lattice*) *finite-inf-greatest*:  

$$[ \text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\} \ ] \implies \text{greatest } L \ (\bigcap A) \ (\text{Lower } L \ A)$$

$$\langle \text{proof} \rangle$$

**lemma** (in *lattice*) *finite-inf-insertI*:  
**assumes**  $P: !i. \text{greatest } L \ i \ (\text{Lower } L \ (\text{insert } x \ A)) \implies P \ i$   
**and**  $xA: \text{finite } A \ x \in \text{carrier } L \ A \subseteq \text{carrier } L$   
**shows**  $P \ (\bigcap (\text{insert } x \ A))$   

$$\langle \text{proof} \rangle$$

**lemma** (in *lattice*) *finite-inf-closed*:  

$$[ \text{finite } A; A \subseteq \text{carrier } L; A \sim = \{\} \ ] \implies \bigcap A \in \text{carrier } L$$

$$\langle \text{proof} \rangle$$

**lemma** (in *lattice*) *meet-left*:  

$$[x \in \text{carrier } L; y \in \text{carrier } L \ ] \implies x \sqcap y \sqsubseteq x$$

$$\langle \text{proof} \rangle$$

**lemma** (in *lattice*) *meet-right*:  

$$[x \in \text{carrier } L; y \in \text{carrier } L \ ] \implies x \sqcap y \sqsubseteq y$$

$$\langle \text{proof} \rangle$$

**lemma** (in *lattice*) *inf-of-two-greatest*:  

$$[x \in \text{carrier } L; y \in \text{carrier } L \ ] \implies$$

$$\text{greatest } L \ (\bigcap \ \{x, y\}) \ (\text{Lower } L \ \{x, y\})$$

$\langle proof \rangle$

**lemma** (in *lattice*) *meet-le*:

**assumes** *sub*:  $z \sqsubseteq x \ z \sqsubseteq y$

**and**  $x: x \in \text{carrier } L$  **and**  $y: y \in \text{carrier } L$  **and**  $z: z \in \text{carrier } L$

**shows**  $z \sqsubseteq x \sqcap y$

$\langle proof \rangle$

**lemma** (in *lattice*) *meet-assoc-lemma*:

**assumes**  $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$

**shows**  $x \sqcap (y \sqcap z) = \sqcap \{x, y, z\}$

$\langle proof \rangle$

**lemma** *meet-comm*:

**fixes**  $L$  (**structure**)

**shows**  $x \sqcap y = y \sqcap x$

$\langle proof \rangle$

**lemma** (in *lattice*) *meet-assoc*:

**assumes**  $L: x \in \text{carrier } L \ y \in \text{carrier } L \ z \in \text{carrier } L$

**shows**  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$

$\langle proof \rangle$

### 1.3 Total Orders

**locale** *total-order* = *partial-order* +

**assumes** *total*:  $\llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y \mid y \sqsubseteq x$

Introduction rule: the usual definition of total order

**lemma** (in *partial-order*) *total-orderI*:

**assumes** *total*:  $\llbracket x y. \llbracket x \in \text{carrier } L; y \in \text{carrier } L \rrbracket \implies x \sqsubseteq y \mid y \sqsubseteq x$

**shows** *total-order*  $L$

$\langle proof \rangle$

Total orders are lattices.

**interpretation** *total-order* < *lattice*

$\langle proof \rangle$

### 1.4 Complete lattices

**locale** *complete-lattice* = *lattice* +

**assumes** *sup-exists*:

$\llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } s. \text{ least } L \ s \ (\text{Upper } L \ A)$

**and** *inf-exists*:

$\llbracket A \subseteq \text{carrier } L \rrbracket \implies \text{EX } i. \text{ greatest } L \ i \ (\text{Lower } L \ A)$

Introduction rule: the usual definition of complete lattice

**lemma** (in *partial-order*) *complete-latticeI*:

**assumes** *sup-exists*:

**!!A.**  $[A \subseteq \text{carrier } L] \implies \text{EX } s. \text{ least } L \ s \ (\text{Upper } L \ A)$   
**and** *inf-exists*:  
**!!A.**  $[A \subseteq \text{carrier } L] \implies \text{EX } i. \text{ greatest } L \ i \ (\text{Lower } L \ A)$   
**shows** *complete-lattice*  $L$   
 $\langle \text{proof} \rangle$

**constdefs** (**structure**  $L$ )  
 $\text{top} :: - \implies 'a \ (\top_1)$   
 $\top == \text{sup } L \ (\text{carrier } L)$   
  
 $\text{bottom} :: - \implies 'a \ (\perp_1)$   
 $\perp == \text{inf } L \ (\text{carrier } L)$

**lemma** (**in** *complete-lattice*) *supI*:  
 $[!l. \text{ least } L \ l \ (\text{Upper } L \ A) \implies P \ l; A \subseteq \text{carrier } L] \implies P \ (\bigsqcup A)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *complete-lattice*) *sup-closed* [*simp*]:  
 $A \subseteq \text{carrier } L \implies \bigsqcup A \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *complete-lattice*) *top-closed* [*simp*, *intro*]:  
 $\top \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *complete-lattice*) *infI*:  
 $[!i. \text{ greatest } L \ i \ (\text{Lower } L \ A) \implies P \ i; A \subseteq \text{carrier } L] \implies P \ (\bigsqcap A)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *complete-lattice*) *inf-closed* [*simp*]:  
 $A \subseteq \text{carrier } L \implies \bigsqcap A \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *complete-lattice*) *bottom-closed* [*simp*, *intro*]:  
 $\perp \in \text{carrier } L$   
 $\langle \text{proof} \rangle$

Jacobson: Theorem 8.1

**lemma** *Lower-empty* [*simp*]:  
 $\text{Lower } L \ \{\} = \text{carrier } L$   
 $\langle \text{proof} \rangle$

**lemma** *Upper-empty* [*simp*]:  
 $\text{Upper } L \ \{\} = \text{carrier } L$   
 $\langle \text{proof} \rangle$

```

theorem (in partial-order) complete-lattice-criterion1:
  assumes top-exists: EX g. greatest L g (carrier L)
  and inf-exists:
    !!A. [| A ⊆ carrier L; A ~ = {} |] ==> EX i. greatest L i (Lower L A)
  shows complete-lattice L
  <proof>

```

## 1.5 Examples

### 1.5.1 Powerset of a Set is a Complete Lattice

```

theorem powerset-is-complete-lattice:
  complete-lattice (| carrier = Pow A, le = op ⊆ |)
  (is complete-lattice ?L)
  <proof>

```

An other example, that of the lattice of subgroups of a group, can be found in Group theory (Section 2.7).

**end**

```

theory Group imports FuncSet Lattice begin

```

## 2 Monoids and Groups

### 2.1 Definitions

Definitions follow [2].

```

record 'a monoid = 'a partial-object +
  mult    :: ['a, 'a] => 'a (infixl ⊗ 70)
  one     :: 'a (1)

constdefs (structure G)
  m-inv :: ('a, 'b) monoid-scheme => 'a => 'a (inv1 - [81] 80)
  inv x == (THE y. y ∈ carrier G & x ⊗ y = 1 & y ⊗ x = 1)

  Units :: - => 'a set
  — The set of invertible elements
  Units G == {y. y ∈ carrier G & (∃ x ∈ carrier G. x ⊗ y = 1 & y ⊗ x = 1)}

consts
  pow :: [('a, 'm) monoid-scheme, 'a, 'b::number] => 'a (infixr ' (^)' 1 75)

defs (overloaded)
  nat-pow-def: pow G a n == nat-rec 1G (%u b. b ⊗G a) n
  int-pow-def: pow G a z ==
    let p = nat-rec 1G (%u b. b ⊗G a)

```

*in if neg z then inv<sub>G</sub> (p (nat (-z))) else p (nat z)*

**locale monoid =**  
**fixes**  $G$  (**structure**)  
**assumes**  $m\text{-closed}$  [*intro, simp*]:  
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$   
**and**  $m\text{-assoc}$ :  
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket$   
 $\implies (x \otimes y) \otimes z = x \otimes (y \otimes z)$   
**and**  $one\text{-closed}$  [*intro, simp*]:  $\mathbf{1} \in \text{carrier } G$   
**and**  $l\text{-one}$  [*simp*]:  $x \in \text{carrier } G \implies \mathbf{1} \otimes x = x$   
**and**  $r\text{-one}$  [*simp*]:  $x \in \text{carrier } G \implies x \otimes \mathbf{1} = x$

**lemma monoidI:**  
**fixes**  $G$  (**structure**)  
**assumes**  $m\text{-closed}$ :  
 $\llbracket x \ y. \llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y \in \text{carrier } G$   
**and**  $one\text{-closed}$ :  $\mathbf{1} \in \text{carrier } G$   
**and**  $m\text{-assoc}$ :  
 $\llbracket x \ y \ z. \llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$   
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$   
**and**  $l\text{-one}$ :  $\llbracket x. x \in \text{carrier } G \implies \mathbf{1} \otimes x = x$   
**and**  $r\text{-one}$ :  $\llbracket x. x \in \text{carrier } G \implies x \otimes \mathbf{1} = x$   
**shows**  $monoid\ G$   
 $\langle proof \rangle$

**lemma (in monoid) Units-closed** [*dest*]:  
 $x \in \text{Units } G \implies x \in \text{carrier } G$   
 $\langle proof \rangle$

**lemma (in monoid) inv-unique:**  
**assumes**  $eq$ :  $y \otimes x = \mathbf{1} \quad x \otimes y' = \mathbf{1}$   
**and**  $G$ :  $x \in \text{carrier } G \quad y \in \text{carrier } G \quad y' \in \text{carrier } G$   
**shows**  $y = y'$   
 $\langle proof \rangle$

**lemma (in monoid) Units-one-closed** [*intro, simp*]:  
 $\mathbf{1} \in \text{Units } G$   
 $\langle proof \rangle$

**lemma (in monoid) Units-inv-closed** [*intro, simp*]:  
 $x \in \text{Units } G \implies \text{inv } x \in \text{carrier } G$   
 $\langle proof \rangle$

**lemma (in monoid) Units-l-inv-ex:**  
 $x \in \text{Units } G \implies \exists y \in \text{carrier } G. y \otimes x = \mathbf{1}$   
 $\langle proof \rangle$

**lemma (in monoid) Units-r-inv-ex:**

$x \in \text{Units } G \implies \exists y \in \text{carrier } G. x \otimes y = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *Units-l-inv*:  
 $x \in \text{Units } G \implies \text{inv } x \otimes x = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *Units-r-inv*:  
 $x \in \text{Units } G \implies x \otimes \text{inv } x = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *Units-inv-Units* [intro, simp]:  
 $x \in \text{Units } G \implies \text{inv } x \in \text{Units } G$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *Units-l-cancel* [simp]:  
 $[| x \in \text{Units } G; y \in \text{carrier } G; z \in \text{carrier } G |] \implies$   
 $(x \otimes y = x \otimes z) = (y = z)$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *Units-inv-inv* [simp]:  
 $x \in \text{Units } G \implies \text{inv } (\text{inv } x) = x$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *inv-inj-on-Units*:  
 $\text{inj-on } (m\text{-inv } G) (\text{Units } G)$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *Units-inv-comm*:  
**assumes**  $\text{inv}: x \otimes y = \mathbf{1}$   
**and**  $G: x \in \text{Units } G \ y \in \text{Units } G$   
**shows**  $y \otimes x = \mathbf{1}$   
 $\langle \text{proof} \rangle$

Power

**lemma** (in monoid) *nat-pow-closed* [intro, simp]:  
 $x \in \text{carrier } G \implies x \ (^) \ (n::\text{nat}) \in \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *nat-pow-0* [simp]:  
 $x \ (^) \ (0::\text{nat}) = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *nat-pow-Suc* [simp]:  
 $x \ (^) \ (\text{Suc } n) = x \ (^) \ n \otimes x$   
 $\langle \text{proof} \rangle$

**lemma** (in monoid) *nat-pow-one* [simp]:  
 $\mathbf{1} \ (^) \ (n::\text{nat}) = \mathbf{1}$



$\langle \text{proof} \rangle$

**lemma** (in monoid) nat-pow-mult:

$x \in \text{carrier } G \implies x (^{\wedge}) (n::\text{nat}) \otimes x (^{\wedge}) m = x (^{\wedge}) (n + m)$

$\langle \text{proof} \rangle$

**lemma** (in monoid) nat-pow-pow:

$x \in \text{carrier } G \implies (x (^{\wedge}) n) (^{\wedge}) m = x (^{\wedge}) (n * m::\text{nat})$

$\langle \text{proof} \rangle$

A group is a monoid all of whose elements are invertible.

**locale** group = monoid +

**assumes** Units: carrier  $G \leq \text{Units } G$

**lemma** (in group) is-group: group  $G \langle \text{proof} \rangle$

**theorem** groupI:

**fixes**  $G$  (structure)

**assumes** m-closed [simp]:

$\forall x y. [x \in \text{carrier } G; y \in \text{carrier } G] \implies x \otimes y \in \text{carrier } G$

**and** one-closed [simp]:  $\mathbf{1} \in \text{carrier } G$

**and** m-assoc:

$\forall x y z. [x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G] \implies$

$(x \otimes y) \otimes z = x \otimes (y \otimes z)$

**and** l-one [simp]:  $\forall x. x \in \text{carrier } G \implies \mathbf{1} \otimes x = x$

**and** l-inv-ex:  $\forall x. x \in \text{carrier } G \implies \exists y \in \text{carrier } G. y \otimes x = \mathbf{1}$

**shows** group  $G$

$\langle \text{proof} \rangle$

**lemma** (in monoid) monoid-groupI:

**assumes** l-inv-ex:

$\forall x. x \in \text{carrier } G \implies \exists y \in \text{carrier } G. y \otimes x = \mathbf{1}$

**shows** group  $G$

$\langle \text{proof} \rangle$

**lemma** (in group) Units-eq [simp]:

$\text{Units } G = \text{carrier } G$

$\langle \text{proof} \rangle$

**lemma** (in group) inv-closed [intro, simp]:

$x \in \text{carrier } G \implies \text{inv } x \in \text{carrier } G$

$\langle \text{proof} \rangle$

**lemma** (in group) l-inv-ex [simp]:

$x \in \text{carrier } G \implies \exists y \in \text{carrier } G. y \otimes x = \mathbf{1}$

$\langle \text{proof} \rangle$

**lemma** (in group) r-inv-ex [simp]:

$x \in \text{carrier } G \implies \exists y \in \text{carrier } G. x \otimes y = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *l-inv* [simp]:  
 $x \in \text{carrier } G \implies \text{inv } x \otimes x = \mathbf{1}$   
 $\langle \text{proof} \rangle$

## 2.2 Cancellation Laws and Basic Properties

**lemma** (in group) *l-cancel* [simp]:  
 $[| x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G |] \implies$   
 $(x \otimes y = x \otimes z) = (y = z)$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *r-inv* [simp]:  
 $x \in \text{carrier } G \implies x \otimes \text{inv } x = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *r-cancel* [simp]:  
 $[| x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G |] \implies$   
 $(y \otimes x = z \otimes x) = (y = z)$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *inv-one* [simp]:  
 $\text{inv } \mathbf{1} = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *inv-inv* [simp]:  
 $x \in \text{carrier } G \implies \text{inv } (\text{inv } x) = x$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *inv-inj*:  
 $\text{inj-on } (m\text{-inv } G) (\text{carrier } G)$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *inv-mult-group*:  
 $[| x \in \text{carrier } G; y \in \text{carrier } G |] \implies \text{inv } (x \otimes y) = \text{inv } y \otimes \text{inv } x$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *inv-comm*:  
 $[| x \otimes y = \mathbf{1}; x \in \text{carrier } G; y \in \text{carrier } G |] \implies y \otimes x = \mathbf{1}$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *inv-equality*:  
 $[| y \otimes x = \mathbf{1}; x \in \text{carrier } G; y \in \text{carrier } G |] \implies \text{inv } x = y$   
 $\langle \text{proof} \rangle$

Power

**lemma** (in group) *int-pow-def2*:

$a \ (\wedge) \ (z::int) = (if \ neg \ z \ then \ inv \ (a \ (\wedge) \ (nat \ (-z))) \ else \ a \ (\wedge) \ (nat \ z))$   
 $\langle proof \rangle$

**lemma** (in group) *int-pow-0* [simp]:  
 $x \ (\wedge) \ (0::int) = 1$   
 $\langle proof \rangle$

**lemma** (in group) *int-pow-one* [simp]:  
 $1 \ (\wedge) \ (z::int) = 1$   
 $\langle proof \rangle$

## 2.3 Subgroups

**locale** *subgroup* =  
**fixes**  $H$  **and**  $G$  (**structure**)  
**assumes** *subset*:  $H \subseteq carrier \ G$   
**and** *m-closed* [intro, simp]:  $\llbracket x \in H; y \in H \rrbracket \implies x \otimes y \in H$   
**and** *one-closed* [simp]:  $1 \in H$   
**and** *m-inv-closed* [intro, simp]:  $x \in H \implies inv \ x \in H$

**lemma** (in subgroup) *is-subgroup*:  
 $subgroup \ H \ G \ \langle proof \rangle$

**declare** (in subgroup) *group.intro* [intro]

**lemma** (in subgroup) *mem-carrier* [simp]:  
 $x \in H \implies x \in carrier \ G$   
 $\langle proof \rangle$

**lemma** *subgroup-imp-subset*:  
 $subgroup \ H \ G \implies H \subseteq carrier \ G$   
 $\langle proof \rangle$

**lemma** (in subgroup) *subgroup-is-group* [intro]:  
**includes** *group*  $G$   
**shows** *group* ( $G \setminus carrier := H$ )  
 $\langle proof \rangle$

Since  $H$  is nonempty, it contains some element  $x$ . Since it is closed under inverse, it contains  $inv \ x$ . Since it is closed under product, it contains  $x \otimes inv \ x = 1$ .

**lemma** (in group) *one-in-subset*:  
 $\llbracket H \subseteq carrier \ G; H \neq \{\}; \forall a \in H. inv \ a \in H; \forall a \in H. \forall b \in H. a \otimes b \in H \rrbracket$   
 $\implies 1 \in H$   
 $\langle proof \rangle$

A characterization of subgroups: closed, non-empty subset.

**lemma** (in group) *subgroupI*:  
**assumes** *subset*:  $H \subseteq carrier \ G$  **and** *non-empty*:  $H \neq \{\}$

```

and inv: !!a. a ∈ H ⇒ inv a ∈ H
and mult: !!a b. [a ∈ H; b ∈ H] ⇒ a ⊗ b ∈ H
shows subgroup H G
⟨proof⟩

declare monoid.one-closed [iff] group.inv-closed [simp]
          monoid.l-one [simp] monoid.r-one [simp] group.inv-inv [simp]

lemma subgroup-nonempty:
  ~ subgroup {} G
  ⟨proof⟩

lemma (in subgroup) finite-imp-card-positive:
  finite (carrier G) ==> 0 < card H
  ⟨proof⟩

```

## 2.4 Direct Products

```

constdefs
  DirProd :: - ⇒ - ⇒ ('a × 'b) monoid (infixr ×× 80)
  G ×× H ≡ (|carrier = carrier G × carrier H,
              mult = (λ(g, h) (g', h'). (g ⊗G g', h ⊗H h')),
              one = (1G, 1H)|)

```

```

lemma DirProd-monoid:
  includes monoid G + monoid H
  shows monoid (G ×× H)
  ⟨proof⟩

```

Does not use the previous result because it's easier just to use auto.

```

lemma DirProd-group:
  includes group G + group H
  shows group (G ×× H)
  ⟨proof⟩

```

```

lemma carrier-DirProd [simp]:
  carrier (G ×× H) = carrier G × carrier H
  ⟨proof⟩

```

```

lemma one-DirProd [simp]:
  1G ×× H = (1G, 1H)
  ⟨proof⟩

```

```

lemma mult-DirProd [simp]:
  (g, h) ⊗(G ×× H) (g', h') = (g ⊗G g', h ⊗H h')
  ⟨proof⟩

```

```

lemma inv-DirProd [simp]:
  includes group G + group H

```

```

assumes  $g: g \in \text{carrier } G$ 
and  $h: h \in \text{carrier } H$ 
shows  $m\text{-inv } (G \times \times H) (g, h) = (\text{inv}_G g, \text{inv}_H h)$ 
 $\langle \text{proof} \rangle$ 

```

This alternative proof of the previous result demonstrates `interpret`. It uses *Prod.inv-equality* (available after *interpret*) instead of *group.inv-equality* [OF DirProd-group].

```

lemma
includes  $\text{group } G + \text{group } H$ 
assumes  $g: g \in \text{carrier } G$ 
and  $h: h \in \text{carrier } H$ 
shows  $m\text{-inv } (G \times \times H) (g, h) = (\text{inv}_G g, \text{inv}_H h)$ 
 $\langle \text{proof} \rangle$ 

```

## 2.5 Homomorphisms and Isomorphisms

```

constdefs (structure  $G$  and  $H$ )
   $\text{hom} :: - \Rightarrow - \Rightarrow ('a \Rightarrow 'b) \text{ set}$ 
   $\text{hom } G \ H ==$ 
     $\{h. h \in \text{carrier } G \rightarrow \text{carrier } H \ \&$ 
       $(\forall x \in \text{carrier } G. \forall y \in \text{carrier } G. h (x \otimes_G y) = h x \otimes_H h y)\}$ 

```

```

lemma hom-mult:
   $[[h \in \text{hom } G \ H; x \in \text{carrier } G; y \in \text{carrier } G]]$ 
   $\implies h (x \otimes_G y) = h x \otimes_H h y$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma hom-closed:
   $[[h \in \text{hom } G \ H; x \in \text{carrier } G]] \implies h x \in \text{carrier } H$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma (in group) hom-compose:
   $[[h \in \text{hom } G \ H; i \in \text{hom } H \ I]] \implies \text{compose } (\text{carrier } G) \ i \ h \in \text{hom } G \ I$ 
 $\langle \text{proof} \rangle$ 

```

```

constdefs
   $\text{iso} :: - \Rightarrow - \Rightarrow ('a \Rightarrow 'b) \text{ set}$  (infixr  $\cong$  60)
   $G \cong H == \{h. h \in \text{hom } G \ H \ \& \text{bij-betw } h (\text{carrier } G) (\text{carrier } H)\}$ 

```

```

lemma iso-refl:  $(\%x. x) \in G \cong G$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma (in group) iso-sym:
   $h \in G \cong H \implies \text{Inv } (\text{carrier } G) \ h \in H \cong G$ 
 $\langle \text{proof} \rangle$ 

```

```

lemma (in group) iso-trans:
   $[[h \in G \cong H; i \in H \cong I]] \implies (\text{compose } (\text{carrier } G) \ i \ h) \in G \cong I$ 

```

$\langle proof \rangle$

**lemma** *DirProd-commute-iso*:

**shows**  $(\lambda(x,y). (y,x)) \in (G \times \times H) \cong (H \times \times G)$   
 $\langle proof \rangle$

**lemma** *DirProd-assoc-iso*:

**shows**  $(\lambda(x,y,z). (x,(y,z))) \in (G \times \times H \times \times I) \cong (G \times \times (H \times \times I))$   
 $\langle proof \rangle$

Basis for homomorphism proofs: we assume two groups  $G$  and  $H$ , with a homomorphism  $h$  between them

**locale** *group-hom* = *group*  $G$  + *group*  $H$  + *var*  $h$  +  
**assumes** *homh*:  $h \in \text{hom } G \ H$   
**notes** *hom-mult* [*simp*] = *hom-mult* [*OF homh*]  
**and** *hom-closed* [*simp*] = *hom-closed* [*OF homh*]

**lemma** (**in** *group-hom*) *one-closed* [*simp*]:

$h \ 1 \in \text{carrier } H$   
 $\langle proof \rangle$

**lemma** (**in** *group-hom*) *hom-one* [*simp*]:

$h \ 1 = 1_H$   
 $\langle proof \rangle$

**lemma** (**in** *group-hom*) *inv-closed* [*simp*]:

$x \in \text{carrier } G \implies h \ (\text{inv } x) \in \text{carrier } H$   
 $\langle proof \rangle$

**lemma** (**in** *group-hom*) *hom-inv* [*simp*]:

$x \in \text{carrier } G \implies h \ (\text{inv } x) = \text{inv}_H (h \ x)$   
 $\langle proof \rangle$

## 2.6 Commutative Structures

Naming convention: multiplicative structures that are commutative are called *commutative*, additive structures are called *Abelian*.

