

# Size-Change Termination

Alexander Krauss

November 22, 2007

## 1 Miscellaneous Tools for Size-Change Termination

```
theory Misc-Tools  
imports Main  
begin
```

### 1.1 Searching in lists

```
fun index-of :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  nat  
where  
  index-of [] c = 0  
| index-of (x#xs) c = (if x = c then 0 else Suc (index-of xs c))
```

```
lemma index-of-member:  
  (x  $\in$  set l)  $\implies$  (l ! index-of l x = x)  
  <proof>
```

```
lemma index-of-length:  
  (x  $\in$  set l) = (index-of l x < length l)  
  <proof>
```

### 1.2 Some reasoning tools

```
lemma three-cases:  
  assumes a1  $\implies$  thesis  
  assumes a2  $\implies$  thesis  
  assumes a3  $\implies$  thesis  
  assumes  $\bigwedge R. [a1 \implies R; a2 \implies R; a3 \implies R] \implies R$   
  shows thesis  
  <proof>
```

### 1.3 Sequences

```
types  
  'a sequence = nat  $\Rightarrow$  'a
```

### 1.3.1 Increasing sequences

#### definition

$increasing :: (nat \Rightarrow nat) \Rightarrow bool$  **where**  
 $increasing\ s = (\forall i\ j. i < j \longrightarrow s\ i < s\ j)$

#### lemma *increasing-strict*:

**assumes**  $increasing\ s$

**assumes**  $i < j$

**shows**  $s\ i < s\ j$

$\langle proof \rangle$

#### lemma *increasing-weak*:

**assumes**  $increasing\ s$

**assumes**  $i \leq j$

**shows**  $s\ i \leq s\ j$

$\langle proof \rangle$

#### lemma *increasing-inc*:

**assumes**  $increasing\ s$

**shows**  $n \leq s\ n$

$\langle proof \rangle$

#### lemma *increasing-bij*:

**assumes**  $[simp]: increasing\ s$

**shows**  $(s\ i < s\ j) = (i < j)$

$\langle proof \rangle$

### 1.3.2 Sections induced by an increasing sequence

#### abbreviation

$section\ s\ i == \{s\ i ..< s\ (Suc\ i)\}$

#### definition

$section-of\ s\ n = (LEAST\ i. n < s\ (Suc\ i))$

#### lemma *section-help*:

**assumes**  $increasing\ s$

**shows**  $\exists i. n < s\ (Suc\ i)$

$\langle proof \rangle$

#### lemma *section-of2*:

**assumes**  $increasing\ s$

**shows**  $n < s\ (Suc\ (section-of\ s\ n))$

$\langle proof \rangle$

#### lemma *section-of1*:

**assumes**  $[simp, intro]: increasing\ s$

**assumes**  $s\ i \leq n$

**shows**  $s\ (section-of\ s\ n) \leq n$

*<proof>*

**lemma** *section-of-known*:

**assumes** [*simp*]: *increasing s*

**assumes** *in-sect*:  $k \in \text{section } s \ i$

**shows** *section-of s k = i* (**is**  $?s = i$ )

*<proof>*

**lemma** *in-section-of*:

**assumes** *increasing s*

**assumes**  $s \ i \leq k$

**shows**  $k \in \text{section } s$  (*section-of s k*)

*<proof>*

**end**

## 2 Kleene Algebras

**theory** *Kleene-Algebras*

**imports** *Main*

**begin**

A type class of kleene algebras

**class** *star* = *type* +

**fixes** *star* ::  $'a \Rightarrow 'a$

**class** *idem-add* = *ab-semigroup-add* +

**assumes** *add-idem* [*simp*]:  $x + x = x$

**lemma** *add-idem2* [*simp*]:  $(x :: 'a :: \text{idem-add}) + (x + y) = x + y$

*<proof>*

**class** *order-by-add* = *idem-add* + *ord* +

**assumes** *order-def*:  $a \leq b \iff a + b = b$

**assumes** *strict-order-def*:  $a < b \iff a \leq b \wedge a \neq b$

**lemma** *ord-simp1* [*simp*]:  $(x :: 'a :: \text{order-by-add}) \leq y \implies x + y = y$

*<proof>*

**lemma** *ord-simp2* [*simp*]:  $(x :: 'a :: \text{order-by-add}) \leq y \implies y + x = y$

*<proof>*

**lemma** *ord-intro*:  $(x :: 'a :: \text{order-by-add}) + y = y \implies x \leq y$

*<proof>*

**instance** *order-by-add*  $\subseteq$  *order*

*<proof>*

**class** *pre-kleene* = *semiring-1* + *order-by-add*

**instance** *pre-kleene*  $\subseteq$  *pordered-semiring*  
 ⟨*proof*⟩

**class** *kleene* = *pre-kleene* + *star* +  
**assumes** *star1*:  $1 + a * star\ a \leq star\ a$   
**and** *star2*:  $1 + star\ a * a \leq star\ a$   
**and** *star3*:  $a * x \leq x \implies star\ a * x \leq x$   
**and** *star4*:  $x * a \leq x \implies x * star\ a \leq x$

**class** *kleene-by-complete-lattice* = *pre-kleene*  
 + *complete-lattice* + *recpower* + *star* +  
**assumes** *star-cont*:  $a * star\ b * c = SUPR\ UNIV\ (\lambda n.\ a * b ^ n * c)$

**lemma** *plus-leI*:  
**fixes**  $x :: 'a :: order-by-add$   
**shows**  $x \leq z \implies y \leq z \implies x + y \leq z$   
 ⟨*proof*⟩

**lemma** *le-SUPI'*:  
**fixes**  $l :: 'a :: complete-lattice$   
**assumes**  $l \leq M\ i$   
**shows**  $l \leq (SUP\ i.\ M\ i)$   
 ⟨*proof*⟩

**lemma** *zero-minimum[simp]*:  $(0 :: 'a :: pre-kleene) \leq x$   
 ⟨*proof*⟩

**instance** *kleene-by-complete-lattice*  $\subseteq$  *kleene*  
 ⟨*proof*⟩

**lemma** *less-add[simp]*:  
**fixes**  $a\ b :: 'a :: order-by-add$   
**shows**  $a \leq a + b$   
**and**  $b \leq a + b$   
 ⟨*proof*⟩

**lemma** *add-est1*:  
**fixes**  $a\ b\ c :: 'a :: order-by-add$   
**assumes**  $a + b \leq c$   
**shows**  $a \leq c$   
 ⟨*proof*⟩

**lemma** *add-est2*:  
**fixes**  $a\ b\ c :: 'a :: order-by-add$   
**assumes**  $a + b \leq c$   
**shows**  $b \leq c$   
 ⟨*proof*⟩

**lemma** *star3'*:  
**fixes**  $a\ b\ x :: 'a :: kleene$   
**assumes**  $a: b + a * x \leq x$   
**shows**  $star\ a * b \leq x$   
 $\langle proof \rangle$

**lemma** *star4'*:  
**fixes**  $a\ b\ x :: 'a :: kleene$   
**assumes**  $a: b + x * a \leq x$   
**shows**  $b * star\ a \leq x$   
 $\langle proof \rangle$

**lemma** *star-idemp*:  
**fixes**  $x :: 'a :: kleene$   
**shows**  $star\ (star\ x) = star\ x$   
 $\langle proof \rangle$

