

The Supplemental Isabelle/HOL Library

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Contents

1	GCD: The Greatest Common Divisor	7
1.1	Specification of GCD on nats	7
1.2	GCD on nat by Euclid's algorithm	7
1.3	Derived laws for GCD	8
1.4	LCM defined by GCD	9
1.5	GCD and LCM on integers	10
2	Abstract-Rat: Abstract rational numbers	12
3	AssocList: Map operations implemented on association lists	17
3.1	Lookup	18
3.2	<i>delete</i>	19
3.3	<i>clearjunk</i>	19
3.4	<i>dom</i> and <i>ran</i>	20
3.5	<i>update</i>	20
3.6	<i>updates</i>	21
3.7	<i>map-ran</i>	23
3.8	<i>merge</i>	23
3.9	<i>compose</i>	24
3.10	<i>restrict</i>	25
4	SetsAndFunctions: Operations on sets and functions	27
4.1	Basic definitions	27
4.2	Basic properties	28
5	BigO: Big O notation	32
5.1	Definitions	32
5.2	Setsum	36
5.3	Misc useful stuff	37
5.4	Less than or equal to	37

6	Binomial: Binomial Coefficients	38
6.1	Theorems about <i>choose</i>	40
7	Boolean-Algebra: Boolean Algebras	40
7.1	Complement	41
7.2	Conjunction	42
7.3	Disjunction	42
7.4	De Morgan's Laws	43
7.5	Symmetric Difference	43
8	Product-ord: Order on product types	44
9	Char-nat: Mapping between characters and natural numbers	45
10	Char-ord: Order on characters	48
11	Code-Index: Type of indices	49
11.1	Datatype of indices	49
11.2	Built-in integers as datatype on numerals	49
11.3	Basic arithmetic	50
11.4	Conversion to and from <i>nat</i>	51
11.5	ML interface	52
11.6	Code serialization	52
12	Code-Message: Monolithic strings (message strings) for code generation	53
12.1	Datatype of messages	54
12.2	ML interface	54
12.3	Code serialization	54
13	Coinductive-List: Potentially infinite lists as greatest fixed-point	54
13.1	List constructors over the datatype universe	54
13.2	Corecursive lists	55
13.3	Abstract type definition	56
13.4	Equality as greatest fixed-point – the bisimulation principle	57
13.5	Derived operations – both on the set and abstract type	59
13.5.1	<i>Lconst</i>	59
13.5.2	<i>Lmap</i> and <i>lmap</i>	60
13.5.3	<i>Lappend</i>	60
13.6	iterates	61
13.7	A rather complex proof about iterates – cf. Andy Pitts	62

14 Parity: Even and Odd for int and nat	62
14.1 Even and odd are mutually exclusive	62
14.2 Behavior under integer arithmetic operations	63
14.3 Equivalent definitions	64
14.4 even and odd for nats	64
14.5 Equivalent definitions	64
14.6 Parity and powers	65
14.7 An Equivalence for $0 \leq a^n$	67
14.8 Miscellaneous	67
15 Commutative-Ring: Proving equalities in commutative rings	68
16 Continuity: Continuity and iterations (of set transformers)	72
16.1 Continuity for complete lattices	72
16.2 Chains	73
16.3 Continuity	74
16.4 Iteration	74
17 Code-Integer: Pretty integer literals for code generation	76
18 Efficient-Nat: Implementation of natural numbers by integers	77
18.1 Logical rewrites	78
18.2 Code generator setup for basic functions	80
18.3 Preprocessors	81
18.4 Module names	82
19 Eval-Witness: Evaluation Oracle with ML witnesses	82
19.1 Toy Examples	83
19.2 Discussion	83
19.2.1 Conflicts	83
19.2.2 Haskell	83
20 Executable-Set: Implementation of finite sets by lists	84
20.1 Definitional rewrites	84
20.2 Operations on lists	84
20.2.1 Basic definitions	84
20.2.2 Derived definitions	85
20.3 Isomorphism proofs	86
20.4 code generator setup	88
20.4.1 type serializations	88
20.4.2 const serializations	88

21 FuncSet: Pi and Function Sets	89
21.1 Basic Properties of Pi	90
21.2 Composition With a Restricted Domain: <i>compose</i>	90
21.3 Bounded Abstraction: <i>restrict</i>	91
21.4 Bijections Between Sets	91
21.5 Extensionality	92
21.6 Cardinality	92
22 Infinite-Set: Infinite Sets and Related Concepts	93
22.1 Infinite Sets	93
22.2 Infinitely Many and Almost All	95
22.3 Enumeration of an Infinite Set	96
22.4 Miscellaneous	97
23 Multiset: Multisets	97
23.1 The type of multisets	97
23.2 Algebraic properties of multisets	99
23.2.1 Union	99
23.2.2 Difference	99
23.2.3 Count of elements	99
23.2.4 Set of elements	100
23.2.5 Size	100
23.2.6 Equality of multisets	100
23.2.7 Intersection	101
23.3 Induction over multisets	102
23.4 Multiset orderings	103
23.4.1 Well-foundedness	103
23.4.2 Closure-free presentation	103
23.4.3 Partial-order properties	104
23.4.4 Monotonicity of multiset union	105
23.5 Link with lists	106
23.6 Pointwise ordering induced by count	107
24 NatPair: Pairs of Natural Numbers	108
25 Nat-Infinity: Natural numbers with infinity	108
25.1 Definitions	109
25.2 Constructors	109
25.3 Ordering relations	110
26 Nested-Environment: Nested environments	112
26.1 The lookup operation	112
26.2 The update operation	114

27 Numeral-Type: Numeral Syntax for Types	117
27.1 Preliminary lemmas	117
27.2 Cardinalities of types	117
27.3 Numeral Types	118
27.4 Syntax	118
27.5 Classes with at least 1 and 2	119
27.6 Examples	119
28 Permutation: Permutations	119
28.1 Some examples of rule induction on permutations	120
28.2 Ways of making new permutations	120
28.3 Further results	121
28.4 Removing elements	121
29 Code-Char: Code generation of pretty characters (and strings)	122
30 Code-Char-chr: Code generation of pretty characters with character codes	123
31 Primes: Primality on nat	124
32 Quicksort: Quicksort	124
33 Quotient: Quotient types	125
33.1 Equivalence relations and quotient types	125
33.2 Equality on quotients	126
33.3 Picking representing elements	126
34 Ramsey: Ramsey’s Theorem	127
34.1 Preliminaries	127
34.1.1 “Axiom” of Dependent Choice	127
34.1.2 Partitions of a Set	128
34.2 Ramsey’s Theorem: Infinitary Version	128
34.3 Disjunctive Well-Foundedness	129
35 State-Monad: Combinators syntax for generic, open state monads (single threaded monads)	130
35.1 Motivation	130
35.2 State transformations and combinators	130
35.3 Obsolete runs	131
35.4 Monad laws	132
35.5 Syntax	133
35.6 Combinators	133
36 While-Combinator: A general “while” combinator	134

37 Word: Binary Words	135
37.1 Auxilary Lemmas	135
37.2 Bits	136
37.3 Bit Vectors	137
37.4 Unsigned Arithmetic Operations	142
37.5 Signed Vectors	143
37.6 Signed Arithmetic Operations	146
37.6.1 Conversion from unsigned to signed	146
37.6.2 Unary minus	146
37.7 Structural operations	148
38 Zorn: Zorn's Lemma	151
38.1 Mathematical Preamble	152
38.2 Hausdorff's Theorem: Every Set Contains a Maximal Chain.	153
38.3 Zorn's Lemma: If All Chains Have Upper Bounds Then There Is a Maximal Element	154
38.4 Alternative version of Zorn's Lemma	154
39 List-Prefix: List prefixes and postfixes	155
39.1 Prefix order on lists	155
39.2 Basic properties of prefixes	155
39.3 Parallel lists	157
39.4 Postfix order on lists	158
39.5 Executable code	160
40 List-lexord: Lexicographic order on lists	160

1 GCD: The Greatest Common Divisor

theory *GCD*
imports *Main*
begin

See [3].

1.1 Specification of GCD on nats

definition

is-gcd :: *nat* \Rightarrow *nat* \Rightarrow *bool* **where** — *gcd* as a relation
is-gcd *p m n* \longleftrightarrow *p dvd m* \wedge *p dvd n* \wedge
 $(\forall d. d \text{ dvd } m \longrightarrow d \text{ dvd } n \longrightarrow d \text{ dvd } p)$

Uniqueness

lemma *is-gcd-unique*: *is-gcd m a b* \implies *is-gcd n a b* \implies *m = n*
 $\langle \text{proof} \rangle$

Connection to divides relation

lemma *is-gcd-dvd*: *is-gcd m a b* \implies *k dvd a* \implies *k dvd b* \implies *k dvd m*
 $\langle \text{proof} \rangle$

Commutativity

lemma *is-gcd-commute*: *is-gcd k m n* = *is-gcd k n m*
 $\langle \text{proof} \rangle$

1.2 GCD on nat by Euclid’s algorithm

fun

gcd :: *nat* \times *nat* \Rightarrow *nat*

where

gcd (*m*, *n*) = (if *n* = 0 then *m* else *gcd* (*n*, *m mod n*))

lemma *gcd-induct*:

fixes *m n* :: *nat*

assumes $\bigwedge m. P \ m \ 0$

and $\bigwedge m \ n. 0 < n \implies P \ n \ (m \bmod n) \implies P \ m \ n$

shows *P m n*

$\langle \text{proof} \rangle$

lemma *gcd-0* [*simp*]: *gcd* (*m*, 0) = *m*
 $\langle \text{proof} \rangle$

lemma *gcd-0-left* [*simp*]: *gcd* (0, *m*) = *m*
 $\langle \text{proof} \rangle$

lemma *gcd-non-0*: *n* > 0 \implies *gcd* (*m*, *n*) = *gcd* (*n*, *m mod n*)
 $\langle \text{proof} \rangle$

lemma *gcd-1* [*simp*]: $\text{gcd } (m, \text{Suc } 0) = 1$
 $\langle \text{proof} \rangle$

declare *gcd.simps* [*simp del*]

$\text{gcd } (m, n)$ divides m and n . The conjunctions don’t seem provable separately.

lemma *gcd-dvd1* [*iff*]: $\text{gcd } (m, n) \text{ dvd } m$
and *gcd-dvd2* [*iff*]: $\text{gcd } (m, n) \text{ dvd } n$
 $\langle \text{proof} \rangle$

Maximality: for all m, n, k naturals, if k divides m and k divides n then k divides $\text{gcd } (m, n)$.

lemma *gcd-greatest*: $k \text{ dvd } m \implies k \text{ dvd } n \implies k \text{ dvd } \text{gcd } (m, n)$
 $\langle \text{proof} \rangle$

Function *gcd* yields the Greatest Common Divisor.

lemma *is-gcd*: $\text{is-gcd } (\text{gcd } (m, n)) \text{ } m \text{ } n$
 $\langle \text{proof} \rangle$

1.3 Derived laws for GCD

lemma *gcd-greatest-iff* [*iff*]: $k \text{ dvd } \text{gcd } (m, n) \longleftrightarrow k \text{ dvd } m \wedge k \text{ dvd } n$
 $\langle \text{proof} \rangle$

lemma *gcd-zero*: $\text{gcd } (m, n) = 0 \longleftrightarrow m = 0 \wedge n = 0$
 $\langle \text{proof} \rangle$

lemma *gcd-commute*: $\text{gcd } (m, n) = \text{gcd } (n, m)$
 $\langle \text{proof} \rangle$

lemma *gcd-assoc*: $\text{gcd } (\text{gcd } (k, m), n) = \text{gcd } (k, \text{gcd } (m, n))$
 $\langle \text{proof} \rangle$

lemma *gcd-1-left* [*simp*]: $\text{gcd } (\text{Suc } 0, m) = 1$
 $\langle \text{proof} \rangle$

Multiplication laws

lemma *gcd-mult-distrib2*: $k * \text{gcd } (m, n) = \text{gcd } (k * m, k * n)$
— [3, page 27]
 $\langle \text{proof} \rangle$

lemma *gcd-mult* [*simp*]: $\text{gcd } (k, k * n) = k$
 $\langle \text{proof} \rangle$

lemma *gcd-self* [*simp*]: $\text{gcd } (k, k) = k$

$\langle proof \rangle$

lemma *relprime-dvd-mult*: $gcd\ (k, n) = 1 \implies k\ dvd\ m * n \implies k\ dvd\ m$
 $\langle proof \rangle$

lemma *relprime-dvd-mult-iff*: $gcd\ (k, n) = 1 \implies (k\ dvd\ m * n) = (k\ dvd\ m)$
 $\langle proof \rangle$

lemma *gcd-mult-cancel*: $gcd\ (k, n) = 1 \implies gcd\ (k * m, n) = gcd\ (m, n)$
 $\langle proof \rangle$

Addition laws

lemma *gcd-add1* [*simp*]: $gcd\ (m + n, n) = gcd\ (m, n)$
 $\langle proof \rangle$

lemma *gcd-add2* [*simp*]: $gcd\ (m, m + n) = gcd\ (m, n)$
 $\langle proof \rangle$

lemma *gcd-add2'* [*simp*]: $gcd\ (m, n + m) = gcd\ (m, n)$
 $\langle proof \rangle$

lemma *gcd-add-mult*: $gcd\ (m, k * m + n) = gcd\ (m, n)$
 $\langle proof \rangle$

lemma *gcd-dvd-prod*: $gcd\ (m, n)\ dvd\ m * n$
 $\langle proof \rangle$

Division by gcd yields relatively primes.

lemma *div-gcd-relprime*:
assumes *nz*: $a \neq 0 \vee b \neq 0$
shows $gcd\ (a\ div\ gcd(a,b), b\ div\ gcd(a,b)) = 1$
 $\langle proof \rangle$

1.4 LCM defined by GCD

definition

$lcm :: nat \times nat \Rightarrow nat$

where

$lcm = (\lambda(m, n). m * n\ div\ gcd\ (m, n))$

lemma *lcm-def*:
 $lcm\ (m, n) = m * n\ div\ gcd\ (m, n)$
 $\langle proof \rangle$

lemma *prod-gcd-lcm*:
 $m * n = gcd\ (m, n) * lcm\ (m, n)$
 $\langle proof \rangle$

lemma *lcm-0* [*simp*]: $lcm\ (m, 0) = 0$

$\langle proof \rangle$

lemma *lcm-1* [simp]: $lcm\ (m,\ 1) = m$
 $\langle proof \rangle$

lemma *lcm-0-left* [simp]: $lcm\ (0,\ n) = 0$
 $\langle proof \rangle$

lemma *lcm-1-left* [simp]: $lcm\ (1,\ m) = m$
 $\langle proof \rangle$

lemma *dvd-pos*:
 fixes $n\ m :: nat$
 assumes $n > 0$ and $m\ dvd\ n$
 shows $m > 0$
 $\langle proof \rangle$

lemma *lcm-least*:
 assumes $m\ dvd\ k$ and $n\ dvd\ k$
 shows $lcm\ (m,\ n)\ dvd\ k$
 $\langle proof \rangle$

lemma *lcm-dvd1* [iff]:
 $m\ dvd\ lcm\ (m,\ n)$
 $\langle proof \rangle$

lemma *lcm-dvd2* [iff]:
 $n\ dvd\ lcm\ (m,\ n)$
 $\langle proof \rangle$

1.5 GCD and LCM on integers

definition
 $igcd :: int \Rightarrow int \Rightarrow int$ **where**
 $igcd\ i\ j = int\ (gcd\ (nat\ (abs\ i),\ nat\ (abs\ j)))$

lemma *igcd-dvd1* [simp]: $igcd\ i\ j\ dvd\ i$
 $\langle proof \rangle$

lemma *igcd-dvd2* [simp]: $igcd\ i\ j\ dvd\ j$
 $\langle proof \rangle$

lemma *igcd-pos*: $igcd\ i\ j \geq 0$
 $\langle proof \rangle$

lemma *igcd0* [simp]: $(igcd\ i\ j = 0) = (i = 0 \wedge j = 0)$
 $\langle proof \rangle$

lemma *igcd-commute*: $igcd\ i\ j = igcd\ j\ i$

$\langle proof \rangle$

lemma *igcd-neg1* [simp]: $igcd (- i) j = igcd i j$
 $\langle proof \rangle$

lemma *igcd-neg2* [simp]: $igcd i (- j) = igcd i j$
 $\langle proof \rangle$

lemma *zrelprime-dvd-mult*: $igcd i j = 1 \implies i \text{ dvd } k * j \implies i \text{ dvd } k$
 $\langle proof \rangle$

lemma *int-nat-abs*: $int (nat (abs x)) = abs x$ $\langle proof \rangle$

lemma *igcd-greatest*:
 assumes $k \text{ dvd } m$ and $k \text{ dvd } n$
 shows $k \text{ dvd } igcd m n$
 $\langle proof \rangle$

lemma *div-igcd-relprime*:
 assumes $nz: a \neq 0 \vee b \neq 0$
 shows $igcd (a \text{ div } (igcd a b)) (b \text{ div } (igcd a b)) = 1$
 $\langle proof \rangle$

definition *ilcm* = $(\lambda i j. int (lcm(nat(abs i), nat(abs j))))$

lemma *dvd-ilcm-self1* [simp]: $i \text{ dvd } ilcm i j$
 $\langle proof \rangle$

lemma *dvd-ilcm-self2* [simp]: $j \text{ dvd } ilcm i j$
 $\langle proof \rangle$

lemma *dvd-imp-dvd-ilcm1*:
 assumes $k \text{ dvd } i$ shows $k \text{ dvd } (ilcm i j)$
 $\langle proof \rangle$

lemma *dvd-imp-dvd-ilcm2*:
 assumes $k \text{ dvd } j$ shows $k \text{ dvd } (ilcm i j)$
 $\langle proof \rangle$

lemma *zdvd-self-abs1*: $(d::int) \text{ dvd } (abs d)$
 $\langle proof \rangle$

lemma *zdvd-self-abs2*: $(abs (d::int)) \text{ dvd } d$
 $\langle proof \rangle$

lemma *lcm-pos*:
 assumes *mpos*: $m > 0$
 and *npos*: $n > 0$
 shows $\text{lcm } (m, n) > 0$
 $\langle \text{proof} \rangle$

lemma *ilcm-pos*:
 assumes *anz*: $a \neq 0$
 and *bnz*: $b \neq 0$
 shows $0 < \text{ilcm } a \ b$
 $\langle \text{proof} \rangle$

end

2 Abstract-Rat: Abstract rational numbers

theory *Abstract-Rat*
imports *GCD*
begin

types $\text{Num} = \text{int} \times \text{int}$

abbreviation
 $\text{Num}0\text{-syn} :: \text{Num } (0_N)$
where $0_N \equiv (0, 0)$

abbreviation
 $\text{Num}i\text{-syn} :: \text{int} \Rightarrow \text{Num } (-_N)$
where $i_N \equiv (i, 1)$

definition
 $\text{isnormNum} :: \text{Num} \Rightarrow \text{bool}$
where
 $\text{isnormNum} = (\lambda(a, b). (\text{if } a = 0 \text{ then } b = 0 \text{ else } b > 0 \wedge \text{igcd } a \ b = 1))$

definition
 $\text{normNum} :: \text{Num} \Rightarrow \text{Num}$
where
 $\text{normNum} = (\lambda(a, b). (\text{if } a=0 \vee b = 0 \text{ then } (0, 0) \text{ else } \\ (\text{let } g = \text{igcd } a \ b \\ \text{in if } b > 0 \text{ then } (a \text{ div } g, b \text{ div } g) \text{ else } (- (a \text{ div } g), - (b \text{ div } g))))))$

lemma *normNum-isnormNum [simp]*: $\text{isnormNum } (\text{normNum } x)$
 $\langle \text{proof} \rangle$

Arithmetic over Num

definition
 $\text{Nadd} :: \text{Num} \Rightarrow \text{Num} \Rightarrow \text{Num } (\text{infixl } +_N \ 60)$

where

$Nadd = (\lambda(a,b) (a',b'). \text{ if } a = 0 \vee b = 0 \text{ then } normNum(a',b') \\ \text{ else if } a'=0 \vee b' = 0 \text{ then } normNum(a,b) \\ \text{ else } normNum(a*b' + b*a', b*b'))$

definition

$Nmul :: Num \Rightarrow Num \Rightarrow Num \text{ (infixl } *_N 60)$

where

$Nmul = (\lambda(a,b) (a',b'). \text{ let } g = igcd (a*a') (b*b') \\ \text{ in } (a*a' \text{ div } g, b*b' \text{ div } g))$

definition

$Nneg :: Num \Rightarrow Num (\sim_N)$

where

$Nneg \equiv (\lambda(a,b). (-a,b))$

definition

$Nsub :: Num \Rightarrow Num \Rightarrow Num \text{ (infixl } -_N 60)$

where

$Nsub = (\lambda a b. a +_N \sim_N b)$

definition

$Ninv :: Num \Rightarrow Num$

where

$Ninv \equiv \lambda(a,b). \text{ if } a < 0 \text{ then } (-b, |a|) \text{ else } (b,a)$

definition

$Ndiv :: Num \Rightarrow Num \Rightarrow Num \text{ (infixl } \div_N 60)$

where

$Ndiv \equiv \lambda a b. a *_N Ninv b$

lemma $Nneg\text{-}normN[simp]$: $isnormNum x \implies isnormNum (\sim_N x)$
 $\langle proof \rangle$

lemma $Nadd\text{-}normN[simp]$: $isnormNum (x +_N y)$
 $\langle proof \rangle$

lemma $Nsub\text{-}normN[simp]$: $\llbracket isnormNum y \rrbracket \implies isnormNum (x -_N y)$
 $\langle proof \rangle$

lemma $Nmul\text{-}normN[simp]$: **assumes** $xn:isnormNum x$ **and** $yn:isnormNum y$
shows $isnormNum (x *_N y)$
 $\langle proof \rangle$

lemma $Ninv\text{-}normN[simp]$: $isnormNum x \implies isnormNum (Ninv x)$
 $\langle proof \rangle$

lemma $isnormNum\text{-}int[simp]$:
 $isnormNum 0_N \text{ isnormNum } (1::int)_N i \neq 0 \implies isnormNum i_N$
 $\langle proof \rangle$

Relations over Num

definition

$$Nlt0 :: Num \Rightarrow bool \ (0 >_N)$$
where

$$Nlt0 = (\lambda(a,b). a < 0)$$
definition

$$Nle0 :: Num \Rightarrow bool \ (0 \geq_N)$$
where

$$Nle0 = (\lambda(a,b). a \leq 0)$$
definition

$$Ngt0 :: Num \Rightarrow bool \ (0 <_N)$$
where

$$Ngt0 = (\lambda(a,b). a > 0)$$
definition

$$Nge0 :: Num \Rightarrow bool \ (0 \leq_N)$$
where

$$Nge0 = (\lambda(a,b). a \geq 0)$$
definition

$$Nlt :: Num \Rightarrow Num \Rightarrow bool \ (\mathbf{infix} <_N \ 55)$$
where

$$Nlt = (\lambda a \ b. 0 >_N (a -_N b))$$
definition

$$Nle :: Num \Rightarrow Num \Rightarrow bool \ (\mathbf{infix} \leq_N \ 55)$$
where

$$Nle = (\lambda a \ b. 0 \geq_N (a -_N b))$$
definition

$$INum = (\lambda(a,b). \text{of-int } a \ / \ \text{of-int } b)$$

lemma *INum-int [simp]*: $INum \ i_N = ((\text{of-int } i) :: 'a :: \text{field}) \ INum \ 0_N = (0 :: 'a :: \text{field})$
 $\langle \text{proof} \rangle$

lemma *isnormNum-unique[simp]*:

assumes *na*: *isnormNum* *x* **and** *nb*: *isnormNum* *y*

shows $((INum \ x :: 'a :: \{\text{ring-char-0, field, division-by-zero}\}) = INum \ y) = (x = y)$ **(is ?lhs = ?rhs)**
 $\langle \text{proof} \rangle$

lemma *isnormNum0[simp]*: $isnormNum \ x \implies (INum \ x = (0 :: 'a :: \{\text{ring-char-0, field, division-by-zero}\})) = (x = 0_N)$
 $\langle \text{proof} \rangle$

lemma *of-int-div-aux*: $d \sim 0 \implies ((\text{of-int } x) :: 'a :: \{\text{field, ring-char-0}\}) \ / \ (\text{of-int } d) =$

$of_int\ (x\ div\ d) + (of_int\ (x\ mod\ d)) / ((of_int\ d)::'a)$
 $\langle proof \rangle$

lemma *of-int-div*: $(d::int) \sim = 0 ==> d\ dvd\ n ==>$
 $(of_int(n\ div\ d)::'a::\{field,\ ring_char-0\}) = of_int\ n / of_int\ d$
 $\langle proof \rangle$

lemma *normNum[simp]*: $INum\ (normNum\ x) = (INum\ x :: 'a::\{ring_char-0,field,$
division-by-zero $\})$
 $\langle proof \rangle$

lemma *INum-normNum-iff* [code]: $(INum\ x :: 'a::\{field,\ division-by-zero,\ ring_char-0\})$
 $= INum\ y \longleftrightarrow normNum\ x = normNum\ y$ (**is** ?lhs = ?rhs)
 $\langle proof \rangle$

lemma *Nadd[simp]*: $INum\ (x +_N y) = INum\ x + (INum\ y :: 'a :: \{ring_char-0,division-by-zero,field\})$
 $\langle proof \rangle$

lemma *Nmul[simp]*: $INum\ (x *_N y) = INum\ x * (INum\ y :: 'a :: \{ring_char-0,division-by-zero,field\})$
 $\langle proof \rangle$

lemma *Nneg[simp]*: $INum\ (\sim_N x) = - (INum\ x :: 'a:: field)$
 $\langle proof \rangle$

lemma *Nsub[simp]*: **shows** $INum\ (x -_N y) = INum\ x - (INum\ y :: 'a :: \{ring_char-0,division-by-zero,field\})$
 $\langle proof \rangle$

lemma *Ninv[simp]*: $INum\ (Ninv\ x) = (1::'a :: \{division-by-zero,field\}) / (INum\ x)$
 $\langle proof \rangle$

lemma *Ndiv[simp]*: $INum\ (x \div_N y) = INum\ x / (INum\ y :: 'a :: \{ring_char-0,$
division-by-zero,field $\})$ $\langle proof \rangle$

lemma *Nlt0-iff[simp]*: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{ring_char-0,division-by-zero,ordered-field\}) < 0) = 0 >_N$
 x
 $\langle proof \rangle$

lemma *Nle0-iff[simp]*: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{ring_char-0,division-by-zero,ordered-field\}) \leq 0) = 0 \geq_N$
 x
 $\langle proof \rangle$

lemma *Nglt0-iff[simp]*: **assumes** $nx: isnormNum\ x$ **shows** $((INum\ x :: 'a :: \{ring_char-0,division-by-zero,ordered-field\}) < 0) = 0 <_N x$
 $\langle proof \rangle$

lemma *Nge0-iff*[simp]: **assumes** $nx: isnormNum\ x$
shows $((INum\ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) \geq 0) = 0 \leq_N x$
 $\langle proof \rangle$

lemma *Nlt-iff*[simp]: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$
shows $((INum\ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) < INum\ y) = (x <_N y)$
 $\langle proof \rangle$

lemma *Nle-iff*[simp]: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$
shows $((INum\ x :: 'a :: \{\text{ring-char-0, division-by-zero, ordered-field}\}) \leq INum\ y) = (x \leq_N y)$
 $\langle proof \rangle$

lemma *Nadd-commute*: $x +_N y = y +_N x$
 $\langle proof \rangle$

lemma[simp]: $(0, b) +_N y = normNum\ y\ (a, 0) +_N y = normNum\ y$
 $x +_N (0, b) = normNum\ x\ x +_N (a, 0) = normNum\ x$
 $\langle proof \rangle$

lemma *normNum-nilpotent-aux*[simp]: **assumes** $nx: isnormNum\ x$
shows $normNum\ x = x$
 $\langle proof \rangle$

lemma *normNum-nilpotent*[simp]: $normNum\ (normNum\ x) = normNum\ x$
 $\langle proof \rangle$

lemma *normNum0*[simp]: $normNum\ (0, b) = 0_N\ normNum\ (a, 0) = 0_N$
 $\langle proof \rangle$

lemma *normNum-Nadd*: $normNum\ (x +_N y) = x +_N y$ $\langle proof \rangle$

lemma *Nadd-normNum1*[simp]: $normNum\ x +_N y = x +_N y$
 $\langle proof \rangle$

lemma *Nadd-normNum2*[simp]: $x +_N normNum\ y = x +_N y$
 $\langle proof \rangle$

lemma *Nadd-assoc*: $x +_N y +_N z = x +_N (y +_N z)$
 $\langle proof \rangle$

lemma *Nmul-commute*: $isnormNum\ x \implies isnormNum\ y \implies x *_N y = y *_N x$
 $\langle proof \rangle$

lemma *Nmul-assoc*: **assumes** $nx: isnormNum\ x$ **and** $ny: isnormNum\ y$ **and** $nz: isnormNum\ z$
shows $x *_N y *_N z = x *_N (y *_N z)$
 $\langle proof \rangle$

lemma *Nsub0*: **assumes** $x: isnormNum\ x$ **and** $y: isnormNum\ y$ **shows** $(x -_N y = 0_N) = (x = y)$

$\langle \text{proof} \rangle$

lemma *Nmul0[simp]*: $c *_N 0_N = 0_N \quad 0_N *_N c = 0_N$
 $\langle \text{proof} \rangle$

lemma *Nmul-eq0[simp]*: **assumes** $nx: \text{isnormNum } x$ **and** $ny: \text{isnormNum } y$
shows $(x *_N y = 0_N) = (x = 0_N \vee y = 0_N)$
 $\langle \text{proof} \rangle$

lemma *Nneg-Nneg[simp]*: $\sim_N (\sim_N c) = c$
 $\langle \text{proof} \rangle$

lemma *Nmul1[simp]*:
 $\text{isnormNum } c \implies 1_N *_N c = c$
 $\text{isnormNum } c \implies c *_N 1_N = c$
 $\langle \text{proof} \rangle$

end

3 AssocList: Map operations implemented on association lists

theory *AssocList*
imports *Map*
begin

The operations preserve distinctness of keys and function *clearjunk* distributes over them. Since *clearjunk* enforces distinctness of keys it can be used to establish the invariant, e.g. for inductive proofs.

fun
 $\text{delete} :: 'key \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$
where
 $\text{delete } k \ [] = []$
 $| \text{delete } k (p \# ps) = (\text{if } \text{fst } p = k \text{ then } \text{delete } k \text{ } ps \text{ else } p \# \text{delete } k \text{ } ps)$

fun
 $\text{update} :: 'key \Rightarrow 'val \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$
where
 $\text{update } k \ v \ [] = [(k, v)]$
 $| \text{update } k \ v (p \# ps) = (\text{if } \text{fst } p = k \text{ then } (k, v) \# ps \text{ else } p \# \text{update } k \ v \text{ } ps)$

function
 $\text{updates} :: 'key \text{ list} \Rightarrow 'val \text{ list} \Rightarrow ('key \times 'val) \text{ list} \Rightarrow ('key \times 'val) \text{ list}$
where
 $\text{updates } [] \text{ } vs \text{ } ps = ps$
 $| \text{updates } (k \# ks) \text{ } vs \text{ } ps = (\text{case } vs$
 $\quad \text{of } [] \Rightarrow ps$
 $\quad | (v \# vs') \Rightarrow \text{updates } ks \text{ } vs' (\text{update } k \ v \text{ } ps))$
 $\langle \text{proof} \rangle$

termination $\langle proof \rangle$

fun

$merge :: ('key \times 'val) list \Rightarrow ('key \times 'val) list \Rightarrow ('key \times 'val) list$

where

$merge\ qs\ [] = qs$

$| merge\ qs\ (p\#ps) = update\ (fst\ p)\ (snd\ p)\ (merge\ qs\ ps)$

lemma *length-delete-le*: $length\ (delete\ k\ al) \leq length\ al$

$\langle proof \rangle$

lemma *compose-hint* [simp]:

$length\ (delete\ k\ al) < Suc\ (length\ al)$

$\langle proof \rangle$

function

$compose :: ('key \times 'a) list \Rightarrow ('a \times 'b) list \Rightarrow ('key \times 'b) list$

where

$compose\ []\ ys = []$

$| compose\ (x\#xs)\ ys = (case\ map-of\ ys\ (snd\ x)$

$of\ None \Rightarrow compose\ (delete\ (fst\ x)\ xs)\ ys$

$| Some\ v \Rightarrow (fst\ x,\ v)\ \# compose\ xs\ ys)$

$\langle proof \rangle$

termination $\langle proof \rangle$

fun

$restrict :: 'key\ set \Rightarrow ('key \times 'val) list \Rightarrow ('key \times 'val) list$

where

$restrict\ A\ [] = []$

$| restrict\ A\ (p\#ps) = (if\ fst\ p \in A\ then\ p\#restrict\ A\ ps\ else\ restrict\ A\ ps)$

fun

$map-ran :: ('key \Rightarrow 'val \Rightarrow 'val) \Rightarrow ('key \times 'val) list \Rightarrow ('key \times 'val) list$

where

$map-ran\ f\ [] = []$

$| map-ran\ f\ (p\#ps) = (fst\ p,\ f\ (fst\ p)\ (snd\ p))\ \# map-ran\ f\ ps$

fun

$clearjunk :: ('key \times 'val) list \Rightarrow ('key \times 'val) list$

where

$clearjunk\ [] = []$

$| clearjunk\ (p\#ps) = p\ \# clearjunk\ (delete\ (fst\ p)\ ps)$

lemmas [simp del] = *compose-hint*

3.1 Lookup

lemma *lookup-simps* [code func]:

$map-of\ []\ k = None$

$\text{map-of } (p \# ps) \ k = (\text{if } \text{fst } p = k \text{ then } \text{Some } (\text{snd } p) \text{ else } \text{map-of } ps \ k)$
 $\langle \text{proof} \rangle$

3.2 delete

lemma *delete-def*:

$\text{delete } k \ xs = \text{filter } (\lambda p. \text{fst } p \neq k) \ xs$
 $\langle \text{proof} \rangle$

lemma *delete-id* [simp]: $k \notin \text{fst } \text{'set } al \implies \text{delete } k \ al = al$
 $\langle \text{proof} \rangle$

lemma *delete-conv*: $\text{map-of } (\text{delete } k \ al) \ k' = ((\text{map-of } al)(k := \text{None})) \ k'$
 $\langle \text{proof} \rangle$

lemma *delete-conv'*: $\text{map-of } (\text{delete } k \ al) = ((\text{map-of } al)(k := \text{None}))$
 $\langle \text{proof} \rangle$

lemma *delete-idem*: $\text{delete } k \ (\text{delete } k \ al) = \text{delete } k \ al$
 $\langle \text{proof} \rangle$

lemma *map-of-delete* [simp]:

$k' \neq k \implies \text{map-of } (\text{delete } k \ al) \ k' = \text{map-of } al \ k'$
 $\langle \text{proof} \rangle$

lemma *delete-notin-dom*: $k \notin \text{fst } \text{'set } (\text{delete } k \ al)$
 $\langle \text{proof} \rangle$

lemma *dom-delete-subset*: $\text{fst } \text{'set } (\text{delete } k \ al) \subseteq \text{fst } \text{'set } al$
 $\langle \text{proof} \rangle$

lemma *distinct-delete*:

assumes *distinct* (map fst al)
shows *distinct* (map fst (delete k al))
 $\langle \text{proof} \rangle$

lemma *delete-twist*: $\text{delete } x \ (\text{delete } y \ al) = \text{delete } y \ (\text{delete } x \ al)$
 $\langle \text{proof} \rangle$

lemma *clearjunk-delete*: $\text{clearjunk } (\text{delete } x \ al) = \text{delete } x \ (\text{clearjunk } al)$
 $\langle \text{proof} \rangle$

3.3 clearjunk

lemma *insert-fst-filter*:

$\text{insert } a \ (\text{fst } \text{'set } \{x \in \text{set } ps. \text{fst } x \neq a\}) = \text{insert } a \ (\text{fst } \text{'set } ps)$
 $\langle \text{proof} \rangle$

lemma *dom-clearjunk*: $\text{fst } \text{'set } (\text{clearjunk } al) = \text{fst } \text{'set } al$
 $\langle \text{proof} \rangle$

lemma *notin-filter-fst*: $a \notin \text{fst} \text{ ‘ } \{x \in \text{set } ps. \text{fst } x \neq a\}$
 ⟨proof⟩

lemma *distinct-clearjunk* [simp]: $\text{distinct } (\text{map } \text{fst } (\text{clearjunk } al))$
 ⟨proof⟩

lemma *map-of-filter*: $k \neq a \implies \text{map-of } [q \leftarrow ps. \text{fst } q \neq a] \text{ } k = \text{map-of } ps \text{ } k$
 ⟨proof⟩

lemma *map-of-clearjunk*: $\text{map-of } (\text{clearjunk } al) = \text{map-of } al$
 ⟨proof⟩

lemma *length-clearjunk*: $\text{length } (\text{clearjunk } al) \leq \text{length } al$
 ⟨proof⟩

lemma *notin-fst-filter*: $a \notin \text{fst} \text{ ‘ } \text{set } ps \implies [q \leftarrow ps. \text{fst } q \neq a] = ps$
 ⟨proof⟩

lemma *distinct-clearjunk-id* [simp]: $\text{distinct } (\text{map } \text{fst } al) \implies \text{clearjunk } al = al$
 ⟨proof⟩

lemma *clearjunk-idem*: $\text{clearjunk } (\text{clearjunk } al) = \text{clearjunk } al$
 ⟨proof⟩

3.4 dom and ran

lemma *dom-map-of'*: $\text{fst} \text{ ‘ } \text{set } al = \text{dom } (\text{map-of } al)$
 ⟨proof⟩

lemmas *dom-map-of* = *dom-map-of'* [symmetric]

lemma *ran-clearjunk*: $\text{ran } (\text{map-of } (\text{clearjunk } al)) = \text{ran } (\text{map-of } al)$
 ⟨proof⟩

lemma *ran-distinct*:
 assumes *dist*: $\text{distinct } (\text{map } \text{fst } al)$
 shows $\text{ran } (\text{map-of } al) = \text{snd} \text{ ‘ } \text{set } al$
 ⟨proof⟩

lemma *ran-map-of*: $\text{ran } (\text{map-of } al) = \text{snd} \text{ ‘ } \text{set } (\text{clearjunk } al)$
 ⟨proof⟩

3.5 update

lemma *update-conv*: $\text{map-of } (\text{update } k \text{ } v \text{ } al) \text{ } k' = ((\text{map-of } al)(k \mapsto v)) \text{ } k'$
 ⟨proof⟩

lemma *update-conv'*: $\text{map-of } (\text{update } k \text{ } v \text{ } al) = ((\text{map-of } al)(k \mapsto v))$
 ⟨proof⟩

lemma *dom-update*: $\text{fst} \text{ ` set } (\text{update } k \ v \ al) = \{k\} \cup \text{fst} \text{ ` set } al$
 $\langle \text{proof} \rangle$

lemma *distinct-update*:
assumes *distinct* ($\text{map } \text{fst } al$)
shows *distinct* ($\text{map } \text{fst } (\text{update } k \ v \ al)$)
 $\langle \text{proof} \rangle$

lemma *update-filter*:
 $a \neq k \implies \text{update } k \ v \ [q \leftarrow ps \ . \ \text{fst } q \neq a] = [q \leftarrow \text{update } k \ v \ ps \ . \ \text{fst } q \neq a]$
 $\langle \text{proof} \rangle$

lemma *clearjunk-update*: $\text{clearjunk } (\text{update } k \ v \ al) = \text{update } k \ v \ (\text{clearjunk } al)$
 $\langle \text{proof} \rangle$

lemma *update-triv*: $\text{map-of } al \ k = \text{Some } v \implies \text{update } k \ v \ al = al$
 $\langle \text{proof} \rangle$

lemma *update-nonempty* [*simp*]: $\text{update } k \ v \ al \neq []$
 $\langle \text{proof} \rangle$

lemma *update-eqD*: $\text{update } k \ v \ al = \text{update } k \ v' \ al' \implies v = v'$
 $\langle \text{proof} \rangle$

lemma *update-last* [*simp*]: $\text{update } k \ v \ (\text{update } k \ v' \ al) = \text{update } k \ v \ al$
 $\langle \text{proof} \rangle$

Note that the lists are not necessarily the same: $\text{update } k \ v \ (\text{update } k' \ v' \ []) = [(k', v'), (k, v)]$ and $\text{update } k' \ v' \ (\text{update } k \ v \ []) = [(k, v), (k', v')]$.

lemma *update-swap*: $k \neq k'$
 $\implies \text{map-of } (\text{update } k \ v \ (\text{update } k' \ v' \ al)) = \text{map-of } (\text{update } k' \ v' \ (\text{update } k \ v \ al))$
 $\langle \text{proof} \rangle$

lemma *update-Some-unfold*:
 $(\text{map-of } (\text{update } k \ v \ al) \ x = \text{Some } y) =$
 $(x = k \wedge v = y \vee x \neq k \wedge \text{map-of } al \ x = \text{Some } y)$
 $\langle \text{proof} \rangle$

lemma *image-update* [*simp*]: $x \notin A \implies \text{map-of } (\text{update } x \ y \ al) \text{ ` } A = \text{map-of } al \text{ ` } A$
 $\langle \text{proof} \rangle$