**locale** *comm-monoid* = *monoid* +

**assumes** *m-comm*:  $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \otimes y = y \otimes x$

**lemma** (**in** *comm-monoid*) *m-lcomm*:

$\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$   
 $x \otimes (y \otimes z) = y \otimes (x \otimes z)$   
 $\langle proof \rangle$

**lemmas** (**in** *comm-monoid*) *m-ac* = *m-assoc* *m-comm* *m-lcomm*

**lemma** *comm-monoidI*:

**fixes**  $G$  (**structure**)  
**assumes**  $m\text{-closed}$ :  
 $!!x\ y. [| x \in \text{carrier } G; y \in \text{carrier } G |] ==> x \otimes y \in \text{carrier } G$   
**and**  $one\text{-closed}$ :  $\mathbf{1} \in \text{carrier } G$   
**and**  $m\text{-assoc}$ :  
 $!!x\ y\ z. [| x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G |] ==>$   
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$   
**and**  $l\text{-one}$ :  $!!x. x \in \text{carrier } G ==> \mathbf{1} \otimes x = x$   
**and**  $m\text{-comm}$ :  
 $!!x\ y. [| x \in \text{carrier } G; y \in \text{carrier } G |] ==> x \otimes y = y \otimes x$   
**shows**  $comm\text{-monoid } G$   
 $\langle proof \rangle$

**lemma** (**in**  $monoid$ )  $monoid\text{-comm-monoidI}$ :  
**assumes**  $m\text{-comm}$ :  
 $!!x\ y. [| x \in \text{carrier } G; y \in \text{carrier } G |] ==> x \otimes y = y \otimes x$   
**shows**  $comm\text{-monoid } G$   
 $\langle proof \rangle$

**lemma** (**in**  $comm\text{-monoid}$ )  $nat\text{-pow-distr}$ :  
 $[| x \in \text{carrier } G; y \in \text{carrier } G |] ==>$   
 $(x \otimes y) (\wedge) (n::nat) = x (\wedge) n \otimes y (\wedge) n$   
 $\langle proof \rangle$

**locale**  $comm\text{-group} = comm\text{-monoid} + group$

**lemma** (**in**  $group$ )  $group\text{-comm-groupI}$ :  
**assumes**  $m\text{-comm}$ :  $!!x\ y. [| x \in \text{carrier } G; y \in \text{carrier } G |] ==>$   
 $x \otimes y = y \otimes x$   
**shows**  $comm\text{-group } G$   
 $\langle proof \rangle$

**lemma**  $comm\text{-groupI}$ :  
**fixes**  $G$  (**structure**)  
**assumes**  $m\text{-closed}$ :  
 $!!x\ y. [| x \in \text{carrier } G; y \in \text{carrier } G |] ==> x \otimes y \in \text{carrier } G$   
**and**  $one\text{-closed}$ :  $\mathbf{1} \in \text{carrier } G$   
**and**  $m\text{-assoc}$ :  
 $!!x\ y\ z. [| x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G |] ==>$   
 $(x \otimes y) \otimes z = x \otimes (y \otimes z)$   
**and**  $m\text{-comm}$ :  
 $!!x\ y. [| x \in \text{carrier } G; y \in \text{carrier } G |] ==> x \otimes y = y \otimes x$   
**and**  $l\text{-one}$ :  $!!x. x \in \text{carrier } G ==> \mathbf{1} \otimes x = x$   
**and**  $l\text{-inv-ex}$ :  $!!x. x \in \text{carrier } G ==> \exists y \in \text{carrier } G. y \otimes x = \mathbf{1}$   
**shows**  $comm\text{-group } G$   
 $\langle proof \rangle$

**lemma** (in *comm-group*) *inv-mult*:  

$$[| x \in \text{carrier } G; y \in \text{carrier } G |] ==> \text{inv } (x \otimes y) = \text{inv } x \otimes \text{inv } y$$
 $\langle \text{proof} \rangle$

## 2.7 The Lattice of Subgroups of a Group

**theorem** (in *group*) *subgroups-partial-order*:  

$$\text{partial-order } (| \text{carrier} = \{H. \text{subgroup } H \ G\}, \text{le} = \text{op} \subseteq |)$$
 $\langle \text{proof} \rangle$

**lemma** (in *group*) *subgroup-self*:  

$$\text{subgroup } (\text{carrier } G) \ G$$
 $\langle \text{proof} \rangle$

**lemma** (in *group*) *subgroup-imp-group*:  

$$\text{subgroup } H \ G ==> \text{group } (G(| \text{carrier} := H |))$$
 $\langle \text{proof} \rangle$

**lemma** (in *group*) *is-monoid* [*intro*, *simp*]:  

$$\text{monoid } G$$
 $\langle \text{proof} \rangle$

**lemma** (in *group*) *subgroup-inv-equality*:  

$$[| \text{subgroup } H \ G; x \in H |] ==> m\text{-inv } (G(| \text{carrier} := H |)) \ x = \text{inv } x$$
 $\langle \text{proof} \rangle$

**theorem** (in *group*) *subgroups-Inter*:  
**assumes** *subgr*:  $(!!H. H \in A ==> \text{subgroup } H \ G)$   
**and** *not-empty*:  $A \sim = \{\}$   
**shows**  $\text{subgroup } (\bigcap A) \ G$   
 $\langle \text{proof} \rangle$

**theorem** (in *group*) *subgroups-complete-lattice*:  

$$\text{complete-lattice } (| \text{carrier} = \{H. \text{subgroup } H \ G\}, \text{le} = \text{op} \subseteq |)$$

$$(\text{is complete-lattice } ?L)$$
 $\langle \text{proof} \rangle$

**end**

**theory** *FiniteProduct* **imports** *Group* **begin**

## 3 Product Operator for Commutative Monoids

### 3.1 Inductive Definition of a Relation for Products over Sets

Instantiation of locale *LC* of theory *Finite-Set* is not possible, because here we have explicit typing rules like  $x \in \text{carrier } G$ . We introduce an explicit



argument for the domain  $D$ .

**inductive-set**

```
foldSetD :: ['a set, 'b => 'a => 'a, 'a] => ('b set * 'a) set
for D :: 'a set and f :: 'b => 'a => 'a and e :: 'a
where
  emptyI [intro]: e ∈ D ==> ({}, e) ∈ foldSetD D f e
  | insertI [intro]: [| x ~: A; f x y ∈ D; (A, y) ∈ foldSetD D f e |] ==>
                    (insert x A, f x y) ∈ foldSetD D f e
```

**inductive-cases** *empty-foldSetDE* [elim!]:  $(\{\}, x) \in \text{foldSetD } D f e$

**constdefs**

```
foldD :: ['a set, 'b => 'a => 'a, 'a, 'b set] => 'a
foldD D f e A == THE x. (A, x) ∈ foldSetD D f e
```

**lemma** *foldSetD-closed*:

```
[| (A, z) ∈ foldSetD D f e ; e ∈ D; !!x y. [| x ∈ A; y ∈ D |] ==> f x y ∈ D
|] ==> z ∈ D
⟨proof⟩
```

**lemma** *Diff1-foldSetD*:

```
[| (A - {x}, y) ∈ foldSetD D f e; x ∈ A; f x y ∈ D |] ==>
  (A, f x y) ∈ foldSetD D f e
⟨proof⟩
```

**lemma** *foldSetD-imp-finite* [simp]:  $(A, x) \in \text{foldSetD } D f e \implies \text{finite } A$   
 ⟨proof⟩

**lemma** *finite-imp-foldSetD*:

```
[| finite A; e ∈ D; !!x y. [| x ∈ A; y ∈ D |] ==> f x y ∈ D |] ==>
  EX x. (A, x) ∈ foldSetD D f e
⟨proof⟩
```

### 3.2 Left-Commutative Operations

**locale** *LCD* =

```
fixes B :: 'b set
and D :: 'a set
and f :: 'b => 'a => 'a (infixl · 70)
assumes left-commute:
  [| x ∈ B; y ∈ B; z ∈ D |] ==> x · (y · z) = y · (x · z)
and f-closed [simp, intro!]: !!x y. [| x ∈ B; y ∈ D |] ==> f x y ∈ D
```

**lemma** (in *LCD*) *foldSetD-closed* [dest]:

```
(A, z) ∈ foldSetD D f e ==> z ∈ D
⟨proof⟩
```

**lemma** (in *LCD*) *Diff1-foldSetD*:

```
[| (A - {x}, y) ∈ foldSetD D f e; x ∈ A; A ⊆ B |] ==>
```

$(A, f\ x\ y) \in \text{foldSetD}\ D\ f\ e$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldSetD-imp-finite* [simp]:  
 $(A, x) \in \text{foldSetD}\ D\ f\ e \implies \text{finite}\ A$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *finite-imp-foldSetD*:  
 $[| \text{finite}\ A; A \subseteq B; e \in D |] \implies \exists x. (A, x) \in \text{foldSetD}\ D\ f\ e$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldSetD-determ-aux*:  
 $e \in D \implies \forall A\ x. A \subseteq B \ \& \ \text{card}\ A < n \dashrightarrow (A, x) \in \text{foldSetD}\ D\ f\ e \dashrightarrow$   
 $(\forall y. (A, y) \in \text{foldSetD}\ D\ f\ e \dashrightarrow y = x)$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldSetD-determ*:  
 $[| (A, x) \in \text{foldSetD}\ D\ f\ e; (A, y) \in \text{foldSetD}\ D\ f\ e; e \in D; A \subseteq B |]$   
 $\implies y = x$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldD-equality*:  
 $[| (A, y) \in \text{foldSetD}\ D\ f\ e; e \in D; A \subseteq B |] \implies \text{foldD}\ D\ f\ e\ A = y$   
 $\langle \text{proof} \rangle$

**lemma** *foldD-empty* [simp]:  
 $e \in D \implies \text{foldD}\ D\ f\ e\ \{\} = e$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldD-insert-aux*:  
 $[| x \sim: A; x \in B; e \in D; A \subseteq B |] \implies$   
 $((\text{insert}\ x\ A, v) \in \text{foldSetD}\ D\ f\ e) =$   
 $(\exists y. (A, y) \in \text{foldSetD}\ D\ f\ e \ \& \ v = f\ x\ y)$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldD-insert*:  
 $[| \text{finite}\ A; x \sim: A; x \in B; e \in D; A \subseteq B |] \implies$   
 $\text{foldD}\ D\ f\ e\ (\text{insert}\ x\ A) = f\ x\ (\text{foldD}\ D\ f\ e\ A)$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldD-closed* [simp]:  
 $[| \text{finite}\ A; e \in D; A \subseteq B |] \implies \text{foldD}\ D\ f\ e\ A \in D$   
 $\langle \text{proof} \rangle$

**lemma** (in *LCD*) *foldD-commute*:  
 $[| \text{finite}\ A; x \in B; e \in D; A \subseteq B |] \implies$   
 $f\ x\ (\text{foldD}\ D\ f\ e\ A) = \text{foldD}\ D\ f\ (f\ x\ e)\ A$   
 $\langle \text{proof} \rangle$

**lemma** *Int-mono2*:

$[| A \subseteq C; B \subseteq C |] ==> A \text{ Int } B \subseteq C$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *LCD*) *foldD-nest-Un-Int*:

$[| \text{finite } A; \text{finite } C; e \in D; A \subseteq B; C \subseteq B |] ==>$   
 $\text{foldD } D \text{ f } (\text{foldD } D \text{ f } e \text{ } C) \text{ } A = \text{foldD } D \text{ f } (\text{foldD } D \text{ f } e \text{ } (A \text{ Int } C)) \text{ } (A \text{ Un } C)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *LCD*) *foldD-nest-Un-disjoint*:

$[| \text{finite } A; \text{finite } B; A \text{ Int } B = \{\}; e \in D; A \subseteq B; C \subseteq B |]$   
 $==> \text{foldD } D \text{ f } e \text{ } (A \text{ Un } B) = \text{foldD } D \text{ f } (\text{foldD } D \text{ f } e \text{ } B) \text{ } A$   
 $\langle \text{proof} \rangle$

**declare** *foldSetD-imp-finite* [*simp del*]

*empty-foldSetDE* [*rule del*]

*foldSetD.intros* [*rule del*]

**declare** (**in** *LCD*)

*foldSetD-closed* [*rule del*]

### 3.3 Commutative Monoids

We enter a more restrictive context, with  $f :: 'a ==> 'a ==> 'a$  instead of  $'b ==> 'a ==> 'a$ .

**locale** *ACeD* =

**fixes**  $D :: 'a \text{ set}$

**and**  $f :: 'a ==> 'a ==> 'a$  (**infixl**  $\cdot$  70)

**and**  $e :: 'a$

**assumes** *ident* [*simp*]:  $x \in D ==> x \cdot e = x$

**and** *commute*:  $[| x \in D; y \in D |] ==> x \cdot y = y \cdot x$

**and** *assoc*:  $[| x \in D; y \in D; z \in D |] ==> (x \cdot y) \cdot z = x \cdot (y \cdot z)$

**and** *e-closed* [*simp*]:  $e \in D$

**and** *f-closed* [*simp*]:  $[| x \in D; y \in D |] ==> x \cdot y \in D$

**lemma** (**in** *ACeD*) *left-commute*:

$[| x \in D; y \in D; z \in D |] ==> x \cdot (y \cdot z) = y \cdot (x \cdot z)$

$\langle \text{proof} \rangle$

**lemmas** (**in** *ACeD*)  $AC = \text{assoc } \text{commute } \text{left-commute}$

**lemma** (**in** *ACeD*) *left-ident* [*simp*]:  $x \in D ==> e \cdot x = x$

$\langle \text{proof} \rangle$

**lemma** (**in** *ACeD*) *foldD-Un-Int*:

$[| \text{finite } A; \text{finite } B; A \subseteq D; B \subseteq D |] ==>$

$\text{foldD } D \text{ f } e \text{ } A \cdot \text{foldD } D \text{ f } e \text{ } B =$

$\text{foldD } D \text{ f } e \text{ } (A \text{ Un } B) \cdot \text{foldD } D \text{ f } e \text{ } (A \text{ Int } B)$

$\langle \text{proof} \rangle$

**lemma** (in *ACeD*) *foldD-Un-disjoint*:  

$$[[ \text{finite } A; \text{finite } B; A \text{ Int } B = \{\}; A \subseteq D; B \subseteq D ]] ==>$$

$$\text{foldD } D \text{ f e } (A \text{ Un } B) = \text{foldD } D \text{ f e } A \cdot \text{foldD } D \text{ f e } B$$

$$\langle \text{proof} \rangle$$

### 3.4 Products over Finite Sets

**constdefs** (structure *G*)  

$$\text{finprod} :: ['b, 'm] \text{ monoid-scheme, 'a } ==> 'b, 'a \text{ set}] ==> 'b$$

$$\text{finprod } G \text{ f } A == \text{if finite } A$$

$$\text{then foldD (carrier } G) (\text{mult } G \circ \text{f}) \mathbf{1} \ A$$

$$\text{else arbitrary}$$

**syntax**  

$$\text{-finprod} :: \text{index } ==> \text{idt } ==> 'a \text{ set } ==> 'b ==> 'b$$

$$((\otimes \text{--} \cdot \text{--}) [1000, 0, 51, 10] 10)$$
**syntax** (*xsymbols*)  

$$\text{-finprod} :: \text{index } ==> \text{idt } ==> 'a \text{ set } ==> 'b ==> 'b$$

$$((\otimes \text{--} \in \cdot \text{--}) [1000, 0, 51, 10] 10)$$
**syntax** (*HTML output*)  

$$\text{-finprod} :: \text{index } ==> \text{idt } ==> 'a \text{ set } ==> 'b ==> 'b$$

$$((\otimes \text{--} \in \cdot \text{--}) [1000, 0, 51, 10] 10)$$

**translations**  

$$\otimes_{i:A}. b == \text{finprod } \diamond_1 (\%i. b) \ A$$
— Beware of argument permutation!

**lemma** (in *comm-monoid*) *finprod-empty* [*simp*]:  

$$\text{finprod } G \text{ f } \{\} = \mathbf{1}$$

$$\langle \text{proof} \rangle$$

**declare** *funcsetI* [*intro*]  
*funcset-mem* [*dest*]

**lemma** (in *comm-monoid*) *finprod-insert* [*simp*]:  

$$[[ \text{finite } F; a \notin F; f \in F \rightarrow \text{carrier } G; f \ a \in \text{carrier } G ]] ==>$$

$$\text{finprod } G \text{ f } (\text{insert } a \ F) = f \ a \otimes \text{finprod } G \text{ f } F$$

$$\langle \text{proof} \rangle$$

**lemma** (in *comm-monoid*) *finprod-one* [*simp*]:  

$$\text{finite } A ==> (\otimes_{i:A}. \mathbf{1}) = \mathbf{1}$$

$$\langle \text{proof} \rangle$$

**lemma** (in *comm-monoid*) *finprod-closed* [*simp*]:  
**fixes** *A*  
**assumes** *fin*: *finite A* **and** *f*: *f*  $\in A \rightarrow \text{carrier } G$   
**shows** *finprod G f A*  $\in \text{carrier } G$   

$$\langle \text{proof} \rangle$$

**lemma** *funcset-Int-left* [*simp*, *intro*]:

$[| f \in A \rightarrow C; f \in B \rightarrow C |] \implies f \in A \text{ Int } B \rightarrow C$   
 $\langle \text{proof} \rangle$

**lemma** *funcset-Un-left* [iff]:  
 $(f \in A \text{ Un } B \rightarrow C) = (f \in A \rightarrow C \ \& \ f \in B \rightarrow C)$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-Un-Int*:  
 $[| \text{finite } A; \text{finite } B; g \in A \rightarrow \text{carrier } G; g \in B \rightarrow \text{carrier } G |] \implies$   
 $\text{finprod } G \ g \ (A \text{ Un } B) \otimes \text{finprod } G \ g \ (A \text{ Int } B) =$   
 $\text{finprod } G \ g \ A \otimes \text{finprod } G \ g \ B$   
 — The reversed orientation looks more natural, but LOOPS as a simplrule!  
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-Un-disjoint*:  
 $[| \text{finite } A; \text{finite } B; A \text{ Int } B = \{\};$   
 $g \in A \rightarrow \text{carrier } G; g \in B \rightarrow \text{carrier } G |]$   
 $\implies \text{finprod } G \ g \ (A \text{ Un } B) = \text{finprod } G \ g \ A \otimes \text{finprod } G \ g \ B$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-multf*:  
 $[| \text{finite } A; f \in A \rightarrow \text{carrier } G; g \in A \rightarrow \text{carrier } G |] \implies$   
 $\text{finprod } G \ (\%x. f \ x \otimes g \ x) \ A = (\text{finprod } G \ f \ A \otimes \text{finprod } G \ g \ A)$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-cong'*:  
 $[| A = B; g \in B \rightarrow \text{carrier } G;$   
 $!!i. i \in B \implies f \ i = g \ i |] \implies \text{finprod } G \ f \ A = \text{finprod } G \ g \ B$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-cong*:  
 $[| A = B; f \in B \rightarrow \text{carrier } G = \text{True};$   
 $!!i. i \in B \implies f \ i = g \ i |] \implies \text{finprod } G \ f \ A = \text{finprod } G \ g \ B$   
 $\langle \text{proof} \rangle$

Usually, if this rule causes a failed congruence proof error, the reason is that the premise  $g \in B \rightarrow \text{carrier } G$  cannot be shown. Adding *Pi-def* to the simpset is often useful. For this reason, *comm-monoid.finprod-cong* is not added to the simpset by default.

**declare** *funcsetI* [rule del]  
*funcset-mem* [rule del]

**lemma** (in *comm-monoid*) *finprod-0* [simp]:  
 $f \in \{0::\text{nat}\} \rightarrow \text{carrier } G \implies \text{finprod } G \ f \ \{..0\} = f \ 0$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-Suc* [simp]:  
 $f \in \{.. \text{Suc } n\} \rightarrow \text{carrier } G \implies$

$\text{finprod } G \ f \ \{.. \text{Suc } n\} = (f \ (\text{Suc } n) \otimes \text{finprod } G \ f \ \{..n\})$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-Suc2*:  
 $f \in \{.. \text{Suc } n\} \rightarrow \text{carrier } G \implies$   
 $\text{finprod } G \ f \ \{.. \text{Suc } n\} = (\text{finprod } G \ (\%i. f \ (\text{Suc } i)) \ \{..n\} \otimes f \ 0)$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-monoid*) *finprod-mult [simp]*:  
 $[| f \in \{..n\} \rightarrow \text{carrier } G; g \in \{..n\} \rightarrow \text{carrier } G |] \implies$   
 $\text{finprod } G \ (\%i. f \ i \otimes g \ i) \ \{..n::\text{nat}\} =$   
 $\text{finprod } G \ f \ \{..n\} \otimes \text{finprod } G \ g \ \{..n\}$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Exponent* **imports** *Main Primes Binomial* **begin**

## 4 The Combinatorial Argument Underlying the First Sylow Theorem

**definition** *exponent* :: *nat* => *nat* => *nat* **where**  
*exponent* *p* *s* == if prime *p* then (*GREATEST* *r*.  $p^r \text{ dvd } s$ ) else 0

### 4.1 Prime Theorems

**lemma** *prime-imp-one-less*: prime *p* ==> *Suc* 0 < *p*  
 $\langle \text{proof} \rangle$

**lemma** *prime-iff*:  
 $(\text{prime } p) = (\text{Suc } 0 < p \ \& \ (\forall a \ b. p \text{ dvd } a*b \longrightarrow (p \text{ dvd } a) \mid (p \text{ dvd } b)))$   
 $\langle \text{proof} \rangle$

**lemma** *zero-less-prime-power*: prime *p* ==> 0 <  $p^a$   
 $\langle \text{proof} \rangle$

**lemma** *zero-less-card-empty*: [| *finite* *S*; *S* ≠ {} |] ==> 0 < *card*(*S*)  
 $\langle \text{proof} \rangle$

**lemma** *prime-dvd-cases*:  
 $[| p*k \text{ dvd } m*n; \text{ prime } p |]$   
 $\implies (\exists x. k \text{ dvd } x*n \ \& \ m = p*x) \mid (\exists y. k \text{ dvd } m*y \ \& \ n = p*y)$   
 $\langle \text{proof} \rangle$

**lemma** *prime-power-dvd-cases* [rule-format (no-asm)]: *prime*  $p$   
 $\implies \forall m\ n. p^c \text{ dvd } m * n \implies$   
 $(\forall a\ b. a + b = \text{Suc } c \implies p^a \text{ dvd } m \mid p^b \text{ dvd } n)$   
 <proof>

**lemma** *div-combine*:  
 $[\mid \text{prime } p; \sim (p^a \text{ dvd } n); p^{a+r} \text{ dvd } n * k \mid]$   
 $\implies p^a \text{ dvd } k$   
 <proof>

**lemma** *Suc-le-power*:  $\text{Suc } 0 < p \implies \text{Suc } n \leq p^n$   
 <proof>

**lemma** *power-dvd-bound*:  $[\mid p^n \text{ dvd } a; \text{Suc } 0 < p; a > 0 \mid] \implies n < a$   
 <proof>

## 4.2 Exponent Theorems

**lemma** *exponent-ge* [rule-format]:  
 $[\mid p^k \text{ dvd } n; \text{prime } p; 0 < n \mid] \implies k \leq \text{exponent } p\ n$   
 <proof>

**lemma** *power-exponent-dvd*:  $s > 0 \implies (p^{\text{exponent } p\ s}) \text{ dvd } s$   
 <proof>

**lemma** *power-Suc-exponent-Not-dvd*:  
 $[\mid (p * p^{\text{exponent } p\ s}) \text{ dvd } s; \text{prime } p \mid] \implies s = 0$   
 <proof>

**lemma** *exponent-power-eq* [simp]:  $\text{prime } p \implies \text{exponent } p\ (p^a) = a$   
 <proof>

**lemma** *exponent-equalityI*:  
 $!r::\text{nat}. (p^r \text{ dvd } a) = (p^r \text{ dvd } b) \implies \text{exponent } p\ a = \text{exponent } p\ b$   
 <proof>

**lemma** *exponent-eq-0* [simp]:  $\neg \text{prime } p \implies \text{exponent } p\ s = 0$   
 <proof>

**lemma** *exponent-mult-add1*:  $[\mid a > 0; b > 0 \mid]$   
 $\implies (\text{exponent } p\ a) + (\text{exponent } p\ b) \leq \text{exponent } p\ (a * b)$   
 <proof>

**lemma** *exponent-mult-add2*:  $\llbracket a > 0; b > 0 \rrbracket$   
 $\implies \text{exponent } p (a * b) \leq (\text{exponent } p a) + (\text{exponent } p b)$   
 $\langle \text{proof} \rangle$

**lemma** *exponent-mult-add*:  $\llbracket a > 0; b > 0 \rrbracket$   
 $\implies \text{exponent } p (a * b) = (\text{exponent } p a) + (\text{exponent } p b)$   
 $\langle \text{proof} \rangle$

**lemma** *not-divides-exponent-0*:  $\sim (p \text{ dvd } n) \implies \text{exponent } p n = 0$   
 $\langle \text{proof} \rangle$

**lemma** *exponent-1-eq-0* [simp]:  $\text{exponent } p (\text{Suc } 0) = 0$   
 $\langle \text{proof} \rangle$

### 4.3 Main Combinatorial Argument

**lemma** *le-extend-mult*:  $\llbracket c > 0; a \leq b \rrbracket \implies a \leq b * (c::\text{nat})$   
 $\langle \text{proof} \rangle$

**lemma** *p-fac-forw-lemma*:  
 $\llbracket (m::\text{nat}) > 0; k > 0; k < p^a; (p^r) \text{ dvd } (p^a)^* m - k \rrbracket \implies r \leq a$   
 $\langle \text{proof} \rangle$

**lemma** *p-fac-forw*:  $\llbracket (m::\text{nat}) > 0; k > 0; k < p^a; (p^r) \text{ dvd } (p^a)^* m - k \rrbracket$   
 $\implies (p^r) \text{ dvd } (p^a)^* m - k$   
 $\langle \text{proof} \rangle$

**lemma** *r-le-a-forw*:  
 $\llbracket (k::\text{nat}) > 0; k < p^a; p > 0; (p^r) \text{ dvd } (p^a) - k \rrbracket \implies r \leq a$   
 $\langle \text{proof} \rangle$

**lemma** *p-fac-backw*:  $\llbracket m > 0; k > 0; (p::\text{nat}) \neq 0; k < p^a; (p^r) \text{ dvd } p^a - k \rrbracket$   
 $\implies (p^r) \text{ dvd } (p^a)^* m - k$   
 $\langle \text{proof} \rangle$

**lemma** *exponent-p-a-m-k-equation*:  $\llbracket m > 0; k > 0; (p::\text{nat}) \neq 0; k < p^a \rrbracket$   
 $\implies \text{exponent } p (p^a * m - k) = \text{exponent } p (p^a - k)$   
 $\langle \text{proof} \rangle$