**lemma** *star-unfold-left*:  
**fixes**  $a :: 'a :: kleene$   
**shows**  $1 + a * star\ a = star\ a$   
 $\langle proof \rangle$

**lemma** *star-unfold-right*:  
**fixes**  $a :: 'a :: kleene$   
**shows**  $1 + star\ a * a = star\ a$   
 $\langle proof \rangle$

**lemma** *star-zero[simp]*:  
**shows**  $star\ (0 :: 'a :: kleene) = 1$   
 $\langle proof \rangle$

**lemma** *star-commute*:  
**fixes**  $a\ b\ x :: 'a :: kleene$   
**assumes**  $a: a * x = x * b$   
**shows**  $star\ a * x = x * star\ b$   
 $\langle proof \rangle$

**lemma** *star-assoc*:  
**fixes**  $c\ d :: 'a :: kleene$   
**shows**  $star\ (c * d) * c = c * star\ (d * c)$   
 $\langle proof \rangle$

**lemma** *star-dist*:  
**fixes**  $a\ b :: 'a :: kleene$   
**shows**  $star\ (a + b) = star\ a * star\ (b * star\ a)$

*<proof>*

**lemma** *star-one*:

**fixes**  $a\ p\ p' :: 'a :: kleene$

**assumes**  $p * p' = 1$  **and**  $p' * p = 1$

**shows**  $p' * star\ a * p = star\ (p' * a * p)$

*<proof>*

**lemma** *star-mono*:

**fixes**  $x\ y :: 'a :: kleene$

**assumes**  $x \leq y$

**shows**  $star\ x \leq star\ y$

*<proof>*

**lemma** *x-less-star*[*simp*]:

**fixes**  $x :: 'a :: kleene$

**shows**  $x \leq x * star\ a$

*<proof>*

## 2.1 Transitive Closure

**definition**

$tcl\ (x :: 'a :: kleene) = star\ x * x$

**lemma** *tcl-zero*:

$tcl\ (0 :: 'a :: kleene) = 0$

*<proof>*

**lemma** *tcl-unfold-right*:  $tcl\ a = a + tcl\ a * a$

*<proof>*

**lemma** *less-tcl*:  $a \leq tcl\ a$

*<proof>*

## 2.2 Naive Algorithm to generate the transitive closure

**function** (*default*  $\lambda x. 0$ , *tailrec*, *domintros*)

$mk-tcl :: ('a :: \{plus, times, ord, zero\}) \Rightarrow 'a \Rightarrow 'a$

**where**

$mk-tcl\ A\ X = (if\ X * A \leq X\ then\ X\ else\ mk-tcl\ A\ (X + X * A))$

*<proof>*

**declare**  $mk-tcl.simps$ [*simp del*]

**lemma** *mk-tcl-code*[*code*]:

```

mk-tcl A X =
  (let XA = X * A
   in if XA ≤ X then X else mk-tcl A (X + XA))
⟨proof⟩

```

```

lemma mk-tcl-lemma1:
  fixes X :: 'a :: kleene
  shows (X + X * A) * star A = X * star A
⟨proof⟩

```

```

lemma mk-tcl-lemma2:
  fixes X :: 'a :: kleene
  shows X * A ≤ X  $\implies$  X * star A = X
⟨proof⟩

```

```

lemma mk-tcl-correctness:
  fixes A X :: 'a :: {kleene}
  assumes mk-tcl-dom (A, X)
  shows mk-tcl A X = X * star A
⟨proof⟩

```

```

lemma graph-implies-dom: mk-tcl-graph x y  $\implies$  mk-tcl-dom x
⟨proof⟩

```

```

lemma mk-tcl-default:  $\neg$  mk-tcl-dom (a,x)  $\implies$  mk-tcl a x = 0
⟨proof⟩

```

We can replace the dom-Condition of the correctness theorem with something executable

```

lemma mk-tcl-correctness2:
  fixes A X :: 'a :: {kleene}
  assumes mk-tcl A A  $\neq$  0
  shows mk-tcl A A = tcl A
⟨proof⟩

```

end

### 3 General Graphs as Sets

```

theory Graphs
imports Main Misc-Tools Kleene-Algebras
begin

```

### 3.1 Basic types, Size Change Graphs

**datatype** ('a, 'b) graph =  
 Graph ('a × 'b × 'a) set

**fun** dest-graph :: ('a, 'b) graph ⇒ ('a × 'b × 'a) set  
 where dest-graph (Graph G) = G

**lemma** graph-dest-graph[simp]:  
 Graph (dest-graph G) = G  
 ⟨proof⟩

**lemma** split-graph-all:  
 (∧<sub>gr.</sub> PROP P gr) ≡ (∧<sub>set.</sub> PROP P (Graph set))  
 ⟨proof⟩

**definition**  
 has-edge :: ('n, 'e) graph ⇒ 'n ⇒ 'e ⇒ 'n ⇒ bool  
 (- ⊢ - ∼ -)  
 where  
 has-edge G n e n' = ((n, e, n') ∈ dest-graph G)

### 3.2 Graph composition

**fun** grcomp :: ('n, 'e::times) graph ⇒ ('n, 'e) graph ⇒ ('n, 'e) graph  
 where  
 grcomp (Graph G) (Graph H) =  
 Graph {(p,b,q) | p b q.  
 (∃ k e e'. (p,e,k) ∈ G ∧ (k,e',q) ∈ H ∧ b = e \* e')}

**declare** grcomp.simps[code del]

**lemma** graph-ext:  
 assumes ∧ n e n'. has-edge G n e n' = has-edge H n e n'  
 shows G = H  
 ⟨proof⟩

**instance** graph :: (type, type) {comm-monoid-add}  
 graph-zero-def: 0 == Graph {}  
 graph-plus-def: G + H == Graph (dest-graph G ∪ dest-graph H)  
 ⟨proof⟩

**lemmas** [code func del] = graph-plus-def

**instance** graph :: (type, type) {distrib-lattice, complete-lattice}  
 graph-leq-def: G ≤ H ≡ dest-graph G ⊆ dest-graph H  
 graph-less-def: G < H ≡ dest-graph G ⊂ dest-graph H

$\text{inf } G \ H \equiv \text{Graph } (\text{dest-graph } G \cap \text{dest-graph } H)$   
 $\text{sup } G \ H \equiv G + H$   
 $\text{Inf-graph-def: } \text{Inf} \equiv \lambda Gs. \text{Graph } (\bigcap (\text{dest-graph } `Gs))$   
 $\text{Sup-graph-def: } \text{Sup} \equiv \lambda Gs. \text{Graph } (\bigcup (\text{dest-graph } `Gs))$   
 <proof>

**lemmas** [code func del] = graph-leq-def graph-less-def  
 inf-graph-def sup-graph-def Inf-graph-def Sup-graph-def

**lemma** in-grplus:  
 $\text{has-edge } (G + H) \ p \ b \ q = (\text{has-edge } G \ p \ b \ q \vee \text{has-edge } H \ p \ b \ q)$   
 <proof>