3.6 updates

lemma *updates-conv*: $\text{map-of } (\text{updates } ks \ vs \ al) \ k = ((\text{map-of } al)(ks[\mapsto]vs)) \ k$
 $\langle \text{proof} \rangle$

lemma *updates-conv'*: $\text{map-of } (\text{updates } ks \text{ } vs \text{ } al) = ((\text{map-of } al)(ks[\mapsto] vs))$
 ⟨proof⟩

lemma *distinct-updates*:
 assumes *distinct* ($\text{map fst } al$)
 shows *distinct* ($\text{map fst } (\text{updates } ks \text{ } vs \text{ } al)$)
 ⟨proof⟩

lemma *clearjunk-updates*:
 $\text{clearjunk } (\text{updates } ks \text{ } vs \text{ } al) = \text{updates } ks \text{ } vs \text{ } (\text{clearjunk } al)$
 ⟨proof⟩

lemma *updates-empty[simp]*: $\text{updates } vs \ [] \text{ } al = al$
 ⟨proof⟩

lemma *updates-Cons*: $\text{updates } (k\#ks) \text{ } (v\#vs) \text{ } al = \text{updates } ks \text{ } vs \text{ } (\text{update } k \text{ } v \text{ } al)$
 ⟨proof⟩

lemma *updates-append1[simp]*: $\text{size } ks < \text{size } vs \implies$
 $\text{updates } (ks@[k]) \text{ } vs \text{ } al = \text{update } k \text{ } (vs[\text{size } ks]) \text{ } (\text{updates } ks \text{ } vs \text{ } al)$
 ⟨proof⟩

lemma *updates-list-update-drop[simp]*:
 $\llbracket \text{size } ks \leq i; i < \text{size } vs \rrbracket$
 $\implies \text{updates } ks \text{ } (vs[i:=v]) \text{ } al = \text{updates } ks \text{ } vs \text{ } al$
 ⟨proof⟩

lemma *update-updates-conv-if*:
 $\text{map-of } (\text{updates } xs \text{ } ys \text{ } (\text{update } x \text{ } y \text{ } al)) =$
 $\text{map-of } (\text{if } x \in \text{set } (\text{take } (\text{length } ys) \text{ } xs) \text{ then } \text{updates } xs \text{ } ys \text{ } al$
 $\text{else } (\text{update } x \text{ } y \text{ } (\text{updates } xs \text{ } ys \text{ } al)))$
 ⟨proof⟩

lemma *updates-twist [simp]*:
 $k \notin \text{set } ks \implies$
 $\text{map-of } (\text{updates } ks \text{ } vs \text{ } (\text{update } k \text{ } v \text{ } al)) = \text{map-of } (\text{update } k \text{ } v \text{ } (\text{updates } ks \text{ } vs \text{ } al))$
 ⟨proof⟩

lemma *updates-apply-notin[simp]*:
 $k \notin \text{set } ks \implies \text{map-of } (\text{updates } ks \text{ } vs \text{ } al) \text{ } k = \text{map-of } al \text{ } k$
 ⟨proof⟩

lemma *updates-append-drop[simp]*:
 $\text{size } xs = \text{size } ys \implies \text{updates } (xs@zs) \text{ } ys \text{ } al = \text{updates } xs \text{ } ys \text{ } al$
 ⟨proof⟩

lemma *updates-append2-drop[simp]*:
 $\text{size } xs = \text{size } ys \implies \text{updates } xs \text{ } (ys@zs) \text{ } al = \text{updates } xs \text{ } ys \text{ } al$
 ⟨proof⟩

3.7 *map-ran*

lemma *map-ran-conv*: $\text{map-of } (\text{map-ran } f \text{ al}) \text{ } k = \text{option-map } (f \text{ } k) (\text{map-of } \text{al } k)$
 ⟨proof⟩

lemma *dom-map-ran*: $\text{fst } \text{' set } (\text{map-ran } f \text{ al}) = \text{fst } \text{' set } \text{al}$
 ⟨proof⟩

lemma *distinct-map-ran*: $\text{distinct } (\text{map } \text{fst } \text{al}) \implies \text{distinct } (\text{map } \text{fst } (\text{map-ran } f \text{ al}))$
 ⟨proof⟩

lemma *map-ran-filter*: $\text{map-ran } f \text{ } [p \leftarrow \text{ps}. \text{fst } p \neq a] = [p \leftarrow \text{map-ran } f \text{ ps}. \text{fst } p \neq a]$
 ⟨proof⟩

lemma *clearjunk-map-ran*: $\text{clearjunk } (\text{map-ran } f \text{ al}) = \text{map-ran } f \text{ } (\text{clearjunk } \text{al})$
 ⟨proof⟩

3.8 *merge*

lemma *dom-merge*: $\text{fst } \text{' set } (\text{merge } \text{xs } \text{ys}) = \text{fst } \text{' set } \text{xs} \cup \text{fst } \text{' set } \text{ys}$
 ⟨proof⟩

lemma *distinct-merge*:
assumes $\text{distinct } (\text{map } \text{fst } \text{xs})$
shows $\text{distinct } (\text{map } \text{fst } (\text{merge } \text{xs } \text{ys}))$
 ⟨proof⟩

lemma *clearjunk-merge*:
 $\text{clearjunk } (\text{merge } \text{xs } \text{ys}) = \text{merge } (\text{clearjunk } \text{xs}) \text{ } \text{ys}$
 ⟨proof⟩

lemma *merge-conv*: $\text{map-of } (\text{merge } \text{xs } \text{ys}) \text{ } k = (\text{map-of } \text{xs } ++ \text{map-of } \text{ys}) \text{ } k$
 ⟨proof⟩

lemma *merge-conv'*: $\text{map-of } (\text{merge } \text{xs } \text{ys}) = (\text{map-of } \text{xs } ++ \text{map-of } \text{ys})$
 ⟨proof⟩

lemma *merge-empt*: $\text{map-of } (\text{merge } [] \text{ } \text{ys}) = \text{map-of } \text{ys}$
 ⟨proof⟩

lemma *merge-assoc*[simp]: $\text{map-of } (\text{merge } m1 \text{ } (\text{merge } m2 \text{ } m3)) = \text{map-of } (\text{merge } (\text{merge } m1 \text{ } m2) \text{ } m3)$
 ⟨proof⟩

lemma *merge-Some-iff*:
 $(\text{map-of } (\text{merge } m \text{ } n) \text{ } k = \text{Some } x) =$
 $(\text{map-of } n \text{ } k = \text{Some } x \vee \text{map-of } n \text{ } k = \text{None} \wedge \text{map-of } m \text{ } k = \text{Some } x)$
 ⟨proof⟩

lemmas *merge-SomeD* = *merge-Some-iff* [*THEN iffD1*, *standard*]

declare *merge-SomeD* [*dest!*]

lemma *merge-find-right[simp]*: $\text{map-of } n \ k = \text{Some } v \implies \text{map-of } (\text{merge } m \ n) \ k = \text{Some } v$
 ⟨*proof*⟩

lemma *merge-None [iff]*:
 $(\text{map-of } (\text{merge } m \ n) \ k = \text{None}) = (\text{map-of } n \ k = \text{None} \wedge \text{map-of } m \ k = \text{None})$
 ⟨*proof*⟩

lemma *merge-upd[simp]*:
 $\text{map-of } (\text{merge } m \ (\text{update } k \ v \ n)) = \text{map-of } (\text{update } k \ v \ (\text{merge } m \ n))$
 ⟨*proof*⟩

lemma *merge-updatess[simp]*:
 $\text{map-of } (\text{merge } m \ (\text{updates } xs \ ys \ n)) = \text{map-of } (\text{updates } xs \ ys \ (\text{merge } m \ n))$
 ⟨*proof*⟩

lemma *merge-append*: $\text{map-of } (xs @ ys) = \text{map-of } (\text{merge } ys \ xs)$
 ⟨*proof*⟩

3.9 compose

lemma *compose-first-None [simp]*:
assumes $\text{map-of } xs \ k = \text{None}$
shows $\text{map-of } (\text{compose } xs \ ys) \ k = \text{None}$
 ⟨*proof*⟩

lemma *compose-conv*:
shows $\text{map-of } (\text{compose } xs \ ys) \ k = (\text{map-of } ys \circ_m \text{map-of } xs) \ k$
 ⟨*proof*⟩

lemma *compose-conv'*:
shows $\text{map-of } (\text{compose } xs \ ys) = (\text{map-of } ys \circ_m \text{map-of } xs)$
 ⟨*proof*⟩

lemma *compose-first-Some [simp]*:
assumes $\text{map-of } xs \ k = \text{Some } v$
shows $\text{map-of } (\text{compose } xs \ ys) \ k = \text{map-of } ys \ v$
 ⟨*proof*⟩

lemma *dom-compose*: $\text{fst } \text{'set } (\text{compose } xs \ ys) \subseteq \text{fst } \text{'set } xs$
 ⟨*proof*⟩

lemma *distinct-compose*:
assumes $\text{distinct } (\text{map } \text{fst } xs)$
shows $\text{distinct } (\text{map } \text{fst } (\text{compose } xs \ ys))$

$\langle \text{proof} \rangle$

lemma *compose-delete-twist*: $(\text{compose } (\text{delete } k \text{ } xs) \text{ } ys) = \text{delete } k \text{ } (\text{compose } xs \text{ } ys)$

$\langle \text{proof} \rangle$

lemma *compose-clearjunk*: $\text{compose } xs \text{ } (\text{clearjunk } ys) = \text{compose } xs \text{ } ys$

$\langle \text{proof} \rangle$

lemma *clearjunk-compose*: $\text{clearjunk } (\text{compose } xs \text{ } ys) = \text{compose } (\text{clearjunk } xs) \text{ } ys$

$\langle \text{proof} \rangle$

lemma *compose-empty* [simp]:

$\text{compose } xs \text{ } [] = []$

$\langle \text{proof} \rangle$

lemma *compose-Some-iff*:

$(\text{map-of } (\text{compose } xs \text{ } ys) \text{ } k = \text{Some } v) =$
 $(\exists k'. \text{map-of } xs \text{ } k = \text{Some } k' \wedge \text{map-of } ys \text{ } k' = \text{Some } v)$

$\langle \text{proof} \rangle$

lemma *map-comp-None-iff*:

$(\text{map-of } (\text{compose } xs \text{ } ys) \text{ } k = \text{None}) =$
 $(\text{map-of } xs \text{ } k = \text{None} \vee (\exists k'. \text{map-of } xs \text{ } k = \text{Some } k' \wedge \text{map-of } ys \text{ } k' = \text{None}))$

$\langle \text{proof} \rangle$

3.10 restrict

lemma *restrict-def*:

$\text{restrict } A = \text{filter } (\lambda p. \text{fst } p \in A)$

$\langle \text{proof} \rangle$

lemma *distinct-restr*: $\text{distinct } (\text{map } \text{fst } al) \implies \text{distinct } (\text{map } \text{fst } (\text{restrict } A \text{ } al))$

$\langle \text{proof} \rangle$

lemma *restr-conv*: $\text{map-of } (\text{restrict } A \text{ } al) \text{ } k = ((\text{map-of } al)|^{\cdot} A) \text{ } k$

$\langle \text{proof} \rangle$

lemma *restr-conv'*: $\text{map-of } (\text{restrict } A \text{ } al) = ((\text{map-of } al)|^{\cdot} A)$

$\langle \text{proof} \rangle$

lemma *restr-empty* [simp]:

$\text{restrict } \{\} \text{ } al = []$

$\text{restrict } A \text{ } [] = []$

$\langle \text{proof} \rangle$

lemma *restr-in* [simp]: $x \in A \implies \text{map-of } (\text{restrict } A \text{ } al) \text{ } x = \text{map-of } al \text{ } x$

$\langle \text{proof} \rangle$

lemma *restr-out* [simp]: $x \notin A \implies \text{map-of } (\text{restrict } A \text{ al}) \ x = \text{None}$
 ⟨proof⟩

lemma *dom-restr* [simp]: $\text{fst } \text{' set } (\text{restrict } A \text{ al}) = \text{fst } \text{' set } \text{al} \cap A$
 ⟨proof⟩

lemma *restr-upd-same* [simp]: $\text{restrict } (-\{x\}) \ (\text{update } x \ y \ \text{al}) = \text{restrict } (-\{x\}) \ \text{al}$
 ⟨proof⟩

lemma *restr-restr* [simp]: $\text{restrict } A \ (\text{restrict } B \ \text{al}) = \text{restrict } (A \cap B) \ \text{al}$
 ⟨proof⟩

lemma *restr-update*[simp]:
 $\text{map-of } (\text{restrict } D \ (\text{update } x \ y \ \text{al})) =$
 $\text{map-of } ((\text{if } x \in D \text{ then } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ \text{al})) \text{ else } \text{restrict } D \ \text{al}))$
 ⟨proof⟩

lemma *restr-delete* [simp]:
 $(\text{delete } x \ (\text{restrict } D \ \text{al})) =$
 $(\text{if } x \in D \text{ then } \text{restrict } (D - \{x\}) \ \text{al} \text{ else } \text{restrict } D \ \text{al})$
 ⟨proof⟩

lemma *update-restr*:
 $\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ \text{al})) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ \text{al}))$
 ⟨proof⟩

lemma *upate-restr-conv* [simp]:
 $x \in D \implies$
 $\text{map-of } (\text{update } x \ y \ (\text{restrict } D \ \text{al})) = \text{map-of } (\text{update } x \ y \ (\text{restrict } (D - \{x\}) \ \text{al}))$
 ⟨proof⟩

lemma *restr-updates* [simp]:
 $\llbracket \text{length } xs = \text{length } ys; \text{ set } xs \subseteq D \rrbracket$
 $\implies \text{map-of } (\text{restrict } D \ (\text{updates } xs \ ys \ \text{al})) =$
 $\text{map-of } (\text{updates } xs \ ys \ (\text{restrict } (D - \text{set } xs) \ \text{al}))$
 ⟨proof⟩

lemma *restr-delete-twist*: $(\text{restrict } A \ (\text{delete } a \ ps)) = \text{delete } a \ (\text{restrict } A \ ps)$
 ⟨proof⟩

lemma *clearjunk-restrict*:
 $\text{clearjunk } (\text{restrict } A \ \text{al}) = \text{restrict } A \ (\text{clearjunk } \text{al})$
 ⟨proof⟩

end

4 SetsAndFunctions: Operations on sets and functions

```
theory SetsAndFunctions
imports Main
begin
```

This library lifts operations like addition and multiplication to sets and functions of appropriate types. It was designed to support asymptotic calculations. See the comments at the top of theory *BigO*.

4.1 Basic definitions

```
instance set :: (plus) plus <proof>
instance fun :: (type, plus) plus <proof>

defs (overloaded)
  func-plus:  $f + g == (\%x. f\ x + g\ x)$ 
  set-plus:  $A + B == \{c. EX\ a:A. EX\ b:B. c = a + b\}$ 

instance set :: (times) times <proof>
instance fun :: (type, times) times <proof>

defs (overloaded)
  func-times:  $f * g == (\%x. f\ x * g\ x)$ 
  set-times:  $A * B == \{c. EX\ a:A. EX\ b:B. c = a * b\}$ 

instance fun :: (type, minus) minus <proof>

defs (overloaded)
  func-minus:  $- f == (\%x. - f\ x)$ 
  func-diff:  $f - g == \%x. f\ x - g\ x$ 

instance fun :: (type, zero) zero <proof>
instance set :: (zero) zero <proof>

defs (overloaded)
  func-zero:  $0::('a::type) ==> ('b::zero)) == \%x. 0$ 
  set-zero:  $0::('a::zero) set == \{0\}$ 

instance fun :: (type, one) one <proof>
instance set :: (one) one <proof>

defs (overloaded)
  func-one:  $1::('a::type) ==> ('b::one)) == \%x. 1$ 
  set-one:  $1::('a::one) set == \{1\}$ 

definition
  elt-set-plus :: 'a::plus ==> 'a set ==> 'a set (infixl +o 70) where
```

$$a +_o B = \{c. \text{EX } b:B. c = a + b\}$$

definition

elt-set-times :: 'a::times => 'a set => 'a set (**infixl** *_o 80) **where**
a *_o *B* = {*c*. EX *b*:*B*. *c* = *a* * *b*}

abbreviation (*input*)

elt-set-eq :: 'a => 'a set => bool (**infix** =_o 50) **where**
x =_o *A* == *x* : *A*

instance *fun* :: (type,semigroup-add)semigroup-add
 ⟨proof⟩

instance *fun* :: (type,comm-monoid-add)comm-monoid-add
 ⟨proof⟩

instance *fun* :: (type,ab-group-add)ab-group-add
 ⟨proof⟩

instance *fun* :: (type,semigroup-mult)semigroup-mult
 ⟨proof⟩

instance *fun* :: (type,comm-monoid-mult)comm-monoid-mult
 ⟨proof⟩

instance *fun* :: (type,comm-ring-1)comm-ring-1
 ⟨proof⟩

instance *set* :: (semigroup-add)semigroup-add
 ⟨proof⟩

instance *set* :: (semigroup-mult)semigroup-mult
 ⟨proof⟩

instance *set* :: (comm-monoid-add)comm-monoid-add
 ⟨proof⟩

instance *set* :: (comm-monoid-mult)comm-monoid-mult
 ⟨proof⟩

4.2 Basic properties

lemma *set-plus-intro* [*intro*]: *a* : *C* ==> *b* : *D* ==> *a* + *b* : *C* + *D*
 ⟨proof⟩

lemma *set-plus-intro2* [*intro*]: *b* : *C* ==> *a* + *b* : *a* +_o *C*
 ⟨proof⟩

lemma *set-plus-rearrange*: ((*a*::'a::comm-monoid-add) +_o *C*) +

$$(b +_o D) = (a + b) +_o (C + D)$$

<proof>

lemma *set-plus-rearrange2*: $(a::'a::\text{semigroup-add}) +_o (b +_o C) =$
 $(a + b) +_o C$
<proof>

lemma *set-plus-rearrange3*: $((a::'a::\text{semigroup-add}) +_o B) + C =$
 $a +_o (B + C)$
<proof>

theorem *set-plus-rearrange4*: $C + ((a::'a::\text{comm-monoid-add}) +_o D) =$
 $a +_o (C + D)$
<proof>

theorems *set-plus-rearranges* = *set-plus-rearrange set-plus-rearrange2*
set-plus-rearrange3 set-plus-rearrange4

lemma *set-plus-mono* [intro!]: $C \leq D \implies a +_o C \leq a +_o D$
<proof>

lemma *set-plus-mono2* [intro]: $(C::('a::\text{plus}) \text{ set}) \leq D \implies E \leq F \implies$
 $C + E \leq D + F$
<proof>

lemma *set-plus-mono3* [intro]: $a : C \implies a +_o D \leq C + D$
<proof>

lemma *set-plus-mono4* [intro]: $(a::'a::\text{comm-monoid-add}) : C \implies$
 $a +_o D \leq D + C$
<proof>

lemma *set-plus-mono5*: $a:C \implies B \leq D \implies a +_o B \leq C + D$
<proof>

lemma *set-plus-mono-b*: $C \leq D \implies x : a +_o C$
 $\implies x : a +_o D$
<proof>

lemma *set-plus-mono2-b*: $C \leq D \implies E \leq F \implies x : C + E \implies$
 $x : D + F$
<proof>

lemma *set-plus-mono3-b*: $a : C \implies x : a +_o D \implies x : C + D$
<proof>

lemma *set-plus-mono4-b*: $(a::'a::\text{comm-monoid-add}) : C \implies$
 $x : a +_o D \implies x : D + C$
<proof>

lemma *set-zero-plus* [*simp*]: $(0::'a::comm-monoid-add) +_o C = C$
 ⟨*proof*⟩

lemma *set-zero-plus2*: $(0::'a::comm-monoid-add) : A ==> B <= A + B$
 ⟨*proof*⟩

lemma *set-plus-imp-minus*: $(a::'a::ab-group-add) : b +_o C ==> (a - b) : C$
 ⟨*proof*⟩

lemma *set-minus-imp-plus*: $(a::'a::ab-group-add) - b : C ==> a : b +_o C$
 ⟨*proof*⟩

lemma *set-minus-plus*: $((a::'a::ab-group-add) - b : C) = (a : b +_o C)$
 ⟨*proof*⟩

lemma *set-times-intro* [*intro*]: $a : C ==> b : D ==> a * b : C * D$
 ⟨*proof*⟩

lemma *set-times-intro2* [*intro!*]: $b : C ==> a * b : a *_o C$
 ⟨*proof*⟩

lemma *set-times-rearrange*: $((a::'a::comm-monoid-mult) *_o C) * (b *_o D) = (a * b) *_o (C * D)$
 ⟨*proof*⟩

lemma *set-times-rearrange2*: $(a::'a::semigroup-mult) *_o (b *_o C) = (a * b) *_o C$
 ⟨*proof*⟩

lemma *set-times-rearrange3*: $((a::'a::semigroup-mult) *_o B) * C = a *_o (B * C)$
 ⟨*proof*⟩

theorem *set-times-rearrange4*: $C * ((a::'a::comm-monoid-mult) *_o D) = a *_o (C * D)$
 ⟨*proof*⟩

theorems *set-times-rearranges* = *set-times-rearrange set-times-rearrange2 set-times-rearrange3 set-times-rearrange4*

lemma *set-times-mono* [*intro*]: $C <= D ==> a *_o C <= a *_o D$
 ⟨*proof*⟩

lemma *set-times-mono2* [*intro*]: $(C::('a::times) set) <= D ==> E <= F ==> C * E <= D * F$
 ⟨*proof*⟩

lemma *set-times-mono3* [*intro*]: $a : C ==> a *_o D <= C * D$

$\langle \text{proof} \rangle$

lemma *set-times-mono4* [intro]: $(a::'a::\text{comm-monoid-mult}) : C ==>$
 $a * o D <= D * C$
 $\langle \text{proof} \rangle$

lemma *set-times-mono5*: $a:C ==> B <= D ==> a * o B <= C * D$
 $\langle \text{proof} \rangle$

lemma *set-times-mono-b*: $C <= D ==> x : a * o C$
 $==> x : a * o D$
 $\langle \text{proof} \rangle$

lemma *set-times-mono2-b*: $C <= D ==> E <= F ==> x : C * E ==>$
 $x : D * F$
 $\langle \text{proof} \rangle$

lemma *set-times-mono3-b*: $a : C ==> x : a * o D ==> x : C * D$
 $\langle \text{proof} \rangle$

lemma *set-times-mono4-b*: $(a::'a::\text{comm-monoid-mult}) : C ==>$
 $x : a * o D ==> x : D * C$
 $\langle \text{proof} \rangle$

lemma *set-one-times* [simp]: $(1::'a::\text{comm-monoid-mult}) * o C = C$
 $\langle \text{proof} \rangle$

lemma *set-times-plus-distrib*: $(a::'a::\text{semiring}) * o (b + o C) =$
 $(a * b) + o (a * o C)$
 $\langle \text{proof} \rangle$

lemma *set-times-plus-distrib2*: $(a::'a::\text{semiring}) * o (B + C) =$
 $(a * o B) + (a * o C)$
 $\langle \text{proof} \rangle$

lemma *set-times-plus-distrib3*: $((a::'a::\text{semiring}) + o C) * D <=$
 $a * o D + C * D$
 $\langle \text{proof} \rangle$

theorems *set-times-plus-distribs* =
set-times-plus-distrib
set-times-plus-distrib2

lemma *set-neg-intro*: $(a::'a::\text{ring-1}) : (- 1) * o C ==>$
 $- a : C$
 $\langle \text{proof} \rangle$

lemma *set-neg-intro2*: $(a::'a::\text{ring-1}) : C ==>$
 $- a : (- 1) * o C$

$\langle proof \rangle$

end

5 BigO: Big O notation

```
theory BigO
imports SetsAndFunctions
begin
```

This library is designed to support asymptotic “big O” calculations, i.e. reasoning with expressions of the form $f = O(g)$ and $f = g + O(h)$. An earlier version of this library is described in detail in [2].

The main changes in this version are as follows:

- We have eliminated the O operator on sets. (Most uses of this seem to be inessential.)
- We no longer use $+$ as output syntax for $+o$
- Lemmas involving *sumr* have been replaced by more general lemmas involving ‘*setsum*’.
- The library has been expanded, with e.g. support for expressions of the form $f < g + O(h)$.

See `Complex/ex/BigO_Complex.thy` for additional lemmas that require the `HOL-Complex` logic image.

Note also since the Big O library includes rules that demonstrate set inclusion, to use the automated reasoners effectively with the library one should redeclare the theorem *subsetI* as an intro rule, rather than as an *intro!* rule, for example, using **declare** *subsetI* [*del*, *intro*].

5.1 Definitions

definition

```
bigo :: ('a => 'b::ordered-idom) => ('a => 'b) set ((1O'(-')) where
O(f::('a => 'b)) =
  {h. EX c. ALL x. abs (h x) <= c * abs (f x)}
```

lemma *bigo-pos-const*: $(EX (c::'a::ordered-idom).$

```
  ALL x. (abs (h x)) <= (c * (abs (f x))))
  = (EX c. 0 < c & (ALL x. (abs(h x)) <= (c * (abs (f x)))))
  <math>\langle proof \rangle</math>
```

lemma *bigo-alt-def*: $O(f) =$

```
{h. EX c. (0 < c & (ALL x. abs (h x) <= c * abs (f x)))}
```


$\langle proof \rangle$

lemma *bigo-elt-subset* [intro]: $f : O(g) \implies O(f) \leq O(g)$
 $\langle proof \rangle$

lemma *bigo-refl* [intro]: $f : O(f)$
 $\langle proof \rangle$

lemma *bigo-zero*: $0 : O(g)$
 $\langle proof \rangle$

lemma *bigo-zero2*: $O(\%x.0) = \{\%x.0\}$
 $\langle proof \rangle$

lemma *bigo-plus-self-subset* [intro]:
 $O(f) + O(f) \leq O(f)$
 $\langle proof \rangle$

lemma *bigo-plus-idemp* [simp]: $O(f) + O(f) = O(f)$
 $\langle proof \rangle$

lemma *bigo-plus-subset* [intro]: $O(f + g) \leq O(f) + O(g)$
 $\langle proof \rangle$

lemma *bigo-plus-subset2* [intro]: $A \leq O(f) \implies B \leq O(f) \implies A + B \leq O(f)$
 $\langle proof \rangle$

lemma *bigo-plus-eq*: $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ 0 \leq g\ x \implies$
 $O(f + g) = O(f) + O(g)$
 $\langle proof \rangle$

lemma *bigo-bounded-alt*: $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ f\ x \leq c * g\ x \implies$
 $f : O(g)$
 $\langle proof \rangle$

lemma *bigo-bounded*: $ALL\ x.\ 0 \leq f\ x \implies ALL\ x.\ f\ x \leq g\ x \implies$
 $f : O(g)$
 $\langle proof \rangle$

lemma *bigo-bounded2*: $ALL\ x.\ lb\ x \leq f\ x \implies ALL\ x.\ f\ x \leq lb\ x + g\ x \implies$
 $f : lb + o\ O(g)$
 $\langle proof \rangle$

lemma *bigo-abs*: $(\%x.\ abs(f\ x)) = o\ O(f)$
 $\langle proof \rangle$

lemma *bigo-abs2*: $f = o\ O(\%x.\ abs(f\ x))$
 $\langle proof \rangle$

lemma *bigo-abs3*: $O(f) = O(\%x. \text{abs}(f\ x))$
 $\langle \text{proof} \rangle$

lemma *bigo-abs4*: $f =_o g +_o O(h) \implies$
 $(\%x. \text{abs}(f\ x)) =_o (\%x. \text{abs}(g\ x)) +_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-abs5*: $f =_o O(g) \implies (\%x. \text{abs}(f\ x)) =_o O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-elt-subset2* [intro]: $f : g +_o O(h) \implies O(f) \leq O(g) + O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult* [intro]: $O(f) * O(g) \leq O(f * g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult2* [intro]: $f *_o O(g) \leq O(f * g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult3*: $f : O(h) \implies g : O(j) \implies f * g : O(h * j)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult4* [intro]: $f : k +_o O(h) \implies g * f : (g * k) +_o O(g * h)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult5*: $ALL\ x. f\ x \sim 0 \implies$
 $O(f * g) \leq (f :: 'a \Rightarrow ('b :: \text{ordered-field})) *_o O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult6*: $ALL\ x. f\ x \sim 0 \implies$
 $O(f * g) = (f :: 'a \Rightarrow ('b :: \text{ordered-field})) *_o O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult7*: $ALL\ x. f\ x \sim 0 \implies$
 $O(f * g) \leq O(f :: 'a \Rightarrow ('b :: \text{ordered-field})) * O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-mult8*: $ALL\ x. f\ x \sim 0 \implies$
 $O(f * g) = O(f :: 'a \Rightarrow ('b :: \text{ordered-field})) * O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-minus* [intro]: $f : O(g) \implies -f : O(g)$
 $\langle \text{proof} \rangle$

lemma *bigo-minus2*: $f : g +_o O(h) \implies -f : -g +_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-minus3*: $O(-f) = O(f)$

$\langle proof \rangle$

lemma *bigo-plus-absorb-lemma1*: $f : O(g) \implies f + o O(g) \leq O(g)$
 $\langle proof \rangle$

lemma *bigo-plus-absorb-lemma2*: $f : O(g) \implies O(g) \leq f + o O(g)$
 $\langle proof \rangle$

lemma *bigo-plus-absorb [simp]*: $f : O(g) \implies f + o O(g) = O(g)$
 $\langle proof \rangle$

lemma *bigo-plus-absorb2 [intro]*: $f : O(g) \implies A \leq O(g) \implies f + o A \leq O(g)$
 $\langle proof \rangle$

lemma *bigo-add-commute-imp*: $f : g + o O(h) \implies g : f + o O(h)$
 $\langle proof \rangle$

lemma *bigo-add-commute*: $(f : g + o O(h)) = (g : f + o O(h))$
 $\langle proof \rangle$

lemma *bigo-const1*: $(\%x. c) : O(\%x. 1)$
 $\langle proof \rangle$

lemma *bigo-const2 [intro]*: $O(\%x. c) \leq O(\%x. 1)$
 $\langle proof \rangle$

lemma *bigo-const3*: $(c::'a::ordered-field) \sim 0 \implies (\%x. 1) : O(\%x. c)$
 $\langle proof \rangle$

lemma *bigo-const4*: $(c::'a::ordered-field) \sim 0 \implies O(\%x. 1) \leq O(\%x. c)$
 $\langle proof \rangle$

lemma *bigo-const [simp]*: $(c::'a::ordered-field) \sim 0 \implies O(\%x. c) = O(\%x. 1)$
 $\langle proof \rangle$

lemma *bigo-const-mult1*: $(\%x. c * f x) : O(f)$
 $\langle proof \rangle$

lemma *bigo-const-mult2*: $O(\%x. c * f x) \leq O(f)$
 $\langle proof \rangle$

lemma *bigo-const-mult3*: $(c::'a::ordered-field) \sim 0 \implies f : O(\%x. c * f x)$
 $\langle proof \rangle$

lemma *bigo-const-mult4*: $(c::'a::ordered-field) \sim 0 \implies O(f) \leq O(\%x. c * f x)$
 $\langle proof \rangle$

lemma *bigo-const-mult* [simp]: $(c::'a::\text{ordered-field}) \sim= 0 \implies$
 $O(\%x. c * f x) = O(f)$
 <proof>

lemma *bigo-const-mult5* [simp]: $(c::'a::\text{ordered-field}) \sim= 0 \implies$
 $(\%x. c) *o O(f) = O(f)$
 <proof>

lemma *bigo-const-mult6* [intro]: $(\%x. c) *o O(f) \leq O(f)$
 <proof>

lemma *bigo-const-mult7* [intro]: $f =o O(g) \implies (\%x. c * f x) =o O(g)$
 <proof>

lemma *bigo-compose1*: $f =o O(g) \implies (\%x. f(k x)) =o O(\%x. g(k x))$
 <proof>

lemma *bigo-compose2*: $f =o g +o O(h) \implies (\%x. f(k x)) =o (\%x. g(k x)) +o$
 $O(\%x. h(k x))$
 <proof>

5.2 Setsum

lemma *bigo-setsum-main*: $ALL x. ALL y : A x. 0 \leq h x y \implies$
 $EX c. ALL x. ALL y : A x. \text{abs}(f x y) \leq c * (h x y) \implies$
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$
 <proof>

lemma *bigo-setsum1*: $ALL x y. 0 \leq h x y \implies$
 $EX c. ALL x y. \text{abs}(f x y) \leq c * (h x y) \implies$
 $(\%x. SUM y : A x. f x y) =o O(\%x. SUM y : A x. h x y)$
 <proof>

lemma *bigo-setsum2*: $ALL y. 0 \leq h y \implies$
 $EX c. ALL y. \text{abs}(f y) \leq c * (h y) \implies$
 $(\%x. SUM y : A x. f y) =o O(\%x. SUM y : A x. h y)$
 <proof>

lemma *bigo-setsum3*: $f =o O(h) \implies$
 $(\%x. SUM y : A x. (l x y) * f(k x y)) =o$
 $O(\%x. SUM y : A x. \text{abs}(l x y * h(k x y)))$
 <proof>

lemma *bigo-setsum4*: $f =o g +o O(h) \implies$
 $(\%x. SUM y : A x. l x y * f(k x y)) =o$
 $(\%x. SUM y : A x. l x y * g(k x y)) +o$
 $O(\%x. SUM y : A x. \text{abs}(l x y * h(k x y)))$
 <proof>

lemma *bigo-setsum5*: $f =_o O(h) \implies \text{ALL } x \ y. \ 0 \leq l \ x \ y \implies$
 $\text{ALL } x. \ 0 \leq h \ x \implies$
 $(\%x. \text{SUM } y : A \ x. (l \ x \ y) * f(k \ x \ y)) =_o$
 $O(\%x. \text{SUM } y : A \ x. (l \ x \ y) * h(k \ x \ y))$
 $\langle \text{proof} \rangle$

lemma *bigo-setsum6*: $f =_o g +_o O(h) \implies \text{ALL } x \ y. \ 0 \leq l \ x \ y \implies$
 $\text{ALL } x. \ 0 \leq h \ x \implies$
 $(\%x. \text{SUM } y : A \ x. (l \ x \ y) * f(k \ x \ y)) =_o$
 $(\%x. \text{SUM } y : A \ x. (l \ x \ y) * g(k \ x \ y)) +_o$
 $O(\%x. \text{SUM } y : A \ x. (l \ x \ y) * h(k \ x \ y))$
 $\langle \text{proof} \rangle$

5.3 Misc useful stuff

lemma *bigo-useful-intro*: $A \leq O(f) \implies B \leq O(f) \implies$
 $A + B \leq O(f)$
 $\langle \text{proof} \rangle$

lemma *bigo-useful-add*: $f =_o O(h) \implies g =_o O(h) \implies f + g =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-useful-const-mult*: $(c::'a::\text{ordered-field}) \sim 0 \implies$
 $(\%x. c) * f =_o O(h) \implies f =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-fix*: $(\%x. f ((x::\text{nat}) + 1)) =_o O(\%x. h(x + 1)) \implies f \ 0 = 0 \implies$
 $f =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-fix2*:
 $(\%x. f ((x::\text{nat}) + 1)) =_o (\%x. g(x + 1)) +_o O(\%x. h(x + 1)) \implies$
 $f \ 0 = g \ 0 \implies f =_o g +_o O(h)$
 $\langle \text{proof} \rangle$

5.4 Less than or equal to

definition

lesso :: $('a \Rightarrow 'b::\text{ordered-idom}) \Rightarrow ('a \Rightarrow 'b) \Rightarrow ('a \Rightarrow 'b)$
 $(\text{infixl } <_o \ 70) \text{ where}$
 $f <_o g = (\%x. \max (f \ x - g \ x) \ 0)$

lemma *bigo-lesseq1*: $f =_o O(h) \implies \text{ALL } x. \text{abs } (g \ x) \leq \text{abs } (f \ x) \implies$
 $g =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-lesseq2*: $f =_o O(h) \implies \text{ALL } x. \text{abs } (g \ x) \leq f \ x \implies$
 $g =_o O(h)$
 $\langle \text{proof} \rangle$

lemma *bigo-lesseq3*: $f =_o O(h) \implies \text{ALL } x. 0 \leq g\ x \implies \text{ALL } x. g\ x \leq f\ x \implies$

$g =_o O(h)$

<proof>

lemma *bigo-lesseq4*: $f =_o O(h) \implies$

$\text{ALL } x. 0 \leq g\ x \implies \text{ALL } x. g\ x \leq \text{abs } (f\ x) \implies$

$g =_o O(h)$

<proof>

lemma *bigo-lesso1*: $\text{ALL } x. f\ x \leq g\ x \implies f <_o g =_o O(h)$

<proof>

lemma *bigo-lesso2*: $f =_o g +_o O(h) \implies$

$\text{ALL } x. 0 \leq k\ x \implies \text{ALL } x. k\ x \leq f\ x \implies$

$k <_o g =_o O(h)$

<proof>

lemma *bigo-lesso3*: $f =_o g +_o O(h) \implies$

$\text{ALL } x. 0 \leq k\ x \implies \text{ALL } x. g\ x \leq k\ x \implies$

$f <_o k =_o O(h)$

<proof>

lemma *bigo-lesso4*: $f <_o g =_o O(k::'a=>'b::\text{ordered-field}) \implies$

$g =_o h +_o O(k) \implies f <_o h =_o O(k)$

<proof>

lemma *bigo-lesso5*: $f <_o g =_o O(h) \implies$

$\text{EX } C. \text{ALL } x. f\ x \leq g\ x + C * \text{abs}(h\ x)$

<proof>

lemma *lesso-add*: $f <_o g =_o O(h) \implies$

$k <_o l =_o O(h) \implies (f + k) <_o (g + l) =_o O(h)$

<proof>

end

6 Binomial: Binomial Coefficients

theory *Binomial*

imports *Main*

begin

This development is based on the work of Andy Gordon and Florian KammueLLer.

consts

binomial :: $\text{nat} \Rightarrow \text{nat} \Rightarrow \text{nat}$ (**infixl** choose 65)

primrec

binomial-0: $(0 \text{ choose } k) = (\text{if } k = 0 \text{ then } 1 \text{ else } 0)$

binomial-Suc: $(\text{Suc } n \text{ choose } k) =$

$(\text{if } k = 0 \text{ then } 1 \text{ else } (n \text{ choose } (k - 1)) + (n \text{ choose } k))$

lemma *binomial-n-0* [simp]: $(n \text{ choose } 0) = 1$

<proof>

lemma *binomial-0-Suc* [simp]: $(0 \text{ choose } \text{Suc } k) = 0$

<proof>

lemma *binomial-Suc-Suc* [simp]:

$(\text{Suc } n \text{ choose } \text{Suc } k) = (n \text{ choose } k) + (n \text{ choose } \text{Suc } k)$

<proof>

lemma *binomial-eq-0*: $!!k. n < k ==> (n \text{ choose } k) = 0$

<proof>

declare *binomial-0* [simp del] *binomial-Suc* [simp del]

lemma *binomial-n-n* [simp]: $(n \text{ choose } n) = 1$

<proof>

lemma *binomial-Suc-n* [simp]: $(\text{Suc } n \text{ choose } n) = \text{Suc } n$

<proof>

lemma *binomial-1* [simp]: $(n \text{ choose } \text{Suc } 0) = n$

<proof>

lemma *zero-less-binomial*: $k \leq n ==> (n \text{ choose } k) > 0$

<proof>

lemma *binomial-eq-0-iff*: $(n \text{ choose } k = 0) = (n < k)$

<proof>

lemma *zero-less-binomial-iff*: $(n \text{ choose } k > 0) = (k \leq n)$

<proof>

lemma *Suc-times-binomial-eq*:

$!!k. k \leq n ==> \text{Suc } n * (n \text{ choose } k) = (\text{Suc } n \text{ choose } \text{Suc } k) * \text{Suc } k$

<proof>

This is the well-known version, but it’s harder to use because of the need to reason about division.

lemma *binomial-Suc-Suc-eq-times*:

$k \leq n ==> (\text{Suc } n \text{ choose } \text{Suc } k) = (\text{Suc } n * (n \text{ choose } k)) \text{ div } \text{Suc } k$

<proof>

Another version, with -1 instead of Suc.

lemma *times-binomial-minus1-eq*:

$$[[k \leq n; \ 0 < k]] ==> (n \text{ choose } k) * k = n * ((n - 1) \text{ choose } (k - 1))$$

<proof>

6.1 Theorems about *choose*

Basic theorem about *choose*. By Florian Kammüller, tidied by LCP.

lemma *card-s-0-eq-empty*:

$$\text{finite } A ==> \text{card } \{B. B \subseteq A \ \& \ \text{card } B = 0\} = 1$$

<proof>

lemma *choose-deconstruct*: $\text{finite } M ==> x \notin M$

$$\begin{aligned} &==> \{s. s \leq \text{insert } x \ M \ \& \ \text{card}(s) = \text{Suc } k\} \\ &= \{s. s \leq M \ \& \ \text{card}(s) = \text{Suc } k\} \cup n \\ &\quad \{s. \text{EX } t. t \leq M \ \& \ \text{card}(t) = k \ \& \ s = \text{insert } x \ t\} \end{aligned}$$

<proof>

There are as many subsets of A having cardinality k as there are sets obtained from the former by inserting a fixed element x into each.

lemma *constr-bij*:

$$\begin{aligned} &[[\text{finite } A; x \notin A]] ==> \\ &\quad \text{card } \{B. \text{EX } C. C \leq A \ \& \ \text{card}(C) = k \ \& \ B = \text{insert } x \ C\} = \\ &\quad \text{card } \{B. B \leq A \ \& \ \text{card}(B) = k\} \end{aligned}$$

<proof>

Main theorem: combinatorial statement about number of subsets of a set.

lemma *n-sub-lemma*:

$$!!A. \text{finite } A ==> \text{card } \{B. B \leq A \ \& \ \text{card } B = k\} = (\text{card } A \text{ choose } k)$$

<proof>

theorem *n-subsets*:

$$\text{finite } A ==> \text{card } \{B. B \leq A \ \& \ \text{card } B = k\} = (\text{card } A \text{ choose } k)$$

<proof>

The binomial theorem (courtesy of Tobias Nipkow):

theorem *binomial*: $(a+b::\text{nat})^n = (\sum k=0..n. (n \text{ choose } k) * a^k * b^{(n-k)})$