Suc rules that we have to delete from the simpset

**lemmas** *bad-Sucs* = *binomial-Suc-Suc mult-Suc mult-Suc-right*

**lemma** *p-not-div-choose-lemma* [rule-format]:  
 $\llbracket \forall i. \text{Suc } i < K \dashv\vdash \text{exponent } p (\text{Suc } i) = \text{exponent } p (\text{Suc}(j+i)) \rrbracket$



$\Rightarrow k < K \dashrightarrow \text{exponent } p ((j+k) \text{ choose } k) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *p-not-div-choose*:

$[[ k < K; k \leq n;$   
 $\quad \forall j. 0 < j \ \& \ j < K \dashrightarrow \text{exponent } p (n - k + (K - j)) = \text{exponent } p (K -$   
 $j)]]$   
 $\Rightarrow \text{exponent } p (n \text{ choose } k) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *const-p-fac-right*:

$m > 0 \Rightarrow \text{exponent } p ((p \hat{a} * m - \text{Suc } 0) \text{ choose } (p \hat{a} - \text{Suc } 0)) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *const-p-fac*:

$m > 0 \Rightarrow \text{exponent } p (((p \hat{a}) * m) \text{ choose } p \hat{a}) = \text{exponent } p m$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Coset* **imports** *Group Exponent* **begin**

## 5 Cosets and Quotient Groups

**constdefs** (structure *G*)

*r-coset*  $:: [-, 'a \text{ set}, 'a] \Rightarrow 'a \text{ set} \quad (\text{infixl } \#>_1 60)$   
 $H \#> a \equiv \bigcup_{h \in H}. \{h \otimes a\}$

*l-coset*  $:: [-, 'a, 'a \text{ set}] \Rightarrow 'a \text{ set} \quad (\text{infixl } <\#_1 60)$   
 $a <\# H \equiv \bigcup_{h \in H}. \{a \otimes h\}$

*RCOSETS*  $:: [-, 'a \text{ set}] \Rightarrow ('a \text{ set}) \text{ set} \quad (\text{rcosets}_1 - [81] 80)$   
 $\text{rcosets } H \equiv \bigcup_{a \in \text{carrier } G}. \{H \#> a\}$

*set-mult*  $:: [-, 'a \text{ set}, 'a \text{ set}] \Rightarrow 'a \text{ set} \quad (\text{infixl } <\#>_1 60)$   
 $H <\#> K \equiv \bigcup_{h \in H}. \bigcup_{k \in K}. \{h \otimes k\}$

*SET-INV*  $:: [-, 'a \text{ set}] \Rightarrow 'a \text{ set} \quad (\text{set'-inv}_1 - [81] 80)$   
 $\text{set-inv } H \equiv \bigcup_{h \in H}. \{\text{inv } h\}$

**locale** *normal* = *subgroup* + *group* +

**assumes** *coset-eq*:  $(\forall x \in \text{carrier } G. H \#> x = x <\# H)$

**abbreviation**

$normal\text{-}rel :: ['a\ set, ('a, 'b)\ monoid\text{-}scheme] \Rightarrow bool\ (\text{infixl } \triangleleft 60)\ \text{where}$   
 $H \triangleleft G \equiv normal\ H\ G$

**5.1 Basic Properties of Cosets**

**lemma** (in group) *coset-mult-assoc*:

$[| M \subseteq carrier\ G; g \in carrier\ G; h \in carrier\ G |]$   
 $\implies (M \#> g) \#> h = M \#> (g \otimes h)$   
 <proof>

**lemma** (in group) *coset-mult-one* [simp]:  $M \subseteq carrier\ G \implies M \#> \mathbf{1} = M$   
 <proof>

**lemma** (in group) *coset-mult-inv1*:

$[| M \#> (x \otimes (inv\ y)) = M; x \in carrier\ G; y \in carrier\ G;$   
 $M \subseteq carrier\ G |] \implies M \#> x = M \#> y$   
 <proof>

**lemma** (in group) *coset-mult-inv2*:

$[| M \#> x = M \#> y; x \in carrier\ G; y \in carrier\ G; M \subseteq carrier\ G |]$   
 $\implies M \#> (x \otimes (inv\ y)) = M$   
 <proof>

**lemma** (in group) *coset-join1*:

$[| H \#> x = H; x \in carrier\ G; subgroup\ H\ G |] \implies x \in H$   
 <proof>

**lemma** (in group) *solve-equation*:

$[| subgroup\ H\ G; x \in H; y \in H |] \implies \exists h \in H. y = h \otimes x$   
 <proof>

**lemma** (in group) *repr-independence*:

$[| y \in H \#> x; x \in carrier\ G; subgroup\ H\ G |] \implies H \#> x = H \#> y$   
 <proof>

**lemma** (in group) *coset-join2*:

$[| x \in carrier\ G; subgroup\ H\ G; x \in H |] \implies H \#> x = H$   
 — Alternative proof is to put  $x = \mathbf{1}$  in *repr-independence*.  
 <proof>

**lemma** (in monoid) *r-coset-subset-G*:

$[| H \subseteq carrier\ G; x \in carrier\ G |] \implies H \#> x \subseteq carrier\ G$   
 <proof>

**lemma** (in group) *rcosI*:

$[| h \in H; H \subseteq carrier\ G; x \in carrier\ G |] \implies h \otimes x \in H \#> x$   
 <proof>

**lemma** (in group) *rcosetsI*:  
 $\llbracket H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies H \#> x \in \text{rcosets } H$   
 <proof>

Really needed?

**lemma** (in group) *transpose-inv*:  
 $\llbracket x \otimes y = z; x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket$   
 $\implies (\text{inv } x) \otimes z = y$   
 <proof>

**lemma** (in group) *rcos-self*:  $\llbracket x \in \text{carrier } G; \text{subgroup } H \ G \rrbracket \implies x \in H \#> x$   
 <proof>

Opposite of *repr-independence*

**lemma** (in group) *repr-independenceD*:  
**includes** *subgroup*  $H \ G$   
**assumes** *ycarr*:  $y \in \text{carrier } G$   
**and** *repr*:  $H \#> x = H \#> y$   
**shows**  $y \in H \#> x$   
 <proof>

Elements of a right coset are in the carrier

**lemma** (in subgroup) *elemrcos-carrier*:  
**includes** *group*  
**assumes** *acarr*:  $a \in \text{carrier } G$   
**and** *a'*:  $a' \in H \#> a$   
**shows**  $a' \in \text{carrier } G$   
 <proof>

**lemma** (in subgroup) *rcos-const*:  
**includes** *group*  
**assumes** *hH*:  $h \in H$   
**shows**  $H \#> h = H$   
 <proof>

Step one for lemma *rcos-module*

**lemma** (in subgroup) *rcos-module-imp*:  
**includes** *group*  
**assumes** *xcarr*:  $x \in \text{carrier } G$   
**and** *x'cos*:  $x' \in H \#> x$   
**shows**  $(x' \otimes \text{inv } x) \in H$   
 <proof>

Step two for lemma *rcos-module*

**lemma** (in subgroup) *rcos-module-rev*:  
**includes** *group*  
**assumes** *carr*:  $x \in \text{carrier } G \ x' \in \text{carrier } G$   
**and** *xixH*:  $(x' \otimes \text{inv } x) \in H$

**shows**  $x' \in H \#> x$   
 $\langle \text{proof} \rangle$

Module property of right cosets

**lemma** (in *subgroup*) *rcos-module*:  
**includes** *group*  
**assumes** *carr*:  $x \in \text{carrier } G \ x' \in \text{carrier } G$   
**shows**  $(x' \in H \#> x) = (x' \otimes \text{inv } x \in H)$   
 $\langle \text{proof} \rangle$

Right cosets are subsets of the carrier.

**lemma** (in *subgroup*) *rcosets-carrier*:  
**includes** *group*  
**assumes** *XH*:  $X \in \text{rcosets } H$   
**shows**  $X \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

Multiplication of general subsets

**lemma** (in *monoid*) *set-mult-closed*:  
**assumes** *Acarr*:  $A \subseteq \text{carrier } G$   
**and** *Bcarr*:  $B \subseteq \text{carrier } G$   
**shows**  $A <\#> B \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *comm-group*) *mult-subgroups*:  
**assumes** *subH*: *subgroup*  $H \ G$   
**and** *subK*: *subgroup*  $K \ G$   
**shows** *subgroup*  $(H <\#> K) \ G$   
 $\langle \text{proof} \rangle$

**lemma** (in *subgroup*) *lcos-module-rev*:  
**includes** *group*  
**assumes** *carr*:  $x \in \text{carrier } G \ x' \in \text{carrier } G$   
**and** *xixH*:  $(\text{inv } x \otimes x') \in H$   
**shows**  $x' \in x <\# H$   
 $\langle \text{proof} \rangle$

## 5.2 Normal subgroups

**lemma** *normal-imp-subgroup*:  $H \triangleleft G \implies \text{subgroup } H \ G$   
 $\langle \text{proof} \rangle$

**lemma** (in *group*) *normalI*:  
 $\text{subgroup } H \ G \implies (\forall x \in \text{carrier } G. H \#> x = x <\# H) \implies H \triangleleft G$   
 $\langle \text{proof} \rangle$

**lemma** (in *normal*) *inv-op-closed1*:  
 $\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies (\text{inv } x) \otimes h \otimes x \in H$   
 $\langle \text{proof} \rangle$

**lemma** (in normal) *inv-op-closed2*:

$\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies x \otimes h \otimes (\text{inv } x) \in H$   
 $\langle \text{proof} \rangle$

Alternative characterization of normal subgroups

**lemma** (in group) *normal-inv-iff*:

$(N \triangleleft G) =$   
 $(\text{subgroup } N \text{ } G \ \& \ (\forall x \in \text{carrier } G. \forall h \in N. x \otimes h \otimes (\text{inv } x) \in N))$   
 $(\text{is } - = ?rhs)$   
 $\langle \text{proof} \rangle$

### 5.3 More Properties of Cosets

**lemma** (in group) *lcos-m-assoc*:

$\llbracket M \subseteq \text{carrier } G; g \in \text{carrier } G; h \in \text{carrier } G \rrbracket$   
 $\implies g <\# (h <\# M) = (g \otimes h) <\# M$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *lcos-mult-one*:  $M \subseteq \text{carrier } G \implies \mathbf{1} <\# M = M$

$\langle \text{proof} \rangle$

**lemma** (in group) *l-coset-subset-G*:

$\llbracket H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies x <\# H \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *l-coset-swap*:

$\llbracket y \in x <\# H; x \in \text{carrier } G; \text{subgroup } H \text{ } G \rrbracket \implies x \in y <\# H$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *l-coset-carrier*:

$\llbracket y \in x <\# H; x \in \text{carrier } G; \text{subgroup } H \text{ } G \rrbracket \implies y \in \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *l-repr-imp-subset*:

**assumes**  $y: y \in x <\# H$  **and**  $x: x \in \text{carrier } G$  **and**  $sb: \text{subgroup } H \text{ } G$   
**shows**  $y <\# H \subseteq x <\# H$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *l-repr-independence*:

**assumes**  $y: y \in x <\# H$  **and**  $x: x \in \text{carrier } G$  **and**  $sb: \text{subgroup } H \text{ } G$   
**shows**  $x <\# H = y <\# H$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *setmult-subset-G*:

$\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G \rrbracket \implies H <\#> K \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in group) *subgroup-mult-id*:  $\text{subgroup } H \text{ } G \implies H <\#> H = H$

$\langle \text{proof} \rangle$

### 5.3.1 Set of Inverses of an $r$ -coset.

**lemma** (in *normal*) *rcos-inv*:  
 assumes  $x: \quad x \in \text{carrier } G$   
 shows  $\text{set-inv } (H \#> x) = H \#> (\text{inv } x)$   
 $\langle \text{proof} \rangle$

### 5.3.2 Theorems for $<\#>$ with $\#>$ or $<\#$ .

**lemma** (in *group*) *setmult-rcos-assoc*:  
 $\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$   
 $\implies H <\#> (K \#> x) = (H <\#> K) \#> x$   
 $\langle \text{proof} \rangle$

**lemma** (in *group*) *rcos-assoc-lcos*:  
 $\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$   
 $\implies (H \#> x) <\#> K = H <\#> (x <\#> K)$   
 $\langle \text{proof} \rangle$

**lemma** (in *normal*) *rcos-mult-step1*:  
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$   
 $\implies (H \#> x) <\#> (H \#> y) = (H <\#> (x <\#> H)) \#> y$   
 $\langle \text{proof} \rangle$

**lemma** (in *normal*) *rcos-mult-step2*:  
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$   
 $\implies (H <\#> (x <\#> H)) \#> y = (H <\#> (H \#> x)) \#> y$   
 $\langle \text{proof} \rangle$

**lemma** (in *normal*) *rcos-mult-step3*:  
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$   
 $\implies (H <\#> (H \#> x)) \#> y = H \#> (x \otimes y)$   
 $\langle \text{proof} \rangle$

**lemma** (in *normal*) *rcos-sum*:  
 $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$   
 $\implies (H \#> x) <\#> (H \#> y) = H \#> (x \otimes y)$   
 $\langle \text{proof} \rangle$

**lemma** (in *normal*) *rcosets-mult-eq*:  $M \in \text{rcosets } H \implies H <\#> M = M$   
 — generalizes *subgroup-mult-id*  
 $\langle \text{proof} \rangle$

### 5.3.3 An Equivalence Relation

**constdefs** (structure  $G$ )  
 $r\text{-congruent} :: [('a, 'b)\text{monoid-scheme}, 'a \text{ set}] \Rightarrow ('a * 'a)\text{set}$   
 $(r\text{congr1 } -)$

$$rcong\ H \equiv \{(x,y). x \in carrier\ G \ \& \ y \in carrier\ G \ \& \ inv\ x \otimes y \in H\}$$

**lemma** (in *subgroup*) *equiv-rcong*:  
**includes** *group G*  
**shows** *equiv (carrier G) (rcong H)*  
 $\langle proof \rangle$

Equivalence classes of *rcong* correspond to left cosets. Was there a mistake in the definitions? I'd have expected them to correspond to right cosets.

**lemma** (in *subgroup*) *l-coset-eq-rcong*:  
**includes** *group G*  
**assumes** *a: a ∈ carrier G*  
**shows** *a <# H = rcong H “ {a}*  
 $\langle proof \rangle$

### 5.3.4 Two Distinct Right Cosets are Disjoint

**lemma** (in *group*) *rcos-equation*:  
**includes** *subgroup H G*  
**shows**  

$$\begin{aligned} & \llbracket ha \otimes a = h \otimes b; a \in carrier\ G; b \in carrier\ G; \\ & \quad h \in H; ha \in H; hb \in H \rrbracket \\ & \implies hb \otimes a \in (\bigcup h \in H. \{h \otimes b\}) \end{aligned}$$
  
 $\langle proof \rangle$

**lemma** (in *group*) *rcos-disjoint*:  
**includes** *subgroup H G*  
**shows**  $\llbracket a \in rcosets\ H; b \in rcosets\ H; a \neq b \rrbracket \implies a \cap b = \{\}$   
 $\langle proof \rangle$

### 5.4 Further lemmas for *r-congruent*

The relation is a congruence

**lemma** (in *normal*) *congruent-rcong*:  
**shows** *congruent2 (rcong H) (rcong H) ( $\lambda a\ b. a \otimes b <# H$ )*  
 $\langle proof \rangle$

### 5.5 Order of a Group and Lagrange's Theorem

**constdefs**  
 $order :: ('a, 'b)\ monoid-scheme \Rightarrow nat$   
 $order\ S \equiv card\ (carrier\ S)$

**lemma** (in *group*) *rcos-self*:  
**includes** *subgroup*  
**shows**  $x \in carrier\ G \implies x \in H \ \#> \ x$   
 $\langle proof \rangle$

**lemma** (in group) *rcosets-part-G*:  
 includes subgroup  
 shows  $\bigcup (\text{rcosets } H) = \text{carrier } G$   
 <proof>

**lemma** (in group) *cosets-finite*:  
 $\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{finite } (\text{carrier } G) \rrbracket \implies \text{finite } c$   
 <proof>

The next two lemmas support the proof of *card-cosets-equal*.

**lemma** (in group) *inj-on-f*:  
 $\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj-on } (\lambda y. y \otimes \text{inv } a) (H \#> a)$   
 <proof>

**lemma** (in group) *inj-on-g*:  
 $\llbracket H \subseteq \text{carrier } G; a \in \text{carrier } G \rrbracket \implies \text{inj-on } (\lambda y. y \otimes a) H$   
 <proof>

**lemma** (in group) *card-cosets-equal*:  
 $\llbracket c \in \text{rcosets } H; H \subseteq \text{carrier } G; \text{finite}(\text{carrier } G) \rrbracket$   
 $\implies \text{card } c = \text{card } H$   
 <proof>

**lemma** (in group) *rcosets-subset-PowG*:  
 $\text{subgroup } H \ G \implies \text{rcosets } H \subseteq \text{Pow}(\text{carrier } G)$   
 <proof>

**theorem** (in group) *lagrange*:  
 $\llbracket \text{finite}(\text{carrier } G); \text{subgroup } H \ G \rrbracket$   
 $\implies \text{card}(\text{rcosets } H) * \text{card}(H) = \text{order}(G)$   
 <proof>

## 5.6 Quotient Groups: Factorization of a Group

**constdefs**  
 $\text{FactGroup} :: [('a, 'b) \text{ monoid-scheme}, 'a \text{ set}] \Rightarrow ('a \text{ set}) \text{ monoid}$   
 (infixl Mod 65)  
 — Actually defined for groups rather than monoids  
 $\text{FactGroup } G \ H \equiv$   
 $(\text{carrier} = \text{rcosets}_G \ H, \text{mult} = \text{set-mult } G, \text{one} = H)$

**lemma** (in normal) *setmult-closed*:  
 $\llbracket K1 \in \text{rcosets } H; K2 \in \text{rcosets } H \rrbracket \implies K1 \<\#> K2 \in \text{rcosets } H$   
 <proof>

**lemma** (in normal) *setinv-closed*:  
 $K \in \text{rcosets } H \implies \text{set-inv } K \in \text{rcosets } H$   
 <proof>



**lemma** (in normal) *rcosets-assoc*:  

$$\llbracket M1 \in \text{rcosets } H; M2 \in \text{rcosets } H; M3 \in \text{rcosets } H \rrbracket$$

$$\implies M1 <\#> M2 <\#> M3 = M1 <\#> (M2 <\#> M3)$$
 $\langle \text{proof} \rangle$

**lemma** (in subgroup) *subgroup-in-rcosets*:  
**includes** group  $G$   
**shows**  $H \in \text{rcosets } H$   
 $\langle \text{proof} \rangle$

**lemma** (in normal) *rcosets-inv-mult-group-eq*:  
 $M \in \text{rcosets } H \implies \text{set-inv } M <\#> M = H$   
 $\langle \text{proof} \rangle$

**theorem** (in normal) *factorgroup-is-group*:  
group  $(G \text{ Mod } H)$   
 $\langle \text{proof} \rangle$

**lemma** *mult-FactGroup [simp]*:  $X \otimes_{(G \text{ Mod } H)} X' = X <\#>_G X'$   
 $\langle \text{proof} \rangle$

**lemma** (in normal) *inv-FactGroup*:  
 $X \in \text{carrier } (G \text{ Mod } H) \implies \text{inv}_{G \text{ Mod } H} X = \text{set-inv } X$   
 $\langle \text{proof} \rangle$

The coset map is a homomorphism from  $G$  to the quotient group  $G \text{ Mod } H$

**lemma** (in normal) *r-coset-hom-Mod*:  
 $(\lambda a. H \#> a) \in \text{hom } G (G \text{ Mod } H)$   
 $\langle \text{proof} \rangle$

## 5.7 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

**constdefs**  
 $\text{kernel} :: ('a, 'm) \text{ monoid-scheme} \Rightarrow ('b, 'n) \text{ monoid-scheme} \Rightarrow$   
 $( 'a \Rightarrow 'b) \Rightarrow 'a \text{ set}$   
— the kernel of a homomorphism  
 $\text{kernel } G \ H \ h \equiv \{x. x \in \text{carrier } G \ \& \ h \ x = \mathbf{1}_H\}$

**lemma** (in group-hom) *subgroup-kernel*:  $\text{subgroup } (\text{kernel } G \ H \ h) \ G$   
 $\langle \text{proof} \rangle$

The kernel of a homomorphism is a normal subgroup

**lemma** (in group-hom) *normal-kernel*:  $(\text{kernel } G \ H \ h) \triangleleft G$   
 $\langle \text{proof} \rangle$

**lemma** (in *group-hom*) *FactGroup-nonempty*:  
 assumes  $X: X \in \text{carrier } (G \text{ Mod } \text{kernel } G \ H \ h)$   
 shows  $X \neq \{\}$   
 <proof>

**lemma** (in *group-hom*) *FactGroup-contents-mem*:  
 assumes  $X: X \in \text{carrier } (G \text{ Mod } (\text{kernel } G \ H \ h))$   
 shows  $\text{contents } (h'X) \in \text{carrier } H$   
 <proof>

**lemma** (in *group-hom*) *FactGroup-hom*:  
 $(\lambda X. \text{contents } (h'X)) \in \text{hom } (G \text{ Mod } (\text{kernel } G \ H \ h)) \ H$   
 <proof>

Lemma for the following injectivity result

**lemma** (in *group-hom*) *FactGroup-subset*:  
 $\llbracket g \in \text{carrier } G; g' \in \text{carrier } G; h \ g = h \ g' \rrbracket$   
 $\implies \text{kernel } G \ H \ h \ \#> \ g \subseteq \text{kernel } G \ H \ h \ \#> \ g'$   
 <proof>

**lemma** (in *group-hom*) *FactGroup-inj-on*:  
 $\text{inj-on } (\lambda X. \text{contents } (h'X)) \ (\text{carrier } (G \text{ Mod } \text{kernel } G \ H \ h))$   
 <proof>

If the homomorphism  $h$  is onto  $H$ , then so is the homomorphism from the quotient group

**lemma** (in *group-hom*) *FactGroup-onto*:  
 assumes  $h: h' \text{ carrier } G = \text{carrier } H$   
 shows  $(\lambda X. \text{contents } (h'X))' \text{ carrier } (G \text{ Mod } \text{kernel } G \ H \ h) = \text{carrier } H$   
 <proof>

If  $h$  is a homomorphism from  $G$  onto  $H$ , then the quotient group  $G \text{ Mod } \text{kernel } G \ H \ h$  is isomorphic to  $H$ .

**theorem** (in *group-hom*) *FactGroup-iso*:  
 $h' \text{ carrier } G = \text{carrier } H$   
 $\implies (\lambda X. \text{contents } (h'X)) \in (G \text{ Mod } (\text{kernel } G \ H \ h)) \cong H$   
 <proof>

**end**

**theory** *Sylow* **imports** *Coset* **begin**

## 6 Sylow's Theorem

See also [3].

The combinatorial argument is in theory Exponent

**locale** *syLOW* = *group* +  
**fixes** *p* **and** *a* **and** *m* **and** *calM* **and** *RelM*  
**assumes** *prime-p*: *prime p*  
**and** *order-G*:  $\text{order}(G) = (p^a) * m$   
**and** *finite-G* [iff]: *finite (carrier G)*  
**defines** *calM* ==  $\{s. s \subseteq \text{carrier}(G) \ \& \ \text{card}(s) = p^a\}$   
**and** *RelM* ==  $\{(N1, N2). N1 \in \text{calM} \ \& \ N2 \in \text{calM} \ \& \ (\exists g \in \text{carrier}(G). N1 = (N2 \#> g))\}$

**lemma** (in *syLOW*) *RelM-refl*: *refl calM RelM*  
 <proof>

**lemma** (in *syLOW*) *RelM-sym*: *sym RelM*  
 <proof>

**lemma** (in *syLOW*) *RelM-trans*: *trans RelM*  
 <proof>

**lemma** (in *syLOW*) *RelM-equiv*: *equiv calM RelM*  
 <proof>

**lemma** (in *syLOW*) *M-subset-calM-prep*:  $M' \in \text{calM} \ /\ / \text{RelM} \implies M' \subseteq \text{calM}$   
 <proof>

### 6.1 Main Part of the Proof

**locale** *syLOW-central* = *syLOW* +  
**fixes** *H* **and** *M1* **and** *M*  
**assumes** *M-in-quot*:  $M \in \text{calM} \ /\ / \text{RelM}$   
**and** *not-dvd-M*:  $\sim(p^a \text{ Suc}(\text{exponent } p \ m) \text{ dvd } \text{card}(M))$   
**and** *M1-in-M*:  $M1 \in M$   
**defines** *H* ==  $\{g. g \in \text{carrier } G \ \& \ M1 \#> g = M1\}$

**lemma** (in *syLOW-central*) *M-subset-calM*:  $M \subseteq \text{calM}$   
 <proof>

**lemma** (in *syLOW-central*) *card-M1*:  $\text{card}(M1) = p^a$   
 <proof>

**lemma** *card-nonempty*:  $0 < \text{card}(S) \implies S \neq \{\}$   
 <proof>

**lemma** (in *syLOW-central*) *exists-x-in-M1*:  $\exists x. x \in M1$   
 <proof>

**lemma** (in *syLOW-central*) *M1-subset-G* [simp]:  $M1 \subseteq \text{carrier } G$   
 <proof>

**lemma** (in *syLOW-central*) *M1-inj-H*:  $\exists f \in H \rightarrow M1. \text{inj-on } f \ H$   
 <proof>

## 6.2 Discharging the Assumptions of *syLOW-central*

**lemma** (in *syLOW*) *EmptyNotInEquivSet*:  $\{\} \notin \text{calM} \ // \ \text{RelM}$   
 <proof>

**lemma** (in *syLOW*) *existsM1inM*:  $M \in \text{calM} \ // \ \text{RelM} \implies \exists M1. M1 \in M$   
 <proof>

**lemma** (in *syLOW*) *zero-less-o-G*:  $0 < \text{order}(G)$   
 <proof>

**lemma** (in *syLOW*) *zero-less-m*:  $m > 0$   
 <proof>

**lemma** (in *syLOW*) *card-calM*:  $\text{card}(\text{calM}) = (p^a) * m \text{ choose } p^a$   
 <proof>

**lemma** (in *syLOW*) *zero-less-card-calM*:  $\text{card } \text{calM} > 0$   
 <proof>

**lemma** (in *syLOW*) *max-p-div-calM*:  
 $\sim (p \wedge \text{Suc}(\text{exponent } p \ m) \ \text{dvd } \text{card}(\text{calM}))$   
 <proof>

**lemma** (in *syLOW*) *finite-calM*: *finite calM*  
 <proof>

**lemma** (in *syLOW*) *lemma-A1*:  
 $\exists M \in \text{calM} \ // \ \text{RelM}. \sim (p \wedge \text{Suc}(\text{exponent } p \ m) \ \text{dvd } \text{card}(M))$   
 <proof>

### 6.2.1 Introduction and Destruct Rules for *H*

**lemma** (in *syLOW-central*) *H-I*:  $[|g \in \text{carrier } G; M1 \#> g = M1|] \implies g \in H$   
 <proof>

**lemma** (in *syLOW-central*) *H-into-carrier-G*:  $x \in H \implies x \in \text{carrier } G$   
 <proof>

**lemma** (in *syLOW-central*) *in-H-imp-eq*:  $g : H \implies M1 \#> g = M1$   
 <proof>

**lemma** (in *syLOW-central*) *H-m-closed*:  $[|x \in H; y \in H|] \implies x \otimes y \in H$

$\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *H-not-empty*:  $H \neq \{\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *H-is-subgroup*: *subgroup*  $H$   $G$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *rcosetGM1g-subset-G*:  
 $[\mid g \in \text{carrier } G; x \in M1 \#> g \mid] \implies x \in \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *finite-M1*: *finite*  $M1$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *finite-rcosetGM1g*:  $g \in \text{carrier } G \implies \text{finite } (M1 \#> g)$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *M1-cardeq-rcosetGM1g*:  
 $g \in \text{carrier } G \implies \text{card}(M1 \#> g) = \text{card}(M1)$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *M1-RelM-rcosetGM1g*:  
 $g \in \text{carrier } G \implies (M1, M1 \#> g) \in \text{RelM}$   
 $\langle \text{proof} \rangle$

### 6.3 Equal Cardinalities of $M$ and the Set of Cosets

Injectons between  $M$  and  $\text{rcosets}_G H$  show that their cardinalities are equal.