**lemma** in-grzero:  
 $\text{has-edge } 0 \ p \ b \ q = \text{False}$   
 <proof>

### 3.2.1 Multiplicative Structure

**instance** graph :: (type, times) mult-zero  
 graph-mult-def:  $G * H == \text{grcomp } G \ H$   
 <proof>

**lemmas** [code func del] = graph-mult-def

**instance** graph :: (type, one) one  
 graph-one-def:  $1 == \text{Graph } \{ (x, 1, x) \mid x. \text{True} \}$  <proof>

**lemma** in-grcomp:  
 $\text{has-edge } (G * H) \ p \ b \ q$   
 $= (\exists k \ e \ e'. \text{has-edge } G \ p \ e \ k \wedge \text{has-edge } H \ k \ e' \ q \wedge b = e * e')$   
 <proof>

**lemma** in-grunit:  
 $\text{has-edge } 1 \ p \ b \ q = (p = q \wedge b = 1)$   
 <proof>

**instance** graph :: (type, semigroup-mult) semigroup-mult  
 <proof>

**fun** grpow :: nat  $\Rightarrow$  ('a::type, 'b::monoid-mult) graph  $\Rightarrow$  ('a, 'b) graph  
**where**

grpow 0 A = 1  
 | grpow (Suc n) A = A \* (grpow n A)

**instance** graph :: (type, monoid-mult)  
 {semiring-1, idem-add, recpower, star}  
 graph-pow-def:  $A \wedge n == \text{grpow } n \ A$   
 graph-star-def:  $\text{star } G == (\text{SUP } n. G \wedge n)$

*<proof>*

**lemma** *graph-leqI*:

**assumes**  $\bigwedge n e n'. \text{has-edge } G n e n' \implies \text{has-edge } H n e n'$

**shows**  $G \leq H$

*<proof>*

**lemma** *in-graph-plusE*:

**assumes**  $\text{has-edge } (G + H) n e n'$

**assumes**  $\text{has-edge } G n e n' \implies P$

**assumes**  $\text{has-edge } H n e n' \implies P$

**shows**  $P$

*<proof>*

**lemma** *in-graph-compE*:

**assumes**  $GH: \text{has-edge } (G * H) n e n'$

**obtains**  $e1 k e2$

**where**  $\text{has-edge } G n e1 k \text{ has-edge } H k e2 n' e = e1 * e2$

*<proof>*

**lemma**

**assumes**  $x \in S k$

**shows**  $x \in (\bigcup k. S k)$

*<proof>*

**lemma** *graph-union-least*:

**assumes**  $\bigwedge n. \text{Graph } (G n) \leq C$

**shows**  $\text{Graph } (\bigcup n. G n) \leq C$

*<proof>*

**lemma** *Sup-graph-eq*:

$(\text{SUP } n. \text{Graph } (G n)) = \text{Graph } (\bigcup n. G n)$

*<proof>*

**lemma** *has-edge-leq*:  $\text{has-edge } G p b q = (\text{Graph } \{(p,b,q)\} \leq G)$

*<proof>*

**lemma** *Sup-graph-eq2*:

$(\text{SUP } n. G n) = \text{Graph } (\bigcup n. \text{dest-graph } (G n))$

*<proof>*

**lemma** *in-SUP*:

$\text{has-edge } (\text{SUP } x. Gs x) p b q = (\exists x. \text{has-edge } (Gs x) p b q)$

*<proof>*

**instance** *graph* ::  $(\text{type}, \text{monoid-mult}) \text{ kleene-by-complete-lattice}$

*<proof>*

**lemma** *in-star*:

*has-edge* (*star* *G*) *a x b* = ( $\exists n$ . *has-edge* (*G*  $\wedge$  *n*) *a x b*)  
{*proof*}

**lemma** *tcl-is-SUP*:

*tcl* (*G*::('a::type, 'b::monoid-mult) graph) =  
(*SUP* *n*. *G*  $\wedge$  (*Suc* *n*))  
{*proof*}

**lemma** *in-tcl*:

*has-edge* (*tcl* *G*) *a x b* = ( $\exists n > 0$ . *has-edge* (*G*  $\wedge$  *n*) *a x b*)  
{*proof*}

### 3.3 Infinite Paths

**types** ('n, 'e) *ipath* = ('n  $\times$  'e) sequence

**definition** *has-ipath* :: ('n, 'e) graph  $\Rightarrow$  ('n, 'e) *ipath*  $\Rightarrow$  bool

**where**

*has-ipath* *G* *p* =  
( $\forall i$ . *has-edge* *G* (*fst* (*p* *i*)) (*snd* (*p* *i*)) (*fst* (*p* (*Suc* *i*))))

### 3.4 Finite Paths

**types** ('n, 'e) *fpath* = ('n  $\times$  ('e  $\times$  'n) list)

**inductive** *has-fpath* :: ('n, 'e) graph  $\Rightarrow$  ('n, 'e) *fpath*  $\Rightarrow$  bool

for *G* :: ('n, 'e) graph

**where**

*has-fpath-empty*: *has-fpath* *G* (*n*, [])  
| *has-fpath-join*: [*G*  $\vdash$  *n*  $\rightsquigarrow^e$  *n'*; *has-fpath* *G* (*n'*, *es*)]  $\Longrightarrow$  *has-fpath* *G* (*n*, (*e*, *n'*)#*es*)

**definition**

*end-node* *p* =  
(if *snd* *p* = [] then *fst* *p* else *snd* (*snd* *p* ! (*length* (*snd* *p*) - 1)))

**definition** *path-nth* :: ('n, 'e) *fpath*  $\Rightarrow$  nat  $\Rightarrow$  ('n  $\times$  'e  $\times$  'n)

**where**

*path-nth* *p* *k* = (if *k* = 0 then *fst* *p* else *snd* (*snd* *p* ! (*k* - 1)), *snd* *p* ! *k*)

**lemma** *endnode-nth*:

**assumes** *length* (*snd* *p*) = *Suc* *k*  
**shows** *end-node* *p* = *snd* (*snd* (*path-nth* *p* *k*))  
{*proof*}

**lemma** *path-nth-graph*:

**assumes** *k* < *length* (*snd* *p*)

**assumes** *has-fpath*  $G$   $p$   
**shows**  $(\lambda(n,e,n'). \text{has-edge } G \ n \ e \ n') \ (\text{path-nth } p \ k)$   
 $\langle \text{proof} \rangle$

**lemma** *path-nth-connected*:  
**assumes**  $\text{Suc } k < \text{length } (\text{snd } p)$   
**shows**  $\text{fst } (\text{path-nth } p \ (\text{Suc } k)) = \text{snd } (\text{snd } (\text{path-nth } p \ k))$   
 $\langle \text{proof} \rangle$

**definition** *path-loop* ::  $('n, 'e) \text{fpath} \Rightarrow ('n, 'e) \text{ipath } (\text{omega})$   
**where**  
 $\text{omega } p \equiv (\lambda i. (\lambda(n,e,n'). (n,e)) (\text{path-nth } p \ (i \ \text{mod} \ (\text{length } (\text{snd } p))))))$

**lemma** *fst-p0*:  $\text{fst } (\text{path-nth } p \ 0) = \text{fst } p$   
 $\langle \text{proof} \rangle$

**lemma** *path-loop-connect*:  
**assumes**  $\text{fst } p = \text{end-node } p$   
**and**  $0 < \text{length } (\text{snd } p)$  (**is**  $0 < ?l$ )  
**shows**  $\text{fst } (\text{path-nth } p \ (\text{Suc } i \ \text{mod} \ (\text{length } (\text{snd } p))))$   
 $= \text{snd } (\text{snd } (\text{path-nth } p \ (i \ \text{mod} \ \text{length } (\text{snd } p))))$   
**(is**  $\dots = \text{snd } (\text{snd } (\text{path-nth } p \ ?k))$ )  
 $\langle \text{proof} \rangle$