<proof>

end

7 Boolean-Algebra: Boolean Algebras

theory *Boolean-Algebra*

imports *Main*

begin


```

locale boolean =
  fixes conj :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\sqcap$  70)
  fixes disj :: 'a  $\Rightarrow$  'a  $\Rightarrow$  'a (infixr  $\sqcup$  65)
  fixes compl :: 'a  $\Rightarrow$  'a ( $\sim$  - [81] 80)
  fixes zero :: 'a (0)
  fixes one  :: 'a (1)
  assumes conj-assoc:  $(x \sqcap y) \sqcap z = x \sqcap (y \sqcap z)$ 
  assumes disj-assoc:  $(x \sqcup y) \sqcup z = x \sqcup (y \sqcup z)$ 
  assumes conj-commute:  $x \sqcap y = y \sqcap x$ 
  assumes disj-commute:  $x \sqcup y = y \sqcup x$ 
  assumes conj-disj-distrib:  $x \sqcap (y \sqcup z) = (x \sqcap y) \sqcup (x \sqcap z)$ 
  assumes disj-conj-distrib:  $x \sqcup (y \sqcap z) = (x \sqcup y) \sqcap (x \sqcup z)$ 
  assumes conj-one-right [simp]:  $x \sqcap \mathbf{1} = x$ 
  assumes disj-zero-right [simp]:  $x \sqcup \mathbf{0} = x$ 
  assumes conj-cancel-right [simp]:  $x \sqcap \sim x = \mathbf{0}$ 
  assumes disj-cancel-right [simp]:  $x \sqcup \sim x = \mathbf{1}$ 
begin

lemmas disj-ac =
  disj-assoc disj-commute
  mk-left-commute [where 'a = 'a, of disj, OF disj-assoc disj-commute]

lemmas conj-ac =
  conj-assoc conj-commute
  mk-left-commute [where 'a = 'a, of conj, OF conj-assoc conj-commute]

lemma dual: boolean disj conj compl one zero
  <proof>

7.1 Complement

lemma complement-unique:
  assumes 1:  $a \sqcap x = \mathbf{0}$ 
  assumes 2:  $a \sqcup x = \mathbf{1}$ 
  assumes 3:  $a \sqcap y = \mathbf{0}$ 
  assumes 4:  $a \sqcup y = \mathbf{1}$ 
  shows  $x = y$ 
  <proof>

lemma compl-unique:  $\llbracket x \sqcap y = \mathbf{0}; x \sqcup y = \mathbf{1} \rrbracket \Longrightarrow \sim x = y$ 
  <proof>

lemma double-compl [simp]:  $\sim (\sim x) = x$ 
  <proof>

lemma compl-eq-compl-iff [simp]:  $(\sim x = \sim y) = (x = y)$ 
  <proof>

```

7.2 Conjunction

lemma *conj-absorb* [simp]: $x \sqcap x = x$
 ⟨proof⟩

lemma *conj-zero-right* [simp]: $x \sqcap \mathbf{0} = \mathbf{0}$
 ⟨proof⟩

lemma *compl-one* [simp]: $\sim \mathbf{1} = \mathbf{0}$
 ⟨proof⟩

lemma *conj-zero-left* [simp]: $\mathbf{0} \sqcap x = \mathbf{0}$
 ⟨proof⟩

lemma *conj-one-left* [simp]: $\mathbf{1} \sqcap x = x$
 ⟨proof⟩

lemma *conj-cancel-left* [simp]: $\sim x \sqcap x = \mathbf{0}$
 ⟨proof⟩

lemma *conj-left-absorb* [simp]: $x \sqcap (x \sqcap y) = x \sqcap y$
 ⟨proof⟩

lemma *conj-disj-distrib2*:
 $(y \sqcup z) \sqcap x = (y \sqcap x) \sqcup (z \sqcap x)$
 ⟨proof⟩

lemmas *conj-disj-distrib* =
conj-disj-distrib conj-disj-distrib2

7.3 Disjunction

lemma *disj-absorb* [simp]: $x \sqcup x = x$
 ⟨proof⟩

lemma *disj-one-right* [simp]: $x \sqcup \mathbf{1} = \mathbf{1}$
 ⟨proof⟩

lemma *compl-zero* [simp]: $\sim \mathbf{0} = \mathbf{1}$
 ⟨proof⟩

lemma *disj-zero-left* [simp]: $\mathbf{0} \sqcup x = x$
 ⟨proof⟩

lemma *disj-one-left* [simp]: $\mathbf{1} \sqcup x = \mathbf{1}$
 ⟨proof⟩

lemma *disj-cancel-left* [simp]: $\sim x \sqcup x = \mathbf{1}$
 ⟨proof⟩

lemma *disj-left-absorb* [simp]: $x \sqcup (x \sqcup y) = x \sqcup y$
 ⟨proof⟩

lemma *disj-conj-distrib2*:
 $(y \sqcap z) \sqcup x = (y \sqcup x) \sqcap (z \sqcup x)$
 ⟨proof⟩

lemmas *disj-conj-distrib* =
disj-conj-distrib disj-conj-distrib2

7.4 De Morgan’s Laws

lemma *de-Morgan-conj* [simp]: $\sim (x \sqcap y) = \sim x \sqcup \sim y$
 ⟨proof⟩

lemma *de-Morgan-disj* [simp]: $\sim (x \sqcup y) = \sim x \sqcap \sim y$
 ⟨proof⟩

end

7.5 Symmetric Difference

locale *boolean-xor* = *boolean* +
fixes *xor* :: 'a => 'a => 'a (**infixr** \oplus 65)
assumes *xor-def*: $x \oplus y = (x \sqcap \sim y) \sqcup (\sim x \sqcap y)$
begin

lemma *xor-def2*:
 $x \oplus y = (x \sqcup y) \sqcap (\sim x \sqcup \sim y)$
 ⟨proof⟩

lemma *xor-commute*: $x \oplus y = y \oplus x$
 ⟨proof⟩

lemma *xor-assoc*: $(x \oplus y) \oplus z = x \oplus (y \oplus z)$
 ⟨proof⟩

lemmas *xor-ac* =
xor-assoc xor-commute
mk-left-commute [**where** 'a = 'a, of *xor*, OF *xor-assoc xor-commute*]

lemma *xor-zero-right* [simp]: $x \oplus \mathbf{0} = x$
 ⟨proof⟩

lemma *xor-zero-left* [simp]: $\mathbf{0} \oplus x = x$
 ⟨proof⟩

lemma *xor-one-right* [simp]: $x \oplus \mathbf{1} = \sim x$
 ⟨proof⟩

lemma *xor-one-left* [*simp*]: $\mathbf{1} \oplus x = \sim x$
 $\langle \text{proof} \rangle$

lemma *xor-self* [*simp*]: $x \oplus x = \mathbf{0}$
 $\langle \text{proof} \rangle$

lemma *xor-left-self* [*simp*]: $x \oplus (x \oplus y) = y$
 $\langle \text{proof} \rangle$

lemma *xor-compl-left*: $\sim x \oplus y = \sim (x \oplus y)$
 $\langle \text{proof} \rangle$

lemma *xor-compl-right*: $x \oplus \sim y = \sim (x \oplus y)$
 $\langle \text{proof} \rangle$

lemma *xor-cancel-right* [*simp*]: $x \oplus \sim x = \mathbf{1}$
 $\langle \text{proof} \rangle$

lemma *xor-cancel-left* [*simp*]: $\sim x \oplus x = \mathbf{1}$
 $\langle \text{proof} \rangle$

lemma *conj-xor-distrib*: $x \sqcap (y \oplus z) = (x \sqcap y) \oplus (x \sqcap z)$
 $\langle \text{proof} \rangle$

lemma *conj-xor-distrib2*:
 $(y \oplus z) \sqcap x = (y \sqcap x) \oplus (z \sqcap x)$
 $\langle \text{proof} \rangle$

lemmas *conj-xor-distrib* =
conj-xor-distrib conj-xor-distrib2

end

end

8 Product-ord: Order on product types

theory *Product-ord*
imports *Main*
begin

instance $\ast :: (\text{ord}, \text{ord}) \text{ord}$
prod-le-def: $(x \leq y) \equiv (\text{fst } x < \text{fst } y) \vee (\text{fst } x = \text{fst } y \wedge \text{snd } x \leq \text{snd } y)$
prod-less-def: $(x < y) \equiv (\text{fst } x < \text{fst } y) \vee (\text{fst } x = \text{fst } y \wedge \text{snd } x < \text{snd } y)$ $\langle \text{proof} \rangle$

lemmas *prod-ord-defs* [*code func del*] = *prod-less-def prod-le-def*

lemma [*code func*]:

$$(x1::'a::\{\text{ord}, \text{eq}\}, y1) \leq (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 \leq y2$$

$$(x1::'a::\{\text{ord}, \text{eq}\}, y1) < (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 < y2$$

<proof>

lemma [code]:

$$(x1, y1) \leq (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 \leq y2$$

$$(x1, y1) < (x2, y2) \longleftrightarrow x1 < x2 \vee x1 = x2 \wedge y1 < y2$$

<proof>

instance * :: (order, order) order
<proof>

instance * :: (linorder, linorder) linorder
<proof>

instance * :: (linorder, linorder) distrib-lattice
inf-prod-def: inf \equiv *min*
sup-prod-def: sup \equiv *max*
<proof>

end

9 Char-nat: Mapping between characters and natural numbers

theory Char-nat
imports List
begin

Conversions between nibbles and natural numbers in [0..15].

fun

nat-of-nibble :: *nibble* \Rightarrow *nat* **where**

	<i>nat-of-nibble Nibble0</i> = 0
	<i>nat-of-nibble Nibble1</i> = 1
	<i>nat-of-nibble Nibble2</i> = 2
	<i>nat-of-nibble Nibble3</i> = 3
	<i>nat-of-nibble Nibble4</i> = 4
	<i>nat-of-nibble Nibble5</i> = 5
	<i>nat-of-nibble Nibble6</i> = 6
	<i>nat-of-nibble Nibble7</i> = 7
	<i>nat-of-nibble Nibble8</i> = 8
	<i>nat-of-nibble Nibble9</i> = 9
	<i>nat-of-nibble NibbleA</i> = 10
	<i>nat-of-nibble NibbleB</i> = 11
	<i>nat-of-nibble NibbleC</i> = 12
	<i>nat-of-nibble NibbleD</i> = 13
	<i>nat-of-nibble NibbleE</i> = 14

| *nat-of-nibble NibbleF* = 15

definition

nibble-of-nat :: *nat* \Rightarrow *nibble* **where**
nibble-of-nat *x* = (let *y* = *x* mod 16 in
 if *y* = 0 then *Nibble0* else
 if *y* = 1 then *Nibble1* else
 if *y* = 2 then *Nibble2* else
 if *y* = 3 then *Nibble3* else
 if *y* = 4 then *Nibble4* else
 if *y* = 5 then *Nibble5* else
 if *y* = 6 then *Nibble6* else
 if *y* = 7 then *Nibble7* else
 if *y* = 8 then *Nibble8* else
 if *y* = 9 then *Nibble9* else
 if *y* = 10 then *NibbleA* else
 if *y* = 11 then *NibbleB* else
 if *y* = 12 then *NibbleC* else
 if *y* = 13 then *NibbleD* else
 if *y* = 14 then *NibbleE* else
NibbleF)

lemma *nibble-of-nat-norm*:

nibble-of-nat (*n* mod 16) = *nibble-of-nat* *n*
 ⟨*proof*⟩

lemmas [*code func*] = *nibble-of-nat-norm* [*symmetric*]

lemma *nibble-of-nat-simps* [*simp*]:

nibble-of-nat 0 = *Nibble0*
nibble-of-nat 1 = *Nibble1*
nibble-of-nat 2 = *Nibble2*
nibble-of-nat 3 = *Nibble3*
nibble-of-nat 4 = *Nibble4*
nibble-of-nat 5 = *Nibble5*
nibble-of-nat 6 = *Nibble6*
nibble-of-nat 7 = *Nibble7*
nibble-of-nat 8 = *Nibble8*
nibble-of-nat 9 = *Nibble9*
nibble-of-nat 10 = *NibbleA*
nibble-of-nat 11 = *NibbleB*
nibble-of-nat 12 = *NibbleC*
nibble-of-nat 13 = *NibbleD*
nibble-of-nat 14 = *NibbleE*
nibble-of-nat 15 = *NibbleF*
 ⟨*proof*⟩

lemmas *nibble-of-nat-code* [*code func*] = *nibble-of-nat-simps*

[*simplified nat-number Let-def not-neg-number-of-Pls neg-number-of-BIT if-False*]

add-0 add-Suc]

lemma *nibble-of-nat-of-nibble*: *nibble-of-nat (nat-of-nibble n) = n*
<proof>

lemma *nat-of-nibble-of-nat*: *nat-of-nibble (nibble-of-nat n) = n mod 16*
<proof>

lemma *inj-nat-of-nibble*: *inj nat-of-nibble*
<proof>

lemma *nat-of-nibble-eq*: *nat-of-nibble n = nat-of-nibble m \longleftrightarrow n = m*
<proof>

lemma *nat-of-nibble-less-16*: *nat-of-nibble n < 16*
<proof>

lemma *nat-of-nibble-div-16*: *nat-of-nibble n div 16 = 0*
<proof>

Conversion between chars and nats.

definition

nibble-pair-of-nat :: *nat \Rightarrow nibble \times nibble* **where**
nibble-pair-of-nat n = (nibble-of-nat (n div 16), nibble-of-nat (n mod 16))

lemma *nibble-of-pair* [*code func*]:
nibble-pair-of-nat n = (nibble-of-nat (n div 16), nibble-of-nat n)
<proof>

fun

nat-of-char :: *char \Rightarrow nat* **where**
*nat-of-char (Char n m) = nat-of-nibble n * 16 + nat-of-nibble m*

lemmas [*simp del*] = *nat-of-char.simps*

definition

char-of-nat :: *nat \Rightarrow char* **where**
char-of-nat-def: char-of-nat n = split Char (nibble-pair-of-nat n)

lemma *Char-char-of-nat*:
*Char n m = char-of-nat (nat-of-nibble n * 16 + nat-of-nibble m)*
<proof>

lemma *char-of-nat-of-char*:
char-of-nat (nat-of-char c) = c
<proof>

lemma *nat-of-char-of-nat*:
nat-of-char (char-of-nat n) = n mod 256

<proof>

lemma *nibble-pair-of-nat-char*:

nibble-pair-of-nat (nat-of-char (Char n m)) = (n, m)

<proof>

Code generator setup

code-modulename *SML*

Char-nat List

code-modulename *OCaml*

Char-nat List

code-modulename *Haskell*

Char-nat List

end

10 Char-ord: Order on characters

theory *Char-ord*

imports *Product-ord Char-nat*

begin

instance *nibble :: linorder*

nibble-less-eq-def: $n \leq m \equiv \text{nat-of-nibble } n \leq \text{nat-of-nibble } m$

nibble-less-def: $n < m \equiv \text{nat-of-nibble } n < \text{nat-of-nibble } m$

<proof>

instance *nibble :: distrib-lattice*

inf $\equiv \text{min}$

sup $\equiv \text{max}$

<proof>

instance *char :: linorder*

char-less-eq-def: $c1 \leq c2 \equiv \text{case } c1 \text{ of Char } n1 \ m1 \Rightarrow \text{case } c2 \text{ of Char } n2 \ m2 \Rightarrow$
 $n1 < n2 \vee n1 = n2 \wedge m1 \leq m2$

char-less-def: $c1 < c2 \equiv \text{case } c1 \text{ of Char } n1 \ m1 \Rightarrow \text{case } c2 \text{ of Char } n2 \ m2 \Rightarrow$
 $n1 < n2 \vee n1 = n2 \wedge m1 < m2$

<proof>

lemmas [*code func del*] = *char-less-eq-def char-less-def*

instance *char :: distrib-lattice*

inf $\equiv \text{min}$

sup $\equiv \text{max}$

<proof>

lemma [*simp, code func*]:


```

shows char-less-eq-simp:  $\text{Char } n1 \ m1 \leq \text{Char } n2 \ m2 \longleftrightarrow n1 < n2 \vee n1 = n2$ 
 $\wedge m1 \leq m2$ 
and char-less-simp:  $\text{Char } n1 \ m1 < \text{Char } n2 \ m2 \longleftrightarrow n1 < n2 \vee n1 = n2$ 
 $\wedge m1 < m2$ 
   $\langle \text{proof} \rangle$ 

end

```

11 Code-Index: Type of indices

```

theory Code-Index
imports PreList
begin

```

Indices are isomorphic to HOL *int* but mapped to target-language builtin integers

11.1 Datatype of indices

```

datatype index = index-of-int int

lemmas [code func del] = index.recs index.cases

fun
  int-of-index :: index  $\Rightarrow$  int
where
  int-of-index (index-of-int k) = k
lemmas [code func del] = int-of-index.simps

lemma index-id [simp]:
  index-of-int (int-of-index k) = k
   $\langle \text{proof} \rangle$ 

lemma index:
   $(\bigwedge k :: \text{index}. \text{PROP } P \ k) \equiv (\bigwedge k :: \text{int}. \text{PROP } P \ (\text{index-of-int } k))$ 
   $\langle \text{proof} \rangle$ 

lemma [code func]: size (k :: index) = 0
   $\langle \text{proof} \rangle$ 

```

11.2 Built-in integers as datatype on numerals

```

instance index :: number
  number-of  $\equiv$  index-of-int  $\langle \text{proof} \rangle$ 

code-datatype number-of :: int  $\Rightarrow$  index

lemma number-of-index-id [simp]:

```

number-of (*int-of-index* *k*) = *k*
 ⟨*proof*⟩

lemma *number-of-index-shift*:
number-of *k* = *index-of-int* (*number-of* *k*)
 ⟨*proof*⟩

lemma *int-of-index-number-of* [*simp*]:
int-of-index (*number-of* *k*) = *number-of* *k*
 ⟨*proof*⟩

11.3 Basic arithmetic

instance *index* :: *zero*
 [*simp*]: *0* ≡ *index-of-int* *0* ⟨*proof*⟩
lemmas [*code func del*] = *zero-index-def*

instance *index* :: *one*
 [*simp*]: *1* ≡ *index-of-int* *1* ⟨*proof*⟩
lemmas [*code func del*] = *one-index-def*

instance *index* :: *plus*
 [*simp*]: *k* + *l* ≡ *index-of-int* (*int-of-index* *k* + *int-of-index* *l*) ⟨*proof*⟩
lemmas [*code func del*] = *plus-index-def*
lemma *plus-index-code* [*code func*]:
index-of-int *k* + *index-of-int* *l* = *index-of-int* (*k* + *l*)
 ⟨*proof*⟩

instance *index* :: *minus*
 [*simp*]: − *k* ≡ *index-of-int* (− *int-of-index* *k*)
 [*simp*]: *k* − *l* ≡ *index-of-int* (*int-of-index* *k* − *int-of-index* *l*) ⟨*proof*⟩
lemmas [*code func del*] = *uminus-index-def* *minus-index-def*
lemma *uminus-index-code* [*code func*]:
 − *index-of-int* *k* ≡ *index-of-int* (− *k*)
 ⟨*proof*⟩
lemma *minus-index-code* [*code func*]:
index-of-int *k* − *index-of-int* *l* = *index-of-int* (*k* − *l*)
 ⟨*proof*⟩

instance *index* :: *times*
 [*simp*]: *k* * *l* ≡ *index-of-int* (*int-of-index* *k* * *int-of-index* *l*) ⟨*proof*⟩
lemmas [*code func del*] = *times-index-def*
lemma *times-index-code* [*code func*]:
index-of-int *k* * *index-of-int* *l* = *index-of-int* (*k* * *l*)
 ⟨*proof*⟩

instance *index* :: *ord*
 [*simp*]: *k* ≤ *l* ≡ *int-of-index* *k* ≤ *int-of-index* *l*
 [*simp*]: *k* < *l* ≡ *int-of-index* *k* < *int-of-index* *l* ⟨*proof*⟩

lemmas [code func del] = less-eq-index-def less-index-def

lemma less-eq-index-code [code func]:

$\text{index-of-int } k \leq \text{index-of-int } l \iff k \leq l$

$\langle \text{proof} \rangle$

lemma less-index-code [code func]:

$\text{index-of-int } k < \text{index-of-int } l \iff k < l$

$\langle \text{proof} \rangle$

instance index :: Divides.div

[simp]: $k \text{ div } l \equiv \text{index-of-int } (\text{int-of-index } k \text{ div } \text{int-of-index } l)$

[simp]: $k \text{ mod } l \equiv \text{index-of-int } (\text{int-of-index } k \text{ mod } \text{int-of-index } l)$ $\langle \text{proof} \rangle$

instance index :: ring-1

$\langle \text{proof} \rangle$

lemma of-nat-index: $\text{of-nat } n = \text{index-of-int } (\text{of-nat } n)$

$\langle \text{proof} \rangle$

instance index :: number-ring

$\langle \text{proof} \rangle$

lemma zero-index-code [code inline, code func]:

$(0::\text{index}) = \text{Numeral0}$

$\langle \text{proof} \rangle$

lemma one-index-code [code inline, code func]:

$(1::\text{index}) = \text{Numeral1}$

$\langle \text{proof} \rangle$

instance index :: abs

$|k| \equiv \text{if } k < 0 \text{ then } -k \text{ else } k$ $\langle \text{proof} \rangle$

lemma index-of-int [code func]:

$\text{index-of-int } k = (\text{if } k = 0 \text{ then } 0$

$\text{else if } k = -1 \text{ then } -1$

$\text{else let } (l, m) = \text{divAlg } (k, 2) \text{ in } 2 * \text{index-of-int } l +$

$(\text{if } m = 0 \text{ then } 0 \text{ else } 1))$

$\langle \text{proof} \rangle$

lemma int-of-index [code func]:

$\text{int-of-index } k = (\text{if } k = 0 \text{ then } 0$

$\text{else if } k = -1 \text{ then } -1$

$\text{else let } l = k \text{ div } 2; m = k \text{ mod } 2 \text{ in } 2 * \text{int-of-index } l +$

$(\text{if } m = 0 \text{ then } 0 \text{ else } 1))$

$\langle \text{proof} \rangle$

11.4 Conversion to and from nat

definition

nat-of-index :: *index* \Rightarrow *nat*
where
 [code func del]: *nat-of-index* = *nat o int-of-index*

definition

nat-of-index-aux :: *index* \Rightarrow *nat* \Rightarrow *nat* **where**
 [code func del]: *nat-of-index-aux* *i n* = *nat-of-index* *i* + *n*

lemma *nat-of-index-aux-code* [code]:

nat-of-index-aux *i n* = (if *i* \leq 0 then *n* else *nat-of-index-aux* (*i* - 1) (*Suc n*))
 ⟨proof⟩

lemma *nat-of-index-code* [code]:

nat-of-index *i* = *nat-of-index-aux* *i* 0
 ⟨proof⟩

definition

index-of-nat :: *nat* \Rightarrow *index*
where
 [code func del]: *index-of-nat* = *index-of-int o of-nat*

lemma *index-of-nat* [code func]:

index-of-nat 0 = 0
index-of-nat (*Suc n*) = *index-of-nat* *n* + 1
 ⟨proof⟩

lemma *index-nat-id* [simp]:

nat-of-index (*index-of-nat* *n*) = *n*
index-of-nat (*nat-of-index* *i*) = (if *i* \leq 0 then 0 else *i*)
 ⟨proof⟩

11.5 ML interface

⟨ML⟩

11.6 Code serialization

code-type *index*

(*SML int*)
 (*OCaml int*)
 (*Haskell Integer*)

code-instance *index* :: *eq*

(*Haskell -*)

⟨ML⟩

code-reserved *SML int*

code-reserved *OCaml int*

```

code-const op + :: index ⇒ index ⇒ index
  (SML Int.+ ((-), (-)))
  (OCaml Pervasives.+)
  (Haskell infixl 6 +)

code-const uminus :: index ⇒ index
  (SML Int.~)
  (OCaml Pervasives.~-)
  (Haskell negate)

code-const op - :: index ⇒ index ⇒ index
  (SML Int.- ((-), (-)))
  (OCaml Pervasives.-)
  (Haskell infixl 6 -)

code-const op * :: index ⇒ index ⇒ index
  (SML Int.* ((-), (-)))
  (OCaml Pervasives.*)
  (Haskell infixl 7 *)

code-const op = :: index ⇒ index ⇒ bool
  (SML !((- : Int.int) = -))
  (OCaml !((- : Pervasives.int) = -))
  (Haskell infixl 4 ==)

code-const op ≤ :: index ⇒ index ⇒ bool
  (SML Int.<= ((-), (-)))
  (OCaml !((- : Pervasives.int) <= -))
  (Haskell infix 4 <=)

code-const op < :: index ⇒ index ⇒ bool
  (SML Int.< ((-), (-)))
  (OCaml !((- : Pervasives.int) < -))
  (Haskell infix 4 <)

code-reserved SML Int
code-reserved OCaml Pervasives

end

```

12 Code-Message: Monolithic strings (message strings) for code generation

```

theory Code-Message
imports List
begin

```

12.1 Datatype of messages

datatype *message-string* = *STR string*

lemmas [*code func del*] = *message-string.recs message-string.cases*

lemma [*code func*]: *size (s::message-string) = 0*
 ⟨*proof*⟩

12.2 ML interface

⟨*ML*⟩

12.3 Code serialization

code-type *message-string*
 (*SML string*)
 (*OCaml string*)
 (*Haskell String*)

⟨*ML*⟩

code-reserved *SML string*
code-reserved *OCaml string*

code-instance *message-string* :: *eq*
 (*Haskell -*)

code-const *op* = :: *message-string* ⇒ *message-string* ⇒ *bool*
 (*SML !((- : string) = -)*)
 (*OCaml !((- : string) = -)*)
 (*Haskell infixl 4 ==*)

end

13 Coinductive-List: Potentially infinite lists as greatest fixed-point

theory *Coinductive-List*
imports *Main*
begin

13.1 List constructors over the datatype universe

definition *NIL* = *Datatype.In0 (Datatype.Numb 0)*
definition *CONS M N* = *Datatype.In1 (Datatype.Scons M N)*

lemma *CONS-not-NIL* [*iff*]: *CONS M N* ≠ *NIL*

and *NIL-not-CONS* [iff]: $NIL \neq CONS\ M\ N$
and *CONS-inject* [iff]: $(CONS\ K\ M) = (CONS\ L\ N) = (K = L \wedge M = N)$
 ⟨proof⟩

lemma *CONS-mono*: $M \subseteq M' \implies N \subseteq N' \implies CONS\ M\ N \subseteq CONS\ M'\ N'$
 ⟨proof⟩

lemma *CONS-UN1*: $CONS\ M\ (\bigcup x. f\ x) = (\bigcup x. CONS\ M\ (f\ x))$
 — A continuity result?
 ⟨proof⟩

definition *List-case* $c\ h = Datatype.Case\ (\lambda-. c)\ (Datatype.Split\ h)$

lemma *List-case-NIL* [simp]: $List-case\ c\ h\ NIL = c$
and *List-case-CONS* [simp]: $List-case\ c\ h\ (CONS\ M\ N) = h\ M\ N$
 ⟨proof⟩

13.2 Corecursive lists

coinductive-set *LList* for A

where *NIL* [intro]: $NIL \in LList\ A$
 | *CONS* [intro]: $a \in A \implies M \in LList\ A \implies CONS\ a\ M \in LList\ A$

lemma *LList-mono*:
assumes *subset*: $A \subseteq B$
shows $LList\ A \subseteq LList\ B$
 — This justifies using *LList* in other recursive type definitions.
 ⟨proof⟩

consts

LList-corec-aux :: $nat \Rightarrow ('a \Rightarrow ('b\ Datatype.item \times 'a)\ option) \Rightarrow 'a \Rightarrow 'b\ Datatype.item$

primrec

$LList-corec-aux\ 0\ f\ x = \{\}$
 $LList-corec-aux\ (Suc\ k)\ f\ x =$
 (case $f\ x$ of
 $None \Rightarrow NIL$
 | $Some\ (z, w) \Rightarrow CONS\ z\ (LList-corec-aux\ k\ f\ w)$)

definition *LList-corec* $a\ f = (\bigcup k. LList-corec-aux\ k\ f\ a)$

Note: the subsequent recursion equation for *LList-corec* may be used with the Simplifier, provided it operates in a non-strict fashion for case expressions (i.e. the usual *case* congruence rule needs to be present).

lemma *LList-corec*:

$LList-corec\ a\ f =$
 (case $f\ a$ of $None \Rightarrow NIL$ | $Some\ (z, w) \Rightarrow CONS\ z\ (LList-corec\ w\ f)$)
 (is $?lhs = ?rhs$)
 ⟨proof⟩

lemma *LList-corec-type*: $LList\text{-corec } a\ f \in LList\ UNIV$
 $\langle proof \rangle$

13.3 Abstract type definition

typedef $'a\ llist = LList\ (range\ Datatype.Leaf) :: 'a\ Datatype.item\ set$
 $\langle proof \rangle$

lemma *NIL-type*: $NIL \in llist$
 $\langle proof \rangle$

lemma *CONS-type*: $a \in range\ Datatype.Leaf \implies$
 $M \in llist \implies CONS\ a\ M \in llist$
 $\langle proof \rangle$

lemma *llistI*: $x \in LList\ (range\ Datatype.Leaf) \implies x \in llist$
 $\langle proof \rangle$

lemma *llistD*: $x \in llist \implies x \in LList\ (range\ Datatype.Leaf)$
 $\langle proof \rangle$

lemma *Rep-llist-UNIV*: $Rep\text{-}llist\ x \in LList\ UNIV$
 $\langle proof \rangle$

definition $LNil = Abs\text{-}llist\ NIL$

definition $LCons\ x\ xs = Abs\text{-}llist\ (CONS\ (Datatype.Leaf\ x)\ (Rep\text{-}llist\ xs))$

lemma *LCons-not-LNil* [iff]: $LCons\ x\ xs \neq LNil$
 $\langle proof \rangle$

lemma *LNil-not-LCons* [iff]: $LNil \neq LCons\ x\ xs$
 $\langle proof \rangle$

lemma *LCons-inject* [iff]: $(LCons\ x\ xs = LCons\ y\ ys) = (x = y \wedge xs = ys)$
 $\langle proof \rangle$

lemma *Rep-llist-LNil*: $Rep\text{-}llist\ LNil = NIL$
 $\langle proof \rangle$

lemma *Rep-llist-LCons*: $Rep\text{-}llist\ (LCons\ x\ l) =$
 $CONS\ (Datatype.Leaf\ x)\ (Rep\text{-}llist\ l)$
 $\langle proof \rangle$

lemma *llist-cases* [cases type: llist]:
obtains
 $(LNil)\ l = LNil$
 $| (LCons)\ x\ l' \textbf{ where } l = LCons\ x\ l'$
 $\langle proof \rangle$

definition

$$\begin{aligned} \text{llist-case } c \ d \ l = \\ \text{List-case } c \ (\lambda x \ y. \ d \ (\text{inv } \text{Datatype.Leaf } x) \ (\text{Abs-llist } y)) \ (\text{Rep-llist } l) \end{aligned}$$
syntax

$$\begin{aligned} LNil &:: \text{logic} \\ LCons &:: \text{logic} \end{aligned}$$
translations

$$\text{case } p \text{ of } LNil \Rightarrow a \mid LCons \ x \ l \Rightarrow b \equiv \text{CONST } \text{llist-case } a \ (\lambda x \ l. \ b) \ p$$

lemma *llist-case-LNil* [simp]: *llist-case* *c* *d* *LNil* = *c*
 ⟨proof⟩

lemma *llist-case-LCons* [simp]: *llist-case* *c* *d* (*LCons* *M* *N*) = *d* *M* *N*
 ⟨proof⟩

definition

$$\begin{aligned} \text{llist-corec } a \ f = \\ \text{Abs-llist } (LList-corec \ a \\ (\lambda z. \\ \text{case } f \ z \text{ of } None \Rightarrow None \\ \mid \text{Some } (v, w) \Rightarrow \text{Some } (\text{Datatype.Leaf } v, w))) \end{aligned}$$

lemma *LList-corec-type2*:

$$\begin{aligned} &LList-corec \ a \\ &(\lambda z. \text{case } f \ z \text{ of } None \Rightarrow None \\ &\mid \text{Some } (v, w) \Rightarrow \text{Some } (\text{Datatype.Leaf } v, w)) \in \text{llist} \\ &(\text{is } ?corec \ a \in -) \\ &\langle \text{proof} \rangle \end{aligned}$$

lemma *llist-corec*:

$$\begin{aligned} \text{llist-corec } a \ f = \\ (\text{case } f \ a \text{ of } None \Rightarrow LNil \mid \text{Some } (z, w) \Rightarrow LCons \ z \ (\text{llist-corec } w \ f)) \\ \langle \text{proof} \rangle \end{aligned}$$

13.4 Equality as greatest fixed-point – the bisimulation principle

coinductive-set *EqLList* **for** *r*

where *EqNIL*: (*NIL*, *NIL*) ∈ *EqLList* *r*
 | *EqCONS*: (*a*, *b*) ∈ *r* \implies (*M*, *N*) ∈ *EqLList* *r* \implies
 (*CONS* *a* *M*, *CONS* *b* *N*) ∈ *EqLList* *r*

lemma *EqLList-unfold*:

$$\text{EqLList } r = \text{dsum } (\text{diag } \{\text{Datatype.Numb } 0\}) \ (\text{dprod } r \ (\text{EqLList } r)) \\ \langle \text{proof} \rangle$$

lemma *EqLList-implies-ntrunc-equality*:

$(M, N) \in \text{EqLList } (\text{diag } A) \implies \text{ntrunc } k \ M = \text{ntrunc } k \ N$
 $\langle \text{proof} \rangle$

lemma *Domain-EqLList*: $\text{Domain } (\text{EqLList } (\text{diag } A)) \subseteq \text{LList } A$

$\langle \text{proof} \rangle$

lemma *EqLList-diag*: $\text{EqLList } (\text{diag } A) = \text{diag } (\text{LList } A)$

(is ?lhs = ?rhs)

$\langle \text{proof} \rangle$

lemma *EqLList-diag-iff* [iff]: $(p \in \text{EqLList } (\text{diag } A)) = (p \in \text{diag } (\text{LList } A))$

$\langle \text{proof} \rangle$

To show two LLists are equal, exhibit a bisimulation! (Also admits true equality.)

lemma *LList-equalityI*

[consumes 1, case-names *EqLList*, case-conclusion *EqLList EqNIL EqCONS*]:

assumes $r: (M, N) \in r$

and *step*: $\bigwedge M \ N. (M, N) \in r \implies$

$M = \text{NIL} \wedge N = \text{NIL} \vee$

$(\exists a \ b \ M' \ N'.$

$M = \text{CONS } a \ M' \wedge N = \text{CONS } b \ N' \wedge (a, b) \in \text{diag } A \wedge$

$((M', N') \in r \vee (M', N') \in \text{EqLList } (\text{diag } A)))$

shows $M = N$

$\langle \text{proof} \rangle$

lemma *LList-fun-equalityI*

[consumes 1, case-names *NIL-type NIL CONS*, case-conclusion *CONS EqNIL EqCONS*]:

assumes $M: M \in \text{LList } A$

and *fun-NIL*: $g \ \text{NIL} \in \text{LList } A \ f \ \text{NIL} = g \ \text{NIL}$

and *fun-CONS*: $\bigwedge x \ l. x \in A \implies l \in \text{LList } A \implies$

$(f \ (\text{CONS } x \ l), g \ (\text{CONS } x \ l)) = (\text{NIL}, \text{NIL}) \vee$

$(\exists M \ N \ a \ b.$

$(f \ (\text{CONS } x \ l), g \ (\text{CONS } x \ l)) = (\text{CONS } a \ M, \text{CONS } b \ N) \wedge$

$(a, b) \in \text{diag } A \wedge$

$(M, N) \in \{(f \ u, g \ u) \mid u. u \in \text{LList } A\} \cup \text{diag } (\text{LList } A))$

(is $\bigwedge x \ l. - \implies - \implies \text{?fun-CONS } x \ l$)

shows $f \ M = g \ M$

$\langle \text{proof} \rangle$

Finality of *llist* *A*: Uniqueness of functions defined by corecursion.

lemma *equals-LList-corec*:

assumes $h: \bigwedge x. h \ x =$

$(\text{case } f \ x \ \text{of } \text{None} \Rightarrow \text{NIL} \mid \text{Some } (z, w) \Rightarrow \text{CONS } z \ (h \ w))$

shows $h \ x = (\lambda x. \text{LList-corec } x \ f) \ x$

$\langle \text{proof} \rangle$

lemma *llist-equalityI*[consumes 1, case-names *Eqllist*, case-conclusion *EqLNil EqLCons*]:**assumes** $r: (l1, l2) \in r$ **and step:** $\bigwedge q. q \in r \implies$ $q = (LNil, LNil) \vee$ $(\exists l1\ l2\ a\ b.$ $q = (LCons\ a\ l1, LCons\ b\ l2) \wedge a = b \wedge$ $((l1, l2) \in r \vee l1 = l2))$ **(is** $\bigwedge q. - \implies ?EqLNil\ q \vee ?EqLCons\ q$ **shows** $l1 = l2$ $\langle proof \rangle$ **lemma** *llist-fun-equalityI*[case-names *LNil LCons*, case-conclusion *LCons EqLNil EqLCons*]:**assumes** *fun-LNil*: $f\ LNil = g\ LNil$ **and fun-LCons:** $\bigwedge x\ l.$ $(f\ (LCons\ x\ l), g\ (LCons\ x\ l)) = (LNil, LNil) \vee$ $(\exists l1\ l2\ a\ b.$ $(f\ (LCons\ x\ l), g\ (LCons\ x\ l)) = (LCons\ a\ l1, LCons\ b\ l2) \wedge$ $a = b \wedge ((l1, l2) \in \{(f\ u, g\ u) \mid u. True\} \vee l1 = l2))$ **(is** $\bigwedge x\ l. ?fun-LCons\ x\ l$ **shows** $f\ l = g\ l$ $\langle proof \rangle$ **13.5 Derived operations – both on the set and abstract type****13.5.1** *Lconst***definition** *Lconst* $M \equiv lfp\ (\lambda N. CONS\ M\ N)$ **lemma** *Lconst-fun-mono*: *mono* (*CONS* *M*) $\langle proof \rangle$ **lemma** *Lconst*: $Lconst\ M = CONS\ M\ (Lconst\ M)$ $\langle proof \rangle$ **lemma** *Lconst-type*:**assumes** $M \in A$ **shows** $Lconst\ M \in LList\ A$ $\langle proof \rangle$ **lemma** *Lconst-eq-LList-corec*: $Lconst\ M = LList-corec\ M\ (\lambda x. Some\ (x, x))$ $\langle proof \rangle$ **lemma** *gfp-Lconst-eq-LList-corec*: $gfp\ (\lambda N. CONS\ M\ N) = LList-corec\ M\ (\lambda x. Some\ (x, x))$ $\langle proof \rangle$

13.5.2 *Lmap and lmap***definition**

$$Lmap\ f\ M = LList-corec\ M\ (List-case\ None\ (\lambda x\ M'.\ Some\ (f\ x,\ M')))$$
definition

$$\begin{aligned} lmap\ f\ l &= llist-corec\ l \\ &(\lambda z. \\ &\quad case\ z\ of\ LNil \Rightarrow None \\ &\quad | LCons\ y\ z \Rightarrow Some\ (f\ y,\ z)) \end{aligned}$$

lemma *Lmap-NIL* [simp]: $Lmap\ f\ NIL = NIL$

and *Lmap-CONS* [simp]: $Lmap\ f\ (CONS\ M\ N) = CONS\ (f\ M)\ (Lmap\ f\ N)$

<proof>

lemma *Lmap-type*:

assumes $M: M \in LList\ A$
and $f: \bigwedge x. x \in A \implies f\ x \in B$
shows $Lmap\ f\ M \in LList\ B$

<proof>

lemma *Lmap-compose*:

assumes $M: M \in LList\ A$
shows $Lmap\ (f\ o\ g)\ M = Lmap\ f\ (Lmap\ g\ M)$ (**is** ?lhs $M = ?rhs\ M$)

<proof>

lemma *Lmap-ident*:

assumes $M: M \in LList\ A$
shows $Lmap\ (\lambda x. x)\ M = M$ (**is** ?lmap $M = -$)

<proof>

lemma *lmap-LNil* [simp]: $lmap\ f\ LNil = LNil$

and *lmap-LCons* [simp]: $lmap\ f\ (LCons\ M\ N) = LCons\ (f\ M)\ (lmap\ f\ N)$

<proof>

lemma *lmap-compose* [simp]: $lmap\ (f\ o\ g)\ l = lmap\ f\ (lmap\ g\ l)$

<proof>

lemma *lmap-ident* [simp]: $lmap\ (\lambda x. x)\ l = l$

<proof>

13.5.3 *Lappend***definition**

$$\begin{aligned} Lappend\ M\ N &= LList-corec\ (M,\ N) \\ &(split\ (List-case \\ &\quad (List-case\ None\ (\lambda N1\ N2.\ Some\ (N1,\ (NIL,\ N2)))) \\ &\quad (\lambda M1\ M2\ N.\ Some\ (M1,\ (M2,\ N))))) \end{aligned}$$
definition

$$\begin{aligned} lappend\ l\ n &= llist-corec\ (l,\ n) \\ &(split\ (llist-case \end{aligned}$$

(l1st-case None ($\lambda n1\ n2.$ Some ($n1, (LNil, n2)$)))
 ($\lambda l1\ l2\ n.$ Some ($l1, (l2, n)$)))

lemma *Lappend-NIL-NIL* [simp]:

Lappend NIL NIL = NIL

and *Lappend-NIL-CONS* [simp]:

Lappend NIL (CONS N N') = CONS N (*Lappend* NIL N')

and *Lappend-CONS* [simp]:

Lappend (CONS M M') N = CONS M (*Lappend* M' N)

<proof>

lemma *Lappend-NIL* [simp]: $M \in LList\ A \implies Lappend\ NIL\ M = M$

<proof>

lemma *Lappend-NIL2*: $M \in LList\ A \implies Lappend\ M\ NIL = M$

<proof>

lemma *Lappend-type*:

assumes $M: M \in LList\ A$ **and** $N: N \in LList\ A$

shows $Lappend\ M\ N \in LList\ A$

<proof>

lemma *lappend-LNil-LNil* [simp]: *lappend* LNil LNil = LNil

and *lappend-LNil-LCons* [simp]: *lappend* LNil (LCons l l') = LCons l (*lappend* LNil l')

and *lappend-LCons* [simp]: *lappend* (LCons l l') m = LCons l (*lappend* l' m)