**lemma** *ElemClassEquiv*:  
 $[\mid \text{equiv } A \text{ } r; C \in A // r \mid] \implies \forall x \in C. \forall y \in C. (x,y) \in r$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *M-elem-map*:  
 $M2 \in M \implies \exists g. g \in \text{carrier } G \ \& \ M1 \#> g = M2$   
 $\langle \text{proof} \rangle$

**lemmas** (in *syllow-central*) *M-elem-map-carrier* =  
 $M\text{-elem-map } [\text{THEN someI-ex}, \text{ THEN conjunct1}]$

**lemmas** (in *syllow-central*) *M-elem-map-eq* =  
 $M\text{-elem-map } [\text{THEN someI-ex}, \text{ THEN conjunct2}]$

**lemma** (in *syllow-central*) *M-funcset-rcosets-H*:  
 $(\%x:M. H \#> (\text{SOME } g. g \in \text{carrier } G \ \& \ M1 \#> g = x)) \in M \rightarrow \text{rcosets } H$   
 $\langle \text{proof} \rangle$

**lemma** (in *syllow-central*) *inj-M-GmodH*:  $\exists f \in M \rightarrow \text{rcosets } H. \text{inj-on } f \ M$

$\langle proof \rangle$

### 6.3.1 The Opposite Injection

**lemma** (in *syllow-central*) *H*-elem-map:

$H1 \in \text{rcosets } H \implies \exists g. g \in \text{carrier } G \ \& \ H \#> g = H1$

$\langle proof \rangle$

**lemmas** (in *syllow-central*) *H*-elem-map-carrier =

*H*-elem-map [THEN someI-ex, THEN conjunct1]

**lemmas** (in *syllow-central*) *H*-elem-map-eq =

*H*-elem-map [THEN someI-ex, THEN conjunct2]

**lemma** *EquivElemClass*:

$[|equiv \ A \ r; \ M \in A/r; \ M1 \in M; \ (M1, M2) \in r|] \implies M2 \in M$

$\langle proof \rangle$

**lemma** (in *syllow-central*) *rcosets-H-funcset-M*:

$(\lambda C \in \text{rcosets } H. M1 \#> (@g. g \in \text{carrier } G \wedge H \#> g = C)) \in \text{rcosets } H \rightarrow M$

$\langle proof \rangle$

close to a duplicate of *inj-M-GmodH*

**lemma** (in *syllow-central*) *inj-GmodH-M*:

$\exists g \in \text{rcosets } H \rightarrow M. \text{inj-on } g \ (\text{rcosets } H)$

$\langle proof \rangle$

**lemma** (in *syllow-central*) *calM-subset-PowG*:  $\text{calM} \subseteq \text{Pow}(\text{carrier } G)$

$\langle proof \rangle$

**lemma** (in *syllow-central*) *finite-M*: *finite M*

$\langle proof \rangle$

**lemma** (in *syllow-central*) *cardMeqIndexH*:  $\text{card}(M) = \text{card}(\text{rcosets } H)$

$\langle proof \rangle$

**lemma** (in *syllow-central*) *index-lem*:  $\text{card}(M) * \text{card}(H) = \text{order}(G)$

$\langle proof \rangle$

**lemma** (in *syllow-central*) *lemma-leq1*:  $p^a \leq \text{card}(H)$

$\langle proof \rangle$

**lemma** (in *syllow-central*) *lemma-leq2*:  $\text{card}(H) \leq p^a$

$\langle proof \rangle$

**lemma** (in *syLOW-central*) *card-H-eq*:  $\text{card}(H) = p^a$   
 <proof>

**lemma** (in *syLOW*) *syLOW-thm*:  $\exists H. \text{ subgroup } H \ G \ \& \ \text{card}(H) = p^a$   
 <proof>

Needed because the locale's automatic definition refers to *semigroup*  $G$  and *group-axioms*  $G$  rather than simply to *group*  $G$ .

**lemma** *syLOW-eq*:  $\text{syLOW } G \ p \ a \ m = (\text{group } G \ \& \ \text{syLOW-axioms } G \ p \ a \ m)$   
 <proof>

## 6.4 Sylow's Theorem

**theorem** *syLOW-thm*:

$[[ \text{prime } p; \text{ group}(G); \text{ order}(G) = (p^a) * m; \text{ finite } (\text{carrier } G) ]]$   
 $\implies \exists H. \text{ subgroup } H \ G \ \& \ \text{card}(H) = p^a$   
 <proof>

**end**

**theory** *Bij* imports *Group* begin

## 7 Bijections of a Set, Permutation Groups and Automorphism Groups

**constdefs**

*Bij* ::  $'a \text{ set} \Rightarrow ('a \Rightarrow 'a) \text{ set}$   
 — Only extensional functions, since otherwise we get too many.  
*Bij*  $S \equiv \text{extensional } S \cap \{f. \text{ bij-betw } f \ S \ S\}$

*BijGroup* ::  $'a \text{ set} \Rightarrow ('a \Rightarrow 'a) \text{ monoid}$   
*BijGroup*  $S \equiv$   
 $(\text{carrier} = \text{Bij } S,$   
 $\text{mult} = \lambda g \in \text{Bij } S. \lambda f \in \text{Bij } S. \text{ compose } S \ g \ f,$   
 $\text{one} = \lambda x \in S. x)$

**declare** *Id-compose* [simp] *compose-Id* [simp]

**lemma** *Bij-imp-extensional*:  $f \in \text{Bij } S \implies f \in \text{extensional } S$   
 <proof>

**lemma** *Bij-imp-funcset*:  $f \in \text{Bij } S \implies f \in S \rightarrow S$   
 <proof>

## 7.1 Bijections Form a Group

**lemma** *restrict-Inv-Bij*:  $f \in \text{Bij } S \implies (\lambda x \in S. (\text{Inv } S f) x) \in \text{Bij } S$   
 $\langle \text{proof} \rangle$

**lemma** *id-Bij*:  $(\lambda x \in S. x) \in \text{Bij } S$   
 $\langle \text{proof} \rangle$

**lemma** *compose-Bij*:  $\llbracket x \in \text{Bij } S; y \in \text{Bij } S \rrbracket \implies \text{compose } S x y \in \text{Bij } S$   
 $\langle \text{proof} \rangle$

**lemma** *Bij-compose-restrict-eq*:  
 $f \in \text{Bij } S \implies \text{compose } S (\text{restrict } (\text{Inv } S f) S) f = (\lambda x \in S. x)$   
 $\langle \text{proof} \rangle$

**theorem** *group-BijGroup*:  $\text{group } (\text{BijGroup } S)$   
 $\langle \text{proof} \rangle$

## 7.2 Automorphisms Form a Group

**lemma** *Bij-Inv-mem*:  $\llbracket f \in \text{Bij } S; x \in S \rrbracket \implies \text{Inv } S f x \in S$   
 $\langle \text{proof} \rangle$

**lemma** *Bij-Inv-lemma*:  
**assumes** *eq*:  $\bigwedge x y. \llbracket x \in S; y \in S \rrbracket \implies h(g x y) = g (h x) (h y)$   
**shows**  $\llbracket h \in \text{Bij } S; g \in S \rightarrow S \rightarrow S; x \in S; y \in S \rrbracket$   
 $\implies \text{Inv } S h (g x y) = g (\text{Inv } S h x) (\text{Inv } S h y)$   
 $\langle \text{proof} \rangle$

### constdefs

*auto* ::  $('a, 'b) \text{ monoid-scheme} \Rightarrow ('a \Rightarrow 'a) \text{ set}$   
*auto* *G*  $\equiv \text{hom } G G \cap \text{Bij } (\text{carrier } G)$

*AutoGroup* ::  $('a, 'c) \text{ monoid-scheme} \Rightarrow ('a \Rightarrow 'a) \text{ monoid}$   
*AutoGroup* *G*  $\equiv \text{BijGroup } (\text{carrier } G) \llbracket \text{carrier} := \text{auto } G \rrbracket$

**lemma** (*in group*) *id-in-auto*:  $(\lambda x \in \text{carrier } G. x) \in \text{auto } G$   
 $\langle \text{proof} \rangle$

**lemma** (*in group*) *mult-funcset*:  $\text{mult } G \in \text{carrier } G \rightarrow \text{carrier } G \rightarrow \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (*in group*) *restrict-Inv-hom*:  
 $\llbracket h \in \text{hom } G G; h \in \text{Bij } (\text{carrier } G) \rrbracket$   
 $\implies \text{restrict } (\text{Inv } (\text{carrier } G) h) (\text{carrier } G) \in \text{hom } G G$   
 $\langle \text{proof} \rangle$

**lemma** *inv-BijGroup*:  
 $f \in \text{Bij } S \implies m\text{-inv } (\text{BijGroup } S) f = (\lambda x \in S. (\text{Inv } S f) x)$



$\langle proof \rangle$

**lemma** (in group) subgroup-auto:  
     subgroup (auto G) (BijGroup (carrier G))  
 $\langle proof \rangle$

**theorem** (in group) AutoGroup: group (AutoGroup G)  
 $\langle proof \rangle$

**end**

**theory** Ring imports FiniteProduct  
 uses (ringsimp.ML) begin

## 8 Abelian Groups

**record** 'a ring = 'a monoid +  
     zero :: 'a (**0**<sub>1</sub>)  
     add :: ['a, 'a] => 'a (**infixl**  $\oplus$  65)

Derived operations.

**constdefs** (structure R)  
     a-inv :: [('a, 'm) ring-scheme, 'a] => 'a ( $\ominus$ <sub>1</sub> - [81] 80)  
     a-inv R == m-inv (| carrier = carrier R, mult = add R, one = zero R |)  
  
     a-minus :: [('a, 'm) ring-scheme, 'a, 'a] => 'a (**infixl**  $\ominus$  65)  
     [| x  $\in$  carrier R; y  $\in$  carrier R |] ==> x  $\ominus$  y == x  $\oplus$  ( $\ominus$  y)

**locale** abelian-monoid =  
     **fixes** G (structure)  
     **assumes** a-comm-monoid:  
         comm-monoid (| carrier = carrier G, mult = add G, one = zero G |)

The following definition is redundant but simple to use.

**locale** abelian-group = abelian-monoid +  
     **assumes** a-comm-group:  
         comm-group (| carrier = carrier G, mult = add G, one = zero G |)

### 8.1 Basic Properties

**lemma** abelian-monoidI:  
     **fixes** R (structure)  
     **assumes** a-closed:  
         !!x y. [| x  $\in$  carrier R; y  $\in$  carrier R |] ==> x  $\oplus$  y  $\in$  carrier R  
     **and** zero-closed: **0**  $\in$  carrier R  
     **and** a-assoc:

```

!!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |] ==>
(x ⊕ y) ⊕ z = x ⊕ (y ⊕ z)
and l-zero: !!x. x ∈ carrier R ==> 0 ⊕ x = x
and a-comm:
!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==> x ⊕ y = y ⊕ x
shows abelian-monoid R
⟨proof⟩

```

```

lemma abelian-groupI:
fixes R (structure)
assumes a-closed:
!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==> x ⊕ y ∈ carrier R
and zero-closed: zero R ∈ carrier R
and a-assoc:
!!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |] ==>
(x ⊕ y) ⊕ z = x ⊕ (y ⊕ z)
and a-comm:
!!x y. [| x ∈ carrier R; y ∈ carrier R |] ==> x ⊕ y = y ⊕ x
and l-zero: !!x. x ∈ carrier R ==> 0 ⊕ x = x
and l-inv-ex: !!x. x ∈ carrier R ==> EX y : carrier R. y ⊕ x = 0
shows abelian-group R
⟨proof⟩

```

```

lemma (in abelian-monoid) a-monoid:
monoid (| carrier = carrier G, mult = add G, one = zero G |)
⟨proof⟩

```

```

lemma (in abelian-group) a-group:
group (| carrier = carrier G, mult = add G, one = zero G |)
⟨proof⟩

```

lemmas monoid-record-simps = partial-object.simps monoid.simps

```

lemma (in abelian-monoid) a-closed [intro, simp]:
[| x ∈ carrier G; y ∈ carrier G |] ==> x ⊕ y ∈ carrier G
⟨proof⟩

```

```

lemma (in abelian-monoid) zero-closed [intro, simp]:
0 ∈ carrier G
⟨proof⟩

```

```

lemma (in abelian-group) a-inv-closed [intro, simp]:
x ∈ carrier G ==> ⊖ x ∈ carrier G
⟨proof⟩

```

```

lemma (in abelian-group) minus-closed [intro, simp]:
[| x ∈ carrier G; y ∈ carrier G |] ==> x ⊖ y ∈ carrier G
⟨proof⟩

```

**lemma** (**in** *abelian-group*) *a-l-cancel* [*simp*]:  

$$\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket ==>$$

$$(x \oplus y = x \oplus z) = (y = z)$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-group*) *a-r-cancel* [*simp*]:  

$$\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket ==>$$

$$(y \oplus x = z \oplus x) = (y = z)$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-monoid*) *a-assoc*:  

$$\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$$

$$(x \oplus y) \oplus z = x \oplus (y \oplus z)$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-monoid*) *l-zero* [*simp*]:  

$$x \in \text{carrier } G ==> \mathbf{0} \oplus x = x$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-group*) *l-neg*:  

$$x \in \text{carrier } G ==> \ominus x \oplus x = \mathbf{0}$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-monoid*) *a-comm*:  

$$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \oplus y = y \oplus x$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-monoid*) *a-lcomm*:  

$$\llbracket x \in \text{carrier } G; y \in \text{carrier } G; z \in \text{carrier } G \rrbracket \implies$$

$$x \oplus (y \oplus z) = y \oplus (x \oplus z)$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-monoid*) *r-zero* [*simp*]:  

$$x \in \text{carrier } G ==> x \oplus \mathbf{0} = x$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-group*) *r-neg*:  

$$x \in \text{carrier } G ==> x \oplus (\ominus x) = \mathbf{0}$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-group*) *minus-zero* [*simp*]:  

$$\ominus \mathbf{0} = \mathbf{0}$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-group*) *minus-minus* [*simp*]:  

$$x \in \text{carrier } G ==> \ominus (\ominus x) = x$$

$$\langle \text{proof} \rangle$$

**lemma** (**in** *abelian-group*) *a-inv-inj*:

*inj-on* (*a-inv* *G*) (*carrier* *G*)  
 <proof>

**lemma** (*in* *abelian-group*) *minus-add*:  
 [| *x* ∈ *carrier* *G*; *y* ∈ *carrier* *G* |] ==>  $\ominus (x \oplus y) = \ominus x \oplus \ominus y$   
 <proof>

**lemma** (*in* *abelian-group*) *minus-equality*:  
 [| *x* ∈ *carrier* *G*; *y* ∈ *carrier* *G*; *y* ⊕ *x* = **0** |] ==>  $\ominus x = y$   
 <proof>

**lemma** (*in* *abelian-monoid*) *minus-unique*:  
 [| *x* ∈ *carrier* *G*; *y* ∈ *carrier* *G*; *y'* ∈ *carrier* *G*;  
   *y* ⊕ *x* = **0**; *x* ⊕ *y'* = **0** |] ==> *y* = *y'*  
 <proof>

**lemmas** (*in* *abelian-monoid*) *a-ac* = *a-assoc* *a-comm* *a-lcomm*

Derive an *abelian-group* from a *comm-group*

**lemma** *comm-group-abelian-groupI*:  
 fixes *G* (**structure**)  
 assumes *cg*: *comm-group* (| *carrier* = *carrier* *G*, *mult* = *add* *G*, *one* = *zero* *G* |)  
 shows *abelian-group* *G*  
 <proof>

## 8.2 Sums over Finite Sets

This definition makes it easy to lift lemmas from *finprod*.

**constdefs**  
*finsum* :: [*'b*, *'m*] *ring-scheme*, *'a* => *'b*, *'a set*] => *'b*  
*finsum* *G* *f* *A* == *finprod* (| *carrier* = *carrier* *G*,  
   *mult* = *add* *G*, *one* = *zero* *G* |) *f* *A*

**syntax**  
 -*finsum* :: *index* => *idt* => *'a set* => *'b* => *'b*  
 (( $\exists \oplus$  --:-. -) [1000, 0, 51, 10] 10)

**syntax** (*xsymbols*)  
 -*finsum* :: *index* => *idt* => *'a set* => *'b* => *'b*  
 (( $\exists \oplus$  --∈-. -) [1000, 0, 51, 10] 10)

**syntax** (*HTML output*)  
 -*finsum* :: *index* => *idt* => *'a set* => *'b* => *'b*  
 (( $\exists \oplus$  --∈-. -) [1000, 0, 51, 10] 10)

**translations**  
 ⊕<sub>*i*:*A*</sub> *b* == *finsum* ⊙<sub>1</sub> (%*i*. *b*) *A*  
 — Beware of argument permutation!

**lemma** (*in* *abelian-monoid*) *finsum-empty* [*simp*]:

$\text{finsum } G \text{ } f \text{ } \{\} = \mathbf{0}$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-insert* [simp]:  
 [| *finite*  $F$ ;  $a \notin F$ ;  $f \in F \rightarrow \text{carrier } G$ ;  $f \ a \in \text{carrier } G$  |]  
 $\implies \text{finsum } G \text{ } f \text{ } (\text{insert } a \ F) = f \ a \oplus \text{finsum } G \text{ } f \ F$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-zero* [simp]:  
 $\text{finite } A \implies (\bigoplus_{i \in A} \mathbf{0}) = \mathbf{0}$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-closed* [simp]:  
 fixes  $A$   
 assumes *fin*: *finite*  $A$  and *f*:  $f \in A \rightarrow \text{carrier } G$   
 shows  $\text{finsum } G \text{ } f \ A \in \text{carrier } G$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-Un-Int*:  
 [| *finite*  $A$ ; *finite*  $B$ ;  $g \in A \rightarrow \text{carrier } G$ ;  $g \in B \rightarrow \text{carrier } G$  |]  $\implies$   
 $\text{finsum } G \text{ } g \ (A \text{ Un } B) \oplus \text{finsum } G \text{ } g \ (A \text{ Int } B) =$   
 $\text{finsum } G \text{ } g \ A \oplus \text{finsum } G \text{ } g \ B$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-Un-disjoint*:  
 [| *finite*  $A$ ; *finite*  $B$ ;  $A \text{ Int } B = \{\}$ ;  
 $g \in A \rightarrow \text{carrier } G$ ;  $g \in B \rightarrow \text{carrier } G$  |]  
 $\implies \text{finsum } G \text{ } g \ (A \text{ Un } B) = \text{finsum } G \text{ } g \ A \oplus \text{finsum } G \text{ } g \ B$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-addf*:  
 [| *finite*  $A$ ;  $f \in A \rightarrow \text{carrier } G$ ;  $g \in A \rightarrow \text{carrier } G$  |]  $\implies$   
 $\text{finsum } G \text{ } (\%x. f \ x \oplus g \ x) \ A = (\text{finsum } G \text{ } f \ A \oplus \text{finsum } G \text{ } g \ A)$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-cong'*:  
 [|  $A = B$ ;  $g : B \rightarrow \text{carrier } G$ ;  
 $!!i. i : B \implies f \ i = g \ i$  |]  $\implies \text{finsum } G \text{ } f \ A = \text{finsum } G \text{ } g \ B$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-0* [simp]:  
 $f : \{0::\text{nat}\} \rightarrow \text{carrier } G \implies \text{finsum } G \text{ } f \ \{..0\} = f \ 0$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-Suc* [simp]:  
 $f : \{.. \text{Suc } n\} \rightarrow \text{carrier } G \implies$   
 $\text{finsum } G \text{ } f \ \{.. \text{Suc } n\} = (f \ (\text{Suc } n) \oplus \text{finsum } G \text{ } f \ \{..n\})$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-Suc2*:  
 $f : \{..Suc\ n\} \rightarrow carrier\ G \implies$   
 $finsum\ G\ f\ \{..Suc\ n\} = (finsum\ G\ (\%i. f\ (Suc\ i))\ \{..n\} \oplus f\ 0)$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-add* [simp]:  
 $[| f : \{..n\} \rightarrow carrier\ G; g : \{..n\} \rightarrow carrier\ G |] \implies$   
 $finsum\ G\ (\%i. f\ i \oplus g\ i)\ \{..n::nat\} =$   
 $finsum\ G\ f\ \{..n\} \oplus finsum\ G\ g\ \{..n\}$   
 <proof>

**lemma** (in *abelian-monoid*) *finsum-cong*:  
 $[| A = B; f : B \rightarrow carrier\ G;$   
 $!!i. i : B \implies f\ i = g\ i |] \implies finsum\ G\ f\ A = finsum\ G\ g\ B$   
 <proof>

Usually, if this rule causes a failed congruence proof error, the reason is that the premise  $g \in B \rightarrow carrier\ G$  cannot be shown. Adding *Pi-def* to the simpset is often useful.