**lemma** *path-loop-graph*:  
**assumes** *has-fpath*  $G$   $p$   
**and** *loop*:  $\text{fst } p = \text{end-node } p$   
**and** *nonempty*:  $0 < \text{length } (\text{snd } p)$  (**is**  $0 < ?l$ )  
**shows** *has-ipath*  $G$   $(\text{omega } p)$   
 $\langle \text{proof} \rangle$

**definition** *prod* ::  $('n, 'e::\text{monoid-mult}) \text{fpath} \Rightarrow 'e$   
**where**  
 $\text{prod } p = \text{foldr } (\text{op } *) \ (\text{map } \text{fst } (\text{snd } p)) \ 1$

**lemma** *prod-simps*[*simp*]:  
 $\text{prod } (n, []) = 1$   
 $\text{prod } (n, (e,n')\#es) = e * (\text{prod } (n',es))$   
 $\langle \text{proof} \rangle$

**lemma** *power-induces-path*:  
**assumes**  $a: \text{has-edge } (A \ ^k) \ n \ G \ m$   
**obtains**  $p$   
**where** *has-fpath*  $A$   $p$   
**and**  $n = \text{fst } p \ m = \text{end-node } p$   
**and**  $G = \text{prod } p$   
**and**  $k = \text{length } (\text{snd } p)$   
 $\langle \text{proof} \rangle$

### 3.5 Sub-Paths

**definition** *sub-path* :: ('n, 'e) ipath ⇒ nat ⇒ nat ⇒ ('n, 'e) fpath  
 ((-(-,-)))

**where**

$p\langle i,j \rangle =$   
 $(fst (p i), map (\lambda k. (snd (p k), fst (p (Suc k)))) [i ..< j])$

**lemma** *sub-path-is-path*:

**assumes** *ipath*: has-ipath *G p*

**assumes** *l*:  $i \leq j$

**shows** has-fpath *G* ( $p\langle i,j \rangle$ )

*<proof>*

**lemma** *sub-path-start[simp]*:

$fst (p\langle i,j \rangle) = fst (p i)$

*<proof>*

**lemma** *nth-upto[simp]*:  $k < j - i \implies [i ..< j] ! k = i + k$

*<proof>*

**lemma** *sub-path-end[simp]*:

$i < j \implies end-node (p\langle i,j \rangle) = fst (p j)$

*<proof>*

**lemma** *foldr-map*:  $foldr f (map g xs) = foldr (f o g) xs$

*<proof>*

**lemma** *upto-append[simp]*:

**assumes**  $i \leq j \leq k$

**shows**  $[i ..< j] @ [j ..< k] = [i ..< k]$

*<proof>*

**lemma** *foldr-monoid*:  $foldr (op *) xs 1 * foldr (op *) ys 1$

$= foldr (op *) (xs @ ys) (1::'a::monoid-mult)$

*<proof>*

**lemma** *sub-path-prod*:

**assumes**  $i < j$

**assumes**  $j < k$

**shows**  $prod (p\langle i,k \rangle) = prod (p\langle i,j \rangle) * prod (p\langle j,k \rangle)$

*<proof>*

**lemma** *path-acgpow-aux*:

**assumes**  $length\ es = l$

**assumes** has-fpath *G* (*n,es*)

**shows** has-edge ( $G \wedge l$ ) *n* ( $prod (n,es)$ ) ( $end-node (n,es)$ )

$\langle \text{proof} \rangle$

**lemma** *path-acgpow*:

$\text{has-fpath } G \ p$   
 $\implies \text{has-edge } (G \wedge \text{length } (\text{snd } p)) \ (\text{fst } p) \ (\text{prod } p) \ (\text{end-node } p)$   
 $\langle \text{proof} \rangle$

**lemma** *star-paths*:

$\text{has-edge } (\text{star } G) \ a \ x \ b =$   
 $(\exists p. \text{has-fpath } G \ p \wedge a = \text{fst } p \wedge b = \text{end-node } p \wedge x = \text{prod } p)$   
 $\langle \text{proof} \rangle$

**lemma** *plus-paths*:

$\text{has-edge } (\text{tcl } G) \ a \ x \ b =$   
 $(\exists p. \text{has-fpath } G \ p \wedge a = \text{fst } p \wedge b = \text{end-node } p \wedge x = \text{prod } p \wedge 0 < \text{length}$   
 $(\text{snd } p))$   
 $\langle \text{proof} \rangle$

**definition**

$\text{contract } s \ p =$   
 $(\lambda i. (\text{fst } (p \ (s \ i)), \ \text{prod } (p \langle s \ i, s \ (\text{Suc } i) \rangle)))$

**lemma** *ipath-contract*:

**assumes** *[simp]*: *increasing s*  
**assumes** *ipath*: *has-ipath G p*  
**shows** *has-ipath (tcl G) (contract s p)*  
 $\langle \text{proof} \rangle$

**lemma** *prod-unfold*:

$i < j \implies \text{prod } (p \langle i, j \rangle)$   
 $= \text{snd } (p \ i) * \text{prod } (p \langle \text{Suc } i, j \rangle)$   
 $\langle \text{proof} \rangle$

**lemma** *sub-path-loop*:

**assumes**  $0 < k$   
**assumes** *k*:  $k = \text{length } (\text{snd } \text{loop})$   
**assumes** *loop*:  $\text{fst } \text{loop} = \text{end-node } \text{loop}$   
**shows**  $(\text{omega } \text{loop}) \langle k * i, k * \text{Suc } i \rangle = \text{loop} \ (\text{is } ?\omega = \text{loop})$   
 $\langle \text{proof} \rangle$

**end**

## 4 The Size-Change Principle (Definition)

```
theory Criterion
imports Graphs Infinite-Set
begin
```

### 4.1 Size-Change Graphs

```
datatype sedge =
  LESS (↓)
  | LEQ (⇓)
```

```
instance sedge :: one
  one-sedge-def: 1 ≡ ⇓ ⟨proof⟩
```

```
instance sedge :: times
  mult-sedge-def: a * b ≡ if a = ↓ then ↓ else b ⟨proof⟩
```

```
instance sedge :: comm-monoid-mult
  ⟨proof⟩
```

```
lemma sedge-UNIV:
  UNIV = { LESS, LEQ }
  ⟨proof⟩
```

```
instance sedge :: finite
  ⟨proof⟩
```

```
lemmas [code func] = sedge-UNIV
```

```
types 'a scg = ('a, sedge) graph
types 'a acg = ('a, 'a scg) graph
```

### 4.2 Size-Change Termination

```
abbreviation (input)
  desc P Q == ((∃ n. ∀ i ≥ n. P i) ∧ (∃ ∞ i. Q i))
```

```
abbreviation (input)
  dsc G i j ≡ has-edge G i LESS j
```

```
abbreviation (input)
  eq G i j ≡ has-edge G i LEQ j
```

```
abbreviation
  eql :: 'a scg ⇒ 'a ⇒ 'a ⇒ bool
  (- ⊢ - ⇨ -)
```

```
where
  eql G i j ≡ has-edge G i LESS j ∨ has-edge G i LEQ j
```