<proof>

lemma *lappend-LNil1* [simp]: *lappend* LNil l = l

<proof>

lemma *lappend-LNil2* [simp]: *lappend* l LNil = l

<proof>

lemma *lappend-assoc*: *lappend* (*lappend* l1 l2) l3 = *lappend* l1 (*lappend* l2 l3)

<proof>

lemma *lmap-lappend-distrib*: *lmap* f (*lappend* l n) = *lappend* (*lmap* f l) (*lmap* f n)

<proof>

13.6 iterates

l1st-fun-equalityI cannot be used here!

definition

iterates :: ($'a \Rightarrow 'a$) $\Rightarrow 'a \Rightarrow 'a$ l1st **where**

iterates f a = *l1st-corec* a ($\lambda x.$ Some (x, f x))

lemma *iterates*: *iterates* f x = LCons x (*iterates* f (f x))

<proof>

lemma *lmap-iterates*: $\text{lmap } f \ (\text{iterates } f \ x) = \text{iterates } f \ (f \ x)$
 $\langle \text{proof} \rangle$

lemma *iterates-lmap*: $\text{iterates } f \ x = \text{LCons } x \ (\text{lmap } f \ (\text{iterates } f \ x))$
 $\langle \text{proof} \rangle$

13.7 A rather complex proof about iterates – cf. Andy Pitts

lemma *funpow-lmap*:
fixes $f :: 'a \Rightarrow 'a$
shows $(\text{lmap } f \ ^n) \ (\text{LCons } b \ l) = \text{LCons } ((f \ ^n) \ b) \ ((\text{lmap } f \ ^n) \ l)$
 $\langle \text{proof} \rangle$

lemma *iterates-equality*:
assumes $h: \bigwedge x. h \ x = \text{LCons } x \ (\text{lmap } f \ (h \ x))$
shows $h = \text{iterates } f$
 $\langle \text{proof} \rangle$

lemma *lappend-iterates*: $\text{lappend } (\text{iterates } f \ x) \ l = \text{iterates } f \ x$
 $\langle \text{proof} \rangle$

end

14 Parity: Even and Odd for int and nat

theory *Parity*
imports *Main*
begin

class *even-odd* = *type* +
fixes $\text{even} :: 'a \Rightarrow \text{bool}$

abbreviation
 $\text{odd} :: 'a :: \text{even-odd} \Rightarrow \text{bool}$ **where**
 $\text{odd } x \equiv \neg \text{even } x$

instance *int* :: *even-odd*
 $\text{even-def}[\text{presburger}]: \text{even } x \equiv x \bmod 2 = 0 \ \langle \text{proof} \rangle$

instance *nat* :: *even-odd*
 $\text{even-nat-def}[\text{presburger}]: \text{even } x \equiv \text{even } (\text{int } x) \ \langle \text{proof} \rangle$

14.1 Even and odd are mutually exclusive

lemma *int-pos-lt-two-imp-zero-or-one*:
 $0 < x \implies (x :: \text{int}) < 2 \implies x = 0 \mid x = 1$

$\langle \text{proof} \rangle$

lemma *neg-one-mod-two* [*simp*, *presburger*]:
 $((x::\text{int}) \bmod 2 \sim= 0) = (x \bmod 2 = 1) \langle \text{proof} \rangle$

14.2 Behavior under integer arithmetic operations

lemma *even-times-anything*: $\text{even } (x::\text{int}) \implies \text{even } (x * y)$
 $\langle \text{proof} \rangle$

lemma *anything-times-even*: $\text{even } (y::\text{int}) \implies \text{even } (x * y)$
 $\langle \text{proof} \rangle$

lemma *odd-times-odd*: $\text{odd } (x::\text{int}) \implies \text{odd } y \implies \text{odd } (x * y)$
 $\langle \text{proof} \rangle$

lemma *even-product*[*presburger*]: $\text{even}((x::\text{int}) * y) = (\text{even } x \mid \text{even } y)$
 $\langle \text{proof} \rangle$

lemma *even-plus-even*: $\text{even } (x::\text{int}) \implies \text{even } y \implies \text{even } (x + y)$
 $\langle \text{proof} \rangle$

lemma *even-plus-odd*: $\text{even } (x::\text{int}) \implies \text{odd } y \implies \text{odd } (x + y)$
 $\langle \text{proof} \rangle$

lemma *odd-plus-even*: $\text{odd } (x::\text{int}) \implies \text{even } y \implies \text{odd } (x + y)$
 $\langle \text{proof} \rangle$

lemma *odd-plus-odd*: $\text{odd } (x::\text{int}) \implies \text{odd } y \implies \text{even } (x + y) \langle \text{proof} \rangle$

lemma *even-sum*[*presburger*]: $\text{even } ((x::\text{int}) + y) = ((\text{even } x \ \& \ \text{even } y) \mid (\text{odd } x \ \& \ \text{odd } y))$
 $\langle \text{proof} \rangle$

lemma *even-neg*[*presburger*]: $\text{even } (-(x::\text{int})) = \text{even } x \langle \text{proof} \rangle$

lemma *even-difference*:
 $\text{even } ((x::\text{int}) - y) = ((\text{even } x \ \& \ \text{even } y) \mid (\text{odd } x \ \& \ \text{odd } y)) \langle \text{proof} \rangle$

lemma *even-pow-gt-zero*:
 $\text{even } (x::\text{int}) \implies 0 < n \implies \text{even } (x^n)$
 $\langle \text{proof} \rangle$

lemma *odd-pow-iff*[*presburger*]: $\text{odd } ((x::\text{int}) ^ n) \longleftrightarrow (n = 0 \vee \text{odd } x)$
 $\langle \text{proof} \rangle$

lemma *odd-pow*: $\text{odd } x \implies \text{odd } ((x::\text{int}) ^ n) \langle \text{proof} \rangle$

lemma *even-power*[*presburger*]: $\text{even } ((x::\text{int}) ^ n) = (\text{even } x \ \& \ 0 < n)$

$\langle \text{proof} \rangle$

lemma *even-zero*[presburger]: *even* ($0::\text{int}$) $\langle \text{proof} \rangle$

lemma *odd-one*[presburger]: *odd* ($1::\text{int}$) $\langle \text{proof} \rangle$

lemmas *even-odd-simps* [simp] = *even-def*[of number-of v,standard] *even-zero*
odd-one even-product even-sum even-neg even-difference even-power

14.3 Equivalent definitions

lemma *two-times-even-div-two*: *even* ($x::\text{int}$) $\implies 2 * (x \text{ div } 2) = x$
 $\langle \text{proof} \rangle$

lemma *two-times-odd-div-two-plus-one*: *odd* ($x::\text{int}$) \implies
 $2 * (x \text{ div } 2) + 1 = x$ $\langle \text{proof} \rangle$

lemma *even-equiv-def*: *even* ($x::\text{int}$) = (*EX* y . $x = 2 * y$) $\langle \text{proof} \rangle$

lemma *odd-equiv-def*: *odd* ($x::\text{int}$) = (*EX* y . $x = 2 * y + 1$) $\langle \text{proof} \rangle$

14.4 even and odd for nats

lemma *pos-int-even-equiv-nat-even*: $0 \leq x \implies \text{even } x = \text{even } (\text{nat } x)$
 $\langle \text{proof} \rangle$

lemma *even-nat-product*[presburger]: *even*(($x::\text{nat}$) * y) = (*even* x | *even* y)
 $\langle \text{proof} \rangle$

lemma *even-nat-sum*[presburger]: *even* (($x::\text{nat}$) + y) =
 ((*even* x & *even* y) | (*odd* x & *odd* y)) $\langle \text{proof} \rangle$

lemma *even-nat-difference*[presburger]:
even (($x::\text{nat}$) - y) = ($x < y$ | (*even* x & *even* y) | (*odd* x & *odd* y))
 $\langle \text{proof} \rangle$

lemma *even-nat-Suc*[presburger]: *even* (*Suc* x) = *odd* x $\langle \text{proof} \rangle$

lemma *even-nat-power*[presburger]: *even* (($x::\text{nat}$) ^ y) = (*even* x & $0 < y$)
 $\langle \text{proof} \rangle$

lemma *even-nat-zero*[presburger]: *even* ($0::\text{nat}$) $\langle \text{proof} \rangle$

lemmas *even-odd-nat-simps* [simp] = *even-nat-def*[of number-of v,standard]
even-nat-zero even-nat-Suc even-nat-product even-nat-sum even-nat-power

14.5 Equivalent definitions

lemma *nat-lt-two-imp-zero-or-one*: ($x::\text{nat}$) < *Suc* (*Suc* 0) \implies
 $x = 0$ | $x = \text{Suc } 0$ $\langle \text{proof} \rangle$

lemma *even-nat-mod-two-eq-zero*: $\text{even } (x::\text{nat}) \implies x \bmod (\text{Suc } (\text{Suc } 0)) = 0$
 $\langle \text{proof} \rangle$

lemma *odd-nat-mod-two-eq-one*: $\text{odd } (x::\text{nat}) \implies x \bmod (\text{Suc } (\text{Suc } 0)) = \text{Suc } 0$
 $\langle \text{proof} \rangle$

lemma *even-nat-equiv-def*: $\text{even } (x::\text{nat}) = (x \bmod \text{Suc } (\text{Suc } 0) = 0)$
 $\langle \text{proof} \rangle$

lemma *odd-nat-equiv-def*: $\text{odd } (x::\text{nat}) = (x \bmod \text{Suc } (\text{Suc } 0) = \text{Suc } 0)$
 $\langle \text{proof} \rangle$

lemma *even-nat-div-two-times-two*: $\text{even } (x::\text{nat}) \implies$
 $\text{Suc } (\text{Suc } 0) * (x \text{ div } \text{Suc } (\text{Suc } 0)) = x \langle \text{proof} \rangle$

lemma *odd-nat-div-two-times-two-plus-one*: $\text{odd } (x::\text{nat}) \implies$
 $\text{Suc } (\text{Suc } (\text{Suc } 0) * (x \text{ div } \text{Suc } (\text{Suc } 0))) = x \langle \text{proof} \rangle$

lemma *even-nat-equiv-def2*: $\text{even } (x::\text{nat}) = (\exists y. x = \text{Suc } (\text{Suc } 0) * y)$
 $\langle \text{proof} \rangle$

lemma *odd-nat-equiv-def2*: $\text{odd } (x::\text{nat}) = (\exists y. x = \text{Suc } (\text{Suc } (\text{Suc } 0) * y))$
 $\langle \text{proof} \rangle$

14.6 Parity and powers

lemma *minus-one-even-odd-power*:
 $(\text{even } x \implies (-1::'a::\{\text{comm-ring-1}, \text{recpower}\})^x = 1) \ \&$
 $(\text{odd } x \implies (-1::'a)^x = -1)$
 $\langle \text{proof} \rangle$

lemma *minus-one-even-power [simp]*:
 $\text{even } x \implies (-1::'a::\{\text{comm-ring-1}, \text{recpower}\})^x = 1$
 $\langle \text{proof} \rangle$

lemma *minus-one-odd-power [simp]*:
 $\text{odd } x \implies (-1::'a::\{\text{comm-ring-1}, \text{recpower}\})^x = -1$
 $\langle \text{proof} \rangle$

lemma *neg-one-even-odd-power*:
 $(\text{even } x \implies (-1::'a::\{\text{number-ring}, \text{recpower}\})^x = 1) \ \&$
 $(\text{odd } x \implies (-1::'a)^x = -1)$
 $\langle \text{proof} \rangle$

lemma *neg-one-even-power [simp]*:
 $\text{even } x \implies (-1::'a::\{\text{number-ring}, \text{recpower}\})^x = 1$
 $\langle \text{proof} \rangle$

lemma *neg-one-odd-power* [simp]:

$$\text{odd } x \implies (-1 :: 'a :: \{\text{number-ring}, \text{recpower}\})^x = -1$$

⟨proof⟩

lemma *neg-power-if*:

$$(-x :: 'a :: \{\text{comm-ring-1}, \text{recpower}\})^n =$$

$$(\text{if even } n \text{ then } (x^n) \text{ else } -(x^n))$$

⟨proof⟩

lemma *zero-le-even-power*: *even* $n \implies$

$$0 \leq (x :: 'a :: \{\text{recpower}, \text{ordered-ring-strict}\})^n$$

⟨proof⟩

lemma *zero-le-odd-power*: *odd* $n \implies$

$$(0 \leq (x :: 'a :: \{\text{recpower}, \text{ordered-idom}\})^n) = (0 \leq x)$$

⟨proof⟩

lemma *zero-le-power-eq*[presburger]: $(0 \leq (x :: 'a :: \{\text{recpower}, \text{ordered-idom}\})^n)$

=

$$(\text{even } n \mid (\text{odd } n \ \& \ 0 \leq x))$$

⟨proof⟩

lemma *zero-less-power-eq*[presburger]: $(0 < (x :: 'a :: \{\text{recpower}, \text{ordered-idom}\})^n)$

=

$$(n = 0 \mid (\text{even } n \ \& \ x \sim 0) \mid (\text{odd } n \ \& \ 0 < x))$$

⟨proof⟩

lemma *power-less-zero-eq*[presburger]: $((x :: 'a :: \{\text{recpower}, \text{ordered-idom}\})^n < 0)$

=

$$(\text{odd } n \ \& \ x < 0)$$

⟨proof⟩

lemma *power-le-zero-eq*[presburger]: $((x :: 'a :: \{\text{recpower}, \text{ordered-idom}\})^n \leq 0)$

=

$$(n \sim 0 \ \& \ ((\text{odd } n \ \& \ x \leq 0) \mid (\text{even } n \ \& \ x = 0)))$$

⟨proof⟩

lemma *power-even-abs*: *even* $n \implies$

$$(\text{abs } (x :: 'a :: \{\text{recpower}, \text{ordered-idom}\}))^n = x^n$$

⟨proof⟩

lemma *zero-less-power-nat-eq*[presburger]: $(0 < (x :: \text{nat})^n) = (n = 0 \mid 0 < x)$

⟨proof⟩

lemma *power-minus-even* [simp]: *even* $n \implies$

$$(-x)^n = (x^n :: 'a :: \{\text{recpower}, \text{comm-ring-1}\})$$

⟨proof⟩

lemma *power-minus-odd* [simp]: *odd* $n \implies$

$(-x)^n = -(x^n :: 'a :: \{\text{recpower}, \text{comm-ring-1}\})$
 $\langle \text{proof} \rangle$

Simplify, when the exponent is a numeral

lemmas *power-0-left-number-of* = *power-0-left* [*of number-of w, standard*]

declare *power-0-left-number-of* [*simp*]

lemmas *zero-le-power-eq-number-of* [*simp*] =
zero-le-power-eq [*of - number-of w, standard*]

lemmas *zero-less-power-eq-number-of* [*simp*] =
zero-less-power-eq [*of - number-of w, standard*]

lemmas *power-le-zero-eq-number-of* [*simp*] =
power-le-zero-eq [*of - number-of w, standard*]

lemmas *power-less-zero-eq-number-of* [*simp*] =
power-less-zero-eq [*of - number-of w, standard*]

lemmas *zero-less-power-nat-eq-number-of* [*simp*] =
zero-less-power-nat-eq [*of - number-of w, standard*]

lemmas *power-eq-0-iff-number-of* [*simp*] = *power-eq-0-iff* [*of - number-of w, standard*]

lemmas *power-even-abs-number-of* [*simp*] = *power-even-abs* [*of number-of w -, standard*]

14.7 An Equivalence for $0 \leq a^n$

lemma *even-power-le-0-imp-0*:

$a^n (2*k) \leq (0 :: 'a :: \{\text{ordered-idom}, \text{recpower}\}) \implies a = 0$
 $\langle \text{proof} \rangle$

lemma *zero-le-power-iff* [*presburger*]:

$(0 \leq a^n) = (0 \leq (a :: 'a :: \{\text{ordered-idom}, \text{recpower}\}) \mid \text{even } n)$
 $\langle \text{proof} \rangle$

14.8 Miscellaneous

lemma [*presburger*]: $(x + 1) \text{ div } 2 = x \text{ div } 2 \iff \text{even } (x :: \text{int})$ $\langle \text{proof} \rangle$

lemma [*presburger*]: $(x + 1) \text{ div } 2 = x \text{ div } 2 + 1 \iff \text{odd } (x :: \text{int})$ $\langle \text{proof} \rangle$

lemma *even-plus-one-div-two*: $\text{even } (x :: \text{int}) \implies (x + 1) \text{ div } 2 = x \text{ div } 2$ $\langle \text{proof} \rangle$

lemma *odd-plus-one-div-two*: $\text{odd } (x :: \text{int}) \implies (x + 1) \text{ div } 2 = x \text{ div } 2 + 1$
 $\langle \text{proof} \rangle$

lemma *div-Suc*: $\text{Suc } a \text{ div } c = a \text{ div } c + \text{Suc } 0 \text{ div } c +$

$(a \text{ mod } c + \text{Suc } 0 \text{ mod } c) \text{ div } c$

$\langle \text{proof} \rangle$

```

lemma [presburger]: (Suc x) div Suc (Suc 0) = x div Suc (Suc 0)  $\longleftrightarrow$  even x
<proof>
lemma [presburger]: (Suc x) div Suc (Suc 0) = x div Suc (Suc 0)  $\longleftrightarrow$  even x
<proof>
lemma even-nat-plus-one-div-two: even (x::nat) ==>
  (Suc x) div Suc (Suc 0) = x div Suc (Suc 0) <proof>

lemma odd-nat-plus-one-div-two: odd (x::nat) ==>
  (Suc x) div Suc (Suc 0) = Suc (x div Suc (Suc 0)) <proof>

end

```

15 Commutative-Ring: Proving equalities in commutative rings

```

theory Commutative-Ring
imports Main Parity
uses (comm-ring.ML)
begin

```

Syntax of multivariate polynomials (pol) and polynomial expressions.

```

datatype 'a pol =
  Pc 'a
  | Pinj nat 'a pol
  | PX 'a pol nat 'a pol

```

```

datatype 'a polex =
  Pol 'a pol
  | Add 'a polex 'a polex
  | Sub 'a polex 'a polex
  | Mul 'a polex 'a polex
  | Pow 'a polex nat
  | Neg 'a polex

```

Interpretation functions for the shadow syntax.

```

fun
  Ipol :: 'a::{comm-ring,recpower} list  $\Rightarrow$  'a pol  $\Rightarrow$  'a
where
  Ipol l (Pc c) = c
  | Ipol l (Pinj i P) = Ipol (drop i l) P
  | Ipol l (PX P x Q) = Ipol l P * (hd l) ^ x + Ipol (drop 1 l) Q

```

```

fun
  Ipolex :: 'a::{comm-ring,recpower} list  $\Rightarrow$  'a polex  $\Rightarrow$  'a
where
  Ipolex l (Pol P) = Ipol l P
  | Ipolex l (Add P Q) = Ipolex l P + Ipolex l Q

```

| $Ipollex\ l\ (Sub\ P\ Q) = Ipollex\ l\ P - Ipollex\ l\ Q$
 | $Ipollex\ l\ (Mul\ P\ Q) = Ipollex\ l\ P * Ipollex\ l\ Q$
 | $Ipollex\ l\ (Pow\ p\ n) = Ipollex\ l\ p \wedge n$
 | $Ipollex\ l\ (Neg\ P) = -\ Ipollex\ l\ P$

Create polynomial normalized polynomials given normalized inputs.

definition

$mkPinj :: nat \Rightarrow 'a\ pol \Rightarrow 'a\ pol$ **where**
 $mkPinj\ x\ P = (case\ P\ of$
 $\quad Pc\ c \Rightarrow Pc\ c \mid$
 $\quad Pinj\ y\ P \Rightarrow Pinj\ (x + y)\ P \mid$
 $\quad PX\ p1\ y\ p2 \Rightarrow Pinj\ x\ P)$

definition

$mkPX :: 'a::\{comm-ring,recpower\}\ pol \Rightarrow nat \Rightarrow 'a\ pol \Rightarrow 'a\ pol$ **where**
 $mkPX\ P\ i\ Q = (case\ P\ of$
 $\quad Pc\ c \Rightarrow (if\ (c = 0)\ then\ (mkPinj\ 1\ Q)\ else\ (PX\ P\ i\ Q)) \mid$
 $\quad Pinj\ j\ R \Rightarrow PX\ P\ i\ Q \mid$
 $\quad PX\ P2\ i2\ Q2 \Rightarrow (if\ (Q2 = (Pc\ 0))\ then\ (PX\ P2\ (i+i2)\ Q)\ else\ (PX\ P\ i\ Q))$
 $)$

Defining the basic ring operations on normalized polynomials

function

$add :: 'a::\{comm-ring,recpower\}\ pol \Rightarrow 'a\ pol \Rightarrow 'a\ pol$ (**infixl** \oplus 65)
where
 $Pc\ a \oplus Pc\ b = Pc\ (a + b)$
 | $Pc\ c \oplus Pinj\ i\ P = Pinj\ i\ (P \oplus Pc\ c)$
 | $Pinj\ i\ P \oplus Pc\ c = Pinj\ i\ (P \oplus Pc\ c)$
 | $Pc\ c \oplus PX\ P\ i\ Q = PX\ P\ i\ (Q \oplus Pc\ c)$
 | $PX\ P\ i\ Q \oplus Pc\ c = PX\ P\ i\ (Q \oplus Pc\ c)$
 | $Pinj\ x\ P \oplus Pinj\ y\ Q =$
 $\quad (if\ x = y\ then\ mkPinj\ x\ (P \oplus Q)$
 $\quad \quad else\ (if\ x > y\ then\ mkPinj\ y\ (Pinj\ (x - y)\ P \oplus Q)$
 $\quad \quad \quad else\ mkPinj\ x\ (Pinj\ (y - x)\ Q \oplus P)))$
 | $Pinj\ x\ P \oplus PX\ Q\ y\ R =$
 $\quad (if\ x = 0\ then\ P \oplus PX\ Q\ y\ R$
 $\quad \quad else\ (if\ x = 1\ then\ PX\ Q\ y\ (R \oplus P)$
 $\quad \quad \quad else\ PX\ Q\ y\ (R \oplus Pinj\ (x - 1)\ P)))$
 | $PX\ P\ x\ R \oplus Pinj\ y\ Q =$
 $\quad (if\ y = 0\ then\ PX\ P\ x\ R \oplus Q$
 $\quad \quad else\ (if\ y = 1\ then\ PX\ P\ x\ (R \oplus Q)$
 $\quad \quad \quad else\ PX\ P\ x\ (R \oplus Pinj\ (y - 1)\ Q)))$
 | $PX\ P1\ x\ P2 \oplus PX\ Q1\ y\ Q2 =$
 $\quad (if\ x = y\ then\ mkPX\ (P1 \oplus Q1)\ x\ (P2 \oplus Q2)$
 $\quad \quad else\ (if\ x > y\ then\ mkPX\ (PX\ P1\ (x - y)\ (Pc\ 0) \oplus Q1)\ y\ (P2 \oplus Q2)$
 $\quad \quad \quad else\ mkPX\ (PX\ Q1\ (y - x)\ (Pc\ 0) \oplus P1)\ x\ (P2 \oplus Q2)))$
 $\langle proof \rangle$
termination $\langle proof \rangle$

function

$mul :: 'a::\{comm-ring,recpower\} \text{ pol} \Rightarrow 'a \text{ pol} \Rightarrow 'a \text{ pol} \text{ (infixl } \otimes \text{ 70)}$

where

$Pc \ a \otimes Pc \ b = Pc \ (a * b)$
 $| \ Pc \ c \otimes Pinj \ i \ P =$
 $\quad (if \ c = 0 \ then \ Pc \ 0 \ else \ mkPinj \ i \ (P \otimes Pc \ c))$
 $| \ Pinj \ i \ P \otimes Pc \ c =$
 $\quad (if \ c = 0 \ then \ Pc \ 0 \ else \ mkPinj \ i \ (P \otimes Pc \ c))$
 $| \ Pc \ c \otimes PX \ P \ i \ Q =$
 $\quad (if \ c = 0 \ then \ Pc \ 0 \ else \ mkPX \ (P \otimes Pc \ c) \ i \ (Q \otimes Pc \ c))$
 $| \ PX \ P \ i \ Q \otimes Pc \ c =$
 $\quad (if \ c = 0 \ then \ Pc \ 0 \ else \ mkPX \ (P \otimes Pc \ c) \ i \ (Q \otimes Pc \ c))$
 $| \ Pinj \ x \ P \otimes Pinj \ y \ Q =$
 $\quad (if \ x = y \ then \ mkPinj \ x \ (P \otimes Q) \ else$
 $\quad \quad (if \ x > y \ then \ mkPinj \ y \ (Pinj \ (x-y) \ P \otimes Q)$
 $\quad \quad \quad else \ mkPinj \ x \ (Pinj \ (y - x) \ Q \otimes P)))$
 $| \ Pinj \ x \ P \otimes PX \ Q \ y \ R =$
 $\quad (if \ x = 0 \ then \ P \otimes PX \ Q \ y \ R \ else$
 $\quad \quad (if \ x = 1 \ then \ mkPX \ (Pinj \ x \ P \otimes Q) \ y \ (R \otimes P)$
 $\quad \quad \quad else \ mkPX \ (Pinj \ x \ P \otimes Q) \ y \ (R \otimes Pinj \ (x - 1) \ P)))$
 $| \ PX \ P \ x \ R \otimes Pinj \ y \ Q =$
 $\quad (if \ y = 0 \ then \ PX \ P \ x \ R \otimes Q \ else$
 $\quad \quad (if \ y = 1 \ then \ mkPX \ (Pinj \ y \ Q \otimes P) \ x \ (R \otimes Q)$
 $\quad \quad \quad else \ mkPX \ (Pinj \ y \ Q \otimes P) \ x \ (R \otimes Pinj \ (y - 1) \ Q)))$
 $| \ PX \ P1 \ x \ P2 \otimes PX \ Q1 \ y \ Q2 =$
 $\quad mkPX \ (P1 \otimes Q1) \ (x + y) \ (P2 \otimes Q2) \oplus$
 $\quad \quad (mkPX \ (P1 \otimes mkPinj \ 1 \ Q2) \ x \ (Pc \ 0) \oplus$
 $\quad \quad \quad (mkPX \ (Q1 \otimes mkPinj \ 1 \ P2) \ y \ (Pc \ 0)))$

$\langle proof \rangle$

termination $\langle proof \rangle$

Negation

fun

$neg :: 'a::\{comm-ring,recpower\} \text{ pol} \Rightarrow 'a \text{ pol}$

where

$neg \ (Pc \ c) = Pc \ (-c)$
 $| \ neg \ (Pinj \ i \ P) = Pinj \ i \ (neg \ P)$
 $| \ neg \ (PX \ P \ x \ Q) = PX \ (neg \ P) \ x \ (neg \ Q)$

Substraction

definition

$sub :: 'a::\{comm-ring,recpower\} \text{ pol} \Rightarrow 'a \text{ pol} \Rightarrow 'a \text{ pol} \text{ (infixl } \ominus \text{ 65)}$

where

$sub \ P \ Q = P \oplus neg \ Q$

Square for Fast Exponentiation

fun

$sqr :: 'a::\{comm-ring,recpower\} \text{ pol} \Rightarrow 'a \text{ pol}$

where

$$\begin{aligned}
& \text{sqr } (Pc \ c) = Pc \ (c * c) \\
& | \text{sqr } (Pinj \ i \ P) = mkPinj \ i \ (\text{sqr } P) \\
& | \text{sqr } (PX \ A \ x \ B) = mkPX \ (\text{sqr } A) \ (x + x) \ (\text{sqr } B) \oplus \\
& \quad mkPX \ (Pc \ (1 + 1) \otimes A \otimes mkPinj \ 1 \ B) \ x \ (Pc \ 0)
\end{aligned}$$

Fast Exponentiation

fun
 $\text{pow} :: \text{nat} \Rightarrow 'a::\{\text{comm-ring}, \text{recpower}\} \text{pol} \Rightarrow 'a \text{ pol}$
where
 $\text{pow } 0 \ P = Pc \ 1$
 $| \text{pow } n \ P = (\text{if even } n \text{ then pow } (n \text{ div } 2) \ (\text{sqr } P)$
 $\quad \text{else } P \otimes \text{pow } (n \text{ div } 2) \ (\text{sqr } P))$

lemma *pow-if*:
 $\text{pow } n \ P =$
 $(\text{if } n = 0 \text{ then } Pc \ 1 \text{ else if even } n \text{ then pow } (n \text{ div } 2) \ (\text{sqr } P)$
 $\quad \text{else } P \otimes \text{pow } (n \text{ div } 2) \ (\text{sqr } P))$
 $\langle \text{proof} \rangle$

Normalization of polynomial expressions

fun
 $\text{norm} :: 'a::\{\text{comm-ring}, \text{recpower}\} \text{pol} \Rightarrow 'a \text{ pol}$
where
 $\text{norm } (Pol \ P) = P$
 $| \text{norm } (Add \ P \ Q) = \text{norm } P \oplus \text{norm } Q$
 $| \text{norm } (Sub \ P \ Q) = \text{norm } P \ominus \text{norm } Q$
 $| \text{norm } (Mul \ P \ Q) = \text{norm } P \otimes \text{norm } Q$
 $| \text{norm } (Pow \ P \ n) = \text{pow } n \ (\text{norm } P)$
 $| \text{norm } (Neg \ P) = \text{neg } (\text{norm } P)$

mkPinj preserve semantics

lemma *mkPinj-ci*: $Ipol \ l \ (mkPinj \ a \ B) = Ipol \ l \ (Pinj \ a \ B)$
 $\langle \text{proof} \rangle$

mkPX preserves semantics

lemma *mkPX-ci*: $Ipol \ l \ (mkPX \ A \ b \ C) = Ipol \ l \ (PX \ A \ b \ C)$
 $\langle \text{proof} \rangle$

Correctness theorems for the implemented operations

Negation

lemma *neg-ci*: $Ipol \ l \ (\text{neg } P) = -(Ipol \ l \ P)$
 $\langle \text{proof} \rangle$

Addition

lemma *add-ci*: $Ipol \ l \ (P \oplus Q) = Ipol \ l \ P + Ipol \ l \ Q$
 $\langle \text{proof} \rangle$

Multiplication

lemma *mul-ci*: $\text{Ipol } l \ (P \otimes Q) = \text{Ipol } l \ P * \text{Ipol } l \ Q$
 $\langle \text{proof} \rangle$

Substraction

lemma *sub-ci*: $\text{Ipol } l \ (P \ominus Q) = \text{Ipol } l \ P - \text{Ipol } l \ Q$
 $\langle \text{proof} \rangle$

Square

lemma *sqr-ci*: $\text{Ipol } ls \ (\text{sqr } P) = \text{Ipol } ls \ P * \text{Ipol } ls \ P$
 $\langle \text{proof} \rangle$

Power

lemma *even-pow*: $\text{even } n \implies \text{pow } n \ P = \text{pow } (n \text{ div } 2) \ (\text{sqr } P)$
 $\langle \text{proof} \rangle$

lemma *pow-ci*: $\text{Ipol } ls \ (\text{pow } n \ P) = \text{Ipol } ls \ P \wedge^n$
 $\langle \text{proof} \rangle$

Normalization preserves semantics

lemma *norm-ci*: $\text{Ipolex } l \ Pe = \text{Ipol } l \ (\text{norm } Pe)$
 $\langle \text{proof} \rangle$

Reflection lemma: Key to the (incomplete) decision procedure

lemma *norm-eq*:
assumes $\text{norm } P1 = \text{norm } P2$
shows $\text{Ipolex } l \ P1 = \text{Ipolex } l \ P2$
 $\langle \text{proof} \rangle$

$\langle ML \rangle$

end

16 Continuity: Continuity and iterations (of set transformers)

theory *Continuity*
imports *Main*
begin

16.1 Continuity for complete lattices

definition
 $\text{chain} :: (\text{nat} \Rightarrow 'a::\text{complete-lattice}) \Rightarrow \text{bool}$ **where**
 $\text{chain } M \longleftrightarrow (\forall i. M \ i \leq M \ (\text{Suc } i))$

definition

continuous :: ('a::complete-lattice \Rightarrow 'a::complete-lattice) \Rightarrow bool **where**
continuous $F \iff (\forall M. \text{chain } M \longrightarrow F (\text{SUP } i. M \ i) = (\text{SUP } i. F \ (M \ i)))$

lemma *SUP-nat-conv*:

$(\text{SUP } n. M \ n) = \text{sup } (M \ 0) (\text{SUP } n. M (\text{Suc } n))$
 <proof>

lemma *continuous-mono*: **fixes** $F :: 'a::\text{complete-lattice} \Rightarrow 'a::\text{complete-lattice}$

assumes *continuous* F **shows** *mono* F
 <proof>

lemma *continuous-lfp*:

assumes *continuous* F **shows** $\text{lfp } F = (\text{SUP } i. (F^i \ \text{bot}))$
 <proof>

The following development is just for sets but presents an up and a down version of chains and continuity and covers *gfp*.

16.2 Chains

definition

up-chain :: (nat \Rightarrow 'a set) \Rightarrow bool **where**
up-chain $F = (\forall i. F \ i \subseteq F \ (\text{Suc } i))$

lemma *up-chainI*: $(!!i. F \ i \subseteq F \ (\text{Suc } i)) \implies \text{up-chain } F$
 <proof>

lemma *up-chainD*: $\text{up-chain } F \implies F \ i \subseteq F \ (\text{Suc } i)$
 <proof>

lemma *up-chain-less-mono*:

$\text{up-chain } F \implies x < y \implies F \ x \subseteq F \ y$
 <proof>

lemma *up-chain-mono*: $\text{up-chain } F \implies x \leq y \implies F \ x \subseteq F \ y$
 <proof>

definition

down-chain :: (nat \Rightarrow 'a set) \Rightarrow bool **where**
down-chain $F = (\forall i. F \ (\text{Suc } i) \subseteq F \ i)$

lemma *down-chainI*: $(!!i. F \ (\text{Suc } i) \subseteq F \ i) \implies \text{down-chain } F$
 <proof>

lemma *down-chainD*: $\text{down-chain } F \implies F \ (\text{Suc } i) \subseteq F \ i$
 <proof>

lemma *down-chain-less-mono*:

$down-chain\ F ==> x < y ==> F\ y \subseteq F\ x$
 $\langle proof \rangle$

lemma *down-chain-mono*: $down-chain\ F ==> x \leq y ==> F\ y \subseteq F\ x$
 $\langle proof \rangle$

16.3 Continuity

definition

$up-cont :: ('a\ set ==> 'a\ set) ==> bool$ **where**
 $up-cont\ f = (\forall F. up-chain\ F --> f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F))$

lemma *up-contI*:
 $(!!F. up-chain\ F ==> f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F)) ==> up-cont\ f$
 $\langle proof \rangle$

lemma *up-contD*:
 $up-cont\ f ==> up-chain\ F ==> f\ (\bigcup (range\ F)) = \bigcup (f\ ` range\ F)$
 $\langle proof \rangle$

lemma *up-cont-mono*: $up-cont\ f ==> mono\ f$
 $\langle proof \rangle$

definition

$down-cont :: ('a\ set ==> 'a\ set) ==> bool$ **where**
 $down-cont\ f =$
 $(\forall F. down-chain\ F --> f\ (Inter\ (range\ F)) = Inter\ (f\ ` range\ F))$

lemma *down-contI*:
 $(!!F. down-chain\ F ==> f\ (Inter\ (range\ F)) = Inter\ (f\ ` range\ F)) ==>$
 $down-cont\ f$
 $\langle proof \rangle$

lemma *down-contD*: $down-cont\ f ==> down-chain\ F ==>$
 $f\ (Inter\ (range\ F)) = Inter\ (f\ ` range\ F)$
 $\langle proof \rangle$

lemma *down-cont-mono*: $down-cont\ f ==> mono\ f$
 $\langle proof \rangle$

16.4 Iteration

definition

$up-iterate :: ('a\ set ==> 'a\ set) ==> nat ==> 'a\ set$ **where**
 $up-iterate\ f\ n = (f^n)\ \{\}$

lemma *up-iterate-0 [simp]*: $up-iterate\ f\ 0 = \{\}$
 $\langle proof \rangle$

lemma *up-iterate-Suc [simp]: up-iterate f (Suc i) = f (up-iterate f i)*
 ⟨proof⟩

lemma *up-iterate-chain: mono F ==> up-chain (up-iterate F)*
 ⟨proof⟩

lemma *UNION-up-iterate-is-fp:*
up-cont F ==>
F (UNION UNIV (up-iterate F)) = UNION UNIV (up-iterate F)
 ⟨proof⟩

lemma *UNION-up-iterate-lowerbound:*
mono F ==> F P = P ==> UNION UNIV (up-iterate F) ⊆ P
 ⟨proof⟩

lemma *UNION-up-iterate-is-lfp:*
up-cont F ==> lfp F = UNION UNIV (up-iterate F)
 ⟨proof⟩

definition
down-iterate :: ('a set => 'a set) => nat => 'a set where
down-iterate f n = (f^n) UNIV

lemma *down-iterate-0 [simp]: down-iterate f 0 = UNIV*
 ⟨proof⟩

lemma *down-iterate-Suc [simp]:*
down-iterate f (Suc i) = f (down-iterate f i)
 ⟨proof⟩

lemma *down-iterate-chain: mono F ==> down-chain (down-iterate F)*
 ⟨proof⟩

lemma *INTER-down-iterate-is-fp:*
down-cont F ==>
F (INTER UNIV (down-iterate F)) = INTER UNIV (down-iterate F)
 ⟨proof⟩

lemma *INTER-down-iterate-upperbound:*
mono F ==> F P = P ==> P ⊆ INTER UNIV (down-iterate F)
 ⟨proof⟩

lemma *INTER-down-iterate-is-gfp:*
down-cont F ==> gfp F = INTER UNIV (down-iterate F)
 ⟨proof⟩

end

17 Code-Integer: Pretty integer literals for code generation

```
theory Code-Integer
imports IntArith Code-Index
begin
```

HOL numeral expressions are mapped to integer literals in target languages, using predefined target language operations for abstract integer operations.

```
code-type int
  (SML IntInf.int)
  (OCaml Big'-int.big'-int)
  (Haskell Integer)
```

```
code-instance int :: eq
  (Haskell -)
```

```
<ML>
```

```
code-const Numeral.Pls and Numeral.Min and Numeral.Bit
  (SML raise/ Fail/ Pls
    and raise/ Fail/ Min
    and !((-)/ (-)/ raise/ Fail/ Bit))
  (OCaml failwith/ Pls
    and failwith/ Min
    and !((-)/ (-)/ failwith/ Bit))
  (Haskell error/ Pls
    and error/ Min
    and error/ Bit)
```

```
code-const Numeral.pred
  (SML IntInf.- ((-), 1))
  (OCaml Big'-int.pred'-big'-int)
  (Haskell !(-/ -/ 1))
```

```
code-const Numeral.succ
  (SML IntInf.+ ((-), 1))
  (OCaml Big'-int.succ'-big'-int)
  (Haskell !(-/ +/ 1))
```

```
code-const op + :: int ⇒ int ⇒ int
  (SML IntInf.+ ((-), (-)))
  (OCaml Big'-int.add'-big'-int)
  (Haskell infixl 6 +)
```

```

code-const uminus :: int ⇒ int
  (SML IntInf.~)
  (OCaml Big'-int.minus'-big'-int)
  (Haskell negate)

code-const op - :: int ⇒ int ⇒ int
  (SML IntInf.- ((-), (-)))
  (OCaml Big'-int.sub'-big'-int)
  (Haskell infixl 6 -)

code-const op * :: int ⇒ int ⇒ int
  (SML IntInf.* ((-), (-)))
  (OCaml Big'-int.mult'-big'-int)
  (Haskell infixl 7 *)

code-const op = :: int ⇒ int ⇒ bool
  (SML !((- : IntInf.int) = -))
  (OCaml Big'-int.eq'-big'-int)
  (Haskell infixl 4 ==)

code-const op ≤ :: int ⇒ int ⇒ bool
  (SML IntInf.<= ((-), (-)))
  (OCaml Big'-int.le'-big'-int)
  (Haskell infix 4 <=)

code-const op < :: int ⇒ int ⇒ bool
  (SML IntInf.< ((-), (-)))
  (OCaml Big'-int.lt'-big'-int)
  (Haskell infix 4 <)

code-const index-of-int and int-of-index
  (SML IntInf.toInt and IntInf.fromInt)
  (OCaml Big'-int.int'-of'-big'-int and Big'-int.big'-int'-of'-int)
  (Haskell - and -)

code-reserved SML IntInf
code-reserved OCaml Big-int

end

```

18 Efficient-Nat: Implementation of natural numbers by integers

```

theory Efficient-Nat
imports Main Code-Integer
begin

```

When generating code for functions on natural numbers, the canonical representation using *0* and *Suc* is unsuitable for computations involving

large numbers. The efficiency of the generated code can be improved drastically by implementing natural numbers by integers. To do this, just include this theory.