## 9 The Algebraic Hierarchy of Rings

### 9.1 Basic Definitions

**locale** *ring* = *abelian-group* *R* + *monoid* *R* +  
**assumes** *l-distr*:  $[| x \in carrier\ R; y \in carrier\ R; z \in carrier\ R |]$   
 $\implies (x \oplus y) \otimes z = x \otimes z \oplus y \otimes z$   
**and** *r-distr*:  $[| x \in carrier\ R; y \in carrier\ R; z \in carrier\ R |]$   
 $\implies z \otimes (x \oplus y) = z \otimes x \oplus z \otimes y$

**locale** *cring* = *ring* + *comm-monoid* *R*

**locale** *domain* = *cring* +  
**assumes** *one-not-zero* [simp]:  $1 \sim 0$   
**and** *integral*:  $[| a \otimes b = 0; a \in carrier\ R; b \in carrier\ R |] \implies$   
 $a = 0 \mid b = 0$

**locale** *field* = *domain* +  
**assumes** *field-Units*:  $Units\ R = carrier\ R - \{0\}$

### 9.2 Rings

**lemma** *ringI*:  
**fixes** *R* (**structure**)  
**assumes** *abelian-group*: *abelian-group* *R*  
**and** *monoid*: *monoid* *R*  
**and** *l-distr*:  $!!x\ y\ z. [| x \in carrier\ R; y \in carrier\ R; z \in carrier\ R |]$   
 $\implies (x \oplus y) \otimes z = x \otimes z \oplus y \otimes z$   
**and** *r-distr*:  $!!x\ y\ z. [| x \in carrier\ R; y \in carrier\ R; z \in carrier\ R |]$

```

    ==> z ⊗ (x ⊕ y) = z ⊗ x ⊕ z ⊗ y
  shows ring R
  ⟨proof⟩

lemma (in ring) is-abelian-group:
  abelian-group R
  ⟨proof⟩

lemma (in ring) is-monoid:
  monoid R
  ⟨proof⟩

lemma (in ring) is-ring:
  ring R
  ⟨proof⟩

lemmas ring-record-simps = monoid-record-simps ring.simps

lemma cringI:
  fixes R (structure)
  assumes abelian-group: abelian-group R
  and comm-monoid: comm-monoid R
  and l-distr: !!x y z. [| x ∈ carrier R; y ∈ carrier R; z ∈ carrier R |]
    ==> (x ⊕ y) ⊗ z = x ⊗ z ⊕ y ⊗ z
  shows cring R
  ⟨proof⟩

lemma (in cring) is-comm-monoid:
  comm-monoid R
  ⟨proof⟩

lemma (in cring) is-cring:
  cring R ⟨proof⟩

```

### 9.2.1 Normaliser for Rings

```

lemma (in abelian-group) r-neg2:
  [| x ∈ carrier G; y ∈ carrier G |] ==> x ⊕ (⊖ x ⊕ y) = y
  ⟨proof⟩

lemma (in abelian-group) r-neg1:
  [| x ∈ carrier G; y ∈ carrier G |] ==> ⊖ x ⊕ (x ⊕ y) = y
  ⟨proof⟩

```

The following proofs are from Jacobson, Basic Algebra I, pp. 88–89

```

lemma (in ring) l-null [simp]:
  x ∈ carrier R ==> 0 ⊗ x = 0
  ⟨proof⟩

```

**lemma** (in ring) *r-null [simp]*:  
 $x \in \text{carrier } R \implies x \otimes \mathbf{0} = \mathbf{0}$   
 <proof>

**lemma** (in ring) *l-minus*:  
 $[x \in \text{carrier } R; y \in \text{carrier } R] \implies \ominus x \otimes y = \ominus (x \otimes y)$   
 <proof>

**lemma** (in ring) *r-minus*:  
 $[x \in \text{carrier } R; y \in \text{carrier } R] \implies x \otimes \ominus y = \ominus (x \otimes y)$   
 <proof>

**lemma** (in abelian-group) *minus-eq*:  
 $[x \in \text{carrier } G; y \in \text{carrier } G] \implies x \ominus y = x \oplus \ominus y$   
 <proof>

Setup algebra method: compute distributive normal form in locale contexts

<ML>

**lemmas** (in ring) *ring-simprules*  
 $[\text{algebra ring zero } R \text{ add } R \text{ a-inv } R \text{ a-minus } R \text{ one } R \text{ mult } R] =$   
*a-closed zero-closed a-inv-closed minus-closed m-closed one-closed*  
*a-assoc l-zero l-neg a-comm m-assoc l-one l-distr minus-eq*  
*r-zero r-neg r-neg2 r-neg1 minus-add minus-minus minus-zero*  
*a-lcomm r-distr l-null r-null l-minus r-minus*

**lemmas** (in cring)  
 $[\text{algebra del: ring zero } R \text{ add } R \text{ a-inv } R \text{ a-minus } R \text{ one } R \text{ mult } R] =$   
 -

**lemmas** (in cring) *cring-simprules*  
 $[\text{algebra add: cring zero } R \text{ add } R \text{ a-inv } R \text{ a-minus } R \text{ one } R \text{ mult } R] =$   
*a-closed zero-closed a-inv-closed minus-closed m-closed one-closed*  
*a-assoc l-zero l-neg a-comm m-assoc l-one l-distr m-comm minus-eq*  
*r-zero r-neg r-neg2 r-neg1 minus-add minus-minus minus-zero*  
*a-lcomm m-lcomm r-distr l-null r-null l-minus r-minus*

**lemma** (in cring) *nat-pow-zero*:  
 $(n::\text{nat}) \sim 0 \implies \mathbf{0} \wedge n = \mathbf{0}$   
 <proof>

**lemma** (in ring) *one-zeroD*:  
**assumes** *onezero*:  $\mathbf{1} = \mathbf{0}$   
**shows**  $\text{carrier } R = \{\mathbf{0}\}$   
 <proof>

**lemma** (in ring) *one-zeroI*:  
**assumes** *carrzero*:  $\text{carrier } R = \{\mathbf{0}\}$



**shows**  $\mathbf{1} = \mathbf{0}$   
 $\langle proof \rangle$

**lemma** (*in ring*) *one-zero*:  
**shows**  $(\text{carrier } R = \{\mathbf{0}\}) = (\mathbf{1} = \mathbf{0})$   
 $\langle proof \rangle$

**lemma** (*in ring*) *one-not-zero*:  
**shows**  $(\text{carrier } R \neq \{\mathbf{0}\}) = (\mathbf{1} \neq \mathbf{0})$   
 $\langle proof \rangle$

Two examples for use of method algebra

**lemma**  
**includes** *ring*  $R + \text{cring } S$   
**shows**  $[[ a \in \text{carrier } R; b \in \text{carrier } R; c \in \text{carrier } S; d \in \text{carrier } S ]] ==>$   
 $a \oplus \ominus (a \oplus \ominus b) = b \ \& \ c \otimes_S d = d \otimes_S c$   
 $\langle proof \rangle$

**lemma**  
**includes** *cring*  
**shows**  $[[ a \in \text{carrier } R; b \in \text{carrier } R ]] ==> a \ominus (a \ominus b) = b$   
 $\langle proof \rangle$

### 9.2.2 Sums over Finite Sets

**lemma** (*in cring*) *finsum-ldistr*:  
 $[[ \text{finite } A; a \in \text{carrier } R; f \in A \rightarrow \text{carrier } R ]] ==>$   
 $\text{finsum } R \ f \ A \otimes a = \text{finsum } R \ (\%i. f \ i \otimes a) \ A$   
 $\langle proof \rangle$

**lemma** (*in cring*) *finsum-rdistr*:  
 $[[ \text{finite } A; a \in \text{carrier } R; f \in A \rightarrow \text{carrier } R ]] ==>$   
 $a \otimes \text{finsum } R \ f \ A = \text{finsum } R \ (\%i. a \otimes f \ i) \ A$   
 $\langle proof \rangle$

## 9.3 Integral Domains

**lemma** (*in domain*) *zero-not-one* [*simp*]:  
 $\mathbf{0} \sim = \mathbf{1}$   
 $\langle proof \rangle$

**lemma** (*in domain*) *integral-iff*:  
 $[[ a \in \text{carrier } R; b \in \text{carrier } R ]] ==> (a \otimes b = \mathbf{0}) = (a = \mathbf{0} \mid b = \mathbf{0})$   
 $\langle proof \rangle$

**lemma** (*in domain*) *m-lcancel*:  
**assumes** *prem*:  $a \sim = \mathbf{0}$   
**and**  $R$ :  $a \in \text{carrier } R \ b \in \text{carrier } R \ c \in \text{carrier } R$   
**shows**  $(a \otimes b = a \otimes c) = (b = c)$

*<proof>*

**lemma** (in domain) *m-rcancel*:

**assumes** *prem*:  $a \sim = \mathbf{0}$

**and** *R*:  $a \in \text{carrier } R \ b \in \text{carrier } R \ c \in \text{carrier } R$

**shows** *conc*:  $(b \otimes a = c \otimes a) = (b = c)$

*<proof>*

## 9.4 Fields

Field would not need to be derived from domain, the properties for domain follow from the assumptions of field

**lemma** (in cring) *cring-fieldI*:

**assumes** *field-Units*:  $\text{Units } R = \text{carrier } R - \{\mathbf{0}\}$

**shows** *field* *R*

*<proof>*

Another variant to show that something is a field

**lemma** (in cring) *cring-fieldI2*:

**assumes** *notzero*:  $\mathbf{0} \neq \mathbf{1}$

**and** *inver*:  $\bigwedge a. \llbracket a \in \text{carrier } R; a \neq \mathbf{0} \rrbracket \implies \exists b \in \text{carrier } R. a \otimes b = \mathbf{1}$

**shows** *field* *R*

*<proof>*

## 9.5 Morphisms

**constdefs** (structure *R S*)

*ring-hom* ::  $[(\text{'a}, \text{'m}) \text{ ring-scheme}, (\text{'b}, \text{'n}) \text{ ring-scheme}] \Rightarrow (\text{'a} \Rightarrow \text{'b}) \text{ set}$

*ring-hom* *R S* ==  $\{h. h \in \text{carrier } R \rightarrow \text{carrier } S \ \&$

$(\text{ALL } x \ y. x \in \text{carrier } R \ \& \ y \in \text{carrier } R \rightarrow$

$h(x \otimes y) = h x \otimes_S h y \ \& \ h(x \oplus y) = h x \oplus_S h y) \ \&$

$h \mathbf{1} = \mathbf{1}_S\}$

**lemma** *ring-hom-memI*:

**fixes** *R* (structure) **and** *S* (structure)

**assumes** *hom-closed*:  $\llbracket x. x \in \text{carrier } R \rrbracket \implies h x \in \text{carrier } S$

**and** *hom-mult*:  $\llbracket x \ y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \implies$

$h(x \otimes y) = h x \otimes_S h y$

**and** *hom-add*:  $\llbracket x \ y. \llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \implies$

$h(x \oplus y) = h x \oplus_S h y$

**and** *hom-one*:  $h \mathbf{1} = \mathbf{1}_S$

**shows**  $h \in \text{ring-hom } R \ S$

*<proof>*

**lemma** *ring-hom-closed*:

$\llbracket h \in \text{ring-hom } R \ S; x \in \text{carrier } R \rrbracket \implies h x \in \text{carrier } S$

*<proof>*

**lemma** *ring-hom-mult*:

**fixes**  $R$  (**structure**) **and**  $S$  (**structure**)  
**shows**  
 $\llbracket h \in \text{ring-hom } R \ S; x \in \text{carrier } R; y \in \text{carrier } R \rrbracket ==>$   
 $h (x \otimes y) = h x \otimes_S h y$   
 $\langle \text{proof} \rangle$

**lemma** *ring-hom-add*:  
**fixes**  $R$  (**structure**) **and**  $S$  (**structure**)  
**shows**  
 $\llbracket h \in \text{ring-hom } R \ S; x \in \text{carrier } R; y \in \text{carrier } R \rrbracket ==>$   
 $h (x \oplus y) = h x \oplus_S h y$   
 $\langle \text{proof} \rangle$

**lemma** *ring-hom-one*:  
**fixes**  $R$  (**structure**) **and**  $S$  (**structure**)  
**shows**  $h \in \text{ring-hom } R \ S ==> h \ \mathbf{1} = \mathbf{1}_S$   
 $\langle \text{proof} \rangle$

**locale** *ring-hom-cring* = *cring*  $R$  + *cring*  $S$  +  
**fixes**  $h$   
**assumes** *homh* [*simp*, *intro*]:  $h \in \text{ring-hom } R \ S$   
**notes** *hom-closed* [*simp*, *intro*] = *ring-hom-closed* [*OF* *homh*]  
**and** *hom-mult* [*simp*] = *ring-hom-mult* [*OF* *homh*]  
**and** *hom-add* [*simp*] = *ring-hom-add* [*OF* *homh*]  
**and** *hom-one* [*simp*] = *ring-hom-one* [*OF* *homh*]

**lemma** (**in** *ring-hom-cring*) *hom-zero* [*simp*]:  
 $h \ \mathbf{0} = \mathbf{0}_S$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ring-hom-cring*) *hom-a-inv* [*simp*]:  
 $x \in \text{carrier } R ==> h (\ominus x) = \ominus_S h x$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ring-hom-cring*) *hom-finsum* [*simp*]:  
 $\llbracket \text{finite } A; f \in A \rightarrow \text{carrier } R \rrbracket ==>$   
 $h (\text{finsum } R \ f \ A) = \text{finsum } S \ (h \circ f) \ A$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *ring-hom-cring*) *hom-finprod*:  
 $\llbracket \text{finite } A; f \in A \rightarrow \text{carrier } R \rrbracket ==>$   
 $h (\text{finprod } R \ f \ A) = \text{finprod } S \ (h \circ f) \ A$   
 $\langle \text{proof} \rangle$

**declare** *ring-hom-cring.hom-finprod* [*simp*]

**lemma** *id-ring-hom* [*simp*]:  
 $\text{id} \in \text{ring-hom } R \ R$   
 $\langle \text{proof} \rangle$

end

**theory** *Module* **imports** *Ring* **begin**

## 10 Modules over an Abelian Group

### 10.1 Definitions

**record** (*'a*, *'b*) *module* = *'b* *ring* +  
*smult* :: [*'a*, *'b*] ==> *'b* (**infixl**  $\odot_1$  70)

**locale** *module* = *cring* *R* + *abelian-group* *M* +  
**assumes** *smult-closed* [*simp*, *intro*]:  
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies a \odot_M x \in \text{carrier } M$   
**and** *smult-l-distr*:  
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$   
 $(a \oplus b) \odot_M x = a \odot_M x \oplus_M b \odot_M x$   
**and** *smult-r-distr*:  
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$   
 $a \odot_M (x \oplus_M y) = a \odot_M x \oplus_M a \odot_M y$   
**and** *smult-assoc1*:  
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$   
 $(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$   
**and** *smult-one* [*simp*]:  
 $x \in \text{carrier } M \implies \mathbf{1} \odot_M x = x$

**locale** *algebra* = *module* *R* *M* + *cring* *M* +  
**assumes** *smult-assoc2*:  
 $\llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$   
 $(a \odot_M x) \otimes_M y = a \odot_M (x \otimes_M y)$

**lemma** *moduleI*:  
**fixes** *R* (**structure**) **and** *M* (**structure**)  
**assumes** *cring*: *cring* *R*  
**and** *abelian-group*: *abelian-group* *M*  
**and** *smult-closed*:  
 $\llbracket a x. \llbracket a \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies a \odot_M x \in \text{carrier } M$   
**and** *smult-l-distr*:  
 $\llbracket a b x. \llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$   
 $(a \oplus b) \odot_M x = (a \odot_M x) \oplus_M (b \odot_M x)$   
**and** *smult-r-distr*:  
 $\llbracket a x y. \llbracket a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M \rrbracket \implies$   
 $a \odot_M (x \oplus_M y) = (a \odot_M x) \oplus_M (a \odot_M y)$   
**and** *smult-assoc1*:  
 $\llbracket a b x. \llbracket a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M \rrbracket \implies$   
 $(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$

**and** *smult-one*:  
 $!!x. x \in \text{carrier } M \implies \mathbf{1} \odot_M x = x$   
**shows** *module*  $R \ M$   
 $\langle \text{proof} \rangle$

**lemma** *algebraI*:  
**fixes**  $R$  (**structure**) **and**  $M$  (**structure**)  
**assumes**  $R\text{-cring}$ : *cring*  $R$   
**and**  $M\text{-cring}$ : *cring*  $M$   
**and** *smult-closed*:  
 $!!a \ x. [| a \in \text{carrier } R; x \in \text{carrier } M |] \implies a \odot_M x \in \text{carrier } M$   
**and** *smult-l-distr*:  
 $!!a \ b \ x. [| a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M |] \implies$   
 $(a \oplus b) \odot_M x = (a \odot_M x) \oplus_M (b \odot_M x)$   
**and** *smult-r-distr*:  
 $!!a \ x \ y. [| a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M |] \implies$   
 $a \odot_M (x \oplus_M y) = (a \odot_M x) \oplus_M (a \odot_M y)$   
**and** *smult-assoc1*:  
 $!!a \ b \ x. [| a \in \text{carrier } R; b \in \text{carrier } R; x \in \text{carrier } M |] \implies$   
 $(a \otimes b) \odot_M x = a \odot_M (b \odot_M x)$   
**and** *smult-one*:  
 $!!x. x \in \text{carrier } M \implies (\text{one } R) \odot_M x = x$   
**and** *smult-assoc2*:  
 $!!a \ x \ y. [| a \in \text{carrier } R; x \in \text{carrier } M; y \in \text{carrier } M |] \implies$   
 $(a \odot_M x) \otimes_M y = a \odot_M (x \otimes_M y)$   
**shows** *algebra*  $R \ M$   
 $\langle \text{proof} \rangle$

**lemma** (**in algebra**)  $R\text{-cring}$ :  
*cring*  $R$   
 $\langle \text{proof} \rangle$

**lemma** (**in algebra**)  $M\text{-cring}$ :  
*cring*  $M$   
 $\langle \text{proof} \rangle$

**lemma** (**in algebra**) *module*:  
*module*  $R \ M$   
 $\langle \text{proof} \rangle$

## 10.2 Basic Properties of Algebras

**lemma** (**in algebra**) *smult-l-null* [*simp*]:  
 $x \in \text{carrier } M \implies \mathbf{0} \odot_M x = \mathbf{0}_M$   
 $\langle \text{proof} \rangle$

**lemma** (**in algebra**) *smult-r-null* [*simp*]:  
 $a \in \text{carrier } R \implies a \odot_M \mathbf{0}_M = \mathbf{0}_M$   
 $\langle \text{proof} \rangle$

**lemma** (in algebra) *smult-l-minus*:

$[| a \in \text{carrier } R; x \in \text{carrier } M |] \implies (\ominus a) \odot_M x = \ominus_M (a \odot_M x)$   
 $\langle \text{proof} \rangle$

**lemma** (in algebra) *smult-r-minus*:

$[| a \in \text{carrier } R; x \in \text{carrier } M |] \implies a \odot_M (\ominus_M x) = \ominus_M (a \odot_M x)$   
 $\langle \text{proof} \rangle$

**end**

**theory** *UnivPoly* imports *Module* begin

## 11 Univariate Polynomials

Polynomials are formalised as modules with additional operations for extracting coefficients from polynomials and for obtaining monomials from coefficients and exponents (record *up-ring*). The carrier set is a set of bounded functions from  $\text{Nat}$  to the coefficient domain. Bounded means that these functions return zero above a certain bound (the degree). There is a chapter on the formalisation of polynomials in the PhD thesis [1], which was implemented with axiomatic type classes. This was later ported to *Locales*.

### 11.1 The Constructor for Univariate Polynomials

Functions with finite support.

**locale** *bound* =

**fixes**  $z :: 'a$   
  **and**  $n :: \text{nat}$   
  **and**  $f :: \text{nat} \Rightarrow 'a$   
  **assumes** *bound*:  $\forall m. n < m \implies f\ m = z$

**declare** *bound.intro* [*intro!*]

**and** *bound.bound* [*dest*]

**lemma** *bound-below*:

**assumes** *bound*: *bound*  $z\ m\ f$  **and** *nonzero*:  $f\ n \neq z$  **shows**  $n \leq m$   
 $\langle \text{proof} \rangle$

**record**  $('a, 'p)$  *up-ring* =  $('a, 'p)$  *module* +

$\text{monom} :: ['a, \text{nat}] \Rightarrow 'p$

$\text{coeff} :: ['p, \text{nat}] \Rightarrow 'a$

**constdefs** (**structure** *R*)

$\text{up} :: ('a, 'm) \text{ ring-scheme} \Rightarrow (\text{nat} \Rightarrow 'a) \text{ set}$

```

up R == {f. f ∈ UNIV -> carrier R & (EX n. bound 0 n f)}
UP :: ('a, 'm) ring-scheme => ('a, nat => 'a) up-ring
UP R == (|
  carrier = up R,
  mult = (%p:up R. %q:up R. %n. ⊕ i ∈ {..n}. p i ⊗ q (n-i)),
  one = (%i. if i=0 then 1 else 0),
  zero = (%i. 0),
  add = (%p:up R. %q:up R. %i. p i ⊕ q i),
  smult = (%a:carrier R. %p:up R. %i. a ⊗ p i),
  monom = (%a:carrier R. %n i. if i=n then a else 0),
  coeff = (%p:up R. %n. p n) |)

```

Properties of the set of polynomials *up*.

**lemma** *mem-upI* [*intro*]:

```

[| !!n. f n ∈ carrier R; EX n. bound (zero R) n f |] ==> f ∈ up R
<proof>

```

**lemma** *mem-upD* [*dest*]:

```

f ∈ up R ==> f n ∈ carrier R
<proof>

```

**lemma** (*in cring*) *bound-upD* [*dest*]:

```

f ∈ up R ==> EX n. bound 0 n f
<proof>

```

**lemma** (*in cring*) *up-one-closed*:

```

(%n. if n = 0 then 1 else 0) ∈ up R
<proof>

```

**lemma** (*in cring*) *up-smult-closed*:

```

[| a ∈ carrier R; p ∈ up R |] ==> (%i. a ⊗ p i) ∈ up R
<proof>

```

**lemma** (*in cring*) *up-add-closed*:

```

[| p ∈ up R; q ∈ up R |] ==> (%i. p i ⊕ q i) ∈ up R
<proof>

```

**lemma** (*in cring*) *up-a-inv-closed*:

```

p ∈ up R ==> (%i. ⊖ (p i)) ∈ up R
<proof>

```

**lemma** (*in cring*) *up-mult-closed*:

```

[| p ∈ up R; q ∈ up R |] ==>
  (%n. ⊕ i ∈ {..n}. p i ⊗ q (n-i)) ∈ up R
<proof>

```

## 11.2 Effect of Operations on Coefficients

**locale** *UP* =

```

fixes  $R$  (structure) and  $P$  (structure)
defines  $P\text{-def}$ :  $P == UP\ R$ 

locale  $UP\text{-cring} = UP + cring\ R$ 

locale  $UP\text{-domain} = UP\text{-cring} + domain\ R$ 

Temporarily declare  $P \equiv UP\ R$  as simp rule.
declare (in  $UP$ )  $P\text{-def}$  [simp]

lemma (in  $UP\text{-cring}$ ) coeff-monom [simp]:
   $a \in carrier\ R ==>$ 
   $coeff\ P\ (monom\ P\ a\ m)\ n = (if\ m=n\ then\ a\ else\ 0)$ 
  <proof>

lemma (in  $UP\text{-cring}$ ) coeff-zero [simp]:
   $coeff\ P\ 0_P\ n = 0$ 
  <proof>

lemma (in  $UP\text{-cring}$ ) coeff-one [simp]:
   $coeff\ P\ 1_P\ n = (if\ n=0\ then\ 1\ else\ 0)$ 
  <proof>

lemma (in  $UP\text{-cring}$ ) coeff-smult [simp]:
   $[| a \in carrier\ R; p \in carrier\ P |] ==>$ 
   $coeff\ P\ (a \odot_P p)\ n = a \otimes coeff\ P\ p\ n$ 
  <proof>

lemma (in  $UP\text{-cring}$ ) coeff-add [simp]:
   $[| p \in carrier\ P; q \in carrier\ P |] ==>$ 
   $coeff\ P\ (p \oplus_P q)\ n = coeff\ P\ p\ n \oplus coeff\ P\ q\ n$ 
  <proof>

lemma (in  $UP\text{-cring}$ ) coeff-mult [simp]:
   $[| p \in carrier\ P; q \in carrier\ P |] ==>$ 
   $coeff\ P\ (p \otimes_P q)\ n = (\bigoplus i \in \{..n\}. coeff\ P\ p\ i \otimes coeff\ P\ q\ (n-i))$ 
  <proof>

lemma (in  $UP$ ) up-eqI:
  assumes prem:  $!!n. coeff\ P\ p\ n = coeff\ P\ q\ n$ 
  and  $R$ :  $p \in carrier\ P\ q \in carrier\ P$ 
  shows  $p = q$ 
  <proof>

```

### 11.3 Polynomials Form a Commutative Ring.

Operations are closed over  $P$ .

```

lemma (in  $UP\text{-cring}$ ) UP-mult-closed [simp]:
   $[| p \in carrier\ P; q \in carrier\ P |] ==> p \otimes_P q \in carrier\ P$ 

```



$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-one-closed* [simp]:

$\mathbf{1}_P \in \text{carrier } P$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-zero-closed* [intro, simp]:

$\mathbf{0}_P \in \text{carrier } P$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-a-closed* [intro, simp]:

$[| p \in \text{carrier } P; q \in \text{carrier } P |] \implies p \oplus_P q \in \text{carrier } P$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *monom-closed* [simp]:

$a \in \text{carrier } R \implies \text{monom } P \ a \ n \in \text{carrier } P$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-smult-closed* [simp]:

$[| a \in \text{carrier } R; p \in \text{carrier } P |] \implies a \odot_P p \in \text{carrier } P$

$\langle proof \rangle$

**lemma** (in *UP*) *coeff-closed* [simp]:

$p \in \text{carrier } P \implies \text{coeff } P \ p \ n \in \text{carrier } R$

$\langle proof \rangle$

**declare** (in *UP*) *P-def* [simp del]

Algebraic ring properties

**lemma** (in *UP-cring*) *UP-a-assoc*:

**assumes**  $R: p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$

**shows**  $(p \oplus_P q) \oplus_P r = p \oplus_P (q \oplus_P r)$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-l-zero* [simp]:

**assumes**  $R: p \in \text{carrier } P$

**shows**  $\mathbf{0}_P \oplus_P p = p$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-l-neg-ex*:

**assumes**  $R: p \in \text{carrier } P$

**shows**  $EX \ q : \text{carrier } P. \ q \oplus_P p = \mathbf{0}_P$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-a-comm*:

**assumes**  $R: p \in \text{carrier } P \ q \in \text{carrier } P$

**shows**  $p \oplus_P q = q \oplus_P p$

$\langle proof \rangle$

**lemma** (in *UP-cring*) *UP-m-assoc*:  
 assumes  $R: p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$   
 shows  $(p \otimes_P q) \otimes_P r = p \otimes_P (q \otimes_P r)$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *UP-l-one* [simp]:  
 assumes  $R: p \in \text{carrier } P$   
 shows  $1_P \otimes_P p = p$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *UP-l-distr*:  
 assumes  $R: p \in \text{carrier } P \ q \in \text{carrier } P \ r \in \text{carrier } P$   
 shows  $(p \oplus_P q) \otimes_P r = (p \otimes_P r) \oplus_P (q \otimes_P r)$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *UP-m-comm*:  
 assumes  $R: p \in \text{carrier } P \ q \in \text{carrier } P$   
 shows  $p \otimes_P q = q \otimes_P p$   
 $\langle \text{proof} \rangle$

**theorem** (in *UP-cring*) *UP-cring*:  
 $\text{cring } P$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *UP-ring*:  
 $\text{ring } P$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *UP-a-inv-closed* [intro, simp]:  
 $p \in \text{carrier } P \implies \ominus_P p \in \text{carrier } P$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *coeff-a-inv* [simp]:  
 assumes  $R: p \in \text{carrier } P$   
 shows  $\text{coeff } P (\ominus_P p) \ n = \ominus (\text{coeff } P p \ n)$   
 $\langle \text{proof} \rangle$

Interpretation of lemmas from *cring*. Saves lifting 43 lemmas manually.