**abbreviation** (*input*) *descat* :: ('a, 'a scg) ipath ⇒ 'a sequence ⇒ nat ⇒ bool  
**where**

*descat* *p* *∅* *i* ≡ *has-edge* (*snd* (*p* *i*)) (*∅* *i*) *LESS* (*∅* (*Suc* *i*))

**abbreviation** (*input*) *eqat* :: ('a, 'a scg) ipath ⇒ 'a sequence ⇒ nat ⇒ bool  
**where**

*eqat* *p* *∅* *i* ≡ *has-edge* (*snd* (*p* *i*)) (*∅* *i*) *LEQ* (*∅* (*Suc* *i*))

**abbreviation** (*input*) *eqlat* :: ('a, 'a scg) ipath ⇒ 'a sequence ⇒ nat ⇒ bool  
**where**

*eqlat* *p* *∅* *i* ≡ (*has-edge* (*snd* (*p* *i*)) (*∅* *i*) *LESS* (*∅* (*Suc* *i*)))  
 ∨ *has-edge* (*snd* (*p* *i*)) (*∅* *i*) *LEQ* (*∅* (*Suc* *i*)))

**definition** *is-desc-thread* :: 'a sequence ⇒ ('a, 'a scg) ipath ⇒ bool  
**where**

*is-desc-thread* *∅* *p* = ((∃ *n*. ∀ *i* ≥ *n*. *eqlat* *p* *∅* *i*) ∧ (∃<sub>∞</sub> *i*. *descat* *p* *∅* *i*))

**definition** *SCT* :: 'a acg ⇒ bool

**where**

*SCT* *A* =  
 (∃ *p*. *has-ipath* *A* *p* → (∃ *∅*. *is-desc-thread* *∅* *p*))

**definition** *no-bad-graphs* :: 'a acg ⇒ bool

**where**

*no-bad-graphs* *A* =  
 (∀ *n* *G*. *has-edge* *A* *n* *G* *n* ∧ *G* \* *G* = *G*  
 → (∃ *p*. *has-edge* *G* *p* *LESS* *p*))

**definition** *SCT'* :: 'a acg ⇒ bool

**where**

*SCT'* *A* = *no-bad-graphs* (*tcl* *A*)

**end**

## 5 Proof of the Size-Change Principle

**theory** *Correctness*

**imports** *Main Ramsey Misc-Tools Criterion*

**begin**

## 5.1 Auxiliary definitions

**definition** *is-thread* ::  $\text{nat} \Rightarrow 'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow \text{bool}$   
**where**

$$\text{is-thread } n \vartheta p = (\forall i \geq n. \text{eqlat } p \vartheta i)$$

**definition** *is-fthread* ::

$$'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$$

**where**

$$\text{is-fthread } \vartheta mp \ i \ j = (\forall k \in \{i..<j\}. \text{eqlat } mp \vartheta k)$$

**definition** *is-desc-fthread* ::

$$'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$$

**where**

$$\begin{aligned} \text{is-desc-fthread } \vartheta mp \ i \ j = \\ & (\text{is-fthread } \vartheta mp \ i \ j \wedge \\ & (\exists k \in \{i..<j\}. \text{descat } mp \vartheta k)) \end{aligned}$$

**definition**

$$\begin{aligned} \text{has-fth } p \ i \ j \ n \ m = \\ & (\exists \vartheta. \text{is-fthread } \vartheta p \ i \ j \wedge \vartheta \ i = n \wedge \vartheta \ j = m) \end{aligned}$$

**definition**

$$\begin{aligned} \text{has-desc-fth } p \ i \ j \ n \ m = \\ & (\exists \vartheta. \text{is-desc-fthread } \vartheta p \ i \ j \wedge \vartheta \ i = n \wedge \vartheta \ j = m) \end{aligned}$$

## 5.2 Everything is finite

**lemma** *finite-range*:

**fixes**  $f :: \text{nat} \Rightarrow 'a$

**assumes**  $\text{fin}: \text{finite } (\text{range } f)$

**shows**  $\exists x. \exists_{\infty} i. f \ i = x$

*<proof>*

**lemma** *finite-range-ignore-prefix*:

**fixes**  $f :: \text{nat} \Rightarrow 'a$

**assumes**  $fA: \text{finite } A$

**assumes**  $\text{inA}: \forall x \geq n. f \ x \in A$

**shows**  $\text{finite } (\text{range } f)$

*<proof>*

**definition**

$$\text{finite-graph } G = \text{finite } (\text{dest-graph } G)$$

**definition**

$$\text{all-finite } G = (\forall n \ H \ m. \text{has-edge } G \ n \ H \ m \longrightarrow \text{finite-graph } H)$$

**definition**

$$\text{finite-acg } A = (\text{finite-graph } A \wedge \text{all-finite } A)$$

**definition**

$$\text{nodes } G = \text{fst } \text{' dest-graph } G \cup \text{snd } \text{' snd } \text{' dest-graph } G$$
**definition**

$$\text{edges } G = \text{fst } \text{' snd } \text{' dest-graph } G$$
**definition**

$$\text{smallnodes } G = \bigcup (\text{nodes } \text{' edges } G)$$
**lemma** *thread-image-nodes*:
**assumes** *th*: *is-thread* *n*  $\vartheta$  *p*
**shows**  $\forall i \geq n. \vartheta i \in \text{nodes } (\text{snd } (p i))$ 
 $\langle \text{proof} \rangle$ 
**lemma** *finite-nodes*: *finite-graph* *G*  $\implies$  *finite* (*nodes* *G*)
 $\langle \text{proof} \rangle$ 
**lemma** *nodes-subgraph*:  $A \leq B \implies \text{nodes } A \subseteq \text{nodes } B$ 
 $\langle \text{proof} \rangle$ 
**lemma** *finite-edges*: *finite-graph* *G*  $\implies$  *finite* (*edges* *G*)
 $\langle \text{proof} \rangle$ 
**lemma** *edges-sum[simp]*: *edges* (*A* + *B*) = *edges* *A*  $\cup$  *edges* *B*
 $\langle \text{proof} \rangle$ 
**lemma** *nodes-sum[simp]*: *nodes* (*A* + *B*) = *nodes* *A*  $\cup$  *nodes* *B*
 $\langle \text{proof} \rangle$ 
**lemma** *finite-acg-subset*:
 $A \leq B \implies \text{finite-acg } B \implies \text{finite-acg } A$ 
 $\langle \text{proof} \rangle$ 
**lemma** *scg-finite*:
**fixes** *G* :: 'a *scg*
**assumes** *fin*: *finite* (*nodes* *G*)