18.1 Logical rewrites

An int-to-nat conversion restricted to non-negative ints (in contrast to *nat*). Note that this restriction has no logical relevance and is just a kind of proof hint – nothing prevents you from writing nonsense like *nat-of-int* ($-4::'a$)

definition

nat-of-int :: *int* \Rightarrow *nat* **where**
 $k \geq 0 \implies \text{nat-of-int } k = \text{nat } k$

definition

int-of-nat :: *nat* \Rightarrow *int* **where**
 $\text{int-of-nat } n = \text{of-nat } n$

lemma *int-of-nat-Suc* [simp]:

$\text{int-of-nat } (\text{Suc } n) = 1 + \text{int-of-nat } n$
 $\langle \text{proof} \rangle$

lemma *int-of-nat-add*:

$\text{int-of-nat } (m + n) = \text{int-of-nat } m + \text{int-of-nat } n$
 $\langle \text{proof} \rangle$

lemma *int-of-nat-mult*:

$\text{int-of-nat } (m * n) = \text{int-of-nat } m * \text{int-of-nat } n$
 $\langle \text{proof} \rangle$

lemma *nat-of-int-of-number-of*:

fixes *k*
assumes $k \geq 0$
shows $\text{number-of } k = \text{nat-of-int } (\text{number-of } k)$
 $\langle \text{proof} \rangle$

lemma *nat-of-int-of-number-of-aux*:

fixes *k*
assumes $\text{Numeral.Pls} \leq k \equiv \text{True}$
shows $k \geq 0$
 $\langle \text{proof} \rangle$

lemma *nat-of-int-int*:

$\text{nat-of-int } (\text{int-of-nat } n) = n$
 $\langle \text{proof} \rangle$

lemma *eq-nat-of-int*: $\text{int-of-nat } n = x \implies n = \text{nat-of-int } x$

$\langle \text{proof} \rangle$

code-datatype *nat-of-int*

Case analysis on natural numbers is rephrased using a conditional expression:

lemma [*code unfold, code inline del*]:
 $\text{nat-case} \equiv (\lambda f g n. \text{if } n = 0 \text{ then } f \text{ else } g (n - 1))$
 ⟨*proof*⟩

lemma [*code inline*]:
 $\text{nat-case} = (\lambda f g n. \text{if } n = 0 \text{ then } f \text{ else } g (\text{nat-of-int } (\text{int-of-nat } n - 1)))$
 ⟨*proof*⟩

Most standard arithmetic functions on natural numbers are implemented using their counterparts on the integers:

lemma [*code func*]: $0 = \text{nat-of-int } 0$
 ⟨*proof*⟩

lemma [*code func, code inline*]: $1 = \text{nat-of-int } 1$
 ⟨*proof*⟩

lemma [*code func*]: $\text{Suc } n = \text{nat-of-int } (\text{int-of-nat } n + 1)$
 ⟨*proof*⟩

lemma [*code*]: $m + n = \text{nat } (\text{int-of-nat } m + \text{int-of-nat } n)$
 ⟨*proof*⟩

lemma [*code func, code inline*]: $m + n = \text{nat-of-int } (\text{int-of-nat } m + \text{int-of-nat } n)$
 ⟨*proof*⟩

lemma [*code, code inline*]: $m - n = \text{nat } (\text{int-of-nat } m - \text{int-of-nat } n)$
 ⟨*proof*⟩

lemma [*code*]: $m * n = \text{nat } (\text{int-of-nat } m * \text{int-of-nat } n)$
 ⟨*proof*⟩

lemma [*code func, code inline*]: $m * n = \text{nat-of-int } (\text{int-of-nat } m * \text{int-of-nat } n)$
 ⟨*proof*⟩

lemma [*code*]: $m \text{ div } n = \text{nat } (\text{int-of-nat } m \text{ div } \text{int-of-nat } n)$
 ⟨*proof*⟩

lemma *div-nat-code* [*code func*]:
 $m \text{ div } k = \text{nat-of-int } (\text{fst } (\text{divAlg } (\text{int-of-nat } m, \text{int-of-nat } k)))$
 ⟨*proof*⟩

lemma [*code*]: $m \text{ mod } n = \text{nat } (\text{int-of-nat } m \text{ mod } \text{int-of-nat } n)$
 ⟨*proof*⟩

lemma *mod-nat-code* [*code func*]:

$m \bmod k = \text{nat-of-int } (\text{snd } (\text{divAlg } (\text{int-of-nat } m, \text{int-of-nat } k)))$
 $\langle \text{proof} \rangle$

lemma $[\text{code func}, \text{code inline}]$: $(m < n) \longleftrightarrow (\text{int-of-nat } m < \text{int-of-nat } n)$
 $\langle \text{proof} \rangle$

lemma $[\text{code func}, \text{code inline}]$: $(m \leq n) \longleftrightarrow (\text{int-of-nat } m \leq \text{int-of-nat } n)$
 $\langle \text{proof} \rangle$

lemma $[\text{code func}, \text{code inline}]$: $m = n \longleftrightarrow \text{int-of-nat } m = \text{int-of-nat } n$
 $\langle \text{proof} \rangle$

lemma $[\text{code func}]$: $\text{nat } k = (\text{if } k < 0 \text{ then } 0 \text{ else } \text{nat-of-int } k)$
 $\langle \text{proof} \rangle$

lemma $[\text{code func}]$:
 $\text{int-aux } n \ i = (\text{if } \text{int-of-nat } n = 0 \text{ then } i \text{ else } \text{int-aux } (\text{nat-of-int } (\text{int-of-nat } n - 1)) \ (i + 1))$
 $\langle \text{proof} \rangle$

lemma $\text{index-of-nat-code } [\text{code func}, \text{code inline}]$:
 $\text{index-of-nat } n = \text{index-of-int } (\text{int-of-nat } n)$
 $\langle \text{proof} \rangle$

lemma $\text{nat-of-index-code } [\text{code func}, \text{code inline}]$:
 $\text{nat-of-index } k = \text{nat } (\text{int-of-index } k)$
 $\langle \text{proof} \rangle$

18.2 Code generator setup for basic functions

nat is no longer a datatype but embedded into the integers.

code-type nat
 $(\text{SML } \text{int})$
 $(\text{OCaml } \text{Big'-int.big'-int})$
 $(\text{Haskell } \text{Integer})$

types-code
 $\text{nat } (\text{int})$
attach $(\text{term-of}) \ll$
 $\text{val term-of-nat} = \text{HOLogic.mk-number } \text{HOLogic.natT};$
 \gg
attach $(\text{test}) \ll$
 $\text{fun gen-nat } i = \text{random-range } 0 \ i;$
 \gg

consts-code
 $0 :: \text{nat } (0)$
 $\text{Suc } ((- + 1))$

Since natural numbers are implemented using integers, the coercion func-

tion int of type $nat \Rightarrow int$ is simply implemented by the identity function, likewise $nat\text{-}of\text{-}int$ of type $int \Rightarrow nat$. For the nat function for converting an integer to a natural number, we give a specific implementation using an ML function that returns its input value, provided that it is non-negative, and otherwise returns 0.

```
consts-code
  int-of-nat ((-))
  nat (<module>nat)
attach <<
fun nat i = if i < 0 then 0 else i;
>>
```

```
code-const int-of-nat
  (SML -)
  (OCaml -)
  (Haskell -)
```

```
code-const nat-of-int
  (SML -)
  (OCaml -)
  (Haskell -)
```

18.3 Preprocessors

Natural numerals should be expressed using $nat\text{-}of\text{-}int$.

lemmas [code inline del] = nat-number-of-def

<ML>

In contrast to $Suc\ n$, the term $n + 1$ is no longer a constructor term. Therefore, all occurrences of this term in a position where a pattern is expected (i.e. on the left-hand side of a recursion equation or in the arguments of an inductive relation in an introduction rule) must be eliminated. This can be accomplished by applying the following transformation rules:

theorem *Suc-if-eq*: $(\bigwedge n. f\ (Suc\ n) = h\ n) \Longrightarrow f\ 0 = g \Longrightarrow$
 $f\ n = (if\ n = 0\ then\ g\ else\ h\ (n - 1))$
 <proof>

theorem *Suc-clause*: $(\bigwedge n. P\ n\ (Suc\ n)) \Longrightarrow n \neq 0 \Longrightarrow P\ (n - 1)\ n$
 <proof>

The rules above are built into a preprocessor that is plugged into the code generator. Since the preprocessor for introduction rules does not know anything about modes, some of the modes that worked for the canonical representation of natural numbers may no longer work.

<ML>

18.4 Module names

code-modulename *SML*

Nat Integer

Divides Integer

Efficient-Nat Integer

code-modulename *OCaml*

Nat Integer

Divides Integer

Efficient-Nat Integer

code-modulename *Haskell*

Nat Integer

Divides Integer

Efficient-Nat Integer

hide *const nat-of-int int-of-nat*

end

19 Eval-Witness: Evaluation Oracle with ML witnesses

theory *Eval-Witness*

imports *Main*

begin

We provide an oracle method similar to “eval”, but with the possibility to provide ML values as witnesses for existential statements.

Our oracle can prove statements of the form $\exists x. P\ x$ where P is an executable predicate that can be compiled to ML. The oracle generates code for P and applies it to a user-specified ML value. If the evaluation returns true, this is effectively a proof of $\exists x. P\ x$.

However, this is only sound if for every ML value of the given type there exists a corresponding HOL value, which could be used in an explicit proof. Unfortunately this is not true for function types, since ML functions are not equivalent to the pure HOL functions. Thus, the oracle can only be used on first-order types.

We define a type class to mark types that can be safely used with the oracle.

class *ml-equiv* = *type*

Instances of *ml-equiv* should only be declared for those types, where the universe of ML values coincides with the HOL values.

Since this is essentially a statement about ML, there is no logical char-

acterization.

```
instance nat :: ml-equiv ⟨proof⟩
instance bool :: ml-equiv ⟨proof⟩
instance list :: (ml-equiv) ml-equiv ⟨proof⟩
```

⟨ML⟩

19.1 Toy Examples

Note that we must use the generated data structure for the naturals, since ML integers are different.

```
lemma ∃ n::nat. n = 1
⟨proof⟩
```

Since polymorphism is not allowed, we must specify the type explicitly:

```
lemma ∃ l. length (l::bool list) = 3
⟨proof⟩
```

Multiple witnesses

```
lemma ∃ k l. length (k::bool list) = length (l::bool list)
⟨proof⟩
```

19.2 Discussion

19.2.1 Conflicts

This theory conflicts with EfficientNat, since the *ml-equiv* instance for natural numbers is not valid when they are mapped to ML integers. With that theory loaded, we could use our oracle to prove $\exists n. n < (0::'a)$ by providing ~ 1 as a witness.

This shows that *ml-equiv* declarations have to be used with care, taking the configuration of the code generator into account.

19.2.2 Haskell

If we were able to run generated Haskell code, the situation would be much nicer, since Haskell functions are pure and could be used as witnesses for HOL functions: Although Haskell functions are partial, we know that if the evaluation terminates, they are “sufficiently defined” and could be completed arbitrarily to a total (HOL) function.

This would allow us to provide access to very efficient data structures via lookup functions coded in Haskell and provided to HOL as witnesses.

end

20 Executable-Set: Implementation of finite sets by lists

```
theory Executable-Set
imports Main
begin
```

20.1 Definitional rewrites

```
lemma [code target: Set]:
   $A = B \longleftrightarrow A \subseteq B \wedge B \subseteq A$ 
  <proof>
```

```
lemma [code]:
   $a \in A \longleftrightarrow (\exists x \in A. x = a)$ 
  <proof>
```

```
definition
  filter-set :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a set  $\Rightarrow$  'a set where
  filter-set P xs = {x $\in$ xs. P x}
```

20.2 Operations on lists

20.2.1 Basic definitions

```
definition
  flip :: ('a  $\Rightarrow$  'b  $\Rightarrow$  'c)  $\Rightarrow$  'b  $\Rightarrow$  'a  $\Rightarrow$  'c where
  flip f a b = f b a
```

```
definition
  member :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  bool where
  member xs x  $\longleftrightarrow x \in$  set xs
```

```
definition
  insertl :: 'a  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  insertl x xs = (if member xs x then xs else x#xs)
```

```
lemma [code target: List]: member [] y  $\longleftrightarrow$  False
and [code target: List]: member (x#xs) y  $\longleftrightarrow y = x \vee$  member xs y
<proof>
```

```
fun
  drop-first :: ('a  $\Rightarrow$  bool)  $\Rightarrow$  'a list  $\Rightarrow$  'a list where
  drop-first f [] = []
| drop-first f (x#xs) = (if f x then xs else x # drop-first f xs)
declare drop-first.simps [code del]
declare drop-first.simps [code target: List]
```

```
declare remove1.simps [code del]
lemma [code target: List]:
```

remove1 $x\ xs = (\text{if } \text{member}\ xs\ x \text{ then drop-first } (\lambda y. y = x)\ xs \text{ else } xs)$
 $\langle \text{proof} \rangle$

lemma *member-nil* [simp]:
 $\text{member}\ [] = (\lambda x. \text{False})$
 $\langle \text{proof} \rangle$

lemma *member-insertl* [simp]:
 $x \in \text{set } (\text{insertl}\ x\ xs)$
 $\langle \text{proof} \rangle$

lemma *insertl-member* [simp]:
fixes $xs\ x$
assumes *member*: $\text{member}\ xs\ x$
shows $\text{insertl}\ x\ xs = xs$
 $\langle \text{proof} \rangle$

lemma *insertl-not-member* [simp]:
fixes $xs\ x$
assumes *member*: $\neg (\text{member}\ xs\ x)$
shows $\text{insertl}\ x\ xs = x \# xs$
 $\langle \text{proof} \rangle$

lemma *foldr-remove1-empty* [simp]:
 $\text{foldr}\ \text{remove1}\ xs\ [] = []$
 $\langle \text{proof} \rangle$

20.2.2 Derived definitions

function *unionl* :: $'a\ \text{list} \Rightarrow 'a\ \text{list} \Rightarrow 'a\ \text{list}$
where
 $\text{unionl}\ []\ ys = ys$
 $|\ \text{unionl}\ xs\ ys = \text{foldr}\ \text{insertl}\ xs\ ys$
 $\langle \text{proof} \rangle$
termination $\langle \text{proof} \rangle$

lemmas *unionl-def* = *unionl.simps*(2)

function *intersect* :: $'a\ \text{list} \Rightarrow 'a\ \text{list} \Rightarrow 'a\ \text{list}$
where
 $\text{intersect}\ []\ ys = []$
 $|\ \text{intersect}\ xs\ [] = []$
 $|\ \text{intersect}\ xs\ ys = \text{filter}\ (\text{member}\ xs)\ ys$
 $\langle \text{proof} \rangle$
termination $\langle \text{proof} \rangle$

lemmas *intersect-def* = *intersect.simps*(3)

function *subtract* :: $'a\ \text{list} \Rightarrow 'a\ \text{list} \Rightarrow 'a\ \text{list}$

where

subtract [] *ys* = *ys*
 | *subtract xs* [] = []
 | *subtract xs ys* = *foldr remove1 xs ys*
 ⟨*proof*⟩

termination ⟨*proof*⟩

lemmas *subtract-def* = *subtract.simps*(3)

function *map-distinct* :: ('a ⇒ 'b) ⇒ 'a list ⇒ 'b list

where

map-distinct f [] = []
 | *map-distinct f xs* = *foldr (insertl o f) xs* []
 ⟨*proof*⟩

termination ⟨*proof*⟩

lemmas *map-distinct-def* = *map-distinct.simps*(2)

function *unions* :: 'a list list ⇒ 'a list

where

unions [] = []
 | *unions xs* = *foldr unionl xs* []
 ⟨*proof*⟩

termination ⟨*proof*⟩

lemmas *unions-def* = *unions.simps*(2)

consts *intersects* :: 'a list list ⇒ 'a list

primrec

intersects (*x* # *xs*) = *foldr intersect xs x*

definition

map-union :: 'a list ⇒ ('a ⇒ 'b list) ⇒ 'b list **where**
map-union xs f = *unions (map f xs)*

definition

map-inter :: 'a list ⇒ ('a ⇒ 'b list) ⇒ 'b list **where**
map-inter xs f = *intersects (map f xs)*

20.3 Isomorphism proofs

lemma *iso-member*:

member xs x ⇔ *x* ∈ *set xs*
 ⟨*proof*⟩

lemma *iso-insert*:

set (insertl x xs) = *insert x (set xs)*
 ⟨*proof*⟩

lemma *iso-remove1*:

assumes *distinct*: *distinct xs*

shows $\text{set } (\text{remove1 } x \text{ } xs) = \text{set } xs - \{x\}$

<proof>

lemma *iso-union*:

$\text{set } (\text{union1 } xs \text{ } ys) = \text{set } xs \cup \text{set } ys$

<proof>

lemma *iso-intersect*:

$\text{set } (\text{intersect } xs \text{ } ys) = \text{set } xs \cap \text{set } ys$

<proof>

definition

$\text{subtract}' :: 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}$ **where**

$\text{subtract}' = \text{flip subtract}$

lemma *iso-subtract*:

fixes *ys*

assumes *distinct*: *distinct ys*

shows $\text{set } (\text{subtract}' \text{ } ys \text{ } xs) = \text{set } ys - \text{set } xs$

and *distinct* ($\text{subtract}' \text{ } ys \text{ } xs$)

<proof>

lemma *iso-map-distinct*:

$\text{set } (\text{map-distinct } f \text{ } xs) = \text{image } f \text{ } (\text{set } xs)$

<proof>

lemma *iso-unions*:

$\text{set } (\text{unions } xss) = \bigcup \text{set } (\text{map set } xss)$

<proof>

lemma *iso-intersects*:

$\text{set } (\text{intersects } (xs \# xss)) = \bigcap \text{set } (\text{map set } (xs \# xss))$

<proof>

lemma *iso-UNION*:

$\text{set } (\text{map-union } xs \text{ } f) = \text{UNION } (\text{set } xs) \text{ } (\text{set o } f)$

<proof>

lemma *iso-INTER*:

$\text{set } (\text{map-inter } (x \# xs) \text{ } f) = \text{INTER } (\text{set } (x \# xs)) \text{ } (\text{set o } f)$

<proof>

definition

$\text{Blall} :: 'a \text{ list} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ **where**

$\text{Blall} = \text{flip list-all}$

definition

$\text{Blex} :: 'a \text{ list} \Rightarrow ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ **where**

Blex = flip list-ex

lemma *iso-Ball*:

Blall *xs* *f* = *Ball* (*set xs*) *f*
 ⟨proof⟩

lemma *iso-Bex*:

Blex *xs* *f* = *Bex* (*set xs*) *f*
 ⟨proof⟩

lemma *iso-filter*:

set (*filter P xs*) = *filter-set P* (*set xs*)
 ⟨proof⟩

20.4 code generator setup

⟨ML⟩

20.4.1 type serializations

types-code

set (- list)

attach (*term-of*) ⟨⟨

fun term-of-set f T [] = Const ({}, Type (set, [T]))

| term-of-set f T (x :: xs) = Const (insert,

T --> Type (set, [T]) --> Type (set, [T])) \$ f x \$ term-of-set f T xs;

⟩⟩

attach (*test*) ⟨⟨

fun gen-set' aG i j = frequency

[(i, fn () => aG j :: gen-set' aG (i-1) j), (1, fn () => [])] ()

and gen-set aG i = gen-set' aG i i;

⟩⟩

20.4.2 const serializations

consts-code

{} (*{*[]**)

insert (*{*insertl**)

op \cup (*{*unionl**)

op \cap (*{*intersect**)

op - :: 'a set \Rightarrow 'a set \Rightarrow 'a set (*{* flip subtract **)

image (*{*map-distinct**)

Union (*{*unions**)

Inter (*{*intersects**)

UNION (*{*map-union**)

INTER (*{*map-inter**)

Ball (*{*Blall**)

Bex (*{*Blex**)

filter-set (*{*filter**)

end

21 FuncSet: Pi and Function Sets

theory *FuncSet*
imports *Main*
begin

definition

$Pi :: ['a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set$ **where**
 $Pi\ A\ B = \{f. \forall x. x \in A \longrightarrow f\ x \in B\}$

definition

$extensional :: 'a\ set \Rightarrow ('a \Rightarrow 'b)\ set$ **where**
 $extensional\ A = \{f. \forall x. x \in A \longrightarrow f\ x = arbitrary\}$

definition

$restrict :: ['a \Rightarrow 'b, 'a\ set] \Rightarrow ('a \Rightarrow 'b)$ **where**
 $restrict\ f\ A = (\%x. if\ x \in A\ then\ f\ x\ else\ arbitrary)$

abbreviation

$funcset :: ['a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set$
(infixr \rightarrow 60) **where**
 $A \rightarrow B == Pi\ A\ (\%-. B)$

notation (*xsymbols*)

$funcset$ **(infixr \rightarrow 60)**

syntax

$-Pi :: [pttrn, 'a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set$ $((\exists PI \text{ :-./ -})\ 10)$
 $-lam :: [pttrn, 'a\ set, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b)$ $((\exists \% \text{ :-./ -})\ [0,0,\exists]\ \exists)$

syntax (*xsymbols*)

$-Pi :: [pttrn, 'a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set$ $((\exists \Pi \text{ -\in./ -})\ 10)$
 $-lam :: [pttrn, 'a\ set, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b)$ $((\exists \lambda \text{ -\in./ -})\ [0,0,\exists]\ \exists)$

syntax (*HTML output*)

$-Pi :: [pttrn, 'a\ set, 'b\ set] \Rightarrow ('a \Rightarrow 'b)\ set$ $((\exists \Pi \text{ -\in./ -})\ 10)$
 $-lam :: [pttrn, 'a\ set, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'b)$ $((\exists \lambda \text{ -\in./ -})\ [0,0,\exists]\ \exists)$

translations

$PI\ x:A. B == CONST\ Pi\ A\ (\%x. B)$
 $\%x:A. f == CONST\ restrict\ (\%x. f)\ A$

definition

$compose :: ['a\ set, 'b \Rightarrow 'c, 'a \Rightarrow 'b] \Rightarrow ('a \Rightarrow 'c)$ **where**
 $compose\ A\ g\ f = (\lambda x \in A. g\ (f\ x))$

21.1 Basic Properties of Pi

lemma *Pi-I*: $(!!x. x \in A \implies f x \in B) \implies f \in Pi\ A\ B$
 $\langle proof \rangle$

lemma *funcsetI*: $(!!x. x \in A \implies f x \in B) \implies f \in A \multimap B$
 $\langle proof \rangle$

lemma *Pi-mem*: $[|f: Pi\ A\ B; x \in A|] \implies f x \in B\ x$
 $\langle proof \rangle$

lemma *funcset-mem*: $[|f \in A \multimap B; x \in A|] \implies f x \in B$
 $\langle proof \rangle$

lemma *funcset-image*: $f \in A \multimap B \implies f\ ' \ A \subseteq B$
 $\langle proof \rangle$

lemma *Pi-eq-empty*: $((PI\ x: A. B\ x) = \{\}) = (\exists x \in A. B(x) = \{\})$
 $\langle proof \rangle$

lemma *Pi-empty* [simp]: $Pi\ \{\}\ B = UNIV$
 $\langle proof \rangle$

lemma *Pi-UNIV* [simp]: $A \multimap UNIV = UNIV$
 $\langle proof \rangle$

Covariance of Pi-sets in their second argument

lemma *Pi-mono*: $(!!x. x \in A \implies B\ x \leq C\ x) \implies Pi\ A\ B \leq Pi\ A\ C$
 $\langle proof \rangle$

Contravariance of Pi-sets in their first argument

lemma *Pi-anti-mono*: $A' \leq A \implies Pi\ A\ B \leq Pi\ A'\ B$
 $\langle proof \rangle$

21.2 Composition With a Restricted Domain: *compose*

lemma *funcset-compose*:
 $[|f \in A \multimap B; g \in B \multimap C|] \implies compose\ A\ g\ f \in A \multimap C$
 $\langle proof \rangle$

lemma *compose-assoc*:
 $[|f \in A \multimap B; g \in B \multimap C; h \in C \multimap D|]$
 $\implies compose\ A\ h\ (compose\ A\ g\ f) = compose\ A\ (compose\ B\ h\ g)\ f$
 $\langle proof \rangle$

lemma *compose-eq*: $x \in A \implies compose\ A\ g\ f\ x = g(f(x))$
 $\langle proof \rangle$

lemma *surj-compose*: $[|f\ ' \ A = B; g\ ' \ B = C|] \implies compose\ A\ g\ f\ ' \ A = C$
 $\langle proof \rangle$

21.3 Bounded Abstraction: *restrict*

lemma *restrict-in-funcset*: $(!!x. x \in A \implies f x \in B) \implies (\lambda x \in A. f x) \in A \rightarrow B$
 $\langle proof \rangle$

lemma *restrictI*: $(!!x. x \in A \implies f x \in B x) \implies (\lambda x \in A. f x) \in \Pi A B$
 $\langle proof \rangle$

lemma *restrict-apply* [simp]:
 $(\lambda y \in A. f y) x = (if x \in A then f x else arbitrary)$
 $\langle proof \rangle$

lemma *restrict-ext*:
 $(!!x. x \in A \implies f x = g x) \implies (\lambda x \in A. f x) = (\lambda x \in A. g x)$
 $\langle proof \rangle$

lemma *inj-on-restrict-eq* [simp]: $inj-on (restrict f A) A = inj-on f A$
 $\langle proof \rangle$

lemma *Id-compose*:
 $[|f \in A \rightarrow B; f \in extensional A|] \implies compose A (\lambda y \in B. y) f = f$
 $\langle proof \rangle$

lemma *compose-Id*:
 $[|g \in A \rightarrow B; g \in extensional A|] \implies compose A g (\lambda x \in A. x) = g$
 $\langle proof \rangle$

lemma *image-restrict-eq* [simp]: $(restrict f A) \circ A = f \circ A$
 $\langle proof \rangle$

21.4 Bijections Between Sets

The basic definition could be moved to *Fun.thy*, but most of the theorems belong here, or need at least *Hilbert-Choice*.

definition
 $bij-betw :: ['a \Rightarrow 'b, 'a set, 'b set] \Rightarrow bool$ **where** — bijective
 $bij-betw f A B = (inj-on f A \ \& \ f \circ A = B)$

lemma *bij-betw-imp-inj-on*: $bij-betw f A B \implies inj-on f A$
 $\langle proof \rangle$

lemma *bij-betw-imp-funcset*: $bij-betw f A B \implies f \in A \rightarrow B$
 $\langle proof \rangle$

lemma *bij-betw-Inv*: $bij-betw f A B \implies bij-betw (Inv A f) B A$
 $\langle proof \rangle$

lemma *inj-on-compose*:

$\llbracket \text{bij-betw } f \text{ } A \text{ } B; \text{inj-on } g \text{ } B \rrbracket \implies \text{inj-on } (\text{compose } A \text{ } g \text{ } f) \text{ } A$
 $\langle \text{proof} \rangle$

lemma *bij-betw-compose*:

$\llbracket \text{bij-betw } f \text{ } A \text{ } B; \text{bij-betw } g \text{ } B \text{ } C \rrbracket \implies \text{bij-betw } (\text{compose } A \text{ } g \text{ } f) \text{ } A \text{ } C$
 $\langle \text{proof} \rangle$

lemma *bij-betw-restrict-eq* [simp]:

$\text{bij-betw } (\text{restrict } f \text{ } A) \text{ } A \text{ } B = \text{bij-betw } f \text{ } A \text{ } B$
 $\langle \text{proof} \rangle$

21.5 Extensionality

lemma *extensional-arb*: $\llbracket f \in \text{extensional } A; x \notin A \rrbracket \implies f \text{ } x = \text{arbitrary}$
 $\langle \text{proof} \rangle$

lemma *restrict-extensional* [simp]: $\text{restrict } f \text{ } A \in \text{extensional } A$
 $\langle \text{proof} \rangle$

lemma *compose-extensional* [simp]: $\text{compose } A \text{ } f \text{ } g \in \text{extensional } A$
 $\langle \text{proof} \rangle$

lemma *extensionalityI*:

$\llbracket f \in \text{extensional } A; g \in \text{extensional } A; \\ !!x. x \in A \implies f \text{ } x = g \text{ } x \rrbracket \implies f = g$
 $\langle \text{proof} \rangle$

lemma *Inv-funcset*: $f \text{ } ^\circ A = B \implies (\lambda x \in B. \text{Inv } A \text{ } f \text{ } x) : B \rightarrow A$
 $\langle \text{proof} \rangle$

lemma *compose-Inv-id*:

$\text{bij-betw } f \text{ } A \text{ } B \implies \text{compose } A \text{ } (\lambda y \in B. \text{Inv } A \text{ } f \text{ } y) \text{ } f = (\lambda x \in A. x)$
 $\langle \text{proof} \rangle$

lemma *compose-id-Inv*:

$f \text{ } ^\circ A = B \implies \text{compose } B \text{ } f \text{ } (\lambda y \in B. \text{Inv } A \text{ } f \text{ } y) = (\lambda x \in B. x)$
 $\langle \text{proof} \rangle$

21.6 Cardinality

lemma *card-inj*: $\llbracket f \in A \rightarrow B; \text{inj-on } f \text{ } A; \text{finite } B \rrbracket \implies \text{card}(A) \leq \text{card}(B)$
 $\langle \text{proof} \rangle$

lemma *card-bij*:

$\llbracket f \in A \rightarrow B; \text{inj-on } f \text{ } A; \\ g \in B \rightarrow A; \text{inj-on } g \text{ } B; \text{finite } A; \text{finite } B \rrbracket \implies \text{card}(A) = \text{card}(B)$
 $\langle \text{proof} \rangle$

```

declare FuncSet.Pi-I [skolem]
declare FuncSet.Pi-mono [skolem]
declare FuncSet.extensionalityI [skolem]
declare FuncSet.funcsetI [skolem]
declare FuncSet.restrictI [skolem]
declare FuncSet.restrict-in-funcset [skolem]

end

```

22 Infinite-Set: Infinite Sets and Related Concepts

```

theory Infinite-Set
imports Main
begin

```

22.1 Infinite Sets

Some elementary facts about infinite sets, mostly by Stefan Merz. Beware! Because “infinite” merely abbreviates a negation, these lemmas may not work well with *blast*.

abbreviation

```

infinite :: 'a set  $\Rightarrow$  bool where
infinite S ==  $\neg$  finite S

```

Infinite sets are non-empty, and if we remove some elements from an infinite set, the result is still infinite.

```

lemma infinite-imp-nonempty: infinite S  $\implies$  S  $\neq$  {}
  <proof>

```

```

lemma infinite-remove:
  infinite S  $\implies$  infinite (S - {a})
  <proof>

```

```

lemma Diff-infinite-finite:
  assumes T: finite T and S: infinite S
  shows infinite (S - T)
  <proof>

```

```

lemma Un-infinite: infinite S  $\implies$  infinite (S  $\cup$  T)
  <proof>

```

```

lemma infinite-super:
  assumes T: S  $\subseteq$  T and S: infinite S
  shows infinite T

```

$\langle proof \rangle$

As a concrete example, we prove that the set of natural numbers is infinite.

lemma *finite-nat-bounded*:

assumes S : *finite* ($S::nat\ set$)

shows $\exists k. S \subseteq \{..<k\}$ (**is** $\exists k. ?bounded\ S\ k$)

$\langle proof \rangle$

lemma *finite-nat-iff-bounded*:

finite ($S::nat\ set$) = $(\exists k. S \subseteq \{..<k\})$ (**is** $?lhs = ?rhs$)

$\langle proof \rangle$

lemma *finite-nat-iff-bounded-le*:

finite ($S::nat\ set$) = $(\exists k. S \subseteq \{..k\})$ (**is** $?lhs = ?rhs$)

$\langle proof \rangle$

lemma *infinite-nat-iff-unbounded*:

infinite ($S::nat\ set$) = $(\forall m. \exists n. m < n \wedge n \in S)$

(**is** $?lhs = ?rhs$)

$\langle proof \rangle$

lemma *infinite-nat-iff-unbounded-le*:

infinite ($S::nat\ set$) = $(\forall m. \exists n. m \leq n \wedge n \in S)$

(**is** $?lhs = ?rhs$)

$\langle proof \rangle$

For a set of natural numbers to be infinite, it is enough to know that for any number larger than some k , there is some larger number that is an element of the set.

lemma *unbounded-k-infinite*:

assumes k : $\forall m. k < m \longrightarrow (\exists n. m < n \wedge n \in S)$

shows *infinite* ($S::nat\ set$)

$\langle proof \rangle$

lemma *nat-infinite [simp]*: *infinite* ($UNIV :: nat\ set$)

$\langle proof \rangle$

lemma *nat-not-finite [elim]*: *finite* ($UNIV :: nat\ set$) $\implies R$

$\langle proof \rangle$

Every infinite set contains a countable subset. More precisely we show that a set S is infinite if and only if there exists an injective function from the naturals into S .

lemma *range-inj-infinite*:

inj ($f::nat \Rightarrow 'a$) \implies *infinite* (*range* f)

$\langle proof \rangle$

lemma *int-infinite [simp]*:

shows *infinite* (*UNIV::int set*)
 $\langle \text{proof} \rangle$

The “only if” direction is harder because it requires the construction of a sequence of pairwise different elements of an infinite set S . The idea is to construct a sequence of non-empty and infinite subsets of S obtained by successively removing elements of S .

lemma *linorder-injI*:
assumes *hyp*: $\forall x y. x < (y::'a::linorder) \implies f x \neq f y$
shows *inj* f
 $\langle \text{proof} \rangle$

lemma *infinite-countable-subset*:
assumes *inf*: *infinite* (*S::'a set*)
shows $\exists f. \text{inj } (f::\text{nat} \Rightarrow 'a) \wedge \text{range } f \subseteq S$
 $\langle \text{proof} \rangle$

lemma *infinite-iff-countable-subset*:
 $\text{infinite } S = (\exists f. \text{inj } (f::\text{nat} \Rightarrow 'a) \wedge \text{range } f \subseteq S)$
 $\langle \text{proof} \rangle$

For any function with infinite domain and finite range there is some element that is the image of infinitely many domain elements. In particular, any infinite sequence of elements from a finite set contains some element that occurs infinitely often.

lemma *inf-img-fin-dom*:
assumes *img*: *finite* ($f'A$) **and** *dom*: *infinite* A
shows $\exists y \in f'A. \text{infinite } (f - \{y\})$
 $\langle \text{proof} \rangle$

lemma *inf-img-fin-domE*:
assumes *finite* ($f'A$) **and** *infinite* A
obtains y **where** $y \in f'A$ **and** *infinite* ($f - \{y\}$)
 $\langle \text{proof} \rangle$

22.2 Infinitely Many and Almost All

We often need to reason about the existence of infinitely many (resp., all but finitely many) objects satisfying some predicate, so we introduce corresponding binders and their proof rules.

definition
 $\text{Inf-many} :: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ (**binder** *INFM* 10) **where**
 $\text{Inf-many } P = \text{infinite } \{x. P x\}$

definition
 $\text{Alm-all} :: ('a \Rightarrow \text{bool}) \Rightarrow \text{bool}$ (**binder** *MOST* 10) **where**
 $\text{Alm-all } P = (\neg (\text{INFM } x. \neg P x))$

notation (*xsymbols*)

Inf-many (**binder** $\exists_{\infty} 10$) and

Alm-all (**binder** $\forall_{\infty} 10$)

notation (*HTML output*)

Inf-many (**binder** $\exists_{\infty} 10$) and

Alm-all (**binder** $\forall_{\infty} 10$)

lemma *INF-EX*:

$(\exists_{\infty} x. P x) \implies (\exists x. P x)$

<proof>

lemma *MOST-iff-finiteNeg*: $(\forall_{\infty} x. P x) = \text{finite } \{x. \neg P x\}$

<proof>

lemma *ALL-MOST*: $\forall x. P x \implies \forall_{\infty} x. P x$

<proof>

lemma *INF-mono*:

assumes *inf*: $\exists_{\infty} x. P x$ **and** *q*: $\bigwedge x. P x \implies Q x$

shows $\exists_{\infty} x. Q x$

<proof>

lemma *MOST-mono*: $\forall_{\infty} x. P x \implies (\bigwedge x. P x \implies Q x) \implies \forall_{\infty} x. Q x$

<proof>

lemma *INF-nat*: $(\exists_{\infty} n. P (n::\text{nat})) = (\forall m. \exists n. m < n \wedge P n)$

<proof>

lemma *INF-nat-le*: $(\exists_{\infty} n. P (n::\text{nat})) = (\forall m. \exists n. m \leq n \wedge P n)$

<proof>

lemma *MOST-nat*: $(\forall_{\infty} n. P (n::\text{nat})) = (\exists m. \forall n. m < n \longrightarrow P n)$

<proof>

lemma *MOST-nat-le*: $(\forall_{\infty} n. P (n::\text{nat})) = (\exists m. \forall n. m \leq n \longrightarrow P n)$

<proof>

22.3 Enumeration of an Infinite Set

The set’s element type must be wellordered (e.g. the natural numbers).

consts

enumerate :: *'a::wellorder set* \implies (*nat* \implies *'a::wellorder*)

primrec

enumerate-0: *enumerate S 0* = (*LEAST* *n. n* \in *S*)

enumerate-Suc: *enumerate S (Suc n)* = *enumerate (S - {LEAST n. n \in S}) n*

lemma *enumerate-Suc'*:

enumerate S (Suc n) = *enumerate (S - {enumerate S 0}) n*

$\langle \text{proof} \rangle$

lemma *enumerate-in-set*: $\text{infinite } S \implies \text{enumerate } S \ n : S$
 $\langle \text{proof} \rangle$

declare *enumerate-0* [simp del] *enumerate-Suc* [simp del]

lemma *enumerate-step*: $\text{infinite } S \implies \text{enumerate } S \ n < \text{enumerate } S \ (\text{Suc } n)$
 $\langle \text{proof} \rangle$

lemma *enumerate-mono*: $m < n \implies \text{infinite } S \implies \text{enumerate } S \ m < \text{enumerate } S \ n$
 $\langle \text{proof} \rangle$

22.4 Miscellaneous

A few trivial lemmas about sets that contain at most one element. These simplify the reasoning about deterministic automata.

definition

atmost-one :: 'a set \Rightarrow bool **where**
atmost-one $S = (\forall x \ y. x \in S \wedge y \in S \longrightarrow x = y)$

lemma *atmost-one-empty*: $S = \{\} \implies \text{atmost-one } S$
 $\langle \text{proof} \rangle$

lemma *atmost-one-singleton*: $S = \{x\} \implies \text{atmost-one } S$
 $\langle \text{proof} \rangle$

lemma *atmost-one-unique* [elim]: $\text{atmost-one } S \implies x \in S \implies y \in S \implies y = x$
 $\langle \text{proof} \rangle$

end

23 Multiset: Multisets

theory *Multiset*

imports *Main*

begin

23.1 The type of multisets

typedef 'a multiset = $\{f :: 'a \Rightarrow \text{nat. finite } \{x . f \ x > 0\}\}$
 $\langle \text{proof} \rangle$

lemmas *multiset-typedef* [simp] =
Abs-multiset-inverse Rep-multiset-inverse Rep-multiset
and [simp] = *Rep-multiset-inject* [symmetric]

definition

Mempty :: 'a multiset ($\{\#\}$) **where**
 $\{\#\} = \text{Abs-multiset } (\lambda a. 0)$

definition

single :: 'a => 'a multiset ($\{\#-\#\}$) **where**
 $\{\#a\# \} = \text{Abs-multiset } (\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0)$

definition

count :: 'a multiset => 'a => nat **where**
 $\text{count} = \text{Rep-multiset}$

definition

MCollect :: 'a multiset => ('a => bool) => 'a multiset **where**
 $\text{MCollect } M P = \text{Abs-multiset } (\lambda x. \text{if } P x \text{ then } \text{Rep-multiset } M x \text{ else } 0)$

abbreviation

Melem :: 'a => 'a multiset => bool $((-/ \# -) [50, 51] 50)$ **where**
 $a \# M == \text{count } M a > 0$

syntax

$\text{-MCollect} :: \text{pttrn} \Rightarrow 'a \text{ multiset} \Rightarrow \text{bool} \Rightarrow 'a \text{ multiset} \quad ((1 \{ \# - : - / - \# \}))$

translations

$\{\#x:M. P\# \} == \text{CONST } \text{MCollect } M (\lambda x. P)$

definition

set-of :: 'a multiset => 'a set **where**
 $\text{set-of } M = \{x. x \# M\}$

instance *multiset* :: (type) {plus, minus, zero, size}

union-def: $M + N == \text{Abs-multiset } (\lambda a. \text{Rep-multiset } M a + \text{Rep-multiset } N a)$
diff-def: $M - N == \text{Abs-multiset } (\lambda a. \text{Rep-multiset } M a - \text{Rep-multiset } N a)$
Zero-multiset-def [simp]: $0 == \{\#\}$
size-def: $\text{size } M == \text{setsum } (\text{count } M) (\text{set-of } M) \langle \text{proof} \rangle$

definition

multiset-inter :: 'a multiset \Rightarrow 'a multiset \Rightarrow 'a multiset (**infixl** $\# \cap 70$) **where**
 $\text{multiset-inter } A B = A - (A - B)$

Preservation of the representing set *multiset*.

lemma *const0-in-multiset* [simp]: $(\lambda a. 0) \in \text{multiset}$
 $\langle \text{proof} \rangle$

lemma *only1-in-multiset* [simp]: $(\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0) \in \text{multiset}$
 $\langle \text{proof} \rangle$

lemma *union-preserves-multiset* [simp]:
 $M \in \text{multiset} ==> N \in \text{multiset} ==> (\lambda a. M a + N a) \in \text{multiset}$

$\langle \text{proof} \rangle$

lemma *diff-preserves-multiset* [simp]:
 $M \in \text{multiset} \implies (\lambda a. M\ a - N\ a) \in \text{multiset}$
 $\langle \text{proof} \rangle$

23.2 Algebraic properties of multisets

23.2.1 Union

lemma *union-empty* [simp]: $M + \{\#\} = M \wedge \{\#\} + M = M$
 $\langle \text{proof} \rangle$

lemma *union-commute*: $M + N = N + (M::'a\ \text{multiset})$
 $\langle \text{proof} \rangle$

lemma *union-assoc*: $(M + N) + K = M + (N + (K::'a\ \text{multiset}))$
 $\langle \text{proof} \rangle$

lemma *union-lcomm*: $M + (N + K) = N + (M + (K::'a\ \text{multiset}))$
 $\langle \text{proof} \rangle$

lemmas *union-ac = union-assoc union-commute union-lcomm*

instance *multiset* :: (type) comm-monoid-add
 $\langle \text{proof} \rangle$

23.2.2 Difference

lemma *diff-empty* [simp]: $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$
 $\langle \text{proof} \rangle$

lemma *diff-union-inverse2* [simp]: $M + \{\#a\# \} - \{\#a\# \} = M$
 $\langle \text{proof} \rangle$

23.2.3 Count of elements

lemma *count-empty* [simp]: $\text{count } \{\#\} a = 0$
 $\langle \text{proof} \rangle$

lemma *count-single* [simp]: $\text{count } \{\#b\# \} a = (\text{if } b = a \text{ then } 1 \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *count-union* [simp]: $\text{count } (M + N) a = \text{count } M a + \text{count } N a$
 $\langle \text{proof} \rangle$

lemma *count-diff* [simp]: $\text{count } (M - N) a = \text{count } M a - \text{count } N a$
 $\langle \text{proof} \rangle$