**interpretation** *UP-cring* < *cring*  $P$   
 $\langle \text{proof} \rangle$

## 11.4 Polynomials Form an Algebra

**lemma** (in *UP-cring*) *UP-smult-l-distr*:  
 $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; p \in \text{carrier } P \rrbracket \implies$   
 $(a \oplus b) \odot_P p = a \odot_P p \oplus_P b \odot_P p$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *UP-smult-r-distr*:  

$$[| a \in \text{carrier } R; p \in \text{carrier } P; q \in \text{carrier } P |] ==>$$

$$a \odot_P (p \oplus_P q) = a \odot_P p \oplus_P a \odot_P q$$

$$\langle \text{proof} \rangle$$

**lemma** (in *UP-cring*) *UP-smult-assoc1*:  

$$[| a \in \text{carrier } R; b \in \text{carrier } R; p \in \text{carrier } P |] ==>$$

$$(a \otimes b) \odot_P p = a \odot_P (b \odot_P p)$$

$$\langle \text{proof} \rangle$$

**lemma** (in *UP-cring*) *UP-smult-one [simp]*:  

$$p \in \text{carrier } P ==> \mathbf{1} \odot_P p = p$$

$$\langle \text{proof} \rangle$$

**lemma** (in *UP-cring*) *UP-smult-assoc2*:  

$$[| a \in \text{carrier } R; p \in \text{carrier } P; q \in \text{carrier } P |] ==>$$

$$(a \odot_P p) \otimes_P q = a \odot_P (p \otimes_P q)$$

$$\langle \text{proof} \rangle$$

Interpretation of lemmas from *algebra*.

**lemma** (in *cring*) *cring*:  

$$\text{cring } R$$

$$\langle \text{proof} \rangle$$

**lemma** (in *UP-cring*) *UP-algebra*:  

$$\text{algebra } R P$$

$$\langle \text{proof} \rangle$$

**interpretation** *UP-cring < algebra R P*  

$$\langle \text{proof} \rangle$$

## 11.5 Further Lemmas Involving Monomials

**lemma** (in *UP-cring*) *monom-zero [simp]*:  

$$\text{monom } P \mathbf{0} n = \mathbf{0}_P$$

$$\langle \text{proof} \rangle$$

**lemma** (in *UP-cring*) *monom-mult-is-smult*:  
**assumes** *R*:  $a \in \text{carrier } R \ p \in \text{carrier } P$   
**shows**  $\text{monom } P a \mathbf{0} \otimes_P p = a \odot_P p$   

$$\langle \text{proof} \rangle$$

**lemma** (in *UP-cring*) *monom-add [simp]*:  

$$[| a \in \text{carrier } R; b \in \text{carrier } R |] ==>$$

$$\text{monom } P (a \oplus b) n = \text{monom } P a n \oplus_P \text{monom } P b n$$

$$\langle \text{proof} \rangle$$

**lemma** (in *UP-cring*) *monom-one-Suc*:  

$$\text{monom } P \mathbf{1} (\text{Suc } n) = \text{monom } P \mathbf{1} n \otimes_P \text{monom } P \mathbf{1} 1$$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *monom-mult-smult*:

$[[a \in \text{carrier } R; b \in \text{carrier } R]] \implies \text{monom } P (a \otimes b) n = a \odot_P \text{monom } P b n$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *monom-one* [simp]:

$\text{monom } P \mathbf{1} 0 = \mathbf{1}_P$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *monom-one-mult*:

$\text{monom } P \mathbf{1} (n + m) = \text{monom } P \mathbf{1} n \otimes_P \text{monom } P \mathbf{1} m$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *monom-mult* [simp]:

**assumes**  $R: a \in \text{carrier } R \ b \in \text{carrier } R$

**shows**  $\text{monom } P (a \otimes b) (n + m) = \text{monom } P a n \otimes_P \text{monom } P b m$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *monom-a-inv* [simp]:

$a \in \text{carrier } R \implies \text{monom } P (\ominus a) n = \ominus_P \text{monom } P a n$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *monom-inj*:

*inj-on*  $(\%a. \text{monom } P a n) (\text{carrier } R)$

$\langle \text{proof} \rangle$

## 11.6 The Degree Function

**constdefs** (structure *R*)

$\text{deg} :: [('a, 'm) \text{ring-scheme}, \text{nat} \implies 'a] \implies \text{nat}$

$\text{deg } R p == \text{LEAST } n. \text{bound } \mathbf{0} n (\text{coeff } (UP \ R) p)$

**lemma** (in *UP-cring*) *deg-aboveI*:

$[[ (!m. n < m \implies \text{coeff } P p m = \mathbf{0}) ; p \in \text{carrier } P ]] \implies \text{deg } R p \leq n$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-aboveD*:

**assumes**  $\text{deg } R p < m$  **and**  $p \in \text{carrier } P$

**shows**  $\text{coeff } P p m = \mathbf{0}$

$\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-belowI*:

**assumes** *non-zero*:  $n \sim 0 \implies \text{coeff } P p n \sim 0$

**and**  $R: p \in \text{carrier } P$

**shows**  $n \leq \text{deg } R p$

— Logically, this is a slightly stronger version of *deg-aboveD*  
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *lcoeff-nonzero-deg*:  
 assumes *deg*:  $\deg R\ p \sim 0$  and *R*:  $p \in \text{carrier } P$   
 shows  $\text{coeff } P\ p\ (\deg R\ p) \sim 0$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *lcoeff-nonzero-nonzero*:  
 assumes *deg*:  $\deg R\ p = 0$  and *nonzero*:  $p \sim 0_P$  and *R*:  $p \in \text{carrier } P$   
 shows  $\text{coeff } P\ p\ 0 \sim 0$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *lcoeff-nonzero*:  
 assumes *neg*:  $p \sim 0_P$  and *R*:  $p \in \text{carrier } P$   
 shows  $\text{coeff } P\ p\ (\deg R\ p) \sim 0$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-eqI*:  
 $\llbracket \text{!!}m. n < m \implies \text{coeff } P\ p\ m = 0; \text{!!}n. n \sim 0 \implies \text{coeff } P\ p\ n \sim 0; p \in \text{carrier } P \rrbracket \implies \deg R\ p = n$   
 $\langle \text{proof} \rangle$

Degree and polynomial operations

**lemma** (in *UP-cring*) *deg-add [simp]*:  
 assumes *R*:  $p \in \text{carrier } P\ q \in \text{carrier } P$   
 shows  $\deg R\ (p \oplus_P q) \leq \max (\deg R\ p) (\deg R\ q)$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-monom-le*:  
 $a \in \text{carrier } R \implies \deg R\ (\text{monom } P\ a\ n) \leq n$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-monom [simp]*:  
 $\llbracket a \sim 0; a \in \text{carrier } R \rrbracket \implies \deg R\ (\text{monom } P\ a\ n) = n$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-const [simp]*:  
 assumes *R*:  $a \in \text{carrier } R$  shows  $\deg R\ (\text{monom } P\ a\ 0) = 0$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-zero [simp]*:  
 $\deg R\ 0_P = 0$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-one [simp]*:  
 $\deg R\ 1_P = 0$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-uminus* [simp]:  
 assumes  $R: p \in \text{carrier } P$  **shows**  $\text{deg } R (\ominus_P p) = \text{deg } R p$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-domain*) *deg-smult-ring*:  
 $\llbracket a \in \text{carrier } R; p \in \text{carrier } P \rrbracket ==>$   
 $\text{deg } R (a \odot_P p) \leq (\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \text{deg } R p)$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-domain*) *deg-smult* [simp]:  
 assumes  $R: a \in \text{carrier } R \ p \in \text{carrier } P$   
**shows**  $\text{deg } R (a \odot_P p) = (\text{if } a = \mathbf{0} \text{ then } 0 \text{ else } \text{deg } R p)$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *deg-mult-cring*:  
 assumes  $R: p \in \text{carrier } P \ q \in \text{carrier } P$   
**shows**  $\text{deg } R (p \otimes_P q) \leq \text{deg } R p + \text{deg } R q$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-domain*) *deg-mult* [simp]:  
 $\llbracket p \sim \mathbf{0}_P; q \sim \mathbf{0}_P; p \in \text{carrier } P; q \in \text{carrier } P \rrbracket ==>$   
 $\text{deg } R (p \otimes_P q) = \text{deg } R p + \text{deg } R q$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *coeff-finsum*:  
 assumes  $\text{fin}: \text{finite } A$   
**shows**  $p \in A \rightarrow \text{carrier } P ==>$   
 $\text{coeff } P (\text{finsum } P p A) k = (\bigoplus i \in A. \text{coeff } P (p i) k)$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *up-repr*:  
 assumes  $R: p \in \text{carrier } P$   
**shows**  $(\bigoplus_P i \in \{\dots \text{deg } R p\}. \text{monom } P (\text{coeff } P p i) i) = p$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-cring*) *up-repr-le*:  
 $\llbracket \text{deg } R p \leq n; p \in \text{carrier } P \rrbracket ==>$   
 $(\bigoplus_P i \in \{\dots n\}. \text{monom } P (\text{coeff } P p i) i) = p$   
 $\langle \text{proof} \rangle$

## 11.7 Polynomials over Integral Domains

**lemma** *domainI*:  
 assumes *cring*: *cring*  $R$   
 and *one-not-zero*:  $\text{one } R \sim \text{zero } R$   
 and *integral*:  $\llbracket a \ b. \llbracket \text{mult } R a b = \text{zero } R; a \in \text{carrier } R; \\ b \in \text{carrier } R \rrbracket ==> a = \text{zero } R \mid b = \text{zero } R \rrbracket$   
**shows** *domain*  $R$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-domain*) *UP-one-not-zero*:

$\mathbf{1}_P \sim \mathbf{0}_P$   
 <proof>

**lemma** (in *UP-domain*) *UP-integral*:

$[| p \otimes_P q = \mathbf{0}_P; p \in \text{carrier } P; q \in \text{carrier } P |] \implies p = \mathbf{0}_P \mid q = \mathbf{0}_P$   
 <proof>

**theorem** (in *UP-domain*) *UP-domain*:

*domain P*  
 <proof>

Interpretation of theorems from *domain*.

**interpretation** *UP-domain < domain P*

<proof>

## 11.8 The Evaluation Homomorphism and Universal Property

**theorem** (in *cring*) *diagonal-sum*:

$[| f \in \{..n + m::\text{nat}\} \rightarrow \text{carrier } R; g \in \{..n + m\} \rightarrow \text{carrier } R |] \implies$   
 $(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..k\}. f i \otimes g (k - i)) =$   
 $(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..n + m - k\}. f k \otimes g i)$   
 <proof>

**lemma** (in *abelian-monoid*) *boundD-carrier*:

$[| \text{bound } \mathbf{0} \ n \ f; n < m |] \implies f m \in \text{carrier } G$   
 <proof>

**theorem** (in *cring*) *cauchy-product*:

**assumes** *bf*: *bound*  $\mathbf{0} \ n \ f$  **and** *bg*: *bound*  $\mathbf{0} \ m \ g$   
**and** *Rf*:  $f \in \{..n\} \rightarrow \text{carrier } R$  **and** *Rg*:  $g \in \{..m\} \rightarrow \text{carrier } R$   
**shows**  $(\bigoplus k \in \{..n + m\}. \bigoplus i \in \{..k\}. f i \otimes g (k - i)) =$   
 $(\bigoplus i \in \{..n\}. f i) \otimes (\bigoplus i \in \{..m\}. g i)$   
 <proof>

**lemma** (in *UP-cring*) *const-ring-hom*:

$(\%a. \text{monom } P \ a \ 0) \in \text{ring-hom } R \ P$   
 <proof>

**constdefs** (structure *S*)

*eval* ::  $[( 'a, 'm) \text{ ring-scheme}, ( 'b, 'n) \text{ ring-scheme},$   
 $'a \Rightarrow 'b, 'b, \text{nat} \Rightarrow 'a] \Rightarrow 'b$   
*eval* *R S phi s* ==  $\lambda p \in \text{carrier } (UP \ R).$   
 $\bigoplus i \in \{..deg \ R \ p\}. phi \ (coeff \ (UP \ R) \ p \ i) \otimes s \ ( \wedge ) \ i$

**lemma** (in *UP*) *eval-on-carrier*:

**fixes**  $S$  (**structure**)  
**shows**  $p \in \text{carrier } P \implies$   
 $\text{eval } R \ S \ \text{phi } s \ p = (\bigoplus_S i \in \{..deg \ R \ p\}. \ \text{phi } (\text{coeff } P \ p \ i) \otimes_S s \ (\wedge)_S i)$   
 $\langle \text{proof} \rangle$

**lemma** (**in**  $UP$ ) *eval-extensional*:  
 $\text{eval } R \ S \ \text{phi } p \in \text{extensional } (\text{carrier } P)$   
 $\langle \text{proof} \rangle$

The universal property of the polynomial ring

**locale**  $UP\text{-pre-univ-prop} = \text{ring-hom-crimg } R \ S \ h + UP\text{-crimg } R \ P$

**locale**  $UP\text{-univ-prop} = UP\text{-pre-univ-prop} +$   
**fixes**  $s$  **and**  $Eval$   
**assumes**  $\text{indet-img-carrier } [simp, \text{intro}]: s \in \text{carrier } S$   
**defines**  $Eval\text{-def}: Eval == \text{eval } R \ S \ h \ s$

**theorem** (**in**  $UP\text{-pre-univ-prop}$ ) *eval-ring-hom*:  
**assumes**  $S: s \in \text{carrier } S$   
**shows**  $\text{eval } R \ S \ h \ s \in \text{ring-hom } P \ S$   
 $\langle \text{proof} \rangle$

Interpretation of ring homomorphism lemmas.

**interpretation**  $UP\text{-univ-prop} < \text{ring-hom-crimg } P \ S \ Eval$   
 $\langle \text{proof} \rangle$

Further properties of the evaluation homomorphism.

The following lemma could be proved in  $UP\text{-crimg}$  with the additional assumption that  $h$  is closed.

**lemma** (**in**  $UP\text{-pre-univ-prop}$ ) *eval-const*:  
 $[| s \in \text{carrier } S; r \in \text{carrier } R |] \implies \text{eval } R \ S \ h \ s \ (\text{monom } P \ r \ 0) = h \ r$   
 $\langle \text{proof} \rangle$

The following proof is complicated by the fact that in arbitrary rings one might have  $\mathbf{1}_R = \mathbf{0}_R$ .

**lemma** (**in**  $UP\text{-pre-univ-prop}$ ) *eval-monom1*:  
**assumes**  $S: s \in \text{carrier } S$   
**shows**  $\text{eval } R \ S \ h \ s \ (\text{monom } P \ \mathbf{1} \ 1) = s$   
 $\langle \text{proof} \rangle$

**lemma** (**in**  $UP\text{-crimg}$ ) *monom-pow*:  
**assumes**  $R: a \in \text{carrier } R$   
**shows**  $(\text{monom } P \ a \ n) \ (\wedge)_P m = \text{monom } P \ (a \ (\wedge)_m) \ (n * m)$   
 $\langle \text{proof} \rangle$

**lemma** (**in**  $\text{ring-hom-crimg}$ ) *hom-pow* [*simp*]:  
 $x \in \text{carrier } R \implies h \ (x \ (\wedge)_n) = h \ x \ (\wedge)_S (n::\text{nat})$



$\langle \text{proof} \rangle$

**lemma** (in *UP-univ-prop*) *Eval-monom*:  
 $r \in \text{carrier } R \implies \text{Eval } (\text{monom } P \ r \ n) = h \ r \otimes_S s \ (\wedge)_S \ n$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-pre-univ-prop*) *eval-monom*:  
**assumes**  $R: r \in \text{carrier } R$  **and**  $S: s \in \text{carrier } S$   
**shows**  $\text{eval } R \ S \ h \ s \ (\text{monom } P \ r \ n) = h \ r \otimes_S s \ (\wedge)_S \ n$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-univ-prop*) *Eval-smult*:  
 $[| \ r \in \text{carrier } R; \ p \in \text{carrier } P \ |] \implies \text{Eval } (r \odot_P p) = h \ r \otimes_S \text{Eval } p$   
 $\langle \text{proof} \rangle$

**lemma** *ring-hom-cringI*:  
**assumes** *cring*  $R$   
**and** *cring*  $S$   
**and**  $h \in \text{ring-hom } R \ S$   
**shows** *ring-hom-cring*  $R \ S \ h$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-pre-univ-prop*) *UP-hom-unique*:  
**includes** *ring-hom-cring*  $P \ S \ \text{Phi}$   
**assumes**  $\text{Phi}: \text{Phi } (\text{monom } P \ \mathbf{1} \ (\text{Suc } 0)) = s$   
 $!!r. r \in \text{carrier } R \implies \text{Phi } (\text{monom } P \ r \ 0) = h \ r$   
**includes** *ring-hom-cring*  $P \ S \ \text{Psi}$   
**assumes**  $\text{Psi}: \text{Psi } (\text{monom } P \ \mathbf{1} \ (\text{Suc } 0)) = s$   
 $!!r. r \in \text{carrier } R \implies \text{Psi } (\text{monom } P \ r \ 0) = h \ r$   
**and**  $P: p \in \text{carrier } P$  **and**  $S: s \in \text{carrier } S$   
**shows**  $\text{Phi } p = \text{Psi } p$   
 $\langle \text{proof} \rangle$

**lemma** (in *UP-pre-univ-prop*) *ring-homD*:  
**assumes**  $\text{Phi}: \text{Phi} \in \text{ring-hom } P \ S$   
**shows** *ring-hom-cring*  $P \ S \ \text{Phi}$   
 $\langle \text{proof} \rangle$

**theorem** (in *UP-pre-univ-prop*) *UP-universal-property*:  
**assumes**  $S: s \in \text{carrier } S$   
**shows**  $\text{EX! } \text{Phi}. \text{Phi} \in \text{ring-hom } P \ S \cap \text{extensional } (\text{carrier } P) \ \&$   
 $\text{Phi } (\text{monom } P \ \mathbf{1} \ 1) = s \ \&$   
 $(\text{ALL } r : \text{carrier } R. \text{Phi } (\text{monom } P \ r \ 0) = h \ r)$   
 $\langle \text{proof} \rangle$

## 11.9 Sample Application of Evaluation Homomorphism

**lemma** *UP-pre-univ-propI*:  
**assumes** *cring*  $R$

```

    and cring S
    and h ∈ ring-hom R S
  shows UP-pre-univ-prop R S h
    ⟨proof⟩

constdefs
  INTEG :: int ring
  INTEG == (| carrier = UNIV, mult = op *, one = 1, zero = 0, add = op +
|)

lemma INTEG-cring:
  cring INTEG
  ⟨proof⟩

lemma INTEG-id-eval:
  UP-pre-univ-prop INTEG INTEG id
  ⟨proof⟩

Interpretation now enables to import all theorems and lemmas valid in the
context of homomorphisms between INTEG and UP INTEG globally.

interpretation INTEG: UP-pre-univ-prop [INTEG INTEG id]
  ⟨proof⟩

lemma INTEG-closed [intro, simp]:
  z ∈ carrier INTEG
  ⟨proof⟩

lemma INTEG-mult [simp]:
  mult INTEG z w = z * w
  ⟨proof⟩

lemma INTEG-pow [simp]:
  pow INTEG z n = z ^ n
  ⟨proof⟩

lemma eval INTEG INTEG id 10 (monom (UP INTEG) 5 2) = 500
  ⟨proof⟩

end

theory AbelCoset
imports Coset Ring
begin

```

## 12 More Lifting from Groups to Abelian Groups

### 12.1 Definitions

Hiding  $<+>$  from *Sum-Type* until I come up with better syntax here

**hide** *const Plus*

**constdefs** (structure  $G$ )

$a\text{-}r\text{-coset} :: [-, 'a\ set, 'a] \Rightarrow 'a\ set \quad (\text{infixl } +>_1\ 60)$

$a\text{-}r\text{-coset } G \equiv r\text{-coset } (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)$

$a\text{-}l\text{-coset} :: [-, 'a, 'a\ set] \Rightarrow 'a\ set \quad (\text{infixl } <+>_1\ 60)$

$a\text{-}l\text{-coset } G \equiv l\text{-coset } (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)$

$A\text{-RCOSETS} :: [-, 'a\ set] \Rightarrow ('a\ set)\ set \quad (a'\text{-rcosets}_1 - [81]\ 80)$

$A\text{-RCOSETS } G\ H \equiv RCOSETS\ (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)\ H$

$set\text{-}add :: [-, 'a\ set, 'a\ set] \Rightarrow 'a\ set \quad (\text{infixl } <+>_1\ 60)$

$set\text{-}add\ G \equiv set\text{-}mult\ (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)$

$A\text{-SET-INV} :: [-, 'a\ set] \Rightarrow 'a\ set \quad (a'\text{-set}'\text{-inv}_1 - [81]\ 80)$

$A\text{-SET-INV } G\ H \equiv SET\text{-INV}\ (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)\ H$

**constdefs** (structure  $G$ )

$a\text{-}r\text{-congruent} :: [( 'a, 'b)\ ring\text{-}scheme, 'a\ set] \Rightarrow ('a * 'a)\ set$   
 $(racong_1\ -)$

$a\text{-}r\text{-congruent } G \equiv r\text{-congruent } (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)$

**constdefs**

$A\text{-FactGroup} :: [( 'a, 'b)\ ring\text{-}scheme, 'a\ set] \Rightarrow ('a\ set)\ monoid$   
 $(\text{infixl } A'\text{-Mod } 65)$

— Actually defined for groups rather than monoids

$A\text{-FactGroup } G\ H \equiv FactGroup\ (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)\ H$

**constdefs**

$a\text{-}kernel :: ('a, 'm)\ ring\text{-}scheme \Rightarrow ('b, 'n)\ ring\text{-}scheme \Rightarrow$   
 $('a \Rightarrow 'b) \Rightarrow 'a\ set$

— the kernel of a homomorphism (additive)

$a\text{-}kernel\ G\ H\ h \equiv kernel\ (\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)$   
 $(\mid carrier = carrier\ H, mult = add\ H, one = zero\ H \mid)\ h$

**locale** *abelian-group-hom* = *abelian-group*  $G$  + *abelian-group*  $H$  + *var*  $h$  +

**assumes** *a-group-hom*: *group-hom*  $(\mid carrier = carrier\ G, mult = add\ G, one = zero\ G \mid)$

$(\mid carrier = carrier\ H, mult = add\ H, one = zero\ H \mid)\ h$

**lemmas** *a-r-coset-defs* =  
*a-r-coset-def r-coset-def*

**lemma** *a-r-coset-def'*:  
**includes** *struct G*  
**shows**  $H +> a \equiv \bigcup_{h \in H}. \{h \oplus a\}$   
 $\langle proof \rangle$

**lemmas** *a-l-coset-defs* =  
*a-l-coset-def l-coset-def*

**lemma** *a-l-coset-def'*:  
**includes** *struct G*  
**shows**  $a <+ H \equiv \bigcup_{h \in H}. \{a \oplus h\}$   
 $\langle proof \rangle$

**lemmas** *A-RCOSETS-defs* =  
*A-RCOSETS-def RCOSETS-def*

**lemma** *A-RCOSETS-def'*:  
**includes** *struct G*  
**shows**  $a\text{-rcosets } H \equiv \bigcup_{a \in \text{carrier } G}. \{H +> a\}$   
 $\langle proof \rangle$

**lemmas** *set-add-defs* =  
*set-add-def set-mult-def*

**lemma** *set-add-def'*:  
**includes** *struct G*  
**shows**  $H <+> K \equiv \bigcup_{h \in H}. \bigcup_{k \in K}. \{h \oplus k\}$   
 $\langle proof \rangle$

**lemmas** *A-SET-INV-defs* =  
*A-SET-INV-def SET-INV-def*

**lemma** *A-SET-INV-def'*:  
**includes** *struct G*  
**shows**  $a\text{-set-inv } H \equiv \bigcup_{h \in H}. \{\ominus h\}$   
 $\langle proof \rangle$

## 12.2 Cosets

**lemma** (*in abelian-group*) *a-coset-add-assoc*:  
 $\llbracket M \subseteq \text{carrier } G; g \in \text{carrier } G; h \in \text{carrier } G \rrbracket$   
 $\implies (M +> g) +> h = M +> (g \oplus h)$   
 $\langle proof \rangle$

**lemma** (*in abelian-group*) *a-coset-add-zero* [*simp*]:

$M \subseteq \text{carrier } G \implies M +> \mathbf{0} = M$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-coset-add-inv1*:  
 $\llbracket M +> (x \oplus (\ominus y)) = M; \ x \in \text{carrier } G; \ y \in \text{carrier } G; \ M \subseteq \text{carrier } G \rrbracket \implies M +> x = M +> y$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-coset-add-inv2*:  
 $\llbracket M +> x = M +> y; \ x \in \text{carrier } G; \ y \in \text{carrier } G; \ M \subseteq \text{carrier } G \rrbracket \implies M +> (x \oplus (\ominus y)) = M$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-coset-join1*:  
 $\llbracket H +> x = H; \ x \in \text{carrier } G; \ \text{subgroup } H \ (\llbracket \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G \rrbracket) \rrbracket \implies x \in H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-solve-equation*:  
 $\llbracket \text{subgroup } H \ (\llbracket \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G \rrbracket); \ x \in H; \ y \in H \rrbracket \implies \exists h \in H. \ y = h \oplus x$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-repr-independence*:  
 $\llbracket y \in H +> x; \ x \in \text{carrier } G; \ \text{subgroup } H \ (\llbracket \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G \rrbracket) \rrbracket \implies H +> x = H +> y$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-coset-join2*:  
 $\llbracket x \in \text{carrier } G; \ \text{subgroup } H \ (\llbracket \text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G \rrbracket); \ x \in H \rrbracket \implies H +> x = H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-monoid*) *a-r-coset-subset-G*:  
 $\llbracket H \subseteq \text{carrier } G; \ x \in \text{carrier } G \rrbracket \implies H +> x \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-rcosI*:  
 $\llbracket h \in H; \ H \subseteq \text{carrier } G; \ x \in \text{carrier } G \rrbracket \implies h \oplus x \in H +> x$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-rcosetsI*:  
 $\llbracket H \subseteq \text{carrier } G; \ x \in \text{carrier } G \rrbracket \implies H +> x \in \text{a-rcosets } H$   
 $\langle \text{proof} \rangle$

Really needed?