**shows** *finite-graph* *G*
 $\langle \text{proof} \rangle$ 
**lemma** *smallnodes-sum[simp]*:
 $\text{smallnodes } (A + B) = \text{smallnodes } A \cup \text{smallnodes } B$ 
 $\langle \text{proof} \rangle$ 
**lemma** *in-smallnodes*:
**fixes** *A* :: 'a *acg*
**assumes** *e*: *has-edge* *A* *x* *G* *y*
**shows** *nodes* *G*  $\subseteq$  *smallnodes* *A*
 $\langle \text{proof} \rangle$ 
**lemma** *finite-smallnodes*:
**assumes** *fA*: *finite-acg* *A*

```

shows finite (smallnodes A)
  <proof>

lemma nodes-tcl:
  nodes (tcl A) = nodes A
  <proof>

lemma smallnodes-tcl:
  fixes A :: 'a acg
  shows smallnodes (tcl A) = smallnodes A
  <proof>

lemma finite-nodegraphs:
  assumes F: finite F
  shows finite { G::'a scg. nodes G ⊆ F } (is finite ?P)
  <proof>

lemma finite-graphI:
  fixes A :: 'a acg
  assumes fin: finite (nodes A) finite (smallnodes A)
  shows finite-graph A
  <proof>

lemma smallnodes-allfinite:
  fixes A :: 'a acg
  assumes fin: finite (smallnodes A)
  shows all-finite A
  <proof>

lemma finite-tcl:
  fixes A :: 'a acg
  shows finite-acg (tcl A) ⟷ finite-acg A
  <proof>

lemma finite-acg-empty: finite-acg (Graph {})
  <proof>

lemma finite-acg-ins:
  assumes fA: finite-acg (Graph A)
  assumes fG: finite G
  shows finite-acg (Graph (insert (a, Graph G, b) A))
  <proof>

lemmas finite-acg-simps = finite-acg-empty finite-acg-ins finite-graph-def

```

### 5.3 Contraction and more

abbreviation

$pdesc\ P == (fst\ P, prod\ P, end-node\ P)$

**lemma** *pdesc-acgplus*:

**assumes** *has-ipath*  $\mathcal{A}\ p$

**and**  $i < j$

**shows** *has-edge*  $(tcl\ \mathcal{A})\ (fst\ (p\langle i,j\rangle))\ (prod\ (p\langle i,j\rangle))\ (end-node\ (p\langle i,j\rangle))$   
 $\langle proof \rangle$

**lemma** *combine-fthreads*:

**assumes** *range*:  $i < j \leq k$

**shows**

*has-fth*  $p\ i\ k\ m\ r =$

$(\exists n. has-fth\ p\ i\ j\ m\ n \wedge has-fth\ p\ j\ k\ n\ r)$  (**is**  $?L = ?R$ )

$\langle proof \rangle$

**lemma** *desc-is-fthread*:

*is-desc-fthread*  $\vartheta\ p\ i\ k \implies is-fthread\ \vartheta\ p\ i\ k$

$\langle proof \rangle$

**lemma** *combine-dfthreads*:

**assumes** *range*:  $i < j \leq k$

**shows**

*has-desc-fth*  $p\ i\ k\ m\ r =$

$(\exists n. (has-desc-fth\ p\ i\ j\ m\ n \wedge has-fth\ p\ j\ k\ n\ r)$

$\vee (has-fth\ p\ i\ j\ m\ n \wedge has-desc-fth\ p\ j\ k\ n\ r))$  (**is**  $?L = ?R$ )

$\langle proof \rangle$

**lemma** *fth-single*:

*has-fth*  $p\ i\ (Suc\ i)\ m\ n = eql\ (snd\ (p\ i))\ m\ n$  (**is**  $?L = ?R$ )

$\langle proof \rangle$

**lemma** *desc-fth-single*:

*has-desc-fth*  $p\ i\ (Suc\ i)\ m\ n =$

*dsc*  $(snd\ (p\ i))\ m\ n$  (**is**  $?L = ?R$ )

$\langle proof \rangle$

**lemma** *mk-eql*:  $(G \vdash m \rightsquigarrow^e n) \implies eql\ G\ m\ n$

$\langle proof \rangle$

**lemma** *eql-scgcomp*:

*eql*  $(G * H)\ m\ r =$

$(\exists n. eql\ G\ m\ n \wedge eql\ H\ n\ r)$  (**is**  $?L = ?R$ )

$\langle proof \rangle$

**lemma** *desc-scgcomp*:

$dsc (G * H) m r =$   
 $(\exists n. (dsc G m n \wedge eql H n r) \vee (eql G m n \wedge dsc H n r))$  (**is** ?L = ?R)  
 $\langle proof \rangle$

**lemma** *has-fth-unfold*:

**assumes**  $i < j$   
**shows**  $has-fth p i j m n =$   
 $(\exists r. has-fth p i (Suc i) m r \wedge has-fth p (Suc i) j r n)$   
 $\langle proof \rangle$

**lemma** *has-dfth-unfold*:

**assumes**  $range: i < j$   
**shows**  
 $has-desc-fth p i j m r =$   
 $(\exists n. (has-desc-fth p i (Suc i) m n \wedge has-fth p (Suc i) j n r)$   
 $\vee (has-fth p i (Suc i) m n \wedge has-desc-fth p (Suc i) j n r))$   
 $\langle proof \rangle$

**lemma** *Lemma7a*:

$i \leq j \implies has-fth p i j m n = eql (prod (p\langle i,j \rangle)) m n$   
 $\langle proof \rangle$

**lemma** *Lemma7b*:

**assumes**  $i \leq j$   
**shows**  
 $has-desc-fth p i j m n =$   
 $dsc (prod (p\langle i,j \rangle)) m n$   
 $\langle proof \rangle$

**lemma** *descat-contract*:

**assumes** [*simp*]: *increasing s*  
**shows**  
 $descat (contract s p) \vartheta i =$   
 $has-desc-fth p (s i) (s (Suc i)) (\vartheta i) (\vartheta (Suc i))$   
 $\langle proof \rangle$

**lemma** *eqlat-contract*:

**assumes** [*simp*]: *increasing s*  
**shows**  
 $eqlat (contract s p) \vartheta i =$   
 $has-fth p (s i) (s (Suc i)) (\vartheta i) (\vartheta (Suc i))$   
 $\langle proof \rangle$

### 5.3.1 Connecting threads

**definition**

$connect\ s\ \vartheta\ s = (\lambda k. \vartheta\ s\ (section\text{-of}\ s\ k)\ k)$

**lemma** *next-in-range*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes**  $a$ :  $k \in section\ s\ i$

**shows**  $(Suc\ k \in section\ s\ i) \vee (Suc\ k \in section\ s\ (Suc\ i))$

$\langle proof \rangle$

**lemma** *connect-threads*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes** *connected*:  $\vartheta\ s\ i\ (s\ (Suc\ i)) = \vartheta\ s\ (Suc\ i)\ (s\ (Suc\ i))$

**assumes** *fth*: *is-fthread*  $(\vartheta\ s\ i)\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows**

*is-fthread*  $(connect\ s\ \vartheta\ s)\ p\ (s\ i)\ (s\ (Suc\ i))$

$\langle proof \rangle$

**lemma** *connect-dthreads*:

**assumes**  $inc[simp]$ : *increasing*  $s$

**assumes** *connected*:  $\vartheta\ s\ i\ (s\ (Suc\ i)) = \vartheta\ s\ (Suc\ i)\ (s\ (Suc\ i))$

**assumes** *fth*: *is-desc-fthread*  $(\vartheta\ s\ i)\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows**

*is-desc-fthread*  $(connect\ s\ \vartheta\ s)\ p\ (s\ i)\ (s\ (Suc\ i))$

$\langle proof \rangle$

**lemma** *mk-inf-thread*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes** *fths*:  $\bigwedge i. i > n \implies is\text{-fthread}\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows** *is-thread*  $(s\ (Suc\ n))\ \vartheta\ p$