23.2.4 Set of elements

lemma *set-of-empty* [simp]: *set-of* $\{\#\} = \{\}$
 $\langle \text{proof} \rangle$

lemma *set-of-single* [simp]: *set-of* $\{\#b\# \} = \{b\}$
 $\langle \text{proof} \rangle$

lemma *set-of-union* [simp]: *set-of* $(M + N) = \text{set-of } M \cup \text{set-of } N$
 $\langle \text{proof} \rangle$

lemma *set-of-eq-empty-iff* [simp]: $(\text{set-of } M = \{\}) = (M = \{\#\})$
 $\langle \text{proof} \rangle$

lemma *mem-set-of-iff* [simp]: $(x \in \text{set-of } M) = (x :\# M)$
 $\langle \text{proof} \rangle$

23.2.5 Size

lemma *size-empty* [simp]: *size* $\{\#\} = 0$
 $\langle \text{proof} \rangle$

lemma *size-single* [simp]: *size* $\{\#b\# \} = 1$
 $\langle \text{proof} \rangle$

lemma *finite-set-of* [iff]: *finite* (*set-of* M)
 $\langle \text{proof} \rangle$

lemma *setsum-count-Int*:
 $\text{finite } A ==> \text{setsum } (\text{count } N) (A \cap \text{set-of } N) = \text{setsum } (\text{count } N) A$
 $\langle \text{proof} \rangle$

lemma *size-union* [simp]: *size* $(M + N::'a \text{ multiset}) = \text{size } M + \text{size } N$
 $\langle \text{proof} \rangle$

lemma *size-eq-0-iff-empty* [iff]: $(\text{size } M = 0) = (M = \{\#\})$
 $\langle \text{proof} \rangle$

lemma *size-eq-Suc-imp-elem*: $\text{size } M = \text{Suc } n ==> \exists a. a :\# M$
 $\langle \text{proof} \rangle$

23.2.6 Equality of multisets

lemma *multiset-eq-conv-count-eq*: $(M = N) = (\forall a. \text{count } M a = \text{count } N a)$
 $\langle \text{proof} \rangle$

lemma *single-not-empty* [simp]: $\{\#a\# \} \neq \{\#\} \wedge \{\#\} \neq \{\#a\# \}$
 $\langle \text{proof} \rangle$

lemma *single-eq-single* [simp]: $(\{\#a\# \} = \{\#b\# \}) = (a = b)$

$\langle \text{proof} \rangle$

lemma *union-eq-empty* [iff]: $(M + N = \{\#\}) = (M = \{\#\} \wedge N = \{\#\})$
 $\langle \text{proof} \rangle$

lemma *empty-eq-union* [iff]: $(\{\#\} = M + N) = (M = \{\#\} \wedge N = \{\#\})$
 $\langle \text{proof} \rangle$

lemma *union-right-cancel* [simp]: $(M + K = N + K) = (M = (N::'a \text{ multiset}))$
 $\langle \text{proof} \rangle$

lemma *union-left-cancel* [simp]: $(K + M = K + N) = (M = (N::'a \text{ multiset}))$
 $\langle \text{proof} \rangle$

lemma *union-is-single*:
 $(M + N = \{\#a\# \}) = (M = \{\#a\# \} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{\#a\# \})$
 $\langle \text{proof} \rangle$

lemma *single-is-union*:
 $(\{\#a\# \} = M + N) = (\{\#a\# \} = M \wedge N = \{\#\} \vee M = \{\#\} \wedge \{\#a\# \} = N)$
 $\langle \text{proof} \rangle$

lemma *add-eq-conv-diff*:
 $(M + \{\#a\# \} = N + \{\#b\# \}) =$
 $(M = N \wedge a = b \vee M = N - \{\#a\# \} + \{\#b\# \} \wedge N = M - \{\#b\# \} + \{\#a\# \})$
 $\langle \text{proof} \rangle$

declare *Rep-multiset-inject* [symmetric, simp del]

instance *multiset* :: (type) *cancel-ab-semigroup-add*
 $\langle \text{proof} \rangle$

23.2.7 Intersection

lemma *multiset-inter-count*:
 $\text{count } (A \# \cap B) x = \min (\text{count } A x) (\text{count } B x)$
 $\langle \text{proof} \rangle$

lemma *multiset-inter-commute*: $A \# \cap B = B \# \cap A$
 $\langle \text{proof} \rangle$

lemma *multiset-inter-assoc*: $A \# \cap (B \# \cap C) = A \# \cap B \# \cap C$
 $\langle \text{proof} \rangle$

lemma *multiset-inter-left-commute*: $A \# \cap (B \# \cap C) = B \# \cap (A \# \cap C)$
 $\langle \text{proof} \rangle$

lemmas *multiset-inter-ac* =
multiset-inter-commute
multiset-inter-assoc
multiset-inter-left-commute

lemma *multiset-union-diff-commute*: $B \# \cap C = \{\#\} \implies A + B - C = A - C + B$
 $\langle \text{proof} \rangle$

23.3 Induction over multisets

lemma *setsum-decr*:
 $\text{finite } F \implies (0::\text{nat}) < f \ a \implies$
 $\text{setsum } (f \ (a := f \ a - 1)) \ F = (\text{if } a \in F \text{ then } \text{setsum } f \ F - 1 \text{ else } \text{setsum } f \ F)$
 $\langle \text{proof} \rangle$

lemma *rep-multiset-induct-aux*:
assumes $1: P \ (\lambda a. \ (0::\text{nat}))$
and $2: \forall b. f \in \text{multiset} \implies P \ f \implies P \ (f \ (b := f \ b + 1))$
shows $\forall f. f \in \text{multiset} \longrightarrow \text{setsum } f \ \{x. f \ x \neq 0\} = n \longrightarrow P \ f$
 $\langle \text{proof} \rangle$

theorem *rep-multiset-induct*:
 $f \in \text{multiset} \implies P \ (\lambda a. \ 0) \implies$
 $(\forall b. f \in \text{multiset} \implies P \ f \implies P \ (f \ (b := f \ b + 1))) \implies P \ f$
 $\langle \text{proof} \rangle$

theorem *multiset-induct* [*case-names empty add, induct type: multiset*]:
assumes *empty*: $P \ \{\#\}$
and *add*: $\forall M \ x. P \ M \implies P \ (M + \{x\#\})$
shows $P \ M$
 $\langle \text{proof} \rangle$

lemma *MCollect-preserves-multiset*:
 $M \in \text{multiset} \implies (\lambda x. \text{if } P \ x \text{ then } M \ x \text{ else } 0) \in \text{multiset}$
 $\langle \text{proof} \rangle$

lemma *count-MCollect* [*simp*]:
 $\text{count } \{\# \ x:M. P \ x \ \#\} \ a = (\text{if } P \ a \text{ then } \text{count } M \ a \text{ else } 0)$
 $\langle \text{proof} \rangle$

lemma *set-of-MCollect* [*simp*]: $\text{set-of } \{\# \ x:M. P \ x \ \#\} = \text{set-of } M \cap \{x. P \ x\}$
 $\langle \text{proof} \rangle$

lemma *multiset-partition*: $M = \{\# \ x:M. P \ x \ \#\} + \{\# \ x:M. \neg P \ x \ \#\}$
 $\langle \text{proof} \rangle$

lemma *add-eq-conv-ex*:
 $(M + \{\#a\#\} = N + \{\#b\#\}) =$

$(M = N \wedge a = b \vee (\exists K. M = K + \{\#b\# \} \wedge N = K + \{\#a\# \}))$
 $\langle proof \rangle$

declare *multiset-typedef* [*simp del*]

23.4 Multiset orderings

23.4.1 Well-foundedness

definition

$mult1 :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$ **where**
 $mult1 \ r =$
 $\{(N, M). \exists a \ M0 \ K. M = M0 + \{\#a\# \} \wedge N = M0 + K \wedge$
 $(\forall b. b : \# \ K \ \longrightarrow (b, a) \in r)\}$

definition

$mult :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$ **where**
 $mult \ r = (mult1 \ r)^+$

lemma *not-less-empty* [*iff*]: $(M, \{\#\}) \notin mult1 \ r$
 $\langle proof \rangle$

lemma *less-add*: $(N, M0 + \{\#a\# \}) \in mult1 \ r \Rightarrow$
 $(\exists M. (M, M0) \in mult1 \ r \wedge N = M + \{\#a\# \}) \vee$
 $(\exists K. (\forall b. b : \# \ K \ \longrightarrow (b, a) \in r) \wedge N = M0 + K)$
 $(\text{is} \ \longrightarrow \ ?case1 \ (mult1 \ r) \vee ?case2)$
 $\langle proof \rangle$

lemma *all-accessible*: $wf \ r \Rightarrow \forall M. M \in acc \ (mult1 \ r)$
 $\langle proof \rangle$

theorem *wf-mult1*: $wf \ r \Rightarrow wf \ (mult1 \ r)$
 $\langle proof \rangle$

theorem *wf-mult*: $wf \ r \Rightarrow wf \ (mult \ r)$
 $\langle proof \rangle$

23.4.2 Closure-free presentation

lemma *diff-union-single-conv*: $a : \# \ J \Rightarrow I + J - \{\#a\# \} = I + (J - \{\#a\# \})$
 $\langle proof \rangle$

One direction.

lemma *mult-implies-one-step*:

$trans \ r \Rightarrow (M, N) \in mult \ r \Rightarrow$
 $\exists I \ J \ K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge$
 $(\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r)$
 $\langle proof \rangle$

lemma *elem-imp-eq-diff-union*: $a : \# \ M \Rightarrow M = M - \{\#a\# \} + \{\#a\# \}$

$\langle \text{proof} \rangle$

lemma *size-eq-Suc-imp-eq-union*: $\text{size } M = \text{Suc } n \implies \exists a \ N. \ M = N + \{\#a\# \}$
 $\langle \text{proof} \rangle$

lemma *one-step-implies-mult-aux*:

$\text{trans } r \implies$

$\forall I \ J \ K. (\text{size } J = n \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r))$
 $\implies (I + K, I + J) \in \text{mult } r$

$\langle \text{proof} \rangle$

lemma *one-step-implies-mult*:

$\text{trans } r \implies J \neq \{\#\} \implies \forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in r$
 $\implies (I + K, I + J) \in \text{mult } r$

$\langle \text{proof} \rangle$

23.4.3 Partial-order properties

instance *multiset* :: (type) ord $\langle \text{proof} \rangle$

defs (overloaded)

less-multiset-def: $M' < M \implies (M', M) \in \text{mult } \{(x', x). x' < x\}$

le-multiset-def: $M' \leq M \implies M' = M \vee M' < (M::'a \text{ multiset})$

lemma *trans-base-order*: $\text{trans } \{(x', x). x' < (x::'a::\text{order})\}$
 $\langle \text{proof} \rangle$

Irreflexivity.

lemma *mult-irrefl-aux*:

$\text{finite } A \implies (\forall x \in A. \exists y \in A. x < (y::'a::\text{order})) \implies A = \{\}$

$\langle \text{proof} \rangle$

lemma *mult-less-not-refl*: $\neg M < (M::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

lemma *mult-less-irrefl* [elim!]: $M < (M::'a::\text{order multiset}) \implies R$
 $\langle \text{proof} \rangle$

Transitivity.

theorem *mult-less-trans*: $K < M \implies M < N \implies K < (N::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

Asymmetry.

theorem *mult-less-not-sym*: $M < N \implies \neg N < (M::'a::\text{order multiset})$
 $\langle \text{proof} \rangle$

theorem *mult-less-asym*:

$M < N \implies (\neg P \implies N < (M::'a::\text{order multiset})) \implies P$

$\langle \text{proof} \rangle$

theorem *mult-le-refl* [iff]: $M \leq (M::'a::\text{order multiset})$
 ⟨proof⟩

Anti-symmetry.

theorem *mult-le-antisym*:
 $M \leq N \implies N \leq M \implies M = (N::'a::\text{order multiset})$
 ⟨proof⟩

Transitivity.

theorem *mult-le-trans*:
 $K \leq M \implies M \leq N \implies K \leq (N::'a::\text{order multiset})$
 ⟨proof⟩

theorem *mult-less-le*: $(M < N) = (M \leq N \wedge M \neq (N::'a::\text{order multiset}))$
 ⟨proof⟩

Partial order.

instance *multiset* :: (order) order
 ⟨proof⟩

23.4.4 Monotonicity of multiset union

lemma *mult1-union*:
 $(B, D) \in \text{mult1 } r \implies \text{trans } r \implies (C + B, C + D) \in \text{mult1 } r$
 ⟨proof⟩

lemma *union-less-mono2*: $B < D \implies C + B < C + (D::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-less-mono1*: $B < D \implies B + C < D + (C::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-less-mono*:
 $A < C \implies B < D \implies A + B < C + (D::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-le-mono*:
 $A \leq C \implies B \leq D \implies A + B \leq C + (D::'a::\text{order multiset})$
 ⟨proof⟩

lemma *empty-leI* [iff]: $\{\#\} \leq (M::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-upper1*: $A \leq A + (B::'a::\text{order multiset})$
 ⟨proof⟩

lemma *union-upper2*: $B \leq A + (B::'a::\text{order multiset})$
 ⟨proof⟩

instance *multiset* :: (order) pordered-ab-semigroup-add
 ⟨proof⟩

23.5 Link with lists

consts

multiset-of :: 'a list \Rightarrow 'a multiset

primrec

multiset-of [] = {#}

multiset-of (a # x) = *multiset-of* x + {# a #}

lemma *multiset-of-zero-iff*[simp]: (*multiset-of* x = {#}) = (x = [])
 ⟨proof⟩

lemma *multiset-of-zero-iff-right*[simp]: ({#} = *multiset-of* x) = (x = [])
 ⟨proof⟩

lemma *set-of-multiset-of*[simp]: *set-of*(*multiset-of* x) = *set* x
 ⟨proof⟩

lemma *mem-set-multiset-eq*: $x \in \text{set } xs = (x : \# \text{ multiset-of } xs)$
 ⟨proof⟩

lemma *multiset-of-append* [simp]:
multiset-of (xs @ ys) = *multiset-of* xs + *multiset-of* ys
 ⟨proof⟩

lemma *surj-multiset-of*: *surj multiset-of*
 ⟨proof⟩

lemma *set-count-greater-0*: *set* x = {a. *count* (*multiset-of* x) a > 0}
 ⟨proof⟩

lemma *distinct-count-atmost-1*:
distinct x = (! a. *count* (*multiset-of* x) a = (if a \in *set* x then 1 else 0))
 ⟨proof⟩

lemma *multiset-of-eq-setD*:
multiset-of xs = *multiset-of* ys \implies *set* xs = *set* ys
 ⟨proof⟩

lemma *set-eq-iff-multiset-of-eq-distinct*:
 [[*distinct* x; *distinct* y]]
 \implies (*set* x = *set* y) = (*multiset-of* x = *multiset-of* y)
 ⟨proof⟩

lemma *set-eq-iff-multiset-of-remdups-eq*:
 (*set* x = *set* y) = (*multiset-of* (*remdups* x) = *multiset-of* (*remdups* y))

$\langle \text{proof} \rangle$

lemma *multiset-of-compl-union* [simp]:

$$\text{multiset-of } [x \leftarrow xs. P \ x] + \text{multiset-of } [x \leftarrow xs. \neg P \ x] = \text{multiset-of } xs$$

$\langle \text{proof} \rangle$

lemma *count-filter*:

$$\text{count } (\text{multiset-of } xs) \ x = \text{length } [y \leftarrow xs. y = x]$$

$\langle \text{proof} \rangle$

23.6 Pointwise ordering induced by count

definition

mset-le :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (infix $\leq\#$ 50) **where**
 $(A \leq\# B) = (\forall a. \text{count } A \ a \leq \text{count } B \ a)$

definition

mset-less :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool (infix $<\#$ 50) **where**
 $(A <\# B) = (A \leq\# B \wedge A \neq B)$

lemma *mset-le-refl*[simp]: $A \leq\# A$

$\langle \text{proof} \rangle$

lemma *mset-le-trans*: $\llbracket A \leq\# B; B \leq\# C \rrbracket \Longrightarrow A \leq\# C$

$\langle \text{proof} \rangle$

lemma *mset-le-antisym*: $\llbracket A \leq\# B; B \leq\# A \rrbracket \Longrightarrow A = B$

$\langle \text{proof} \rangle$

lemma *mset-le-exists-conv*:

$$(A \leq\# B) = (\exists C. B = A + C)$$

$\langle \text{proof} \rangle$

lemma *mset-le-mono-add-right-cancel*[simp]: $(A + C \leq\# B + C) = (A \leq\# B)$

$\langle \text{proof} \rangle$

lemma *mset-le-mono-add-left-cancel*[simp]: $(C + A \leq\# C + B) = (A \leq\# B)$

$\langle \text{proof} \rangle$

lemma *mset-le-mono-add*: $\llbracket A \leq\# B; C \leq\# D \rrbracket \Longrightarrow A + C \leq\# B + D$

$\langle \text{proof} \rangle$

lemma *mset-le-add-left*[simp]: $A \leq\# A + B$

$\langle \text{proof} \rangle$

lemma *mset-le-add-right*[simp]: $B \leq\# A + B$

$\langle \text{proof} \rangle$

lemma *multiset-of-remdups-le*: $\text{multiset-of } (\text{remdups } xs) \leq\# \text{multiset-of } xs$

$\langle \text{proof} \rangle$

interpretation *mset-order*:

order [*op* ≤# *op* <#]
 ⟨*proof*⟩

interpretation *mset-order-cancel-semigroup*:

pordered-cancel-ab-semigroup-add [*op* ≤# *op* <# *op* +]
 ⟨*proof*⟩

interpretation *mset-order-semigroup-cancel*:

pordered-ab-semigroup-add-imp-le [*op* ≤# *op* <# *op* +]
 ⟨*proof*⟩

end

24 NatPair: Pairs of Natural Numbers

theory *NatPair*

imports *Main*

begin

An injective function from \mathbb{N}^2 to \mathbb{N} . Definition and proofs are from [4, page 85].

definition

nat2-to-nat:: (*nat* * *nat*) ⇒ *nat* **where**
nat2-to-nat pair = (let (*n,m*) = *pair* in (*n+m*) * *Suc* (*n+m*) div 2 + *n*)

lemma *dvd2-a-x-suc-a*: 2 *dvd* *a* * (*Suc a*)

⟨*proof*⟩

lemma

assumes *eq*: *nat2-to-nat* (*u,v*) = *nat2-to-nat* (*x,y*)

shows *nat2-to-nat-help*: *u+v* ≤ *x+y*

⟨*proof*⟩

theorem *nat2-to-nat-inj*: *inj nat2-to-nat*

⟨*proof*⟩

end

25 Nat-Infinity: Natural numbers with infinity

theory *Nat-Infinity*

imports *Main*

begin

25.1 Definitions

We extend the standard natural numbers by a special value indicating infinity. This includes extending the ordering relations $op <$ and $op \leq$.

datatype $inat = Fin\ nat \mid Infty$

notation ($xsymbols$)
 $Infty \ (\infty)$

notation (*HTML output*)
 $Infty \ (\infty)$

instance $inat :: \{ord, zero\} \langle proof \rangle$

definition

$iSuc :: inat \Rightarrow inat$ **where**
 $iSuc\ i = (case\ i\ of\ Fin\ n \Rightarrow Fin\ (Suc\ n) \mid \infty \Rightarrow \infty)$

defs (*overloaded*)

$Zero-inat-def: 0 == Fin\ 0$
 $iless-def: m < n ==$
 $case\ m\ of\ Fin\ m1 \Rightarrow (case\ n\ of\ Fin\ n1 \Rightarrow m1 < n1 \mid \infty \Rightarrow True)$
 $\mid \infty \Rightarrow False$
 $ile-def: (m::inat) \leq n == \neg (n < m)$

lemmas $inat-defs = Zero-inat-def\ iSuc-def\ illess-def\ ile-def$

lemmas $inat-splits = inat.split\ inat.split-asm$

Below is a not quite complete set of theorems. Use the method (*simp add: inat-defs split:inat-splits, arith?*) to prove new theorems or solve arithmetic subgoals involving *inat* on the fly.

25.2 Constructors

lemma $Fin-0: Fin\ 0 = 0$
 $\langle proof \rangle$

lemma $Infty-ne-i0 [simp]: \infty \neq 0$
 $\langle proof \rangle$

lemma $i0-ne-Infty [simp]: 0 \neq \infty$
 $\langle proof \rangle$

lemma $iSuc-Fin [simp]: iSuc\ (Fin\ n) = Fin\ (Suc\ n)$
 $\langle proof \rangle$

lemma $iSuc-Infty [simp]: iSuc\ \infty = \infty$
 $\langle proof \rangle$

lemma *iSuc-ne-0* [simp]: $iSuc\ n \neq 0$
 $\langle proof \rangle$

lemma *iSuc-inject* [simp]: $(iSuc\ x = iSuc\ y) = (x = y)$
 $\langle proof \rangle$

25.3 Ordering relations

lemma *Infty-ilessE* [elim!]: $\infty < Fin\ m \implies R$
 $\langle proof \rangle$

lemma *iless-linear*: $m < n \vee m = n \vee n < (m::inat)$
 $\langle proof \rangle$

lemma *iless-not-refl* [simp]: $\neg n < (n::inat)$
 $\langle proof \rangle$

lemma *iless-trans*: $i < j \implies j < k \implies i < (k::inat)$
 $\langle proof \rangle$

lemma *iless-not-sym*: $n < m \implies \neg m < (n::inat)$
 $\langle proof \rangle$

lemma *Fin-iless-mono* [simp]: $(Fin\ n < Fin\ m) = (n < m)$
 $\langle proof \rangle$

lemma *Fin-iless-Infty* [simp]: $Fin\ n < \infty$
 $\langle proof \rangle$

lemma *Infty-eq* [simp]: $(n < \infty) = (n \neq \infty)$
 $\langle proof \rangle$

lemma *i0-eq* [simp]: $((0::inat) < n) = (n \neq 0)$
 $\langle proof \rangle$

lemma *i0-iless-iSuc* [simp]: $0 < iSuc\ n$
 $\langle proof \rangle$

lemma *not-ilessi0* [simp]: $\neg n < (0::inat)$
 $\langle proof \rangle$

lemma *Fin-iless*: $n < Fin\ m \implies \exists k. n = Fin\ k$
 $\langle proof \rangle$

lemma *iSuc-mono* [simp]: $(iSuc\ n < iSuc\ m) = (n < m)$
 $\langle proof \rangle$

lemma *ile-def2*: $(m \leq n) = (m < n \vee m = (n::inat))$
 $\langle proof \rangle$

lemma *ile-refl* [*simp*]: $n \leq (n::inat)$
 $\langle proof \rangle$

lemma *ile-trans*: $i \leq j ==> j \leq k ==> i \leq (k::inat)$
 $\langle proof \rangle$

lemma *ile-iless-trans*: $i \leq j ==> j < k ==> i < (k::inat)$
 $\langle proof \rangle$

lemma *iless-ile-trans*: $i < j ==> j \leq k ==> i < (k::inat)$
 $\langle proof \rangle$

lemma *Infty-ub* [*simp*]: $n \leq \infty$
 $\langle proof \rangle$

lemma *i0-lb* [*simp*]: $(0::inat) \leq n$
 $\langle proof \rangle$

lemma *Infty-ileE* [*elim!*]: $\infty \leq Fin\ m ==> R$
 $\langle proof \rangle$

lemma *Fin-ile-mono* [*simp*]: $(Fin\ n \leq Fin\ m) = (n \leq m)$
 $\langle proof \rangle$

lemma *ilessI1*: $n \leq m ==> n \neq m ==> n < (m::inat)$
 $\langle proof \rangle$

lemma *ileI1*: $m < n ==> iSuc\ m \leq n$
 $\langle proof \rangle$

lemma *Suc-ile-eq*: $(Fin\ (Suc\ m) \leq n) = (Fin\ m < n)$
 $\langle proof \rangle$

lemma *iSuc-ile-mono* [*simp*]: $(iSuc\ n \leq iSuc\ m) = (n \leq m)$
 $\langle proof \rangle$

lemma *iless-Suc-eq* [*simp*]: $(Fin\ m < iSuc\ n) = (Fin\ m \leq n)$
 $\langle proof \rangle$

lemma *not-iSuc-ilei0* [*simp*]: $\neg iSuc\ n \leq 0$
 $\langle proof \rangle$

lemma *ile-iSuc* [*simp*]: $n \leq iSuc\ n$
 $\langle proof \rangle$

lemma *Fin-ile*: $n \leq Fin\ m ==> \exists k. n = Fin\ k$

<proof>

lemma *chain-incr*: $\forall i. \exists j. Y\ i < Y\ j \implies \exists j. \text{Fin}\ k < Y\ j$

<proof>

end

26 Nested-Environment: Nested environments

theory *Nested-Environment*

imports *Main*

begin

Consider a partial function $e :: 'a \Rightarrow 'b\ \text{option}$; this may be understood as an *environment* mapping indexes $'a$ to optional entry values $'b$ (cf. the basic theory *Map* of Isabelle/HOL). This basic idea is easily generalized to that of a *nested environment*, where entries may be either basic values or again proper environments. Then each entry is accessed by a *path*, i.e. a list of indexes leading to its position within the structure.

datatype $('a, 'b, 'c)\ \text{env} =$
 $\text{Val}\ 'a$
 $| \text{Env}\ 'b\ 'c \Rightarrow ('a, 'b, 'c)\ \text{env}\ \text{option}$

In the type $('a, 'b, 'c)\ \text{env}$ the parameter $'a$ refers to basic values (occurring in terminal positions), type $'b$ to values associated with proper (inner) environments, and type $'c$ with the index type for branching. Note that there is no restriction on any of these types. In particular, arbitrary branching may yield rather large (transfinite) tree structures.

26.1 The lookup operation

Lookup in nested environments works by following a given path of index elements, leading to an optional result (a terminal value or nested environment). A *defined position* within a nested environment is one where *lookup* at its path does not yield *None*.

consts

lookup :: $('a, 'b, 'c)\ \text{env} \Rightarrow 'c\ \text{list} \Rightarrow ('a, 'b, 'c)\ \text{env}\ \text{option}$

lookup-option :: $('a, 'b, 'c)\ \text{env}\ \text{option} \Rightarrow 'c\ \text{list} \Rightarrow ('a, 'b, 'c)\ \text{env}\ \text{option}$

primrec (*lookup*)

lookup ($\text{Val}\ a$) $xs = (\text{if}\ xs = []\ \text{then}\ \text{Some}\ (\text{Val}\ a)\ \text{else}\ \text{None})$

lookup ($\text{Env}\ b\ es$) $xs =$

$(\text{case}\ xs\ \text{of}$

$[] \Rightarrow \text{Some}\ (\text{Env}\ b\ es)$

$| y\ \# ys \Rightarrow \text{lookup-option}\ (es\ y)\ ys)$

lookup-option *None* *xs* = *None*
lookup-option (*Some* *e*) *xs* = *lookup* *e* *xs*

hide *const lookup-option*

The characteristic cases of *lookup* are expressed by the following equalities.

theorem *lookup-nil*: *lookup* *e* [] = *Some* *e*
 ⟨*proof*⟩

theorem *lookup-val-cons*: *lookup* (*Val* *a*) (*x* # *xs*) = *None*
 ⟨*proof*⟩

theorem *lookup-env-cons*:
lookup (*Env* *b* *es*) (*x* # *xs*) =
 (case *es* *x* of
 None => *None*
 | *Some* *e* => *lookup* *e* *xs*)
 ⟨*proof*⟩

lemmas *lookup.simps* [*simp* *del*]
and *lookup-simps* [*simp*] = *lookup-nil lookup-val-cons lookup-env-cons*

theorem *lookup-eq*:
lookup *env* *xs* =
 (case *xs* of
 [] => *Some* *env*
 | *x* # *xs* =>
 (case *env* of
 Val *a* => *None*
 | *Env* *b* *es* =>
 (case *es* *x* of
 None => *None*
 | *Some* *e* => *lookup* *e* *xs*)))
 ⟨*proof*⟩

Displaced *lookup* operations, relative to a certain base path prefix, may be reduced as follows. There are two cases, depending whether the environment actually extends far enough to follow the base path.

theorem *lookup-append-none*:
assumes *lookup* *env* *xs* = *None*
shows *lookup* *env* (*xs* @ *ys*) = *None*
 ⟨*proof*⟩

theorem *lookup-append-some*:
assumes *lookup* *env* *xs* = *Some* *e*
shows *lookup* *env* (*xs* @ *ys*) = *lookup* *e* *ys*
 ⟨*proof*⟩

Successful *lookup* deeper down an environment structure means we are able to peek further up as well. Note that this is basically just the contrapositive statement of *lookup-append-none* above.

theorem *lookup-some-append*:

assumes *lookup env (xs @ ys) = Some e*

shows $\exists e. \text{lookup env } xs = \text{Some } e$

<proof>

The subsequent statement describes in more detail how a successful *lookup* with a non-empty path results in a certain situation at any upper position.

theorem *lookup-some-upper*:

assumes *lookup env (xs @ y # ys) = Some e*

shows $\exists b' es' env'.$

lookup env xs = Some (Env b' es') \wedge

es' y = Some env' \wedge

lookup env' ys = Some e

<proof>

26.2 The update operation

Update at a certain position in a nested environment may either delete an existing entry, or overwrite an existing one. Note that update at undefined positions is simple absorbed, i.e. the environment is left unchanged.

consts

update :: 'c list => ('a, 'b, 'c) env option

=> ('a, 'b, 'c) env => ('a, 'b, 'c) env

update-option :: 'c list => ('a, 'b, 'c) env option

=> ('a, 'b, 'c) env option => ('a, 'b, 'c) env option

primrec (*update*)

update xs opt (Val a) =

(if xs = [] then (case opt of None => Val a | Some e => e)

else Val a)

update xs opt (Env b es) =

(case xs of

[] => (case opt of None => Env b es | Some e => e)

| y # ys => Env b (es (y := update-option ys opt (es y))))

update-option xs opt None =

(if xs = [] then opt else None)

update-option xs opt (Some e) =

(if xs = [] then opt else Some (update xs opt e))

hide *const update-option*

The characteristic cases of *update* are expressed by the following equalities.

theorem *update-nil-none*: $\text{update } [] \text{ None env} = \text{env}$
 ⟨proof⟩

theorem *update-nil-some*: $\text{update } [] \text{ (Some } e) \text{ env} = e$
 ⟨proof⟩

theorem *update-cons-val*: $\text{update } (x \# xs) \text{ opt (Val } a) = \text{Val } a$
 ⟨proof⟩

theorem *update-cons-nil-env*:
 $\text{update } [x] \text{ opt (Env } b \text{ es)} = \text{Env } b \text{ (es (x := opt))}$
 ⟨proof⟩

theorem *update-cons-cons-env*:
 $\text{update } (x \# y \# ys) \text{ opt (Env } b \text{ es)} =$
 $\text{Env } b \text{ (es (x :=$
 $\text{ (case es x of$
 $\text{ None } \Rightarrow \text{None}$
 $\text{ | Some } e \Rightarrow \text{Some (update (y \# ys) opt e))})}$
 ⟨proof⟩

lemmas *update.simps* [simp del]
and *update-simps* [simp] = *update-nil-none* *update-nil-some*
update-cons-val *update-cons-nil-env* *update-cons-cons-env*

lemma *update-eq*:
 $\text{update } xs \text{ opt env} =$
 (case xs of
 $\text{ [] } \Rightarrow$
 (case opt of
 $\text{ None } \Rightarrow \text{env}$
 $\text{ | Some } e \Rightarrow e)$
 $\text{ | x \# xs } \Rightarrow$
 (case env of
 $\text{ Val } a \Rightarrow \text{Val } a$
 $\text{ | Env } b \text{ es } \Rightarrow$
 (case xs of
 $\text{ [] } \Rightarrow \text{Env } b \text{ (es (x := opt))}$
 $\text{ | y \# ys } \Rightarrow$
 $\text{ Env } b \text{ (es (x :=$
 (case es x of
 $\text{ None } \Rightarrow \text{None}$
 $\text{ | Some } e \Rightarrow \text{Some (update (y \# ys) opt e))})})})$
 ⟨proof⟩

The most basic correspondence of *lookup* and *update* states that after *update* at a defined position, subsequent *lookup* operations would yield the new value.

theorem *lookup-update-some*:

assumes $\text{lookup env } xs = \text{Some } e$
shows $\text{lookup (update } xs \text{ (Some env') env) } xs = \text{Some env'}$
 $\langle \text{proof} \rangle$

The properties of displaced *update* operations are analogous to those of *lookup* above. There are two cases: below an undefined position *update* is absorbed altogether, and below a defined positions *update* affects subsequent *lookup* operations in the obvious way.

theorem *update-append-none*:
assumes $\text{lookup env } xs = \text{None}$
shows $\text{update (} xs @ y \# ys \text{) opt env} = \text{env}$
 $\langle \text{proof} \rangle$

theorem *update-append-some*:
assumes $\text{lookup env } xs = \text{Some } e$
shows $\text{lookup (update (} xs @ y \# ys \text{) opt env) } xs = \text{Some (update (} y \# ys \text{) opt } e)$
 $\langle \text{proof} \rangle$

Apparently, *update* does not affect the result of subsequent *lookup* operations at independent positions, i.e. in case that the paths for *update* and *lookup* fork at a certain point.

theorem *lookup-update-other*:
assumes $\text{neg: } y \neq (z::'c)$
shows $\text{lookup (update (} xs @ z \# zs \text{) opt env) (} xs @ y \# ys \text{) =}$
 $\text{lookup env (} xs @ y \# ys \text{)}$
 $\langle \text{proof} \rangle$

Equality of environments for code generation

lemma *[code func, code func del]*:
fixes $e1 \ e2 :: ('b::eq, 'a::eq, 'c::eq) \text{ env}$
shows $e1 = e2 \longleftrightarrow e1 = e2 \ \langle \text{proof} \rangle$

lemma *eq-env-code* *[code func]*:
fixes $x \ y :: 'a::eq$
and $f \ g :: 'c::\{eq, finite\} \Rightarrow ('b::eq, 'a, 'c) \text{ env option}$
shows $\text{Env } x \ f = \text{Env } y \ g \longleftrightarrow$
 $x = y \wedge (\forall z \in \text{UNIV}. \text{case } f \ z$
 $\text{of None} \Rightarrow (\text{case } g \ z$
 $\text{of None} \Rightarrow \text{True} \mid \text{Some } - \Rightarrow \text{False})$
 $\mid \text{Some } a \Rightarrow (\text{case } g \ z$
 $\text{of None} \Rightarrow \text{False} \mid \text{Some } b \Rightarrow a = b)) \ (\text{is } ?\text{env})$
and $\text{Val } a = \text{Val } b \longleftrightarrow a = b$
and $\text{Val } a = \text{Env } y \ g \longleftrightarrow \text{False}$
and $\text{Env } x \ f = \text{Val } b \longleftrightarrow \text{False}$
 $\langle \text{proof} \rangle$

end

27 Numeral-Type: Numeral Syntax for Types

```
theory Numeral-Type
  imports Infinite-Set
begin
```

27.1 Preliminary lemmas

```
lemma inj-Inl [simp]: inj-on Inl A
  <proof>
```

```
lemma inj-Inr [simp]: inj-on Inr A
  <proof>
```

```
lemma inj-Some [simp]: inj-on Some A
  <proof>
```

```
lemma card-Plus:
  [| finite A; finite B |] ==> card (A <+> B) = card A + card B
  <proof>
```

```
lemma (in type-definition) univ:
  UNIV = Abs ‘ A
  <proof>
```

```
lemma (in type-definition) card: card (UNIV :: 'b set) = card A
  <proof>
```

27.2 Cardinalities of types

```
syntax -type-card :: type => nat ((1CARD/(1'(-))))
```

```
translations CARD(t) => card (UNIV::t set)
```

```
<ML>
```

```
lemma card-unit: CARD(unit) = 1
  <proof>
```

```
lemma card-bool: CARD(bool) = 2
  <proof>
```

```
lemma card-prod: CARD('a::finite × 'b::finite) = CARD('a) * CARD('b)
  <proof>
```

```
lemma card-sum: CARD('a::finite + 'b::finite) = CARD('a) + CARD('b)
  <proof>
```

```
lemma card-option: CARD('a::finite option) = Suc CARD('a)
  <proof>
```

lemma *card-set*: $CARD('a::finite\ set) = 2 \wedge CARD('a)$
 $\langle proof \rangle$

27.3 Numeral Types

typedef (**open**) *num0* = *UNIV* :: *nat set* $\langle proof \rangle$
typedef (**open**) *num1* = *UNIV* :: *unit set* $\langle proof \rangle$
typedef (**open**) *'a bit0* = *UNIV* :: (*bool* * *'a*) *set* $\langle proof \rangle$
typedef (**open**) *'a bit1* = *UNIV* :: (*bool* * *'a*) *option set* $\langle proof \rangle$

instance *num1* :: *finite*
 $\langle proof \rangle$

instance *bit0* :: (*finite*) *finite*
 $\langle proof \rangle$

instance *bit1* :: (*finite*) *finite*
 $\langle proof \rangle$

lemma *card-num1*: $CARD(num1) = 1$
 $\langle proof \rangle$

lemma *card-bit0*: $CARD('a::finite\ bit0) = 2 * CARD('a)$
 $\langle proof \rangle$

lemma *card-bit1*: $CARD('a::finite\ bit1) = Suc\ (2 * CARD('a))$
 $\langle proof \rangle$

lemma *card-num0*: $CARD\ (num0) = 0$
 $\langle proof \rangle$

lemmas *card-univ-simps* [*simp*] =
card-unit
card-bool
card-prod
card-sum
card-option
card-set
card-num1
card-bit0
card-bit1
card-num0

27.4 Syntax

syntax
-NumeralType :: *num-const* => *type* (-)
-NumeralType0 :: *type* (0)
-NumeralType1 :: *type* (1)

translations

-*NumeralType1* == (*type*) *num1*
 -*NumeralType0* == (*type*) *num0*

⟨*ML*⟩

27.5 Classes with at least 1 and 2

Class *finite* already captures ”at least 1”

lemma *zero-less-card-finite* [*simp*]:
 $0 < \text{CARD}('a::\text{finite})$
 ⟨*proof*⟩

lemma *one-le-card-finite* [*simp*]:
 $\text{Suc } 0 \leq \text{CARD}('a::\text{finite})$
 ⟨*proof*⟩

Class for cardinality ”at least 2”

class *card2* = *finite* +
 assumes *two-le-card*: $2 \leq \text{CARD}('a)$

lemma *one-less-card*: $\text{Suc } 0 < \text{CARD}('a::\text{card2})$
 ⟨*proof*⟩

instance *bit0* :: (*finite*) *card2*
 ⟨*proof*⟩

instance *bit1* :: (*finite*) *card2*
 ⟨*proof*⟩

27.6 Examples

term *TYPE*(10)

lemma $\text{CARD}(0) = 0$ ⟨*proof*⟩
lemma $\text{CARD}(17) = 17$ ⟨*proof*⟩

end

28 Permutation: Permutations

theory *Permutation*
imports *Multiset*
begin

inductive

perm :: 'a list => 'a list => bool (- <~~> - [50, 50] 50)

where

Nil [intro!]: [] <~~> []
 | *swap* [intro!]: y # x # l <~~> x # y # l
 | *Cons* [intro!]: xs <~~> ys ==> z # xs <~~> z # ys
 | *trans* [intro]: xs <~~> ys ==> ys <~~> zs ==> xs <~~> zs

lemma *perm-refl* [iff]: l <~~> l

<proof>

28.1 Some examples of rule induction on permutations

lemma *xperm-empty-imp*: [] <~~> ys ==> ys = []

<proof>

This more general theorem is easier to understand!

lemma *perm-length*: xs <~~> ys ==> length xs = length ys

<proof>

lemma *perm-empty-imp*: [] <~~> xs ==> xs = []

<proof>

lemma *perm-sym*: xs <~~> ys ==> ys <~~> xs

<proof>

28.2 Ways of making new permutations

We can insert the head anywhere in the list.

lemma *perm-append-Cons*: a # xs @ ys <~~> xs @ a # ys

<proof>

lemma *perm-append-swap*: xs @ ys <~~> ys @ xs

<proof>

lemma *perm-append-single*: a # xs <~~> xs @ [a]

<proof>

lemma *perm-rev*: rev xs <~~> xs

<proof>

lemma *perm-append1*: xs <~~> ys ==> l @ xs <~~> l @ ys

<proof>

lemma *perm-append2*: xs <~~> ys ==> xs @ l <~~> ys @ l

<proof>

28.3 Further results

lemma *perm-empty* [iff]: $([] <\sim\sim> xs) = (xs = [])$
 ⟨proof⟩

lemma *perm-empty2* [iff]: $(xs <\sim\sim> []) = (xs = [])$
 ⟨proof⟩

lemma *perm-sing-imp*: $ys <\sim\sim> xs ==> xs = [y] ==> ys = [y]$
 ⟨proof⟩

lemma *perm-sing-eq* [iff]: $(ys <\sim\sim> [y]) = (ys = [y])$
 ⟨proof⟩

lemma *perm-sing-eq2* [iff]: $([y] <\sim\sim> ys) = (ys = [y])$
 ⟨proof⟩

28.4 Removing elements

consts

remove :: 'a => 'a list => 'a list

primrec

remove $x [] = []$

remove $x (y \# ys) = (\text{if } x = y \text{ then } ys \text{ else } y \# \text{remove } x \text{ } ys)$

lemma *perm-remove*: $x \in \text{set } ys ==> ys <\sim\sim> x \# \text{remove } x \text{ } ys$
 ⟨proof⟩

lemma *remove-commute*: $\text{remove } x (\text{remove } y \text{ } l) = \text{remove } y (\text{remove } x \text{ } l)$
 ⟨proof⟩

lemma *multiset-of-remove* [simp]:

multiset-of $(\text{remove } a \text{ } x) = \text{multiset-of } x - \{\#a\# \}$

⟨proof⟩

Congruence rule

lemma *perm-remove-perm*: $xs <\sim\sim> ys ==> \text{remove } z \text{ } xs <\sim\sim> \text{remove } z \text{ } ys$
 ⟨proof⟩

lemma *remove-hd* [simp]: $\text{remove } z (z \# xs) = xs$
 ⟨proof⟩

lemma *cons-perm-imp-perm*: $z \# xs <\sim\sim> z \# ys ==> xs <\sim\sim> ys$
 ⟨proof⟩

lemma *cons-perm-eq* [iff]: $(z \# xs <\sim\sim> z \# ys) = (xs <\sim\sim> ys)$
 ⟨proof⟩

lemma *append-perm-imp-perm*: $zs @ xs <\sim\sim> zs @ ys ==> xs <\sim\sim> ys$
 ⟨proof⟩

lemma *perm-append1-eq [iff]*: $(zs @ xs <^{\sim\sim}> zs @ ys) = (xs <^{\sim\sim}> ys)$
 ⟨proof⟩

lemma *perm-append2-eq [iff]*: $(xs @ zs <^{\sim\sim}> ys @ zs) = (xs <^{\sim\sim}> ys)$
 ⟨proof⟩

lemma *multiset-of-eq-perm*: $(\text{multiset-of } xs = \text{multiset-of } ys) = (xs <^{\sim\sim}> ys)$
 ⟨proof⟩

lemma *multiset-of-le-perm-append*:
 $(\text{multiset-of } xs \leq \# \text{ multiset-of } ys) = (\exists zs. xs @ zs <^{\sim\sim}> ys)$
 ⟨proof⟩

lemma *perm-set-eq*: $xs <^{\sim\sim}> ys \implies \text{set } xs = \text{set } ys$
 ⟨proof⟩

lemma *perm-distinct-iff*: $xs <^{\sim\sim}> ys \implies \text{distinct } xs = \text{distinct } ys$
 ⟨proof⟩

lemma *eq-set-perm-remdups*: $\text{set } xs = \text{set } ys \implies \text{remdups } xs <^{\sim\sim}> \text{remdups } ys$
 ⟨proof⟩

lemma *perm-remdups-iff-eq-set*: $\text{remdups } x <^{\sim\sim}> \text{remdups } y = (\text{set } x = \text{set } y)$
 ⟨proof⟩

end

29 Code-Char: Code generation of pretty characters (and strings)

theory *Code-Char*
imports *List*
begin

code-type *char*
 (*SML char*)
 (*OCaml char*)
 (*Haskell Char*)

⟨ML⟩

code-instance *char* :: *eq*
 (*Haskell -*)

code-reserved *SML*
char

```

code-reserved OCaml
  char

code-const op = :: char ⇒ char ⇒ bool
  (SML !((- : char) = -))
  (OCaml !((- : char) = -))
  (Haskell infixl 4 ==)

end

```

30 Code-Char-chr: Code generation of pretty characters with character codes

```

theory Code-Char-chr
imports Char-nat Code-Char Code-Integer
begin

definition
  int-of-char = int o nat-of-char

lemma [code func]:
  nat-of-char = nat o int-of-char
  ⟨proof⟩

definition
  char-of-int = char-of-nat o nat

lemma [code func]:
  char-of-nat = char-of-int o int
  ⟨proof⟩

lemmas [code func del] = char.recs char.cases char.size

lemma [code func, code inline]:
  char-rec f c = split f (nibble-pair-of-nat (nat-of-char c))
  ⟨proof⟩

lemma [code func, code inline]:
  char-case f c = split f (nibble-pair-of-nat (nat-of-char c))
  ⟨proof⟩

lemma [code func]:
  size (c::char) = 0
  ⟨proof⟩

code-const int-of-char and char-of-int

```

```

    (SML !(IntInf.fromInt o Char.ord) and !(Char.chr o IntInf.toInt))
    (OCaml Big'-int.big'-int'-of'-int (Char.code -) and Char.chr (Big'-int.int'-of'-big'-int
-))
    (Haskell toInteger (fromEnum (- :: Char)) and !(let chr k | k < 256 = toEnum
k :: Char in chr . fromInteger))

end

```

31 Primes: Primality on nat

```

theory Primes
imports GCD
begin

```

definition

```

coprime :: nat => nat => bool where
coprime m n = (gcd (m, n) = 1)

```

definition

```

prime :: nat => bool where
prime p = (1 < p ∧ (∀ m. m dvd p --> m = 1 ∨ m = p))

```

lemma *two-is-prime*: prime 2
 ⟨proof⟩

lemma *prime-imp-relprime*: prime p ==> ¬ p dvd n ==> gcd (p, n) = 1
 ⟨proof⟩

This theorem leads immediately to a proof of the uniqueness of factorization. If p divides a product of primes then it is one of those primes.

lemma *prime-dvd-mult*: prime p ==> p dvd m * n ==> p dvd m ∨ p dvd n
 ⟨proof⟩

lemma *prime-dvd-square*: prime p ==> p dvd m ^ Suc (Suc 0) ==> p dvd m
 ⟨proof⟩

lemma *prime-dvd-power-two*: prime p ==> p dvd m² ==> p dvd m
 ⟨proof⟩

end

32 Quicksort: Quicksort

```

theory Quicksort
imports Multiset
begin

```

```

context linorder
begin

function quicksort :: 'a list  $\Rightarrow$  'a list where
  quicksort [] = [] |
  quicksort (x#xs) = quicksort([y←xs.  $\sim$  x≤y]) @ [x] @ quicksort([y←xs. x≤y])
  <proof>

termination
  <proof>

end
context linorder
begin

lemma quicksort-permutes [simp]:
  multiset-of (quicksort xs) = multiset-of xs
  <proof>

lemma set-quicksort [simp]: set (quicksort xs) = set xs
  <proof>

lemma sorted-quicksort: sorted(quicksort xs)
  <proof>

end

end

```

33 Quotient: Quotient types

```

theory Quotient
imports Main
begin

```

We introduce the notion of quotient types over equivalence relations via type classes.