**lemma** (in *abelian-group*) *a-transpose-inv*:  
 $\llbracket x \oplus y = z; \ x \in \text{carrier } G; \ y \in \text{carrier } G; \ z \in \text{carrier } G \rrbracket \implies (\ominus x) \oplus z = y$

$\langle \text{proof} \rangle$

### 12.3 Subgroups

**locale** *additive-subgroup* = *var* *H* + *struct* *G* +  
**assumes** *a-subgroup*: *subgroup* *H* ( $\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G$ )

**lemma** (*in* *additive-subgroup*) *is-additive-subgroup*:  
**shows** *additive-subgroup* *H* *G*  
 $\langle \text{proof} \rangle$

**lemma** *additive-subgroupI*:  
**includes** *struct* *G*  
**assumes** *a-subgroup*: *subgroup* *H* ( $\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G$ )  
**shows** *additive-subgroup* *H* *G*  
 $\langle \text{proof} \rangle$

**lemma** (*in* *additive-subgroup*) *a-subset*:  
 $H \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (*in* *additive-subgroup*) *a-closed* [*intro*, *simp*]:  
 $\llbracket x \in H; y \in H \rrbracket \implies x \oplus y \in H$   
 $\langle \text{proof} \rangle$

**lemma** (*in* *additive-subgroup*) *zero-closed* [*simp*]:  
 $0 \in H$   
 $\langle \text{proof} \rangle$

**lemma** (*in* *additive-subgroup*) *a-inv-closed* [*intro*, *simp*]:  
 $x \in H \implies \ominus x \in H$   
 $\langle \text{proof} \rangle$

### 12.4 Normal additive subgroups

#### 12.4.1 Definition of *abelian-subgroup*

Every subgroup of an *abelian-group* is normal

**locale** *abelian-subgroup* = *additive-subgroup* *H* *G* + *abelian-group* *G* +  
**assumes** *a-normal*: *normal* *H* ( $\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G$ )

**lemma** (*in* *abelian-subgroup*) *is-abelian-subgroup*:  
**shows** *abelian-subgroup* *H* *G*  
 $\langle \text{proof} \rangle$

**lemma** *abelian-subgroupI*:

**assumes** *a-normal*: *normal*  $H$  ( $\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G$ )  
**and** *a-comm*:  $\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket \implies x \oplus_G y = y \oplus_G x$   
**shows** *abelian-subgroup*  $H$   $G$   
 $\langle \text{proof} \rangle$

**lemma** *abelian-subgroupI2*:  
**includes** *struct*  $G$   
**assumes** *a-comm-group*: *comm-group* ( $\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G$ )  
**and** *a-subgroup*: *subgroup*  $H$  ( $\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G$ )  
**shows** *abelian-subgroup*  $H$   $G$   
 $\langle \text{proof} \rangle$

**lemma** *abelian-subgroupI3*:  
**includes** *struct*  $G$   
**assumes** *asg*: *additive-subgroup*  $H$   $G$   
**and** *ag*: *abelian-group*  $G$   
**shows** *abelian-subgroup*  $H$   $G$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *abelian-subgroup*) *a-coset-eq*:  
 $(\forall x \in \text{carrier } G. H <+ x = x <+ H)$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *abelian-subgroup*) *a-inv-op-closed1*:  
**shows**  $\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies (\ominus x) \oplus h \oplus x \in H$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *abelian-subgroup*) *a-inv-op-closed2*:  
**shows**  $\llbracket x \in \text{carrier } G; h \in H \rrbracket \implies x \oplus h \oplus (\ominus x) \in H$   
 $\langle \text{proof} \rangle$

Alternative characterization of normal subgroups

**lemma** (**in** *abelian-group*) *a-normal-inv-iff*:  
 $(N < (\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G)) =$   
 $(\text{subgroup } N (\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G) \ \& \ (\forall x \in \text{carrier } G. \forall h \in N. x \oplus h \oplus (\ominus x) \in N))$   
**(is - = ?rhs)**  
 $\langle \text{proof} \rangle$

**lemma** (**in** *abelian-group*) *a-lcos-m-assoc*:  
 $\llbracket M \subseteq \text{carrier } G; g \in \text{carrier } G; h \in \text{carrier } G \rrbracket$   
 $\implies g <+ (h <+ M) = (g \oplus h) <+ M$   
 $\langle \text{proof} \rangle$

**lemma** (**in** *abelian-group*) *a-lcos-mult-one*:

$M \subseteq \text{carrier } G \implies \mathbf{0} <+ M = M$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-l-coset-subset-G*:  
 $\llbracket H \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket \implies x <+ H \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-l-coset-swap*:  
 $\llbracket y \in x <+ H; x \in \text{carrier } G; \text{subgroup } H \ (\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G) \rrbracket \implies x \in y <+ H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-l-coset-carrier*:  
 $\llbracket y \in x <+ H; x \in \text{carrier } G; \text{subgroup } H \ (\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G) \rrbracket \implies y \in \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-l-repr-imp-subset*:  
**assumes**  $y: y \in x <+ H$  **and**  $x: x \in \text{carrier } G$  **and**  $sb: \text{subgroup } H \ (\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G)$   
**shows**  $y <+ H \subseteq x <+ H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-l-repr-independence*:  
**assumes**  $y: y \in x <+ H$  **and**  $x: x \in \text{carrier } G$  **and**  $sb: \text{subgroup } H \ (\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G)$   
**shows**  $x <+ H = y <+ H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *setadd-subset-G*:  
 $\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G \rrbracket \implies H <+> K \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *subgroup-add-id*:  $\text{subgroup } H \ (\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G) \implies H <+> H = H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-inv*:  
**assumes**  $x: x \in \text{carrier } G$   
**shows**  $a\text{-set-inv } (H +> x) = H +> (\ominus x)$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-setmult-rcos-assoc*:  
 $\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$   
 $\implies H <+> (K +> x) = (H <+> K) +> x$   
 $\langle \text{proof} \rangle$



**lemma** (in *abelian-group*) *a-rcos-assoc-lcos*:  

$$\llbracket H \subseteq \text{carrier } G; K \subseteq \text{carrier } G; x \in \text{carrier } G \rrbracket$$

$$\implies (H +> x) <+> K = H <+> (x <+ K)$$
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-sum*:  

$$\llbracket x \in \text{carrier } G; y \in \text{carrier } G \rrbracket$$

$$\implies (H +> x) <+> (H +> y) = H +> (x \oplus y)$$
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *rcosets-add-eq*:  

$$M \in \text{a-rcosets } H \implies H <+> M = M$$
— generalizes *subgroup-mult-id*  
 $\langle \text{proof} \rangle$

## 12.5 Congruence Relation

**lemma** (in *abelian-subgroup*) *a-equiv-rcong*:  
**shows** *equiv* (*carrier* *G*) (*racong* *H*)  
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-l-coset-eq-rcong*:  
**assumes** *a*:  $a \in \text{carrier } G$   
**shows**  $a <+ H = \text{racong } H \text{ “ } \{a\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-equation*:  
**shows**  

$$\llbracket ha \oplus a = h \oplus b; a \in \text{carrier } G; b \in \text{carrier } G; \\ h \in H; ha \in H; hb \in H \rrbracket$$

$$\implies hb \oplus a \in (\bigcup_{h \in H}. \{h \oplus b\})$$
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-disjoint*:  
**shows**  $\llbracket a \in \text{a-rcosets } H; b \in \text{a-rcosets } H; a \neq b \rrbracket \implies a \cap b = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-self*:  
**shows**  $x \in \text{carrier } G \implies x \in H +> x$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcosets-part-G*:  
**shows**  $\bigcup (\text{a-rcosets } H) = \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-cosets-finite*:  

$$\llbracket c \in \text{a-rcosets } H; H \subseteq \text{carrier } G; \text{finite } (\text{carrier } G) \rrbracket \implies \text{finite } c$$
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *a-card-cosets-equal*:  
 $\llbracket c \in a\text{-rcosets } H; H \subseteq \text{carrier } G; \text{finite}(\text{carrier } G) \rrbracket$   
 $\implies \text{card } c = \text{card } H$   
 <proof>

**lemma** (in *abelian-group*) *rcosets-subset-PowG*:  
 $\text{additive-subgroup } H \ G \implies a\text{-rcosets } H \subseteq \text{Pow}(\text{carrier } G)$   
 <proof>

**theorem** (in *abelian-group*) *a-lagrange*:  
 $\llbracket \text{finite}(\text{carrier } G); \text{additive-subgroup } H \ G \rrbracket$   
 $\implies \text{card}(a\text{-rcosets } H) * \text{card}(H) = \text{order}(G)$   
 <proof>

## 12.6 Factorization

**lemmas** *A-FactGroup-defs* = *A-FactGroup-def* *FactGroup-def*

**lemma** *A-FactGroup-def'*:  
**includes** *struct* *G*  
**shows**  $G \ A\text{-Mod } H \equiv \langle \text{carrier} = a\text{-rcosets}_G H, \text{mult} = \text{set-add } G, \text{one} = H \rangle$   
 <proof>

**lemma** (in *abelian-subgroup*) *a-setmult-closed*:  
 $\llbracket K1 \in a\text{-rcosets } H; K2 \in a\text{-rcosets } H \rrbracket \implies K1 <+> K2 \in a\text{-rcosets } H$   
 <proof>

**lemma** (in *abelian-subgroup*) *a-setinv-closed*:  
 $K \in a\text{-rcosets } H \implies a\text{-set-inv } K \in a\text{-rcosets } H$   
 <proof>

**lemma** (in *abelian-subgroup*) *a-rcosets-assoc*:  
 $\llbracket M1 \in a\text{-rcosets } H; M2 \in a\text{-rcosets } H; M3 \in a\text{-rcosets } H \rrbracket$   
 $\implies M1 <+> M2 <+> M3 = M1 <+> (M2 <+> M3)$   
 <proof>

**lemma** (in *abelian-subgroup*) *a-subgroup-in-rcosets*:  
 $H \in a\text{-rcosets } H$   
 <proof>

**lemma** (in *abelian-subgroup*) *a-rcosets-inv-mult-group-eq*:  
 $M \in a\text{-rcosets } H \implies a\text{-set-inv } M <+> M = H$   
 <proof>

**theorem** (in *abelian-subgroup*) *a-factorgroup-is-group*:  
 $\text{group } (G \ A\text{-Mod } H)$   
 <proof>

Since the Factorization is based on an *abelian* subgroup, it results in a

commutative group

**theorem** (in *abelian-subgroup*) *a-factorgroup-is-comm-group*:  
*comm-group* ( $G \ A\text{-Mod } H$ )  
 ⟨proof⟩

**lemma** *add-A-FactGroup* [simp]:  $X \otimes_{(G \ A\text{-Mod } H)} X' = X <+>_G X'$   
 ⟨proof⟩

**lemma** (in *abelian-subgroup*) *a-inv-FactGroup*:  
 $X \in \text{carrier } (G \ A\text{-Mod } H) \implies \text{inv}_G \ A\text{-Mod } H \ X = \text{a-set-inv } X$   
 ⟨proof⟩

The coset map is a homomorphism from  $G$  to the quotient group  $G \text{ Mod } H$

**lemma** (in *abelian-subgroup*) *a-r-coset-hom-A-Mod*:  
 $(\lambda a. H <+> a) \in \text{hom } (|\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G|) (G \ A\text{-Mod } H)$   
 ⟨proof⟩

The isomorphism theorems have been omitted from lifting, at least for now

## 12.7 The First Isomorphism Theorem

The quotient by the kernel of a homomorphism is isomorphic to the range of that homomorphism.

**lemmas** *a-kernel-defs* =  
*a-kernel-def* *kernel-def*

**lemma** *a-kernel-def'*:  
 $\text{a-kernel } R \ S \ h \equiv \{x \in \text{carrier } R. h \ x = \mathbf{0}_S\}$   
 ⟨proof⟩

## 12.8 Homomorphisms

**lemma** *abelian-group-homI*:  
**includes** *abelian-group*  $G$   
**includes** *abelian-group*  $H$   
**assumes** *a-group-hom*: *group-hom* ( $|\text{carrier} = \text{carrier } G, \text{mult} = \text{add } G, \text{one} = \text{zero } G|$ )  
 $(|\text{carrier} = \text{carrier } H, \text{mult} = \text{add } H, \text{one} = \text{zero } H|) \ h$   
**shows** *abelian-group-hom*  $G \ H \ h$   
 ⟨proof⟩

**lemma** (in *abelian-group-hom*) *is-abelian-group-hom*:  
*abelian-group-hom*  $G \ H \ h$   
 ⟨proof⟩

**lemma** (in *abelian-group-hom*) *hom-add* [simp]:  
 $[| \ x : \text{carrier } G; \ y : \text{carrier } G \ |]$

$\implies h (x \oplus_G y) = h x \oplus_H h y$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *hom-closed* [simp]:  
 $x \in \text{carrier } G \implies h x \in \text{carrier } H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *zero-closed* [simp]:  
 $h \mathbf{0} \in \text{carrier } H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *hom-zero* [simp]:  
 $h \mathbf{0} = \mathbf{0}_H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *a-inv-closed* [simp]:  
 $x \in \text{carrier } G \implies h (\ominus x) \in \text{carrier } H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *hom-a-inv* [simp]:  
 $x \in \text{carrier } G \implies h (\ominus x) = \ominus_H (h x)$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *additive-subgroup-a-kernel*:  
 $\text{additive-subgroup } (a\text{-kernel } G \ H \ h) \ G$   
 $\langle \text{proof} \rangle$

The kernel of a homomorphism is an abelian subgroup

**lemma** (in *abelian-group-hom*) *abelian-subgroup-a-kernel*:  
 $\text{abelian-subgroup } (a\text{-kernel } G \ H \ h) \ G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *A-FactGroup-nonempty*:  
**assumes**  $X: X \in \text{carrier } (G \ A\text{-Mod } a\text{-kernel } G \ H \ h)$   
**shows**  $X \neq \{\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *FactGroup-contents-mem*:  
**assumes**  $X: X \in \text{carrier } (G \ A\text{-Mod } (a\text{-kernel } G \ H \ h))$   
**shows**  $\text{contents } (h'X) \in \text{carrier } H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *A-FactGroup-hom*:  
 $(\lambda X. \text{contents } (h'X)) \in \text{hom } (G \ A\text{-Mod } (a\text{-kernel } G \ H \ h))$   
 $\langle \text{carrier} = \text{carrier } H, \text{mult} = \text{add } H, \text{one} = \text{zero } H \rangle$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group-hom*) *A-FactGroup-inj-on*:  
 $\text{inj-on } (\lambda X. \text{contents } (h'X)) \ (\text{carrier } (G \ A\text{-Mod } a\text{-kernel } G \ H \ h))$

$\langle \text{proof} \rangle$

If the homomorphism  $h$  is onto  $H$ , then so is the homomorphism from the quotient group

**lemma** (in *abelian-group-hom*) *A-FactGroup-onto*:  
**assumes**  $h: h \text{ ' carrier } G = \text{carrier } H$   
**shows**  $(\lambda X. \text{contents } (h \text{ ' } X)) \text{ ' carrier } (G \text{ A-Mod } a\text{-kernel } G \text{ H } h) = \text{carrier } H$   
 $\langle \text{proof} \rangle$

If  $h$  is a homomorphism from  $G$  onto  $H$ , then the quotient group  $G \text{ Mod } \text{kernel } G \text{ H } h$  is isomorphic to  $H$ .

**theorem** (in *abelian-group-hom*) *A-FactGroup-iso*:  
 $h \text{ ' carrier } G = \text{carrier } H$   
 $\implies (\lambda X. \text{contents } (h \text{ ' } X)) \in (G \text{ A-Mod } (a\text{-kernel } G \text{ H } h)) \cong$   
 $(| \text{carrier} = \text{carrier } H, \text{mult} = \text{add } H, \text{one} = \text{zero } H |)$   
 $\langle \text{proof} \rangle$

## 13 Lemmas Lifted from CosetExt.thy

Not everything from `CosetExt.thy` is lifted here.

### 13.1 General Lemmas from AlgebraExt.thy

**lemma** (in *additive-subgroup*) *a-Hcarr [simp]*:  
**assumes**  $hH: h \in H$   
**shows**  $h \in \text{carrier } G$   
 $\langle \text{proof} \rangle$

### 13.2 Lemmas for Right Cosets

**lemma** (in *abelian-subgroup*) *a-elemrcos-carrier*:  
**assumes**  $acarr: a \in \text{carrier } G$   
**and**  $a': a' \in H +> a$   
**shows**  $a' \in \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-const*:  
**assumes**  $hH: h \in H$   
**shows**  $H +> h = H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-module-imp*:  
**assumes**  $xcarr: x \in \text{carrier } G$   
**and**  $x'cos: x' \in H +> x$   
**shows**  $(x' \oplus \ominus x) \in H$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-module-rev*:

**assumes**  $x \in \text{carrier } G \ x' \in \text{carrier } G$   
**and**  $(x' \oplus \ominus x) \in H$   
**shows**  $x' \in H +> x$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-module*:  
**assumes**  $x \in \text{carrier } G \ x' \in \text{carrier } G$   
**shows**  $(x' \in H +> x) = (x' \oplus \ominus x \in H)$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-rcos-module-minus*:  
**includes** *ring*  $G$   
**assumes**  $\text{carr}: x \in \text{carrier } G \ x' \in \text{carrier } G$   
**shows**  $(x' \in H +> x) = (x' \ominus x \in H)$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-repr-independence'*:  
**assumes**  $y: y \in H +> x$   
**and**  $\text{xcarr}: x \in \text{carrier } G$   
**shows**  $H +> x = H +> y$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-subgroup*) *a-repr-independenceD*:  
**assumes**  $\text{ycarr}: y \in \text{carrier } G$   
**and**  $\text{repr}: H +> x = H +> y$   
**shows**  $y \in H +> x$   
 $\langle \text{proof} \rangle$

### 13.3 Lemmas for the Set of Right Cosets

**lemma** (in *abelian-subgroup*) *a-rcosets-carrier*:  
 $X \in \text{a-rcosets } H \implies X \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

### 13.4 Addition of Subgroups

**lemma** (in *abelian-monoid*) *set-add-closed*:  
**assumes**  $\text{Acarr}: A \subseteq \text{carrier } G$   
**and**  $\text{Bcarr}: B \subseteq \text{carrier } G$   
**shows**  $A <+> B \subseteq \text{carrier } G$   
 $\langle \text{proof} \rangle$

**lemma** (in *abelian-group*) *add-additive-subgroups*:  
**assumes**  $\text{subH}: \text{additive-subgroup } H \ G$   
**and**  $\text{subK}: \text{additive-subgroup } K \ G$   
**shows**  $\text{additive-subgroup } (H <+> K) \ G$   
 $\langle \text{proof} \rangle$

**end**

```

theory Ideal
imports Ring AbelCoset
begin

```

## 14 Ideals

### 14.1 General definition

```

locale ideal = additive-subgroup I R + ring R +
  assumes I-l-closed:  $\llbracket a \in I; x \in \text{carrier } R \rrbracket \implies x \otimes a \in I$ 
  and I-r-closed:  $\llbracket a \in I; x \in \text{carrier } R \rrbracket \implies a \otimes x \in I$ 

```

```

interpretation ideal  $\subseteq$  abelian-subgroup I R
   $\langle \text{proof} \rangle$ 

```

```

lemma (in ideal) is-ideal:
  ideal I R
   $\langle \text{proof} \rangle$ 

```

```

lemma idealI:
  includes ring
  assumes a-subgroup: subgroup I ( $\text{carrier} = \text{carrier } R, \text{mult} = \text{add } R, \text{one} = \text{zero } R$ )
  and I-l-closed:  $\bigwedge a x. \llbracket a \in I; x \in \text{carrier } R \rrbracket \implies x \otimes a \in I$ 
  and I-r-closed:  $\bigwedge a x. \llbracket a \in I; x \in \text{carrier } R \rrbracket \implies a \otimes x \in I$ 
  shows ideal I R
   $\langle \text{proof} \rangle$ 

```

### 14.2 Ideals Generated by a Subset of *carrier R*

```

constdefs (structure R)
  genideal :: ('a, 'b) ring-scheme  $\Rightarrow$  'a set  $\Rightarrow$  'a set (Idl1 - [80] 79)
  genideal R S  $\equiv$  Inter {I. ideal I R  $\wedge$  S  $\subseteq$  I}

```

### 14.3 Principal Ideals

```

locale principalideal = ideal +
  assumes generate:  $\exists i \in \text{carrier } R. I = \text{Idl } \{i\}$ 

```

```

lemma (in principalideal) is-principalideal:
  shows principalideal I R
   $\langle \text{proof} \rangle$ 

```

```

lemma principalidealI:
  includes ideal
  assumes generate:  $\exists i \in \text{carrier } R. I = \text{Idl } \{i\}$ 
  shows principalideal I R
   $\langle \text{proof} \rangle$ 

```

## 14.4 Maximal Ideals

**locale** *maximalideal* = *ideal* +  
**assumes** *I-notcarr*:  $\text{carrier } R \neq I$   
**and** *I-maximal*:  $\llbracket \text{ideal } J \text{ } R; I \subseteq J; J \subseteq \text{carrier } R \rrbracket \implies J = I \vee J = \text{carrier } R$

**lemma** (*in maximalideal*) *is-maximalideal*:  
**shows** *maximalideal* *I* *R*  
 $\langle \text{proof} \rangle$

**lemma** *maximalidealI*:  
**includes** *ideal*  
**assumes** *I-notcarr*:  $\text{carrier } R \neq I$   
**and** *I-maximal*:  $\bigwedge J. \llbracket \text{ideal } J \text{ } R; I \subseteq J; J \subseteq \text{carrier } R \rrbracket \implies J = I \vee J = \text{carrier } R$   
**shows** *maximalideal* *I* *R*  
 $\langle \text{proof} \rangle$

## 14.5 Prime Ideals

**locale** *primeideal* = *ideal* + *cring* +  
**assumes** *I-notcarr*:  $\text{carrier } R \neq I$   
**and** *I-prime*:  $\llbracket a \in \text{carrier } R; b \in \text{carrier } R; a \otimes b \in I \rrbracket \implies a \in I \vee b \in I$

**lemma** (*in primeideal*) *is-primeideal*:  
**shows** *primeideal* *I* *R*  
 $\langle \text{proof} \rangle$

**lemma** *primeidealI*:  
**includes** *ideal*  
**includes** *cring*  
**assumes** *I-notcarr*:  $\text{carrier } R \neq I$   
**and** *I-prime*:  $\bigwedge a \ b. \llbracket a \in \text{carrier } R; b \in \text{carrier } R; a \otimes b \in I \rrbracket \implies a \in I \vee b \in I$   
**shows** *primeideal* *I* *R*  
 $\langle \text{proof} \rangle$

**lemma** *primeidealI2*:  
**includes** *additive-subgroup* *I* *R*  
**includes** *cring*  
**assumes** *I-l-closed*:  $\bigwedge a \ x. \llbracket a \in I; x \in \text{carrier } R \rrbracket \implies x \otimes a \in I$   
**and** *I-r-closed*:  $\bigwedge a \ x. \llbracket a \in I; x \in \text{carrier } R \rrbracket \implies a \otimes x \in I$   
**and** *I-notcarr*:  $\text{carrier } R \neq I$   
**and** *I-prime*:  $\bigwedge a \ b. \llbracket a \in \text{carrier } R; b \in \text{carrier } R; a \otimes b \in I \rrbracket \implies a \in I \vee b \in I$   
**shows** *primeideal* *I* *R*  
 $\langle \text{proof} \rangle$



## 15 Properties of Ideals

### 15.1 Special Ideals

**lemma** (in ring) zeroideal:  
 shows ideal  $\{0\}$   $R$   
 $\langle$ proof $\rangle$

**lemma** (in ring) oneideal:  
 shows ideal (carrier  $R$ )  $R$   
 $\langle$ proof $\rangle$

**lemma** (in domain) zeroprimeideal:  
 shows primeideal  $\{0\}$   $R$   
 $\langle$ proof $\rangle$

### 15.2 General Ideal Properties

**lemma** (in ideal) one-imp-carrier:  
 assumes  $I$ -one-closed:  $1 \in I$   
 shows  $I = \text{carrier } R$   
 $\langle$ proof $\rangle$

**lemma** (in ideal)  $I_{\text{carr}}$ :  
 assumes  $iI$ :  $i \in I$   
 shows  $i \in \text{carrier } R$   
 $\langle$ proof $\rangle$

### 15.3 Intersection of Ideals

**Intersection of two ideals** The intersection of any two ideals is again an ideal in  $R$

**lemma** (in ring)  $i$ -intersect:  
 includes ideal  $I$   $R$   
 includes ideal  $J$   $R$   
 shows ideal  $(I \cap J)$   $R$   
 $\langle$ proof $\rangle$