$\langle proof \rangle$

**lemma** *mk-inf-desc-thread*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes** *fths*:  $\bigwedge i. i > n \implies is\text{-fthread}\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$

**assumes** *fdths*:  $\exists_{\infty} i. is\text{-desc-fthread}\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows** *is-desc-thread*  $\vartheta\ p$

$\langle proof \rangle$

**lemma** *desc-ex-choice*:

**assumes**  $A$ :  $(\exists n. \forall i \geq n. \exists x. P\ x\ i) \wedge (\exists_{\infty} i. \exists x. Q\ x\ i)$

**and** *imp*:  $\bigwedge x\ i. Q\ x\ i \implies P\ x\ i$

**shows**  $\exists xs. ((\exists n. \forall i \geq n. P (xs\ i)\ i) \wedge (\exists_{\infty} i. Q (xs\ i)\ i))$   
**(is**  $\exists xs. ?Ps\ xs \wedge ?Qs\ xs)$   
 $\langle proof \rangle$

**lemma** *dthreads-join*:

**assumes**  $[simp]$ : *increasing s*  
**assumes** *dthread*: *is-desc-thread*  $\vartheta$  (*contract s p*)  
**shows**  $\exists \vartheta s. desc (\lambda i. is-fthread (\vartheta s\ i)\ p (s\ i) (s\ (Suc\ i)))$   
 $\wedge \vartheta s\ i (s\ i) = \vartheta i$   
 $\wedge \vartheta s\ i (s\ (Suc\ i)) = \vartheta (Suc\ i)$   
 $(\lambda i. is-desc-fthread (\vartheta s\ i)\ p (s\ i) (s\ (Suc\ i)))$   
 $\wedge \vartheta s\ i (s\ i) = \vartheta i$   
 $\wedge \vartheta s\ i (s\ (Suc\ i)) = \vartheta (Suc\ i)$   
 $\langle proof \rangle$

**lemma** *INF-drop-prefix*:

$(\exists_{\infty} i::nat. i > n \wedge P\ i) = (\exists_{\infty} i. P\ i)$   
 $\langle proof \rangle$

**lemma** *contract-keeps-threads*:

**assumes**  $inc[simp]$ : *increasing s*  
**shows**  $(\exists \vartheta. is-desc-thread\ \vartheta\ p)$   
 $\longleftrightarrow (\exists \vartheta. is-desc-thread\ \vartheta\ (contract\ s\ p))$   
**(is**  $?A \longleftrightarrow ?B)$   
 $\langle proof \rangle$

**lemma** *repeated-edge*:

**assumes**  $\bigwedge i. i > n \implies dsc (snd (p\ i))\ k\ k$   
**shows** *is-desc-thread*  $(\lambda i. k)\ p$   
 $\langle proof \rangle$

**lemma** *fin-from-inf*:

**assumes** *is-thread*  $n\ \vartheta\ p$   
**assumes**  $n < i$   
**assumes**  $i < j$   
**shows** *is-fthread*  $\vartheta\ p\ i\ j$   
 $\langle proof \rangle$

## 5.4 Ramsey's Theorem

**definition**

*set2pair*  $S = (THE\ (x,y). x < y \wedge S = \{x,y\})$

**lemma** *set2pair-conv*:  
**fixes**  $x\ y :: \text{nat}$   
**assumes**  $x < y$   
**shows**  $\text{set2pair } \{x, y\} = (x, y)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{set2list} = \text{inv set}$

**lemma** *finite-set2list*:  
**assumes** *finite*  $S$   
**shows**  $\text{set } (\text{set2list } S) = S$   
 $\langle \text{proof} \rangle$

**corollary** *RamseyNatpairs*:  
**fixes**  $S :: 'a \text{ set}$   
**and**  $f :: \text{nat} \times \text{nat} \Rightarrow 'a$   
  
**assumes** *finite*  $S$   
**and**  $\text{in}S: \bigwedge x\ y. x < y \implies f(x, y) \in S$   
  
**obtains**  $T :: \text{nat set}$  **and**  $s :: 'a$   
**where** *infinite*  $T$   
**and**  $s \in S$   
**and**  $\bigwedge x\ y. \llbracket x \in T; y \in T; x < y \rrbracket \implies f(x, y) = s$   
 $\langle \text{proof} \rangle$

## 5.5 Main Result

**theorem** *LJA-Theorem4*:  
**assumes** *finite-acg*  $A$   
**shows**  $\text{SCT } A \longleftrightarrow \text{SCT}' A$   
 $\langle \text{proof} \rangle$

**end**

## 6 Applying SCT to function definitions

**theory** *Interpretation*  
**imports** *Main Misc-Tools Criterion*  
**begin**

**definition**  
 $\text{idseq } R\ s\ x = (s\ 0 = x \wedge (\forall i. R\ (s\ (\text{Suc } i))\ (s\ i)))$

**lemma** *not-acc-smaller*:

**assumes** *notacc*:  $\neg \text{accp } R \ x$   
**shows**  $\exists y. R \ y \ x \wedge \neg \text{accp } R \ y$   
 $\langle \text{proof} \rangle$

**lemma** *non-acc-has-idseq*:

**assumes**  $\neg \text{accp } R \ x$   
**shows**  $\exists s. \text{idseq } R \ s \ x$   
 $\langle \text{proof} \rangle$

**types**  $(\ 'a, \ 'q) \ \text{cdesc} =$   
 $(\ 'q \Rightarrow \text{bool}) \times (\ 'q \Rightarrow \ 'a) \times (\ 'q \Rightarrow \ 'a)$

**fun** *in-cdesc* ::  $(\ 'a, \ 'q) \ \text{cdesc} \Rightarrow \ 'a \Rightarrow \ 'a \Rightarrow \text{bool}$   
**where**  
*in-cdesc*  $(\Gamma, r, l) \ x \ y = (\exists q. x = r \ q \wedge y = l \ q \wedge \Gamma \ q)$

**fun** *mk-rel* ::  $(\ 'a, \ 'q) \ \text{cdesc} \ \text{list} \Rightarrow \ 'a \Rightarrow \ 'a \Rightarrow \text{bool}$   
**where**  
*mk-rel*  $[] \ x \ y = \text{False}$   
*mk-rel*  $(c\#\text{cs}) \ x \ y =$   
 $(\text{in-cdesc } c \ x \ y \vee \text{mk-rel } \text{cs} \ x \ y)$

**lemma** *some-rd*:

**assumes** *mk-rel rds*  $x \ y$   
**shows**  $\exists rd \in \text{set } rds. \text{in-cdesc } rd \ x \ y$   
 $\langle \text{proof} \rangle$

**lemma** *ex-cs*:

**assumes** *idseq*: *idseq*  $(\text{mk-rel } rds) \ s \ x$   
**shows**  $\exists cs. \forall i. cs \ i \in \text{set } rds \wedge \text{in-cdesc } (cs \ i) \ (s \ (\text{Suc } i)) \ (s \ i)$   
 $\langle \text{proof} \rangle$