33.1 Equivalence relations and quotient types

Type class *equiv* models equivalence relations $\sim :: 'a \Rightarrow 'a \Rightarrow bool$.

```

class eqv = type +
  fixes eqv :: 'a  $\Rightarrow$  'a  $\Rightarrow$  bool   (infixl  $\sim$  50)

```

```

class equiv = eqv +
  assumes equiv-refl [intro]: x  $\sim$  x

```

assumes *equiv-trans* [*trans*]: $x \sim y \implies y \sim z \implies x \sim z$
assumes *equiv-sym* [*sym*]: $x \sim y \implies y \sim x$

lemma *equiv-not-sym* [*sym*]: $\neg (x \sim y) \implies \neg (y \sim (x::'a::equiv))$
 $\langle proof \rangle$

lemma *not-equiv-trans1* [*trans*]: $\neg (x \sim y) \implies y \sim z \implies \neg (x \sim (z::'a::equiv))$
 $\langle proof \rangle$

lemma *not-equiv-trans2* [*trans*]: $x \sim y \implies \neg (y \sim z) \implies \neg (x \sim (z::'a::equiv))$
 $\langle proof \rangle$

The quotient type $'a \text{ quot}$ consists of all *equivalence classes* over elements of the base type $'a$.

typedef $'a \text{ quot} = \{\{x. a \sim x\} \mid a::'a::equiv. \text{True}\}$
 $\langle proof \rangle$

lemma *quotI* [*intro*]: $\{x. a \sim x\} \in \text{quot}$
 $\langle proof \rangle$

lemma *quotE* [*elim*]: $R \in \text{quot} \implies (!a. R = \{x. a \sim x\} \implies C) \implies C$
 $\langle proof \rangle$

Abstracted equivalence classes are the canonical representation of elements of a quotient type.

definition
 $class :: 'a::equiv \Rightarrow 'a \text{ quot} \ (\lfloor _ \rfloor) \text{ where}$
 $\lfloor a \rfloor = \text{Abs-quot } \{x. a \sim x\}$

theorem *quot-exhaust*: $\exists a. A = \lfloor a \rfloor$
 $\langle proof \rangle$

lemma *quot-cases* [*cases type: quot*]: $(!a. A = \lfloor a \rfloor \implies C) \implies C$
 $\langle proof \rangle$

33.2 Equality on quotients

Equality of canonical quotient elements coincides with the original relation.

theorem *quot-equality* [*iff?*]: $(\lfloor a \rfloor = \lfloor b \rfloor) = (a \sim b)$
 $\langle proof \rangle$

33.3 Picking representing elements

definition
 $pick :: 'a::equiv \text{ quot} \Rightarrow 'a \text{ where}$
 $pick \ A = (\text{SOME } a. A = \lfloor a \rfloor)$

theorem *pick-equiv* [*intro*]: $pick \ \lfloor a \rfloor \sim a$

$\langle proof \rangle$

theorem *pick-inverse* [intro]: $\lfloor pick\ A \rfloor = A$
 $\langle proof \rangle$

The following rules support canonical function definitions on quotient types (with up to two arguments). Note that the stripped-down version without additional conditions is sufficient most of the time.

theorem *quot-cond-function*:

assumes *eq*: $!!X\ Y. P\ X\ Y \implies f\ X\ Y == g\ (pick\ X)\ (pick\ Y)$
and *cong*: $!!x\ x'\ y\ y'. \lfloor x \rfloor = \lfloor x' \rfloor \implies \lfloor y \rfloor = \lfloor y' \rfloor \implies P\ \lfloor x \rfloor\ \lfloor y \rfloor \implies P\ \lfloor x' \rfloor\ \lfloor y' \rfloor \implies g\ x\ y = g\ x'\ y'$
and *P*: $P\ \lfloor a \rfloor\ \lfloor b \rfloor$
shows $f\ \lfloor a \rfloor\ \lfloor b \rfloor = g\ a\ b$
 $\langle proof \rangle$

theorem *quot-function*:

assumes $!!X\ Y. f\ X\ Y == g\ (pick\ X)\ (pick\ Y)$
and $!!x\ x'\ y\ y'. \lfloor x \rfloor = \lfloor x' \rfloor \implies \lfloor y \rfloor = \lfloor y' \rfloor \implies g\ x\ y = g\ x'\ y'$
shows $f\ \lfloor a \rfloor\ \lfloor b \rfloor = g\ a\ b$
 $\langle proof \rangle$

theorem *quot-function'*:

$(!!X\ Y. f\ X\ Y == g\ (pick\ X)\ (pick\ Y)) \implies$
 $(!!x\ x'\ y\ y'. x \sim x' \implies y \sim y' \implies g\ x\ y = g\ x'\ y') \implies$
 $f\ \lfloor a \rfloor\ \lfloor b \rfloor = g\ a\ b$
 $\langle proof \rangle$

end

34 Ramsey: Ramsey’s Theorem

theory *Ramsey* **imports** *Main Infinite-Set* **begin**

34.1 Preliminaries

34.1.1 “Axiom” of Dependent Choice

consts *choice* :: $('a \Rightarrow bool) \Rightarrow ('a * 'a) \text{ set} \Rightarrow \text{nat} \Rightarrow 'a$
— An integer-indexed chain of choices

primrec

choice-0: $choice\ P\ r\ 0 = (SOME\ x. P\ x)$

choice-Suc: $choice\ P\ r\ (Suc\ n) = (SOME\ y. P\ y \ \&\ (choice\ P\ r\ n, y) \in r)$

lemma *choice-n*:

assumes *P0*: $P\ x0$

and $Pstep: !!x. P\ x ==> \exists y. P\ y \ \& \ (x,y) \in r$
 shows $P\ (choice\ P\ r\ n)$
 $\langle proof \rangle$

lemma *dependent-choice*:

assumes $trans: trans\ r$
 and $P0: P\ x0$
 and $Pstep: !!x. P\ x ==> \exists y. P\ y \ \& \ (x,y) \in r$
 obtains $f :: nat ==> 'a$ **where**
 $!!n. P\ (f\ n)$ and $!!n\ m. n < m ==> (f\ n, f\ m) \in r$
 $\langle proof \rangle$

34.1.2 Partitions of a Set

definition

$part :: nat ==> nat ==> 'a\ set ==> ('a\ set ==> nat) ==> bool$
 — the function f partitions the r -subsets of the typically infinite set Y into s distinct categories.

where

$part\ r\ s\ Y\ f = (\forall X. X \subseteq Y \ \& \ finite\ X \ \& \ card\ X = r \dashrightarrow f\ X < s)$

For induction, we decrease the value of r in partitions.

lemma *part-Suc-imp-part*:

$[| infinite\ Y; part\ (Suc\ r)\ s\ Y\ f; y \in Y |]$
 $==> part\ r\ s\ (Y - \{y\})\ (\%u. f\ (insert\ y\ u))$
 $\langle proof \rangle$

lemma *part-subset*: $part\ r\ s\ YY\ f ==> Y \subseteq YY ==> part\ r\ s\ Y\ f$
 $\langle proof \rangle$

34.2 Ramsey’s Theorem: Infinitary Version

lemma *Ramsey-induction*:

fixes s and $r::nat$
 shows
 $!!(YY::'a\ set) (f::'a\ set ==> nat).$
 $[| infinite\ YY; part\ r\ s\ YY\ f |]$
 $==> \exists Y'\ t'. Y' \subseteq YY \ \& \ infinite\ Y' \ \& \ t' < s \ \&$
 $(\forall X. X \subseteq Y' \ \& \ finite\ X \ \& \ card\ X = r \dashrightarrow f\ X = t')$
 $\langle proof \rangle$

theorem *Ramsey*:

fixes $s\ r :: nat$ and $Z::'a\ set$ and $f::'a\ set ==> nat$
 shows
 $[| infinite\ Z;$
 $\forall X. X \subseteq Z \ \& \ finite\ X \ \& \ card\ X = r \dashrightarrow f\ X < s |]$
 $==> \exists Y\ t. Y \subseteq Z \ \& \ infinite\ Y \ \& \ t < s$
 $\ \& \ (\forall X. X \subseteq Y \ \& \ finite\ X \ \& \ card\ X = r \dashrightarrow f\ X = t)$
 $\langle proof \rangle$

corollary *Ramsey2*:

fixes $s::nat$ **and** $Z::'a\ set$ **and** $f::'a\ set \Rightarrow nat$
assumes $infZ: infinite\ Z$
and part: $\forall x \in Z. \forall y \in Z. x \neq y \longrightarrow f\{x,y\} < s$
shows
 $\exists Y\ t. Y \subseteq Z \ \& \ infinite\ Y \ \& \ t < s \ \& \ (\forall x \in Y. \forall y \in Y. x \neq y \longrightarrow f\{x,y\} = t)$
 $\langle proof \rangle$

34.3 Disjunctive Well-Foundedness

An application of Ramsey’s theorem to program termination. See [5].

definition

$disj\text{-}wf \quad :: ('a * 'a)\ set \Rightarrow bool$

where

$disj\text{-}wf\ r = (\exists T. \exists n::nat. (\forall i < n. wf(T\ i)) \ \& \ r = (\bigcup i < n. T\ i))$

definition

$transition\text{-}idx \quad :: [nat \Rightarrow 'a, nat \Rightarrow ('a * 'a)\ set, nat\ set] \Rightarrow nat$

where

$transition\text{-}idx\ s\ T\ A =$
 $(LEAST\ k. \exists i\ j. A = \{i,j\} \ \& \ i < j \ \& \ (s\ j, s\ i) \in T\ k)$

lemma *transition-idx-less*:

$[i < j; (s\ j, s\ i) \in T\ k; k < n] \Longrightarrow transition\text{-}idx\ s\ T\ \{i,j\} < n$
 $\langle proof \rangle$

lemma *transition-idx-in*:

$[i < j; (s\ j, s\ i) \in T\ k] \Longrightarrow (s\ j, s\ i) \in T\ (transition\text{-}idx\ s\ T\ \{i,j\})$
 $\langle proof \rangle$

To be equal to the union of some well-founded relations is equivalent to being the subset of such a union.

lemma *disj-wf*:

$disj\text{-}wf(r) = (\exists T. \exists n::nat. (\forall i < n. wf(T\ i)) \ \& \ r \subseteq (\bigcup i < n. T\ i))$
 $\langle proof \rangle$

theorem *trans-disj-wf-implies-wf*:

assumes $transr: trans\ r$
and $dwf: disj\text{-}wf(r)$
shows $wf\ r$
 $\langle proof \rangle$

end

35 State-Monad: Combinators syntax for generic, open state monads (single threaded monads)

```
theory State-Monad
imports Main
begin
```

35.1 Motivation

The logic HOL has no notion of constructor classes, so it is not possible to model monads the Haskell way in full genericity in Isabelle/HOL.

However, this theory provides substantial support for a very common class of monads: *state monads* (or *single-threaded monads*, since a state is transformed single-threaded).

To enter from the Haskell world, <http://www.engr.mun.ca/~theo/Misc/haskell.and.monads.htm> makes a good motivating start. Here we just sketch briefly how those monads enter the game of Isabelle/HOL.

35.2 State transformations and combinators

We classify functions operating on states into two categories:

transformations with type signature $\sigma \Rightarrow \sigma'$, transforming a state.

“yielding” transformations with type signature $\sigma \Rightarrow \alpha \times \sigma'$, “yielding” a side result while transforming a state.

queries with type signature $\sigma \Rightarrow \alpha$, computing a result dependent on a state.

By convention we write σ for types representing states and $\alpha, \beta, \gamma, \dots$ for types representing side results. Type changes due to transformations are not excluded in our scenario.

We aim to assert that values of any state type σ are used in a single-threaded way: after application of a transformation on a value of type σ , the former value should not be used again. To achieve this, we use a set of monad combinators:

definition

```
mbind :: ('a  $\Rightarrow$  'b  $\times$  'c)  $\Rightarrow$  ('b  $\Rightarrow$  'c  $\Rightarrow$  'd)  $\Rightarrow$  'a  $\Rightarrow$  'd
(infixl >>= 60) where
f >>= g = split g  $\circ$  f
```

definition

```
fcomp :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  ('b  $\Rightarrow$  'c)  $\Rightarrow$  'a  $\Rightarrow$  'c
(infixl >> 60) where
f >> g = g  $\circ$  f
```

definition

$run :: ('a \Rightarrow 'b) \Rightarrow 'a \Rightarrow 'b$ **where**
 $run\ f = f$

syntax (*xsymbols*)

$mbind :: ('a \Rightarrow 'b \times 'c) \Rightarrow ('b \Rightarrow 'c \Rightarrow 'd) \Rightarrow 'a \Rightarrow 'd$
 (**infixl** $\gg=$ 60)
 $fcomp :: ('a \Rightarrow 'b) \Rightarrow ('b \Rightarrow 'c) \Rightarrow 'a \Rightarrow 'c$
 (**infixl** \gg 60)

abbreviation (*input*)

$return \equiv Pair$

$\langle ML \rangle$

Given two transformations f and g , they may be directly composed using the $op \gg$ combinator, forming a forward composition: $(f \gg g)\ s = f\ (g\ s)$.

After any yielding transformation, we bind the side result immediately using a lambda abstraction. This is the purpose of the $op \gg=$ combinator: $(f \gg= (\lambda x. g))\ s = (let\ (x, s') = f\ s\ in\ g\ s')$.

For queries, the existing *Let* is appropriate.

Naturally, a computation may yield a side result by pairing it to the state from the left; we introduce the suggestive abbreviation *Pair* for this purpose.

The *run* is just a marker.

The most crucial distinction to Haskell is that we do not need to introduce distinguished type constructors for different kinds of state. This has two consequences:

- The monad model does not state anything about the kind of state; the model for the state is completely orthogonal and has to (or may) be specified completely independent.
- There is no distinguished type constructor encapsulating away the state transformation, i.e. transformations may be applied directly without using any lifting or providing and dropping units (“open monad”).
- The type of states may change due to a transformation.

35.3 Obsolete runs

run is just a doodle and should not occur nested:

lemma *run-simp* [*simp*]:

$\bigwedge f. run\ (run\ f) = run\ f$

$$\begin{aligned}
&\bigwedge f\ g. \text{run } f \gg= g = f \gg= g \\
&\bigwedge f\ g. \text{run } f \gg g = f \gg g \\
&\bigwedge f\ g. f \gg= (\lambda x. \text{run } g) = f \gg= (\lambda x. g) \\
&\bigwedge f\ g. f \gg \text{run } g = f \gg g \\
&\bigwedge f. f = \text{run } f \longleftrightarrow \text{True} \\
&\bigwedge f. \text{run } f = f \longleftrightarrow \text{True} \\
&\langle \text{proof} \rangle
\end{aligned}$$

35.4 Monad laws

The common monadic laws hold and may also be used as normalization rules for monadic expressions:

lemma

return-mbind [simp]: $\text{return } x \gg= f = f\ x$
 $\langle \text{proof} \rangle$

lemma

mbind-return [simp]: $x \gg= \text{return} = x$
 $\langle \text{proof} \rangle$

lemma

id-fcomp [simp]: $\text{id} \gg f = f$
 $\langle \text{proof} \rangle$

lemma

fcomp-id [simp]: $f \gg \text{id} = f$
 $\langle \text{proof} \rangle$

lemma

mbind-mbind [simp]: $(f \gg= g) \gg= h = f \gg= (\lambda x. g\ x \gg= h)$
 $\langle \text{proof} \rangle$

lemma

mbind-fcomp [simp]: $(f \gg= g) \gg h = f \gg= (\lambda x. g\ x \gg h)$
 $\langle \text{proof} \rangle$

lemma

fcomp-mbind [simp]: $(f \gg g) \gg= h = f \gg (g \gg= h)$
 $\langle \text{proof} \rangle$

lemma

fcomp-fcomp [simp]: $(f \gg g) \gg h = f \gg (g \gg h)$
 $\langle \text{proof} \rangle$

lemmas *monad-simp* = *run-simp* *return-mbind* *mbind-return* *id-fcomp* *fcomp-id*
mbind-mbind *mbind-fcomp* *fcomp-mbind* *fcomp-fcomp*

Evaluation of monadic expressions by force:

lemmas *monad-collapse* = *monad-simp* *o-apply* *o-assoc* *split-Pair* *split-comp*

mbind-def fcomp-def run-def

35.5 Syntax

We provide a convenient *do*-notation for monadic expressions well-known from Haskell. *Let* is printed specially in *do*-expressions.

nonterminals *do-expr*

syntax

-do :: *do-expr* \Rightarrow '*a*
 (*do* - *done* [12] 12)
-mbind :: *pttrn* \Rightarrow '*a* \Rightarrow *do-expr* \Rightarrow *do-expr*
 (- <- -; // - [1000, 13, 12] 12)
-fcomp :: '*a* \Rightarrow *do-expr* \Rightarrow *do-expr*
 (-; // - [13, 12] 12)
-let :: *pttrn* \Rightarrow '*a* \Rightarrow *do-expr* \Rightarrow *do-expr*
 (*let* - = -; // - [1000, 13, 12] 12)
-nil :: '*a* \Rightarrow *do-expr*
 (- [12] 12)

syntax (*xsymbols*)

-mbind :: *pttrn* \Rightarrow '*a* \Rightarrow *do-expr* \Rightarrow *do-expr*
 (- \leftarrow -; // - [1000, 13, 12] 12)

translations

-do *f* \Rightarrow *CONST* *run* *f*
-mbind *x f g* \Rightarrow *f* \gg = ($\lambda x.$ *g*)
-fcomp *f g* \Rightarrow *f* \gg *g*
-let *x t f* \Rightarrow *CONST* *Let* *t* ($\lambda x.$ *f*)
-nil *f* \Rightarrow *f*

$\langle ML \rangle$

35.6 Combinators

definition

lift :: ('*a* \Rightarrow '*b*) \Rightarrow '*a* \Rightarrow '*c* \Rightarrow '*b* \times '*c* **where**
lift *f x* = *return* (*f x*)

fun

list :: ('*a* \Rightarrow '*b* \Rightarrow '*b*) \Rightarrow '*a* *list* \Rightarrow '*b* \Rightarrow '*b* **where**
list *f* [] = *id*
 | *list* *f* (*x#xs*) = (*do* *f x*; *list* *f xs done*)

fun *list-yeild* :: ('*a* \Rightarrow '*b* \Rightarrow '*c* \times '*b*) \Rightarrow '*a* *list* \Rightarrow '*b* \Rightarrow '*c* *list* \times '*b* **where**

list-yeild *f* [] = *return* []
 | *list-yeild* *f* (*x#xs*) = (*do* *y* \leftarrow *f x*; *ys* \leftarrow *list-yeild* *f xs*; *return* (*y#ys*) *done*)

combinator properties

lemma *list-append* [*simp*]:
 $list\ f\ (xs\ @\ ys) = list\ f\ xs\ \gg\ list\ f\ ys$
 $\langle proof \rangle$

lemma *list-cong* [*fundef-cong*, *recdef-cong*]:
 $\llbracket \bigwedge x. x \in set\ xs \implies f\ x = g\ x; xs = ys \rrbracket$
 $\implies list\ f\ xs = list\ g\ ys$
 $\langle proof \rangle$

lemma *list-yield-cong* [*fundef-cong*, *recdef-cong*]:
 $\llbracket \bigwedge x. x \in set\ xs \implies f\ x = g\ x; xs = ys \rrbracket$
 $\implies list\ yield\ f\ xs = list\ yield\ g\ ys$
 $\langle proof \rangle$

still waiting for extensions...

For an example, see HOL/ex/Random.thy.

end

36 While-Combinator: A general “while” combinator

theory *While-Combinator*
imports *Main*
begin

We define the while combinator as the “mother of all tail recursive functions”.

function (*tailrec*) *while* :: ($'a \Rightarrow bool$) \Rightarrow ($'a \Rightarrow 'a$) \Rightarrow $'a \Rightarrow 'a$
where
 $while\ unfold[simp\ del]: while\ b\ c\ s = (if\ b\ s\ then\ while\ b\ c\ (c\ s)\ else\ s)$
 $\langle proof \rangle$

declare *while-unfold*[*code*]

lemma *def-while-unfold*:
assumes *fdef*: $f == while\ test\ do$
shows $f\ x = (if\ test\ x\ then\ f(do\ x)\ else\ x)$
 $\langle proof \rangle$

The proof rule for *while*, where P is the invariant.

theorem *while-rule-lemma*:
assumes *invariant*: $!!s. P\ s \implies b\ s \implies P\ (c\ s)$
and *terminate*: $!!s. P\ s \implies \neg b\ s \implies Q\ s$
and *wf*: $wf\ \{(t, s). P\ s \wedge b\ s \wedge t = c\ s\}$
shows $P\ s \implies Q\ (while\ b\ c\ s)$
 $\langle proof \rangle$

theorem *while-rule*:

```

[[ P s;
  !!s. [[ P s; b s ]] ==> P (c s);
  !!s. [[ P s; ¬ b s ]] ==> Q s;
  wf r;
  !!s. [[ P s; b s ]] ==> (c s, s) ∈ r ]] ==>
Q (while b c s)
⟨proof⟩

```

An application: computation of the *lfp* on finite sets via iteration.

theorem *lfp-conv-while*:

```

[[ mono f; finite U; f U = U ]] ==>
lfp f = fst (while (λ(A, fA). A ≠ fA) (λ(A, fA). (fA, f fA)) ({}, f {}))
⟨proof⟩

```

An example of using the *while* combinator.

Cannot use *set-eq-subset* because it leads to looping because the anti-symmetry *simproc* turns the subset relationship back into equality.

```

theorem P (lfp (λN::int set. {0} ∪ {(n + 2) mod 6 | n. n ∈ N})) =
P {0, 4, 2}
⟨proof⟩

```

end

37 Word: Binary Words

```

theory Word
imports Main
begin

```

37.1 Auxiliary Lemmas

```

lemma max-le [intro!]: [[ x ≤ z; y ≤ z ]] ==> max x y ≤ z
⟨proof⟩

```

```

lemma max-mono:
  fixes x :: 'a::linorder
  assumes mf: mono f
  shows max (f x) (f y) ≤ f (max x y)
⟨proof⟩

```

```

declare zero-le-power [intro]
and zero-less-power [intro]

```

```

lemma int-nat-two-exp: 2 ^ k = int (2 ^ k)
⟨proof⟩

```

37.2 Bits

datatype *bit* =
 Zero (**0**)
 | *One* (**1**)

consts
 bitval :: *bit* => *nat*

primrec
 bitval **0** = 0
 bitval **1** = 1

consts
 bitnot :: *bit* => *bit*
 bitand :: *bit* => *bit* => *bit* (**infixr** *bitand* 35)
 bitor :: *bit* => *bit* => *bit* (**infixr** *bitor* 30)
 bitxor :: *bit* => *bit* => *bit* (**infixr** *bitxor* 30)

notation (*xsymbols*)
 bitnot (\neg_b - [40] 40) **and**
 bitand (**infixr** \wedge_b 35) **and**
 bitor (**infixr** \vee_b 30) **and**
 bitxor (**infixr** \oplus_b 30)

notation (*HTML output*)
 bitnot (\neg_b - [40] 40) **and**
 bitand (**infixr** \wedge_b 35) **and**
 bitor (**infixr** \vee_b 30) **and**
 bitxor (**infixr** \oplus_b 30)

primrec
 bitnot-zero: (*bitnot* **0**) = **1**
 bitnot-one : (*bitnot* **1**) = **0**

primrec
 bitand-zero: (**0** *bitand* *y*) = **0**
 bitand-one: (**1** *bitand* *y*) = *y*

primrec
 bitor-zero: (**0** *bitor* *y*) = *y*
 bitor-one: (**1** *bitor* *y*) = **1**

primrec
 bitxor-zero: (**0** *bitxor* *y*) = *y*
 bitxor-one: (**1** *bitxor* *y*) = (*bitnot* *y*)

lemma *bitnot-bitnot* [*simp*]: (*bitnot* (*bitnot* *b*)) = *b*
 ⟨*proof*⟩

lemma *bitand-cancel* [*simp*]: (*b bitand b*) = *b*

$\langle proof \rangle$

lemma *bitor-cancel* [simp]: $(b \text{ bitor } b) = b$
 $\langle proof \rangle$

lemma *bitxor-cancel* [simp]: $(b \text{ bitxor } b) = 0$
 $\langle proof \rangle$

37.3 Bit Vectors

First, a couple of theorems expressing case analysis and induction principles for bit vectors.

lemma *bit-list-cases*:
 assumes *empty*: $w = [] \implies P \ w$
 and *zero*: $!!bs. w = 0 \ \# \ bs \implies P \ w$
 and *one*: $!!bs. w = 1 \ \# \ bs \implies P \ w$
 shows $P \ w$
 $\langle proof \rangle$

lemma *bit-list-induct*:
 assumes *empty*: $P \ []$
 and *zero*: $!!bs. P \ bs \implies P \ (0 \ \# \ bs)$
 and *one*: $!!bs. P \ bs \implies P \ (1 \ \# \ bs)$
 shows $P \ w$
 $\langle proof \rangle$

definition
bv-msb :: *bit list* \Rightarrow *bit* **where**
bv-msb $w = (\text{if } w = [] \text{ then } 0 \text{ else } \text{hd } w)$

definition
bv-extend :: $[nat, bit, bit \text{ list}] \Rightarrow bit \text{ list}$ **where**
bv-extend $i \ b \ w = (\text{replicate } (i - \text{length } w) \ b) \ @ \ w$

definition
bv-not :: *bit list* \Rightarrow *bit list* **where**
bv-not $w = \text{map } \text{bitnot } w$

lemma *bv-length-extend* [simp]: $\text{length } w \leq i \implies \text{length } (\text{bv-extend } i \ b \ w) = i$
 $\langle proof \rangle$

lemma *bv-not-Nil* [simp]: $\text{bv-not } [] = []$
 $\langle proof \rangle$

lemma *bv-not-Cons* [simp]: $\text{bv-not } (b \ \# \ bs) = (\text{bitnot } b) \ \# \ \text{bv-not } bs$
 $\langle proof \rangle$

lemma *bv-not-bv-not* [simp]: $\text{bv-not } (\text{bv-not } w) = w$
 $\langle proof \rangle$

lemma *bv-msb-Nil* [simp]: *bv-msb* [] = 0
 ⟨proof⟩

lemma *bv-msb-Cons* [simp]: *bv-msb* (b#bs) = b
 ⟨proof⟩

lemma *bv-msb-bv-not* [simp]: $0 < \text{length } w \implies \text{bv-msb } (\text{bv-not } w) = (\text{bitnot } (\text{bv-msb } w))$
 ⟨proof⟩

lemma *bv-msb-one-length* [simp,intro]: *bv-msb* w = 1 $\implies 0 < \text{length } w$
 ⟨proof⟩

lemma *length-bv-not* [simp]: *length* (bv-not w) = *length* w
 ⟨proof⟩

definition

bv-to-nat :: bit list \Rightarrow nat **where**
bv-to-nat = foldl (%bn b. 2 * bn + bitval b) 0

lemma *bv-to-nat-Nil* [simp]: *bv-to-nat* [] = 0
 ⟨proof⟩

lemma *bv-to-nat-helper* [simp]: *bv-to-nat* (b # bs) = bitval b * 2 ^ *length* bs + *bv-to-nat* bs
 ⟨proof⟩

lemma *bv-to-nat0* [simp]: *bv-to-nat* (0#bs) = *bv-to-nat* bs
 ⟨proof⟩

lemma *bv-to-nat1* [simp]: *bv-to-nat* (1#bs) = 2 ^ *length* bs + *bv-to-nat* bs
 ⟨proof⟩

lemma *bv-to-nat-upper-range*: *bv-to-nat* w < 2 ^ *length* w
 ⟨proof⟩

lemma *bv-extend-longer* [simp]:
 assumes *wn*: $n \leq \text{length } w$
 shows *bv-extend* n b w = w
 ⟨proof⟩

lemma *bv-extend-shorter* [simp]:
 assumes *wn*: *length* w < n
 shows *bv-extend* n b w = *bv-extend* n b (b#w)
 ⟨proof⟩

consts

rem-initial :: bit \Rightarrow bit list \Rightarrow bit list

primrec

$$\text{rem-initial } b \ [] = []$$

$$\text{rem-initial } b \ (x\#xs) = (\text{if } b = x \text{ then rem-initial } b \ xs \text{ else } x\#xs)$$

lemma *rem-initial-length*: $\text{length } (\text{rem-initial } b \ w) \leq \text{length } w$
 $\langle \text{proof} \rangle$

lemma *rem-initial-equal*:

assumes p : $\text{length } (\text{rem-initial } b \ w) = \text{length } w$

shows $\text{rem-initial } b \ w = w$

$\langle \text{proof} \rangle$

lemma *bv-extend-rem-initial*: $\text{bv-extend } (\text{length } w) \ b \ (\text{rem-initial } b \ w) = w$
 $\langle \text{proof} \rangle$

lemma *rem-initial-append1*:

assumes $\text{rem-initial } b \ xs \sim []$

shows $\text{rem-initial } b \ (xs \ @ \ ys) = \text{rem-initial } b \ xs \ @ \ ys$

$\langle \text{proof} \rangle$

lemma *rem-initial-append2*:

assumes $\text{rem-initial } b \ xs = []$

shows $\text{rem-initial } b \ (xs \ @ \ ys) = \text{rem-initial } b \ ys$

$\langle \text{proof} \rangle$

definition

$\text{norm-unsigned} :: \text{bit list} \Rightarrow \text{bit list}$ **where**

$\text{norm-unsigned} = \text{rem-initial } \mathbf{0}$

lemma *norm-unsigned-Nil* [simp]: $\text{norm-unsigned } [] = []$
 $\langle \text{proof} \rangle$

lemma *norm-unsigned-Cons0* [simp]: $\text{norm-unsigned } (\mathbf{0}\#bs) = \text{norm-unsigned } bs$
 $\langle \text{proof} \rangle$

lemma *norm-unsigned-Cons1* [simp]: $\text{norm-unsigned } (\mathbf{1}\#bs) = \mathbf{1}\#bs$
 $\langle \text{proof} \rangle$

lemma *norm-unsigned-idem* [simp]: $\text{norm-unsigned } (\text{norm-unsigned } w) = \text{norm-unsigned } w$
 $\langle \text{proof} \rangle$

consts

$\text{nat-to-bv-helper} :: \text{nat} \Rightarrow \text{bit list} \Rightarrow \text{bit list}$

recdef *nat-to-bv-helper* measure $(\lambda n. n)$

$\text{nat-to-bv-helper } n = (\%bs. (\text{if } n = 0 \text{ then } bs$

$\text{else nat-to-bv-helper } (n \text{ div } 2) ((\text{if } n \text{ mod } 2 = 0 \text{ then } \mathbf{0}$

$\text{else } \mathbf{1})\#bs)))$

definition

$nat\text{-}to\text{-}bv :: nat \Rightarrow bit\ list$ **where**
 $nat\text{-}to\text{-}bv\ n = nat\text{-}to\text{-}bv\text{-}helper\ n\ []$

lemma $nat\text{-}to\text{-}bv0$ $[simp]$: $nat\text{-}to\text{-}bv\ 0 = []$
 $\langle proof \rangle$

lemmas $[simp\ del]$ = $nat\text{-}to\text{-}bv\text{-}helper.simps$

lemma $n\text{-}div\text{-}2\text{-}cases$:

assumes $zero$: $(n::nat) = 0 \Rightarrow R$
and div : $[| n\ div\ 2 < n ; 0 < n |] \Rightarrow R$
shows R
 $\langle proof \rangle$

lemma $int\text{-}wf\text{-}ge\text{-}induct$:

assumes ind : $!!i::int. (!j. [| k \leq j ; j < i |] \Rightarrow P\ j) \Rightarrow P\ i$
shows $P\ i$
 $\langle proof \rangle$

lemma $unfold\text{-}nat\text{-}to\text{-}bv\text{-}helper$:

$nat\text{-}to\text{-}bv\text{-}helper\ b\ l = nat\text{-}to\text{-}bv\text{-}helper\ b\ []\ @\ l$
 $\langle proof \rangle$

lemma $nat\text{-}to\text{-}bv\text{-}non0$ $[simp]$: $n \neq 0 \Rightarrow nat\text{-}to\text{-}bv\ n = nat\text{-}to\text{-}bv\ (n\ div\ 2)\ @\ [if\ n\ mod\ 2 = 0\ then\ 0\ else\ 1]$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}nat\text{-}dist\text{-}append$:

$bv\text{-}to\text{-}nat\ (l1\ @\ l2) = bv\text{-}to\text{-}nat\ l1 * 2 ^ length\ l2 + bv\text{-}to\text{-}nat\ l2$
 $\langle proof \rangle$

lemma $bv\text{-}nat\text{-}bv$ $[simp]$: $bv\text{-}to\text{-}nat\ (nat\text{-}to\text{-}bv\ n) = n$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}nat\text{-}type$ $[simp]$: $bv\text{-}to\text{-}nat\ (norm\text{-}unsigned\ w) = bv\text{-}to\text{-}nat\ w$
 $\langle proof \rangle$

lemma $length\text{-}norm\text{-}unsigned\text{-}le$ $[simp]$: $length\ (norm\text{-}unsigned\ w) \leq length\ w$
 $\langle proof \rangle$

lemma $bv\text{-}to\text{-}nat\text{-}rew\text{-}msb$: $bv\text{-}msb\ w = 1 \Rightarrow bv\text{-}to\text{-}nat\ w = 2 ^ (length\ w - 1) + bv\text{-}to\text{-}nat\ (tl\ w)$
 $\langle proof \rangle$

lemma $norm\text{-}unsigned\text{-}result$: $norm\text{-}unsigned\ xs = [] \vee bv\text{-}msb\ (norm\text{-}unsigned\ xs) = 1$
 $\langle proof \rangle$

lemma *norm-empty-bv-to-nat-zero*:

assumes *nw*: *norm-unsigned w* = []

shows *bv-to-nat w* = 0

⟨*proof*⟩

lemma *bv-to-nat-lower-limit*:

assumes *w0*: 0 < *bv-to-nat w*

shows $2^{\wedge} (\text{length } (\text{norm-unsigned } w) - 1) \leq \text{bv-to-nat } w$

⟨*proof*⟩

lemmas [*simp del*] = *nat-to-bv-non0*

lemma *norm-unsigned-length* [*intro!*]: $\text{length } (\text{norm-unsigned } w) \leq \text{length } w$

⟨*proof*⟩

lemma *norm-unsigned-equal*:

$\text{length } (\text{norm-unsigned } w) = \text{length } w \implies \text{norm-unsigned } w = w$

⟨*proof*⟩

lemma *bv-extend-norm-unsigned*: $\text{bv-extend } (\text{length } w) \mathbf{0} (\text{norm-unsigned } w) = w$

⟨*proof*⟩

lemma *norm-unsigned-append1* [*simp*]:

$\text{norm-unsigned } xs \neq [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } xs @ ys$

⟨*proof*⟩

lemma *norm-unsigned-append2* [*simp*]:

$\text{norm-unsigned } xs = [] \implies \text{norm-unsigned } (xs @ ys) = \text{norm-unsigned } ys$

⟨*proof*⟩

lemma *bv-to-nat-zero-imp-empty*:

$\text{bv-to-nat } w = 0 \implies \text{norm-unsigned } w = []$

⟨*proof*⟩

lemma *bv-to-nat-nzero-imp-nempty*:

$\text{bv-to-nat } w \neq 0 \implies \text{norm-unsigned } w \neq []$

⟨*proof*⟩

lemma *nat-helper1*:

assumes *ass*: *nat-to-bv* (*bv-to-nat w*) = *norm-unsigned w*

shows $\text{nat-to-bv } (2 * \text{bv-to-nat } w + \text{bitval } x) = \text{norm-unsigned } (w @ [x])$

⟨*proof*⟩

lemma *nat-helper2*: $\text{nat-to-bv } (2^{\wedge} \text{length } xs + \text{bv-to-nat } xs) = \mathbf{1} \# xs$

⟨*proof*⟩

lemma *nat-bv-nat* [*simp*]: $\text{nat-to-bv } (\text{bv-to-nat } w) = \text{norm-unsigned } w$

⟨*proof*⟩

lemma *bv-to-nat-qinj*:
assumes *one*: $\text{bv-to-nat } xs = \text{bv-to-nat } ys$
and *len*: $\text{length } xs = \text{length } ys$
shows $xs = ys$
 $\langle \text{proof} \rangle$

lemma *norm-unsigned-nat-to-bv [simp]*:
 $\text{norm-unsigned } (\text{nat-to-bv } n) = \text{nat-to-bv } n$
 $\langle \text{proof} \rangle$

lemma *length-nat-to-bv-upper-limit*:
assumes *nk*: $n \leq 2^k - 1$
shows $\text{length } (\text{nat-to-bv } n) \leq k$
 $\langle \text{proof} \rangle$

lemma *length-nat-to-bv-lower-limit*:
assumes *nk*: $2^k \leq n$
shows $k < \text{length } (\text{nat-to-bv } n)$
 $\langle \text{proof} \rangle$