#### 15.3.1 Intersection of a Set of Ideals

The intersection of any Number of Ideals is again an Ideal in  $R$

**lemma** (in ring)  $i$ -Intersect:  
 assumes  $S$ ideals:  $\bigwedge I. I \in S \implies \text{ideal } I \text{ } R$   
 and notempty:  $S \neq \{\}$   
 shows ideal  $(\text{Inter } S)$   $R$   
 $\langle$ proof $\rangle$

## 15.4 Addition of Ideals

**lemma** (in ring) *add-ideals*:  
 assumes *idealI*: ideal  $I$   $R$   
 and *idealJ*: ideal  $J$   $R$   
 shows ideal  $(I <+> J)$   $R$   
 $\langle$ proof $\rangle$

## 15.5 Ideals generated by a subset of *carrier* $R$

### 15.5.1 Generation of Ideals in General Rings

*genideal* generates an ideal

**lemma** (in ring) *genideal-ideal*:  
 assumes *Scarr*:  $S \subseteq \text{carrier } R$   
 shows ideal  $(\text{Idl } S)$   $R$   
 $\langle$ proof $\rangle$

**lemma** (in ring) *genideal-self*:  
 assumes  $S \subseteq \text{carrier } R$   
 shows  $S \subseteq \text{Idl } S$   
 $\langle$ proof $\rangle$

**lemma** (in ring) *genideal-self'*:  
 assumes *carr*:  $i \in \text{carrier } R$   
 shows  $i \in \text{Idl } \{i\}$   
 $\langle$ proof $\rangle$

*genideal* generates the minimal ideal

**lemma** (in ring) *genideal-minimal*:  
 assumes *a*: ideal  $I$   $R$   
 and *b*:  $S \subseteq I$   
 shows  $\text{Idl } S \subseteq I$   
 $\langle$ proof $\rangle$

Generated ideals and subsets

**lemma** (in ring) *Idl-subset-ideal*:  
 assumes *Iideal*: ideal  $I$   $R$   
 and *Hcarr*:  $H \subseteq \text{carrier } R$   
 shows  $(\text{Idl } H \subseteq I) = (H \subseteq I)$   
 $\langle$ proof $\rangle$

**lemma** (in ring) *subset-Idl-subset*:  
 assumes *Icarr*:  $I \subseteq \text{carrier } R$   
 and *HI*:  $H \subseteq I$   
 shows  $\text{Idl } H \subseteq \text{Idl } I$   
 $\langle$ proof $\rangle$

**lemma** (in ring) *Idl-subset-ideal'*:

**assumes**  $acarr: a \in carrier\ R$  **and**  $bcarr: b \in carrier\ R$   
**shows**  $(Idl\ \{a\} \subseteq Idl\ \{b\}) = (a \in Idl\ \{b\})$   
 $\langle proof \rangle$

**lemma** (in *ring*) *genideal-zero*:  
 $Idl\ \{0\} = \{0\}$   
 $\langle proof \rangle$

**lemma** (in *ring*) *genideal-one*:  
 $Idl\ \{1\} = carrier\ R$   
 $\langle proof \rangle$

### 15.5.2 Generation of Principal Ideals in Commutative Rings

**constdefs** (structure *R*)  
 $cgenideal :: ('a, 'b)\ monoid\ scheme \Rightarrow 'a \Rightarrow 'a\ set\ (PIdl_1 - [80]\ 79)$   
 $cgenideal\ R\ a \equiv \{ x \otimes a \mid x. x \in carrier\ R \}$

*genhideal* (?) really generates an ideal

**lemma** (in *cring*) *cgenideal-ideal*:  
**assumes**  $acarr: a \in carrier\ R$   
**shows**  $ideal\ (PIdl\ a)\ R$   
 $\langle proof \rangle$

**lemma** (in *ring*) *cgenideal-self*:  
**assumes**  $icarr: i \in carrier\ R$   
**shows**  $i \in PIdl\ i$   
 $\langle proof \rangle$

*cgenideal* is minimal

**lemma** (in *ring*) *cgenideal-minimal*:  
**includes**  $ideal\ J\ R$   
**assumes**  $aJ: a \in J$   
**shows**  $PIdl\ a \subseteq J$   
 $\langle proof \rangle$

**lemma** (in *cring*) *cgenideal-eq-genideal*:  
**assumes**  $icarr: i \in carrier\ R$   
**shows**  $PIdl\ i = Idl\ \{i\}$   
 $\langle proof \rangle$

**lemma** (in *cring*) *cgenideal-eq-rcos*:  
 $PIdl\ i = carrier\ R \ \#>\ i$   
 $\langle proof \rangle$

**lemma** (in *cring*) *cgenideal-is-principalideal*:  
**assumes**  $icarr: i \in carrier\ R$   
**shows**  $principalideal\ (PIdl\ i)\ R$   
 $\langle proof \rangle$

## 15.6 Union of Ideals

**lemma** (*in ring*) *union-genideal*:  
 assumes *idealI*: *ideal I R*  
 and *idealJ*: *ideal J R*  
 shows *Idl*  $(I \cup J) = I <+> J$   
*<proof>*

## 15.7 Properties of Principal Ideals

**0** generates the zero ideal

**lemma** (*in ring*) *zero-genideal*:  
 shows *Idl*  $\{0\} = \{0\}$   
*<proof>*

**1** generates the unit ideal

**lemma** (*in ring*) *one-genideal*:  
 shows *Idl*  $\{1\} = \text{carrier } R$   
*<proof>*

The zero ideal is a principal ideal

**corollary** (*in ring*) *zeropideal*:  
 shows *principalideal*  $\{0\} R$   
*<proof>*

The unit ideal is a principal ideal

**corollary** (*in ring*) *onepideal*:  
 shows *principalideal*  $(\text{carrier } R) R$   
*<proof>*

Every principal ideal is a right coset of the carrier

**lemma** (*in principalideal*) *rcos-generate*:  
 includes *cring*  
 shows  $\exists x \in I. I = \text{carrier } R \#> x$   
*<proof>*

## 15.8 Prime Ideals

**lemma** (*in ideal*) *primeidealCD*:  
 includes *cring*  
 assumes *notprime*:  $\neg \text{primeideal } I R$   
 shows  $\text{carrier } R = I \vee (\exists a \ b. a \in \text{carrier } R \wedge b \in \text{carrier } R \wedge a \otimes b \in I \wedge a \notin I \wedge b \notin I)$   
*<proof>*

**lemma** (*in ideal*) *primeidealCE*:  
 includes *cring*  
 assumes *notprime*:  $\neg \text{primeideal } I R$

**obtains** *carrier*  $R = I$   
 $\mid \exists a\ b. a \in \text{carrier } R \wedge b \in \text{carrier } R \wedge a \otimes b \in I \wedge a \notin I \wedge b \notin I$   
 $\langle \text{proof} \rangle$

If  $\{0\}$  is a prime ideal of a commutative ring, the ring is a domain

**lemma** (in *cring*) *zeroprimeideal-domainI*:  
**assumes** *pi*: *primeideal*  $\{0\}$  *R*  
**shows** *domain* *R*  
 $\langle \text{proof} \rangle$

**corollary** (in *cring*) *domain-eq-zeroprimeideal*:  
**shows** *domain* *R* = *primeideal*  $\{0\}$  *R*  
 $\langle \text{proof} \rangle$

## 15.9 Maximal Ideals

**lemma** (in *ideal*) *helper-I-closed*:  
**assumes** *carr*:  $a \in \text{carrier } R \ x \in \text{carrier } R \ y \in \text{carrier } R$   
**and** *axI*:  $a \otimes x \in I$   
**shows**  $a \otimes (x \otimes y) \in I$   
 $\langle \text{proof} \rangle$

**lemma** (in *ideal*) *helper-max-prime*:  
**includes** *cring*  
**assumes** *acarr*:  $a \in \text{carrier } R$   
**shows** *ideal*  $\{x \in \text{carrier } R. a \otimes x \in I\}$  *R*  
 $\langle \text{proof} \rangle$

In a cring every maximal ideal is prime

**lemma** (in *cring*) *maximalideal-is-prime*:  
**includes** *maximalideal*  
**shows** *primeideal* *I* *R*  
 $\langle \text{proof} \rangle$

## 15.10 Derived Theorems Involving Ideals

— A non-zero cring that has only the two trivial ideals is a field

**lemma** (in *cring*) *trivialideals-fieldI*:  
**assumes** *carrnzero*:  $\text{carrier } R \neq \{0\}$   
**and** *haveideals*:  $\{I. \text{ideal } I \ R\} = \{\{0\}, \text{carrier } R\}$   
**shows** *field* *R*  
 $\langle \text{proof} \rangle$

**lemma** (in *field*) *all-ideals*:  
**shows**  $\{I. \text{ideal } I \ R\} = \{\{0\}, \text{carrier } R\}$   
 $\langle \text{proof} \rangle$

**lemma** (in *cring*) *trivialideals-eq-field*:  
**assumes** *carrnzero*:  $\text{carrier } R \neq \{0\}$   
**shows**  $(\{I. \text{ideal } I \ R\} = \{\{0\}, \text{carrier } R\}) = \text{field } R$

*<proof>*

Like zeroprimeideal for domains

**lemma** (in *field*) *zeromaximalideal*:  
     *maximalideal* {0} *R*  
*<proof>*

**lemma** (in *cring*) *zeromaximalideal-fieldI*:  
     **assumes** *zeromax*: *maximalideal* {0} *R*  
     **shows** *field* *R*  
*<proof>*

**lemma** (in *cring*) *zeromaximalideal-eq-field*:  
     *maximalideal* {0} *R* = *field* *R*  
*<proof>*

**end**

**theory** *RingHom*  
**imports** *Ideal*  
**begin**

## 16 Homomorphisms of Non-Commutative Rings

Lifting existing lemmas in a *ring-hom-ring* locale

**locale** *ring-hom-ring* = *ring* *R* + *ring* *S* + *var* *h* +  
     **assumes** *homh*: *h* ∈ *ring-hom* *R* *S*  
     **notes** *hom-mult* [*simp*] = *ring-hom-mult* [*OF* *homh*]  
     **and** *hom-one* [*simp*] = *ring-hom-one* [*OF* *homh*]

**interpretation** *ring-hom-cring* ⊆ *ring-hom-ring*  
*<proof>*

**interpretation** *ring-hom-ring* ⊆ *abelian-group-hom* *R* *S*  
*<proof>*

**lemma** (in *ring-hom-ring*) *is-ring-hom-ring*:  
     **includes** *struct* *R* + *struct* *S*  
     **shows** *ring-hom-ring* *R* *S* *h*  
*<proof>*

**lemma** *ring-hom-ringI*:  
     **includes** *ring* *R* + *ring* *S*  
     **assumes**  
         *hom-closed*: !!*x*. *x* ∈ *carrier* *R* ==> *h* *x* ∈ *carrier* *S*

**and** *compatible-mult*:  $\llbracket x : \text{carrier } R; y : \text{carrier } R \rrbracket \implies h (x \otimes y)$   
 $= h x \otimes_S h y$   
**and** *compatible-add*:  $\llbracket x : \text{carrier } R; y : \text{carrier } R \rrbracket \implies h (x \oplus y) =$   
 $h x \oplus_S h y$   
**and** *compatible-one*:  $h \mathbf{1} = \mathbf{1}_S$   
**shows** *ring-hom-ring*  $R S h$   
 $\langle \text{proof} \rangle$

**lemma** *ring-hom-ringI2*:  
**includes** *ring*  $R + \text{ring } S$   
**assumes**  $h: h \in \text{ring-hom } R S$   
**shows** *ring-hom-ring*  $R S h$   
 $\langle \text{proof} \rangle$

**lemma** *ring-hom-ringI3*:  
**includes** *abelian-group-hom*  $R S + \text{ring } R + \text{ring } S$   
**assumes** *compatible-mult*:  $\llbracket x : \text{carrier } R; y : \text{carrier } R \rrbracket \implies h (x \otimes y)$   
 $= h x \otimes_S h y$   
**and** *compatible-one*:  $h \mathbf{1} = \mathbf{1}_S$   
**shows** *ring-hom-ring*  $R S h$   
 $\langle \text{proof} \rangle$

**lemma** *ring-hom-cringI*:  
**includes** *ring-hom-ring*  $R S h + \text{cring } R + \text{cring } S$   
**shows** *ring-hom-cring*  $R S h$   
 $\langle \text{proof} \rangle$

## 16.1 The kernel of a ring homomorphism

— the kernel of a ring homomorphism is an ideal

**lemma** (**in** *ring-hom-ring*) *kernel-is-ideal*:  
**shows** *ideal* ( $a\text{-kernel } R S h$ )  $R$   
 $\langle \text{proof} \rangle$

Elements of the kernel are mapped to zero

**lemma** (**in** *abelian-group-hom*) *kernel-zero* [*simp*]:  
 $i \in a\text{-kernel } R S h \implies h i = \mathbf{0}_S$   
 $\langle \text{proof} \rangle$

## 16.2 Cosets

Cosets of the kernel correspond to the elements of the image of the homomorphism

**lemma** (**in** *ring-hom-ring*) *rcos-imp-homeq*:  
**assumes**  $acarr: a \in \text{carrier } R$   
**and**  $xrcos: x \in a\text{-kernel } R S h +> a$   
**shows**  $h x = h a$   
 $\langle \text{proof} \rangle$

```

lemma (in ring-hom-ring) homeq-imp-rcos:
  assumes acarr:  $a \in \text{carrier } R$ 
    and xcarr:  $x \in \text{carrier } R$ 
    and hx:  $h\ x = h\ a$ 
  shows  $x \in a\text{-kernel } R\ S\ h\ +>\ a$ 
  <proof>

corollary (in ring-hom-ring) rcos-eq-homeq:
  assumes acarr:  $a \in \text{carrier } R$ 
  shows  $(a\text{-kernel } R\ S\ h)\ +>\ a = \{x \in \text{carrier } R. h\ x = h\ a\}$ 
  <proof>

end

```

## 17 QuotRing: Quotient Rings

```

theory QuotRing
imports RingHom
begin

```

### 17.1 Multiplication on Cosets

```

constdefs (structure R)
  rcoset-mult :: [ $'a, -$ ] ring-scheme,  $'a\ \text{set}$ ,  $'a\ \text{set}$ ,  $'a\ \text{set}$ ]  $\Rightarrow 'a\ \text{set}$ 
    ([mod -:] -  $\otimes_1$  - [81,81,81] 80)
  rcoset-mult R I A B  $\equiv \bigcup_{a \in A}. \bigcup_{b \in B}. I\ +>\ (a\ \otimes\ b)$ 

```

*rcoset-mult* fulfils the properties required by congruences

```

lemma (in ideal) rcoset-mult-add:
   $\llbracket x \in \text{carrier } R; y \in \text{carrier } R \rrbracket \Longrightarrow [\text{mod } I:] (I\ +>\ x) \otimes (I\ +>\ y) = I\ +>\ (x$ 
 $\otimes y)$ 
  <proof>

```

### 17.2 Quotient Ring Definition

```

constdefs (structure R)
  FactRing :: [ $'a, 'b$ ] ring-scheme,  $'a\ \text{set}$ ]  $\Rightarrow ('a\ \text{set})\ \text{ring}$ 
    (infixl Quot 65)
  FactRing R I  $\equiv$ 
    ( $\langle \text{carrier} = a\text{-rcosets } I, \text{mult} = \text{rcoset-mult } R\ I, \text{one} = (I\ +>\ \mathbf{1}), \text{zero} = I, \text{add}$ 
 $= \text{set-add } R \rangle$ )

```

### 17.3 Factorization over General Ideals

The quotient is a ring

```

lemma (in ideal) quotient-is-ring:
  shows ring (R Quot I)

```



*<proof>*

This is a ring homomorphism

**lemma** (in *ideal*) *rcos-ring-hom*:  
 (*op* +> *I*) ∈ *ring-hom* *R* (*R Quot I*)  
*<proof>*

**lemma** (in *ideal*) *rcos-ring-hom-ring*:  
*ring-hom-ring* *R* (*R Quot I*) (*op* +> *I*)  
*<proof>*

The quotient of a cring is also commutative

**lemma** (in *ideal*) *quotient-is-cring*:  
 includes *cring*  
 shows *cring* (*R Quot I*)  
*<proof>*

Cosets as a ring homomorphism on crings

**lemma** (in *ideal*) *rcos-ring-hom-cring*:  
 includes *cring*  
 shows *ring-hom-cring* *R* (*R Quot I*) (*op* +> *I*)  
*<proof>*

## 17.4 Factorization over Prime Ideals

The quotient ring generated by a prime ideal is a domain

**lemma** (in *primeideal*) *quotient-is-domain*:  
 shows *domain* (*R Quot I*)  
*<proof>*

Generating right cosets of a prime ideal is a homomorphism on commutative rings

**lemma** (in *primeideal*) *rcos-ring-hom-cring*:  
 shows *ring-hom-cring* *R* (*R Quot I*) (*op* +> *I*)  
*<proof>*

## 17.5 Factorization over Maximal Ideals

In a commutative ring, the quotient ring over a maximal ideal is a field.  
 The proof follows “W. Adkins, S. Weintraub: Algebra – An Approach via Module Theory”

**lemma** (in *maximalideal*) *quotient-is-field*:  
 includes *cring*  
 shows *field* (*R Quot I*)  
*<proof>*

**end**

```

theory IntRing
imports QuotRing IntDef
begin

```

## 18 The Ring of Integers

### 18.1 Some properties of *int*

```

lemma dvds-imp-abseq:
   $\llbracket l \text{ dvd } k; k \text{ dvd } l \rrbracket \implies \text{abs } l = \text{abs } (k::\text{int})$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma abseq-imp-dvd:
  assumes a-lk:  $\text{abs } l = \text{abs } (k::\text{int})$ 
  shows  $l \text{ dvd } k$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma dvds-eq-abseq:
   $(l \text{ dvd } k \wedge k \text{ dvd } l) = (\text{abs } l = \text{abs } (k::\text{int}))$ 
   $\langle \text{proof} \rangle$ 

```

### 18.2 The Set of Integers as Algebraic Structure

#### 18.2.1 Definition of $\mathcal{Z}$

```

constdefs
  int-ring :: int ring ( $\mathcal{Z}$ )
  int-ring  $\equiv$  ( $\text{carrier} = \text{UNIV}$ , mult = op *, one = 1, zero = 0, add = op +)

```

```

lemma int-Zcarr [intro!, simp]:
   $k \in \text{carrier } \mathcal{Z}$ 
   $\langle \text{proof} \rangle$ 

```

```

lemma int-is-cring:
  cring  $\mathcal{Z}$ 
   $\langle \text{proof} \rangle$ 

```

#### 18.2.2 Interpretations

Since definitions of derived operations are global, their interpretation needs to be done as early as possible — that is, with as few assumptions as possible.

```

interpretation int: monoid [ $\mathcal{Z}$ ]
  where carrier  $\mathcal{Z} = \text{UNIV}$ 
  and mult  $\mathcal{Z} \ x \ y = x * y$ 
  and one  $\mathcal{Z} = 1$ 
  and pow  $\mathcal{Z} \ x \ n = x^n$ 

```

$\langle \text{proof} \rangle$

**interpretation** *int*: *comm-monoid*  $[\mathcal{Z}]$   
**where** *finprod*  $\mathcal{Z} \ f \ A = (\text{if } \text{finite } A \text{ then } \text{setprod } f \ A \text{ else arbitrary})$   
 $\langle \text{proof} \rangle$

**interpretation** *int*: *abelian-monoid*  $[\mathcal{Z}]$   
**where** *zero*  $\mathcal{Z} = 0$   
**and** *add*  $\mathcal{Z} \ x \ y = x + y$   
**and** *finsum*  $\mathcal{Z} \ f \ A = (\text{if } \text{finite } A \text{ then } \text{setsum } f \ A \text{ else arbitrary})$   
 $\langle \text{proof} \rangle$

**interpretation** *int*: *abelian-group*  $[\mathcal{Z}]$   
**where** *a-inv*  $\mathcal{Z} \ x = -x$   
**and** *a-minus*  $\mathcal{Z} \ x \ y = x - y$   
 $\langle \text{proof} \rangle$

**interpretation** *int*: *domain*  $[\mathcal{Z}]$   
 $\langle \text{proof} \rangle$

Removal of occurrences of *UNIV* in interpretation result — experimental.

**lemma** *UNIV*:

$x \in \text{UNIV} = \text{True}$   
 $A \subseteq \text{UNIV} = \text{True}$   
 $(\text{ALL } x : \text{UNIV}. P \ x) = (\text{ALL } x. P \ x)$   
 $(\text{EX } x : \text{UNIV}. P \ x) = (\text{EX } x. P \ x)$   
 $(\text{True} \dashrightarrow Q) = Q$   
 $(\text{True} \implies \text{PROP } R) == \text{PROP } R$   
 $\langle \text{proof} \rangle$

**interpretation** *int* [*unfolded UNIV*]:  
*partial-order*  $[(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |)]$   
**where** *carrier*  $(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |) = \text{UNIV}$   
**and** *le*  $(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |) \ x \ y = (x \leq y)$   
**and** *lless*  $(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |) \ x \ y = (x < y)$   
 $\langle \text{proof} \rangle$

**interpretation** *int* [*unfolded UNIV*]:  
*lattice*  $[(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |)]$   
**where** *join*  $(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |) \ x \ y = \max \ x \ y$   
**and** *meet*  $(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |) \ x \ y = \min \ x \ y$   
 $\langle \text{proof} \rangle$

**interpretation** *int* [*unfolded UNIV*]:  
*total-order*  $[(| \text{carrier} = \text{UNIV}::\text{int set}, \text{le} = \text{op} \leq |)]$   
 $\langle \text{proof} \rangle$

### 18.2.3 Generated Ideals of $\mathcal{Z}$

**lemma** *int-Idl*:

$Idl_{\mathcal{Z}} \{a\} = \{x * a \mid x. \text{True}\}$   
 $\langle proof \rangle$

**lemma** *multiples-principalideal*:

$principalideal \{x * a \mid x. \text{True}\} \mathcal{Z}$   
 $\langle proof \rangle$

**lemma** *prime-primeideal*:

**assumes** *prime*:  $prime \ (nat \ p)$   
**shows**  $primeideal \ (Idl_{\mathcal{Z}} \{p\}) \ \mathcal{Z}$   
 $\langle proof \rangle$

### 18.2.4 Ideals and Divisibility

**lemma** *int-Idl-subset-ideal*:

$Idl_{\mathcal{Z}} \{k\} \subseteq Idl_{\mathcal{Z}} \{l\} = (k \in Idl_{\mathcal{Z}} \{l\})$   
 $\langle proof \rangle$

**lemma** *Idl-subset-eq-dvd*:

$(Idl_{\mathcal{Z}} \{k\} \subseteq Idl_{\mathcal{Z}} \{l\}) = (l \text{ dvd } k)$   
 $\langle proof \rangle$

**lemma** *dvds-eq-Idl*:

$(l \text{ dvd } k \wedge k \text{ dvd } l) = (Idl_{\mathcal{Z}} \{k\} = Idl_{\mathcal{Z}} \{l\})$   
 $\langle proof \rangle$

**lemma** *Idl-eq-abs*:

$(Idl_{\mathcal{Z}} \{k\} = Idl_{\mathcal{Z}} \{l\}) = (abs \ l = abs \ k)$   
 $\langle proof \rangle$

### 18.2.5 Ideals and the Modulus

**constdefs**

$ZMod :: int \Rightarrow int \Rightarrow int \ set$   
 $ZMod \ k \ r == (Idl_{\mathcal{Z}} \{k\}) +>_{\mathcal{Z}} r$

**lemmas** *ZMod-defs* =

*ZMod-def* *genideal-def*

**lemma** *rcos-zfact*:

**assumes** *kIl*:  $k \in ZMod \ l \ r$   
**shows**  $EX \ x. k = x * l + r$   
 $\langle proof \rangle$

**lemma** *ZMod-imp-zmod*:

**assumes** *zmods*:  $ZMod \ m \ a = ZMod \ m \ b$   
**shows**  $a \text{ mod } m = b \text{ mod } m$

$\langle proof \rangle$

**lemma** *ZMod-mod*:

**shows**  $ZMod\ m\ a = ZMod\ m\ (a\ mod\ m)$

$\langle proof \rangle$

**lemma** *zmod-imp-ZMod*:

**assumes** *modeq*:  $a\ mod\ m = b\ mod\ m$

**shows**  $ZMod\ m\ a = ZMod\ m\ b$

$\langle proof \rangle$

**corollary** *ZMod-eq-mod*:

**shows**  $(ZMod\ m\ a = ZMod\ m\ b) = (a\ mod\ m = b\ mod\ m)$

$\langle proof \rangle$

### 18.2.6 Factorization

**constdefs**

*ZFact* ::  $int \Rightarrow int\ set\ ring$

*ZFact* *k* ==  $\mathcal{Z}\ Quot\ (Idl_{\mathcal{Z}}\ \{k\})$

**lemmas** *ZFact-defs* = *ZFact-def FactRing-def*

**lemma** *ZFact-is-cring*:

**shows** *cring* (*ZFact* *k*)

$\langle proof \rangle$

**lemma** *ZFact-zero*:

*carrier* (*ZFact* 0) =  $(\bigcup a.\ \{\{a\}\})$

$\langle proof \rangle$

**lemma** *ZFact-one*:

*carrier* (*ZFact* 1) =  $\{UNIV\}$

$\langle proof \rangle$

**lemma** *ZFact-prime-is-domain*:

**assumes** *pprime*: *prime* (*nat* *p*)

**shows** *domain* (*ZFact* *p*)

$\langle proof \rangle$

**end**

## References

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