37.4 Unsigned Arithmetic Operations

definition
 $\text{bv-add} :: [\text{bit list}, \text{bit list}] \Rightarrow \text{bit list}$ **where**
 $\text{bv-add } w1 \ w2 = \text{nat-to-bv } (\text{bv-to-nat } w1 + \text{bv-to-nat } w2)$

lemma *bv-add-type1 [simp]*: $\text{bv-add } (\text{norm-unsigned } w1) \ w2 = \text{bv-add } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-add-type2 [simp]*: $\text{bv-add } w1 \ (\text{norm-unsigned } w2) = \text{bv-add } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-add-returntype [simp]*: $\text{norm-unsigned } (\text{bv-add } w1 \ w2) = \text{bv-add } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-add-length*: $\text{length } (\text{bv-add } w1 \ w2) \leq \text{Suc } (\max (\text{length } w1) (\text{length } w2))$
 $\langle \text{proof} \rangle$

definition
 $\text{bv-mult} :: [\text{bit list}, \text{bit list}] \Rightarrow \text{bit list}$ **where**
 $\text{bv-mult } w1 \ w2 = \text{nat-to-bv } (\text{bv-to-nat } w1 * \text{bv-to-nat } w2)$

lemma *bv-mult-type1 [simp]*: $\text{bv-mult } (\text{norm-unsigned } w1) \ w2 = \text{bv-mult } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-mult-type2 [simp]*: $\text{bv-mult } w1 \ (\text{norm-unsigned } w2) = \text{bv-mult } w1 \ w2$
 $\langle \text{proof} \rangle$

lemma *bv-mult-returntype [simp]*: $\text{norm-unsigned } (\text{bv-mult } w1 \ w2) = \text{bv-mult } w1 \ w2$

$w2$
 $\langle proof \rangle$

lemma *bv-mult-length*: $length\ (bv\text{-}mult\ w1\ w2) \leq length\ w1 + length\ w2$
 $\langle proof \rangle$

37.5 Signed Vectors

consts

norm-signed :: *bit list* => *bit list*

primrec

norm-signed-Nil: *norm-signed* [] = []

norm-signed-Cons: *norm-signed* (b#bs) =

(case b of

0 => if *norm-unsigned* bs = [] then [] else b#*norm-unsigned* bs

| 1 => b#*rem-initial* b bs)

lemma *norm-signed0* [simp]: *norm-signed* [0] = []
 $\langle proof \rangle$

lemma *norm-signed1* [simp]: *norm-signed* [1] = [1]
 $\langle proof \rangle$

lemma *norm-signed01* [simp]: *norm-signed* (0#1#xs) = 0#1#xs
 $\langle proof \rangle$

lemma *norm-signed00* [simp]: *norm-signed* (0#0#xs) = *norm-signed* (0#xs)
 $\langle proof \rangle$

lemma *norm-signed10* [simp]: *norm-signed* (1#0#xs) = 1#0#xs
 $\langle proof \rangle$

lemma *norm-signed11* [simp]: *norm-signed* (1#1#xs) = *norm-signed* (1#xs)
 $\langle proof \rangle$

lemmas [simp del] = *norm-signed-Cons*

definition

int-to-bv :: *int* => *bit list* **where**

int-to-bv n = (if 0 ≤ n

then *norm-signed* (0#*nat-to-bv* (nat n))

else *norm-signed* (bv-not (0#*nat-to-bv* (nat (−n − 1)))))

lemma *int-to-bv-ge0* [simp]: 0 ≤ n ==> *int-to-bv* n = *norm-signed* (0 # *nat-to-bv* (nat n))
 $\langle proof \rangle$

lemma *int-to-bv-lt0* [simp]:
n < 0 ==> *int-to-bv* n = *norm-signed* (bv-not (0#*nat-to-bv* (nat (−n − 1)))))

$\langle \text{proof} \rangle$

lemma *norm-signed-idem* [simp]: *norm-signed* (*norm-signed* *w*) = *norm-signed* *w*
 $\langle \text{proof} \rangle$

definition

bv-to-int :: *bit list* => *int* **where**
bv-to-int *w* =
 (case *bv-msb* *w* of **0** => *int* (*bv-to-nat* *w*)
 | **1** => - *int* (*bv-to-nat* (*bv-not* *w*) + 1))

lemma *bv-to-int-Nil* [simp]: *bv-to-int* [] = 0
 $\langle \text{proof} \rangle$

lemma *bv-to-int-Cons0* [simp]: *bv-to-int* (**0**#*bs*) = *int* (*bv-to-nat* *bs*)
 $\langle \text{proof} \rangle$

lemma *bv-to-int-Cons1* [simp]: *bv-to-int* (**1**#*bs*) = - *int* (*bv-to-nat* (*bv-not* *bs*) + 1)
 $\langle \text{proof} \rangle$

lemma *bv-to-int-type* [simp]: *bv-to-int* (*norm-signed* *w*) = *bv-to-int* *w*
 $\langle \text{proof} \rangle$

lemma *bv-to-int-upper-range*: *bv-to-int* *w* < 2 ^ (*length* *w* - 1)
 $\langle \text{proof} \rangle$

lemma *bv-to-int-lower-range*: - (2 ^ (*length* *w* - 1)) ≤ *bv-to-int* *w*
 $\langle \text{proof} \rangle$

lemma *int-bv-int* [simp]: *int-to-bv* (*bv-to-int* *w*) = *norm-signed* *w*
 $\langle \text{proof} \rangle$

lemma *bv-int-bv* [simp]: *bv-to-int* (*int-to-bv* *i*) = *i*
 $\langle \text{proof} \rangle$

lemma *bv-msb-norm* [simp]: *bv-msb* (*norm-signed* *w*) = *bv-msb* *w*
 $\langle \text{proof} \rangle$

lemma *norm-signed-length*: *length* (*norm-signed* *w*) ≤ *length* *w*
 $\langle \text{proof} \rangle$

lemma *norm-signed-equal*: *length* (*norm-signed* *w*) = *length* *w* ==> *norm-signed* *w* = *w*
 $\langle \text{proof} \rangle$

lemma *bv-extend-norm-signed*: *bv-msb* *w* = *b* ==> *bv-extend* (*length* *w*) *b* (*norm-signed* *w*) = *w*
 $\langle \text{proof} \rangle$

lemma *bv-to-int-qinj*:

assumes *one*: $\text{bv-to-int } xs = \text{bv-to-int } ys$

and *len*: $\text{length } xs = \text{length } ys$

shows $xs = ys$

<proof>

lemma *int-to-bv-returntype [simp]*: $\text{norm-signed } (\text{int-to-bv } w) = \text{int-to-bv } w$

<proof>

lemma *bv-to-int-msb0*: $0 \leq \text{bv-to-int } w1 \implies \text{bv-msb } w1 = 0$

<proof>

lemma *bv-to-int-msb1*: $\text{bv-to-int } w1 < 0 \implies \text{bv-msb } w1 = 1$

<proof>

lemma *bv-to-int-lower-limit-gt0*:

assumes *w0*: $0 < \text{bv-to-int } w$

shows $2 \wedge (\text{length } (\text{norm-signed } w) - 2) \leq \text{bv-to-int } w$

<proof>

lemma *norm-signed-result*: $\text{norm-signed } w = [] \vee \text{norm-signed } w = [1] \vee \text{bv-msb } (\text{norm-signed } w) \neq \text{bv-msb } (\text{tl } (\text{norm-signed } w))$

<proof>

lemma *bv-to-int-upper-limit-lem1*:

assumes *w0*: $\text{bv-to-int } w < -1$

shows $\text{bv-to-int } w < -(2 \wedge (\text{length } (\text{norm-signed } w) - 2))$

<proof>

lemma *length-int-to-bv-upper-limit-gt0*:

assumes *w0*: $0 < i$

and *wk*: $i \leq 2 \wedge (k - 1) - 1$

shows $\text{length } (\text{int-to-bv } i) \leq k$

<proof>

lemma *pos-length-pos*:

assumes *i0*: $0 < \text{bv-to-int } w$

shows $0 < \text{length } w$

<proof>

lemma *neg-length-pos*:

assumes *i0*: $\text{bv-to-int } w < -1$

shows $0 < \text{length } w$

<proof>

lemma *length-int-to-bv-lower-limit-gt0*:

assumes *wk*: $2 \wedge (k - 1) \leq i$

shows $k < \text{length } (\text{int-to-bv } i)$

<proof>

lemma *length-int-to-bv-upper-limit-lem1*:

assumes *w1*: $i < -1$

and *wk*: $-(2 \wedge (k - 1)) \leq i$

shows $\text{length } (\text{int-to-bv } i) \leq k$

<proof>

lemma *length-int-to-bv-lower-limit-lem1*:

assumes *wk*: $i < -(2 \wedge (k - 1))$

shows $k < \text{length } (\text{int-to-bv } i)$

<proof>

37.6 Signed Arithmetic Operations

37.6.1 Conversion from unsigned to signed

definition

utos :: *bit list* => *bit list* **where**

utos w = *norm-signed* (**0** # *w*)

lemma *utos-type* [*simp*]: *utos* (*norm-unsigned w*) = *utos w*

<proof>

lemma *utos-returntype* [*simp*]: *norm-signed* (*utos w*) = *utos w*

<proof>

lemma *utos-length*: $\text{length } (\text{utos } w) \leq \text{Suc } (\text{length } w)$

<proof>

lemma *bv-to-int-utos*: *bv-to-int* (*utos w*) = *int* (*bv-to-nat w*)

<proof>

37.6.2 Unary minus

definition

bv-uminus :: *bit list* => *bit list* **where**

bv-uminus w = *int-to-bv* ($-$ *bv-to-int w*)

lemma *bv-uminus-type* [*simp*]: *bv-uminus* (*norm-signed w*) = *bv-uminus w*

<proof>

lemma *bv-uminus-returntype* [*simp*]: *norm-signed* (*bv-uminus w*) = *bv-uminus w*

<proof>

lemma *bv-uminus-length*: $\text{length } (\text{bv-uminus } w) \leq \text{Suc } (\text{length } w)$

<proof>

lemma *bv-uminus-length-utos*: $\text{length } (\text{bv-uminus } (\text{utos } w)) \leq \text{Suc } (\text{length } w)$

<proof>

definition

$bv_sadd :: [bit\ list, bit\ list] \Rightarrow bit\ list$ **where**
 $bv_sadd\ w1\ w2 = int_to_bv\ (bv_to_int\ w1 + bv_to_int\ w2)$

lemma bv_sadd_type1 [simp]: $bv_sadd\ (norm_signed\ w1)\ w2 = bv_sadd\ w1\ w2$
 <proof>

lemma bv_sadd_type2 [simp]: $bv_sadd\ w1\ (norm_signed\ w2) = bv_sadd\ w1\ w2$
 <proof>

lemma $bv_sadd_returntype$ [simp]: $norm_signed\ (bv_sadd\ w1\ w2) = bv_sadd\ w1\ w2$
 <proof>

lemma $adder_helper$:

assumes $lw: 0 < \max\ (length\ w1)\ (length\ w2)$
shows $((2::int) ^ (length\ w1 - 1)) + (2 ^ (length\ w2 - 1)) \leq 2 ^ \max\ (length\ w1)\ (length\ w2)$
 <proof>

lemma bv_sadd_length : $length\ (bv_sadd\ w1\ w2) \leq Suc\ (\max\ (length\ w1)\ (length\ w2))$
 <proof>

definition

$bv_sub :: [bit\ list, bit\ list] \Rightarrow bit\ list$ **where**
 $bv_sub\ w1\ w2 = bv_sadd\ w1\ (bv_uminus\ w2)$

lemma bv_sub_type1 [simp]: $bv_sub\ (norm_signed\ w1)\ w2 = bv_sub\ w1\ w2$
 <proof>

lemma bv_sub_type2 [simp]: $bv_sub\ w1\ (norm_signed\ w2) = bv_sub\ w1\ w2$
 <proof>

lemma $bv_sub_returntype$ [simp]: $norm_signed\ (bv_sub\ w1\ w2) = bv_sub\ w1\ w2$
 <proof>

lemma bv_sub_length : $length\ (bv_sub\ w1\ w2) \leq Suc\ (\max\ (length\ w1)\ (length\ w2))$
 <proof>

definition

$bv_smult :: [bit\ list, bit\ list] \Rightarrow bit\ list$ **where**
 $bv_smult\ w1\ w2 = int_to_bv\ (bv_to_int\ w1 * bv_to_int\ w2)$

lemma bv_smult_type1 [simp]: $bv_smult\ (norm_signed\ w1)\ w2 = bv_smult\ w1\ w2$
 <proof>

lemma bv_smult_type2 [simp]: $bv_smult\ w1\ (norm_signed\ w2) = bv_smult\ w1\ w2$
 <proof>

lemma *bv-smult-returntype* [simp]: *norm-signed* (bv-smult w1 w2) = bv-smult w1 w2
 ⟨proof⟩

lemma *bv-smult-length*: *length* (bv-smult w1 w2) ≤ *length* w1 + *length* w2
 ⟨proof⟩

lemma *bv-msb-one*: *bv-msb* w = 1 ==> *bv-to-nat* w ≠ 0
 ⟨proof⟩

lemma *bv-smult-length-utos*: *length* (bv-smult (utos w1) w2) ≤ *length* w1 + *length* w2
 ⟨proof⟩

lemma *bv-smult-sym*: *bv-smult* w1 w2 = *bv-smult* w2 w1
 ⟨proof⟩

37.7 Structural operations

definition

bv-select :: [bit list, nat] => bit **where**
bv-select w i = w ! (length w - 1 - i)

definition

bv-chop :: [bit list, nat] => bit list * bit list **where**
bv-chop w i = (let len = length w in (take (len - i) w, drop (len - i) w))

definition

bv-slice :: [bit list, nat*nat] => bit list **where**
bv-slice w = (λ(b,e). fst (bv-chop (snd (bv-chop w (b+1))) e))

lemma *bv-select-rev*:

assumes *nonnull*: n < length w

shows *bv-select* w n = rev w ! n

⟨proof⟩

lemma *bv-chop-append*: *bv-chop* (w1 @ w2) (length w2) = (w1, w2)
 ⟨proof⟩

lemma *append-bv-chop-id*: *fst* (bv-chop w l) @ *snd* (bv-chop w l) = w
 ⟨proof⟩

lemma *bv-chop-length-fst* [simp]: *length* (fst (bv-chop w i)) = length w - i
 ⟨proof⟩

lemma *bv-chop-length-snd* [simp]: *length* (snd (bv-chop w i)) = min i (length w)
 ⟨proof⟩

lemma *bv-slice-length* [simp]: $[\![\ j \leq i; i < \text{length } w \]\!] \implies \text{length } (\text{bv-slice } w \ (i,j)) = i - j + 1$
 ⟨proof⟩

definition

length-nat :: *nat* => *nat* **where**
length-nat *x* = (*LEAST* *n*. $x < 2 \wedge n$)

lemma *length-nat*: $\text{length } (\text{nat-to-bv } n) = \text{length-nat } n$
 ⟨proof⟩

lemma *length-nat-0* [simp]: $\text{length-nat } 0 = 0$
 ⟨proof⟩

lemma *length-nat-non0*:
 assumes *n0*: $n \neq 0$
 shows $\text{length-nat } n = \text{Suc } (\text{length-nat } (n \text{ div } 2))$
 ⟨proof⟩

definition

length-int :: *int* => *nat* **where**
length-int *x* =
 (if $0 < x$ then $\text{Suc } (\text{length-nat } (\text{nat } x))$
 else if $x = 0$ then 0
 else $\text{Suc } (\text{length-nat } (\text{nat } (-x - 1)))$)

lemma *length-int*: $\text{length } (\text{int-to-bv } i) = \text{length-int } i$
 ⟨proof⟩

lemma *length-int-0* [simp]: $\text{length-int } 0 = 0$
 ⟨proof⟩

lemma *length-int-gt0*: $0 < i \implies \text{length-int } i = \text{Suc } (\text{length-nat } (\text{nat } i))$
 ⟨proof⟩

lemma *length-int-lt0*: $i < 0 \implies \text{length-int } i = \text{Suc } (\text{length-nat } (\text{nat } (-i) - 1))$
 ⟨proof⟩

lemma *bv-chopI*: $[\![\ w = w1 \ @ \ w2 ; i = \text{length } w2 \]\!] \implies \text{bv-chop } w \ i = (w1, w2)$
 ⟨proof⟩

lemma *bv-sliceI*: $[\![\ j \leq i ; i < \text{length } w ; w = w1 \ @ \ w2 \ @ \ w3 ; \text{Suc } i = \text{length } w2 + j ; j = \text{length } w3 \]\!] \implies \text{bv-slice } w \ (i,j) = w2$
 ⟨proof⟩

lemma *bv-slice-bv-slice*:

assumes *ki*: $k \leq i$
 and *ij*: $i \leq j$
 and *jl*: $j \leq l$

and $lw: l < \text{length } w$
shows $bv\text{-slice } w (j,i) = bv\text{-slice } (bv\text{-slice } w (l,k)) (j-k,i-k)$
 $\langle \text{proof} \rangle$

lemma $bv\text{-to-nat-extend [simp]: } bv\text{-to-nat } (bv\text{-extend } n \mathbf{0} \ w) = bv\text{-to-nat } w$
 $\langle \text{proof} \rangle$

lemma $bv\text{-msb-extend-same [simp]: } bv\text{-msb } w = b ==> bv\text{-msb } (bv\text{-extend } n \ b \ w) = b$
 $\langle \text{proof} \rangle$

lemma $bv\text{-to-int-extend [simp]:}$
assumes $a: bv\text{-msb } w = b$
shows $bv\text{-to-int } (bv\text{-extend } n \ b \ w) = bv\text{-to-int } w$
 $\langle \text{proof} \rangle$

lemma $\text{length-nat-mono [simp]: } x \leq y ==> \text{length-nat } x \leq \text{length-nat } y$
 $\langle \text{proof} \rangle$

lemma $\text{length-nat-mono-int [simp]: } x \leq y ==> \text{length-nat } x \leq \text{length-nat } y$
 $\langle \text{proof} \rangle$

lemma $\text{length-nat-pos [simp,intro!]: } 0 < x ==> 0 < \text{length-nat } x$
 $\langle \text{proof} \rangle$

lemma $\text{length-int-mono-gt0: } [\mid 0 \leq x ; x \leq y \mid] ==> \text{length-int } x \leq \text{length-int } y$
 $\langle \text{proof} \rangle$

lemma $\text{length-int-mono-lt0: } [\mid x \leq y ; y \leq 0 \mid] ==> \text{length-int } y \leq \text{length-int } x$
 $\langle \text{proof} \rangle$

lemmas $[simp] = \text{length-nat-non0}$

lemma $\text{nat-to-bv (number-of Numeral.Pls) = []}$
 $\langle \text{proof} \rangle$

consts
 $\text{fast-bv-to-nat-helper} :: [\text{bit list}, \text{int}] ==> \text{int}$

primrec
 $\text{fast-bv-to-nat-Nil: fast-bv-to-nat-helper [] } k = k$
 $\text{fast-bv-to-nat-Cons: fast-bv-to-nat-helper (b\#bs) } k =$
 $\text{fast-bv-to-nat-helper bs (k BIT (bit-case bit.B0 bit.B1 b))}$

lemma $\text{fast-bv-to-nat-Cons0: fast-bv-to-nat-helper (0\#bs) bin =}$
 $\text{fast-bv-to-nat-helper bs (bin BIT bit.B0)}$
 $\langle \text{proof} \rangle$

lemma $\text{fast-bv-to-nat-Cons1: fast-bv-to-nat-helper (1\#bs) bin =}$
 $\text{fast-bv-to-nat-helper bs (bin BIT bit.B1)}$

$\langle proof \rangle$

lemma *fast-bv-to-nat-def*:

bv-to-nat bs == number-of (fast-bv-to-nat-helper bs Numeral.Pls)

$\langle proof \rangle$

declare *fast-bv-to-nat-Cons* [*simp del*]

declare *fast-bv-to-nat-Cons0* [*simp*]

declare *fast-bv-to-nat-Cons1* [*simp*]

$\langle ML \rangle$

declare *bv-to-nat1* [*simp del*]

declare *bv-to-nat-helper* [*simp del*]

definition

bv-mapzip :: [*bit ==> bit ==> bit, bit list, bit list*] ==> *bit list* **where**

bv-mapzip f w1 w2 =

(*let g = bv-extend (max (length w1) (length w2)) 0*

in map (split f) (zip (g w1) (g w2))))

lemma *bv-length-bv-mapzip* [*simp*]:

length (bv-mapzip f w1 w2) = max (length w1) (length w2)

$\langle proof \rangle$

lemma *bv-mapzip-Nil* [*simp*]: *bv-mapzip f [] [] = []*

$\langle proof \rangle$

lemma *bv-mapzip-Cons* [*simp*]: *length w1 = length w2 ==>*

bv-mapzip f (x#w1) (y#w2) = f x y # bv-mapzip f w1 w2

$\langle proof \rangle$

end

38 Zorn: Zorn’s Lemma

theory *Zorn*

imports *Main*

begin

The lemma and section numbers refer to an unpublished article [1].

definition

chain :: [*'a set set ==> 'a set set set*] **where**

chain S = {*F. F* ⊆ *S* & (∀ *x* ∈ *F. ∀ y* ∈ *F. x* ⊆ *y* | *y* ⊆ *x*)}

definition

super :: [*'a set set, 'a set set*] ==> [*'a set set set*] **where**

super S c = {*d. d* ∈ *chain S* & *c* ⊂ *d*}

definition

$maxchain :: 'a \text{ set set} \Rightarrow 'a \text{ set set set}$ **where**
 $maxchain\ S = \{c. c \in chain\ S \ \& \ super\ S\ c = \{\}\}$

definition

$succ :: ['a \text{ set set}, 'a \text{ set set}] \Rightarrow 'a \text{ set set}$ **where**
 $succ\ S\ c =$
 (if $c \notin chain\ S \mid c \in maxchain\ S$
 then c else $SOME\ c'. c' \in super\ S\ c$)

inductive-set

$TFin :: 'a \text{ set set} \Rightarrow 'a \text{ set set set}$
for $S :: 'a \text{ set set}$
where
 $succI: \quad x \in TFin\ S \Rightarrow succ\ S\ x \in TFin\ S$
 $\mid Pow\text{-}UnionI: \quad Y \in Pow(TFin\ S) \Rightarrow Union(Y) \in TFin\ S$
monos $Pow\text{-}mono$

38.1 Mathematical Preamble**lemma** *Union-lemma0*:

$(\forall x \in C. x \subseteq A \mid B \subseteq x) \Rightarrow Union(C) \subseteq A \mid B \subseteq Union(C)$
 $\langle proof \rangle$

This is theorem *increasingD2* of ZF/Zorn.thy

lemma *Abrial-axiom1*: $x \subseteq succ\ S\ x$

$\langle proof \rangle$

lemmas $TFin\text{-}UnionI = TFin.Pow\text{-}UnionI$ [OF $PowI$]**lemma** *TFin-induct*:

$[[\ n \in TFin\ S;$
 $\quad !!x. [\ x \in TFin\ S; P(x) \] \Rightarrow P(succ\ S\ x);$
 $\quad !!Y. [\ Y \subseteq TFin\ S; Ball\ Y\ P \] \Rightarrow P(Union\ Y) \]]$
 $\Rightarrow P(n)$
 $\langle proof \rangle$

lemma *succ-trans*: $x \subseteq y \Rightarrow x \subseteq succ\ S\ y$

$\langle proof \rangle$

Lemma 1 of section 3.1

lemma *TFin-linear-lemma1*:

$[[\ n \in TFin\ S; \ m \in TFin\ S;$
 $\quad \forall x \in TFin\ S. x \subseteq m \dashrightarrow x = m \mid succ\ S\ x \subseteq m$
 $\]] \Rightarrow n \subseteq m \mid succ\ S\ m \subseteq n$
 $\langle proof \rangle$

Lemma 2 of section 3.2

lemma *TFin-linear-lemma2*:

$m \in TFin\ S ==> \forall n \in TFin\ S. n \subseteq m \dashv\vdash n=m \mid succ\ S\ n \subseteq m$
 <proof>

Re-ordering the premises of Lemma 2

lemma *TFin-subsetD*:

$[| n \subseteq m; m \in TFin\ S; n \in TFin\ S |] ==> n=m \mid succ\ S\ n \subseteq m$
 <proof>

Consequences from section 3.3 – Property 3.2, the ordering is total

lemma *TFin-subset-linear*: $[| m \in TFin\ S; n \in TFin\ S |] ==> n \subseteq m \mid m \subseteq n$
 <proof>

Lemma 3 of section 3.3

lemma *eq-succ-upper*: $[| n \in TFin\ S; m \in TFin\ S; m = succ\ S\ m |] ==> n \subseteq m$
 <proof>

Property 3.3 of section 3.3

lemma *equal-succ-Union*: $m \in TFin\ S ==> (m = succ\ S\ m) = (m = Union(TFin\ S))$
 <proof>

38.2 Hausdorff’s Theorem: Every Set Contains a Maximal Chain.

NB: We assume the partial ordering is \subseteq , the subset relation!

lemma *empty-set-mem-chain*: $(\{\} :: 'a\ set\ set) \in chain\ S$
 <proof>

lemma *super-subset-chain*: $super\ S\ c \subseteq chain\ S$
 <proof>

lemma *maxchain-subset-chain*: $maxchain\ S \subseteq chain\ S$
 <proof>

lemma *mem-super-Ex*: $c \in chain\ S - maxchain\ S ==> ?\ d. d \in super\ S\ c$
 <proof>

lemma *select-super*:

$c \in chain\ S - maxchain\ S ==> (\epsilon\ c'. c': super\ S\ c): super\ S\ c$
 <proof>

lemma *select-not-equals*:

$c \in chain\ S - maxchain\ S ==> (\epsilon\ c'. c': super\ S\ c) \neq c$
 <proof>

lemma *succI3*: $c \in chain\ S - maxchain\ S ==> succ\ S\ c = (\epsilon\ c'. c': super\ S\ c)$
 <proof>

lemma *succ-not-equals*: $c \in \text{chain } S - \text{maxchain } S \implies \text{succ } S \ c \neq c$
 ⟨proof⟩

lemma *TFin-chain-lemma4*: $c \in \text{TFin } S \implies (c :: 'a \text{ set set}): \text{chain } S$
 ⟨proof⟩

theorem *Hausdorff*: $\exists c. (c :: 'a \text{ set set}): \text{maxchain } S$
 ⟨proof⟩

38.3 Zorn’s Lemma: If All Chains Have Upper Bounds Then There Is a Maximal Element

lemma *chain-extend*:
 $[\mid c \in \text{chain } S; z \in S;$
 $\quad \forall x \in c. x \subseteq (z :: 'a \text{ set}) \mid] \implies \{z\} \text{ Un } c \in \text{chain } S$
 ⟨proof⟩

lemma *chain-Union-upper*: $[\mid c \in \text{chain } S; x \in c \mid] \implies x \subseteq \text{Union}(c)$
 ⟨proof⟩

lemma *chain-ball-Union-upper*: $c \in \text{chain } S \implies \forall x \in c. x \subseteq \text{Union}(c)$
 ⟨proof⟩

lemma *maxchain-Zorn*:
 $[\mid c \in \text{maxchain } S; u \in S; \text{Union}(c) \subseteq u \mid] \implies \text{Union}(c) = u$
 ⟨proof⟩

theorem *Zorn-Lemma*:
 $\forall c \in \text{chain } S. \text{Union}(c): S \implies \exists y \in S. \forall z \in S. y \subseteq z \longrightarrow y = z$
 ⟨proof⟩

38.4 Alternative version of Zorn’s Lemma

lemma *Zorn-Lemma2*:
 $\forall c \in \text{chain } S. \exists y \in S. \forall x \in c. x \subseteq y$
 $\implies \exists y \in S. \forall x \in S. (y :: 'a \text{ set}) \subseteq x \longrightarrow y = x$
 ⟨proof⟩

Various other lemmas

lemma *chainD*: $[\mid c \in \text{chain } S; x \in c; y \in c \mid] \implies x \subseteq y \mid y \subseteq x$
 ⟨proof⟩

lemma *chainD2*: $!!(c :: 'a \text{ set set}). c \in \text{chain } S \implies c \subseteq S$
 ⟨proof⟩

end

39 List-Prefix: List prefixes and postfixes

```
theory List-Prefix
imports Main
begin
```

39.1 Prefix order on lists

```
instance list :: (type) ord ⟨proof⟩
```

```
defs (overloaded)
```

```
  prefix-def:  $xs \leq ys == \exists zs. ys = xs @ zs$ 
```

```
  strict-prefix-def:  $xs < ys == xs \leq ys \wedge xs \neq (ys::'a \text{ list})$ 
```

```
instance list :: (type) order
  ⟨proof⟩
```

```
lemma prefixI [intro?]:  $ys = xs @ zs ==> xs \leq ys$ 
  ⟨proof⟩
```

```
lemma prefixE [elim?]:
```

```
  assumes  $xs \leq ys$ 
```

```
  obtains  $zs$  where  $ys = xs @ zs$ 
```

```
  ⟨proof⟩
```

```
lemma strict-prefixI' [intro?]:  $ys = xs @ z \# zs ==> xs < ys$ 
  ⟨proof⟩
```

```
lemma strict-prefixE' [elim?]:
```

```
  assumes  $xs < ys$ 
```

```
  obtains  $z \ zs$  where  $ys = xs @ z \# zs$ 
```

```
  ⟨proof⟩
```

```
lemma strict-prefixI [intro?]:  $xs \leq ys ==> xs \neq ys ==> xs < (ys::'a \text{ list})$ 
  ⟨proof⟩
```

```
lemma strict-prefixE [elim?]:
```

```
  fixes  $xs \ ys :: 'a \text{ list}$ 
```

```
  assumes  $xs < ys$ 
```

```
  obtains  $xs \leq ys$  and  $xs \neq ys$ 
```

```
  ⟨proof⟩
```

39.2 Basic properties of prefixes

```
theorem Nil-prefix [iff]:  $[] \leq xs$ 
  ⟨proof⟩
```

```
theorem prefix-Nil [simp]:  $(xs \leq []) = (xs = [])$ 
  ⟨proof⟩
```

lemma *prefix-snoc* [simp]: $(xs \leq ys @ [y]) = (xs = ys @ [y] \vee xs \leq ys)$
 ⟨proof⟩

lemma *Cons-prefix-Cons* [simp]: $(x \# xs \leq y \# ys) = (x = y \wedge xs \leq ys)$
 ⟨proof⟩

lemma *same-prefix-prefix* [simp]: $(xs @ ys \leq xs @ zs) = (ys \leq zs)$
 ⟨proof⟩

lemma *same-prefix-nil* [iff]: $(xs @ ys \leq xs) = (ys = [])$
 ⟨proof⟩

lemma *prefix-prefix* [simp]: $xs \leq ys ==> xs \leq ys @ zs$
 ⟨proof⟩

lemma *append-prefixD*: $xs @ ys \leq zs ==> xs \leq zs$
 ⟨proof⟩

theorem *prefix-Cons*: $(xs \leq y \# ys) = (xs = [] \vee (\exists zs. xs = y \# zs \wedge zs \leq ys))$
 ⟨proof⟩

theorem *prefix-append*:
 $(xs \leq ys @ zs) = (xs \leq ys \vee (\exists us. xs = ys @ us \wedge us \leq zs))$
 ⟨proof⟩

lemma *append-one-prefix*:
 $xs \leq ys ==> \text{length } xs < \text{length } ys ==> xs @ [ys ! \text{length } xs] \leq ys$
 ⟨proof⟩

theorem *prefix-length-le*: $xs \leq ys ==> \text{length } xs \leq \text{length } ys$
 ⟨proof⟩

lemma *prefix-same-cases*:
 $(xs_1::'a \text{ list}) \leq ys ==> xs_2 \leq ys ==> xs_1 \leq xs_2 \vee xs_2 \leq xs_1$
 ⟨proof⟩

lemma *set-mono-prefix*:
 $xs \leq ys ==> \text{set } xs \subseteq \text{set } ys$
 ⟨proof⟩

lemma *take-is-prefix*:
 $\text{take } n \text{ } xs \leq xs$
 ⟨proof⟩

lemma *map-prefixI*:
 $xs \leq ys ==> \text{map } f \text{ } xs \leq \text{map } f \text{ } ys$
 ⟨proof⟩

lemma *prefix-length-less*:

$xs < ys \implies \text{length } xs < \text{length } ys$
 $\langle \text{proof} \rangle$

lemma *strict-prefix-simps* [simp]:

$xs < [] = \text{False}$
 $[] < (x \# xs) = \text{True}$
 $(x \# xs) < (y \# ys) = (x = y \wedge xs < ys)$
 $\langle \text{proof} \rangle$

lemma *take-strict-prefix*:

$xs < ys \implies \text{take } n \text{ } xs < ys$
 $\langle \text{proof} \rangle$

lemma *not-prefix-cases*:

assumes *pf* x : $\neg ps \leq ls$

obtains

(*c1*) $ps \neq []$ **and** $ls = []$
 $|$ (*c2*) $a \text{ as } x \text{ xs}$ **where** $ps = a \# as$ **and** $ls = x \# xs$ **and** $x = a$ **and** $\neg as \leq xs$
 $|$ (*c3*) $a \text{ as } x \text{ xs}$ **where** $ps = a \# as$ **and** $ls = x \# xs$ **and** $x \neq a$

$\langle \text{proof} \rangle$

lemma *not-prefix-induct* [consumes 1, case-names Nil Neq Eq]:

assumes *np*: $\neg ps \leq ls$

and *base*: $\bigwedge x \text{ xs}. P (x \# xs)$ $[]$

and *r1*: $\bigwedge x \text{ xs } y \text{ ys}. x \neq y \implies P (x \# xs) (y \# ys)$

and *r2*: $\bigwedge x \text{ xs } y \text{ ys}. [x = y; \neg xs \leq ys; P \text{ xs ys}] \implies P (x \# xs) (y \# ys)$

shows $P \text{ ps } ls$ $\langle \text{proof} \rangle$

39.3 Parallel lists

definition

parallel $:: 'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow \text{bool}$ (**infixl** \parallel 50) **where**
 $(xs \parallel ys) = (\neg xs \leq ys \wedge \neg ys \leq xs)$

lemma *parallelI* [intro]: $\neg xs \leq ys \implies \neg ys \leq xs \implies xs \parallel ys$

$\langle \text{proof} \rangle$

lemma *parallelE* [elim]:

assumes $xs \parallel ys$

obtains $\neg xs \leq ys \wedge \neg ys \leq xs$

$\langle \text{proof} \rangle$

theorem *prefix-cases*:

obtains $xs \leq ys \mid ys < xs \mid xs \parallel ys$

$\langle \text{proof} \rangle$

theorem *parallel-decomp*:

$xs \parallel ys \implies \exists a \text{ b } bs \text{ c } cs. b \neq c \wedge xs = as @ b \# bs \wedge ys = as @ c \# cs$

$\langle \text{proof} \rangle$

lemma *parallel-append*:

$$a \parallel b \implies a @ c \parallel b @ d$$

<proof>

lemma *parallel-appendI*:

$$\llbracket xs \parallel ys; x = xs @ xs'; y = ys @ ys' \rrbracket \implies x \parallel y$$

<proof>

lemma *parallel-commute*: $(a \parallel b) = (b \parallel a)$

<proof>

39.4 Postfix order on lists

definition

postfix :: 'a list => 'a list => bool ((-/ >>= -) [51, 50] 50) **where**
 $(xs >>= ys) = (\exists zs. xs = zs @ ys)$

lemma *postfixI* [*intro?*]: $xs = zs @ ys \implies xs >>= ys$

<proof>

lemma *postfixE* [*elim?*]:

assumes $xs >>= ys$
obtains zs **where** $xs = zs @ ys$
<proof>

lemma *postfix-refl* [*iff*]: $xs >>= xs$

<proof>

lemma *postfix-trans*: $\llbracket xs >>= ys; ys >>= zs \rrbracket \implies xs >>= zs$

<proof>

lemma *postfix-antisym*: $\llbracket xs >>= ys; ys >>= xs \rrbracket \implies xs = ys$

<proof>

lemma *Nil-postfix* [*iff*]: $xs >>= []$

<proof>

lemma *postfix-Nil* [*simp*]: $([] >>= xs) = (xs = [])$

<proof>

lemma *postfix-ConsI*: $xs >>= ys \implies x \# xs >>= ys$

<proof>

lemma *postfix-ConsD*: $xs >>= y \# ys \implies xs >>= ys$

<proof>

lemma *postfix-appendI*: $xs >>= ys \implies zs @ xs >>= ys$

<proof>

lemma *postfix-appendD*: $xs >>= zs @ ys \implies xs >>= ys$

<proof>

lemma *postfix-is-subset*: $xs >>= ys \implies \text{set } ys \subseteq \text{set } xs$

$\langle \text{proof} \rangle$

lemma *postfix-ConsD2*: $x \# xs \gg = y \# ys \implies xs \gg = ys$
 $\langle \text{proof} \rangle$

lemma *postfix-to-prefix*: $xs \gg = ys \iff \text{rev } ys \leq \text{rev } xs$
 $\langle \text{proof} \rangle$

lemma *distinct-postfix*:
 assumes *distinct* xs
 and $xs \gg = ys$
 shows *distinct* ys
 $\langle \text{proof} \rangle$

lemma *postfix-map*:
 assumes $xs \gg = ys$
 shows $\text{map } f \, xs \gg = \text{map } f \, ys$
 $\langle \text{proof} \rangle$

lemma *postfix-drop*: $as \gg = \text{drop } n \, as$
 $\langle \text{proof} \rangle$

lemma *postfix-take*:
 $xs \gg = ys \implies xs = \text{take } (\text{length } xs - \text{length } ys) \, xs @ ys$
 $\langle \text{proof} \rangle$

lemma *parallelD1*: $x \parallel y \implies \neg x \leq y$
 $\langle \text{proof} \rangle$

lemma *parallelD2*: $x \parallel y \implies \neg y \leq x$
 $\langle \text{proof} \rangle$

lemma *parallel-Nil1* [*simp*]: $\neg x \parallel []$
 $\langle \text{proof} \rangle$

lemma *parallel-Nil2* [*simp*]: $\neg [] \parallel x$
 $\langle \text{proof} \rangle$

lemma *Cons-parallelI1*:
 $a \neq b \implies a \# as \parallel b \# bs \langle \text{proof} \rangle$

lemma *Cons-parallelI2*:
 $\llbracket a = b; as \parallel bs \rrbracket \implies a \# as \parallel b \# bs$
 $\langle \text{proof} \rangle$

lemma *not-equal-is-parallel*:
 assumes *neq*: $xs \neq ys$
 and *len*: $\text{length } xs = \text{length } ys$
 shows $xs \parallel ys$

$\langle proof \rangle$

39.5 Executable code

lemma *less-eq-code* [code func]:
 $([] :: 'a :: \{eq, ord\} \text{ list}) \leq xs \longleftrightarrow True$
 $(x :: 'a :: \{eq, ord\}) \# xs \leq [] \longleftrightarrow False$
 $(x :: 'a :: \{eq, ord\}) \# xs \leq y \# ys \longleftrightarrow x = y \wedge xs \leq ys$
 $\langle proof \rangle$

lemma *less-code* [code func]:
 $xs < ([] :: 'a :: \{eq, ord\} \text{ list}) \longleftrightarrow False$
 $[] < (x :: 'a :: \{eq, ord\}) \# xs \longleftrightarrow True$
 $(x :: 'a :: \{eq, ord\}) \# xs < y \# ys \longleftrightarrow x = y \wedge xs < ys$
 $\langle proof \rangle$

lemmas [code func] = *postfix-to-prefix*

end

40 List-lexord: Lexicographic order on lists

theory *List-lexord*

imports *Main*

begin

instance *list* :: (*ord*) *ord*
list-le-def: $(xs :: ('a :: ord) \text{ list}) \leq ys \equiv (xs < ys \vee xs = ys)$
list-less-def: $(xs :: ('a :: ord) \text{ list}) < ys \equiv (xs, ys) \in \text{lexord } \{(u, v). u < v\}$ $\langle proof \rangle$

lemmas *list-ord-defs* [code func del] = *list-less-def list-le-def*

instance *list* :: (*order*) *order*
 $\langle proof \rangle$

instance *list* :: (*linorder*) *linorder*
 $\langle proof \rangle$

instance *list* :: (*linorder*) *distrib-lattice*
 $inf \equiv min$
 $sup \equiv max$
 $\langle proof \rangle$

lemmas [code func del] = *inf-list-def sup-list-def*

lemma *not-less-Nil* [simp]: $\neg (x < [])$
 $\langle proof \rangle$

lemma *Nil-less-Cons* [simp]: $[] < a \# x$
 ⟨proof⟩

lemma *Cons-less-Cons* [simp]: $a \# x < b \# y \longleftrightarrow a < b \vee a = b \wedge x < y$
 ⟨proof⟩

lemma *le-Nil* [simp]: $x \leq [] \longleftrightarrow x = []$
 ⟨proof⟩

lemma *Nil-le-Cons* [simp]: $[] \leq x$
 ⟨proof⟩

lemma *Cons-le-Cons* [simp]: $a \# x \leq b \# y \longleftrightarrow a < b \vee a = b \wedge x \leq y$
 ⟨proof⟩

lemma *less-code* [code func]:
 $xs < ([] :: 'a :: \{eq, order\} list) \longleftrightarrow False$
 $[] < (xs :: 'a :: \{eq, order\}) \# xs \longleftrightarrow True$
 $(x :: 'a :: \{eq, order\}) \# xs < y \# ys \longleftrightarrow x < y \vee x = y \wedge xs < ys$
 ⟨proof⟩

lemma *less-eq-code* [code func]:
 $x \# xs \leq ([] :: 'a :: \{eq, order\} list) \longleftrightarrow False$
 $[] \leq (xs :: 'a :: \{eq, order\}) \# xs \longleftrightarrow True$
 $(x :: 'a :: \{eq, order\}) \# xs \leq y \# ys \longleftrightarrow x < y \vee x = y \wedge xs \leq ys$
 ⟨proof⟩

end

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