**types**  $\ 'a \ \text{measures} = \text{nat} \Rightarrow \ 'a \Rightarrow \text{nat}$

**fun** *stepP* ::  $(\ 'a, \ 'q) \ \text{cdesc} \Rightarrow (\ 'a, \ 'q) \ \text{cdesc} \Rightarrow$   
 $(\ 'a \Rightarrow \text{nat}) \Rightarrow (\ 'a \Rightarrow \text{nat}) \Rightarrow (\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
**where**  
*stepP*  $(\Gamma 1, r1, l1) (\Gamma 2, r2, l2) \ m1 \ m2 \ R$   
 $= (\forall q_1 \ q_2. \Gamma 1 \ q_1 \wedge \Gamma 2 \ q_2 \wedge r1 \ q_1 = l2 \ q_2$   
 $\longrightarrow R \ (m2 \ (l2 \ q_2)) \ ((m1 \ (l1 \ q_1))))$

**definition**

$$\text{decr} :: ('a, 'q) \text{cdesc} \Rightarrow ('a, 'q) \text{cdesc} \Rightarrow$$

$$('a \Rightarrow \text{nat}) \Rightarrow ('a \Rightarrow \text{nat}) \Rightarrow \text{bool}$$
**where**

$$\text{decr } c1 \ c2 \ m1 \ m2 = \text{stepP } c1 \ c2 \ m1 \ m2 \ (\text{op } <)$$
**definition**

$$\text{decreq} :: ('a, 'q) \text{cdesc} \Rightarrow ('a, 'q) \text{cdesc} \Rightarrow$$

$$('a \Rightarrow \text{nat}) \Rightarrow ('a \Rightarrow \text{nat}) \Rightarrow \text{bool}$$
**where**

$$\text{decreq } c1 \ c2 \ m1 \ m2 = \text{stepP } c1 \ c2 \ m1 \ m2 \ (\text{op } \leq)$$
**definition**

$$\text{no-step} :: ('a, 'q) \text{cdesc} \Rightarrow ('a, 'q) \text{cdesc} \Rightarrow \text{bool}$$
**where**

$$\text{no-step } c1 \ c2 = \text{stepP } c1 \ c2 \ (\lambda x. 0) \ (\lambda x. 0) \ (\lambda x y. \text{False})$$
**lemma** *decr-in-cdesc*:
$$\text{assumes } \text{in-cdesc } RD1 \ y \ x$$

$$\text{assumes } \text{in-cdesc } RD2 \ z \ y$$

$$\text{assumes } \text{decr } RD1 \ RD2 \ m1 \ m2$$

$$\text{shows } m2 \ y < m1 \ x$$

$$\langle \text{proof} \rangle$$
**lemma** *decreq-in-cdesc*:
$$\text{assumes } \text{in-cdesc } RD1 \ y \ x$$

$$\text{assumes } \text{in-cdesc } RD2 \ z \ y$$

$$\text{assumes } \text{decreq } RD1 \ RD2 \ m1 \ m2$$

$$\text{shows } m2 \ y \leq m1 \ x$$

$$\langle \text{proof} \rangle$$
**lemma** *no-inf-desc-nat-sequence*:
$$\text{fixes } s :: \text{nat} \Rightarrow \text{nat}$$

$$\text{assumes } \text{leq}: \bigwedge i. n \leq i \Longrightarrow s \ (\text{Suc } i) \leq s \ i$$

$$\text{assumes } \text{less}: \exists_{\infty} i. s \ (\text{Suc } i) < s \ i$$

$$\text{shows } \text{False}$$

$$\langle \text{proof} \rangle$$
**definition**

$$\text{approx} :: \text{nat } \text{scg} \Rightarrow ('a, 'q) \text{cdesc} \Rightarrow ('a, 'q) \text{cdesc}$$

$$\Rightarrow 'a \ \text{measures} \Rightarrow 'a \ \text{measures} \Rightarrow \text{bool}$$
**where**

$approx\ G\ C\ C'\ M\ M'$   
 $= (\forall i\ j. (dsc\ G\ i\ j \longrightarrow decr\ C\ C'\ (M\ i)\ (M'\ j)))$   
 $\wedge (eq\ G\ i\ j \longrightarrow decreq\ C\ C'\ (M\ i)\ (M'\ j)))$

**lemma** *approx-empty*:

$approx\ (Graph\ \{\})\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *approx-less*:

**assumes**  $stepP\ c1\ c2\ (ms1\ i)\ (ms2\ j)\ (op\ <)$   
**assumes**  $approx\ (Graph\ Es)\ c1\ c2\ ms1\ ms2$   
**shows**  $approx\ (Graph\ (insert\ (i,\ \downarrow,\ j)\ Es))\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *approx-leq*:

**assumes**  $stepP\ c1\ c2\ (ms1\ i)\ (ms2\ j)\ (op\ \leq)$   
**assumes**  $approx\ (Graph\ Es)\ c1\ c2\ ms1\ ms2$   
**shows**  $approx\ (Graph\ (insert\ (i,\ \Downarrow,\ j)\ Es))\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *approx*  $(Graph\ \{(1,\ \downarrow,\ 2), (2,\ \Downarrow,\ 3)\})\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *no-stepI*:

$stepP\ c1\ c2\ m1\ m2\ (\lambda x\ y. False)$   
 $\implies no-step\ c1\ c2$   
 $\langle proof \rangle$

**definition**

$sound-int\ ::\ nat\ acg\ \Rightarrow\ ('a,\ 'q)\ cdesc\ list$   
 $\Rightarrow\ 'a\ measures\ list\ \Rightarrow\ bool$

**where**

$sound-int\ \mathcal{A}\ RDs\ M =$   
 $(\forall n < length\ RDs. \forall m < length\ RDs.$   
 $no-step\ (RDs\ !\ n)\ (RDs\ !\ m) \vee$   
 $(\exists G. (\mathcal{A} \vdash n \rightsquigarrow^G m) \wedge approx\ G\ (RDs\ !\ n)\ (RDs\ !\ m)\ (M\ !\ n)\ (M\ !\ m)))$

**lemma** *length-simps*:  $length\ [] = 0$   $length\ (x\#\ xs) = Suc\ (length\ xs)$

*<proof>*

**lemma** *all-less-zero*:  $\forall n < (0 :: nat). P n$   
*<proof>*

**lemma** *all-less-Suc*:  
**assumes**  $Pk: P k$   
**assumes**  $Pn: \forall n < k. P n$   
**shows**  $\forall n < Suc k. P n$   
*<proof>*

**lemma** *step-witness*:  
**assumes** *in-cdesc*  $RD1 y x$   
**assumes** *in-cdesc*  $RD2 z y$   
**shows**  $\neg no\text{-}step\ RD1\ RD2$   
*<proof>*

**theorem** *SCT-on-relations*:  
**assumes**  $R: R = mk\text{-}rel\ RDs$   
**assumes** *sound*: *sound-int*  $\mathcal{A}\ RDs\ M$   
**assumes** *SCT*  $\mathcal{A}$   
**shows**  $\forall x. accp\ R\ x$   
*<proof>*

**end**

## 7 Implementation of the SCT criterion

**theory** *Implementation*  
**imports** *Correctness*  
**begin**

**fun** *edges-match* ::  $('n \times 'e \times 'n) \times ('n \times 'e \times 'n) \Rightarrow bool$   
**where**  
 $edges\text{-}match\ ((n, e, m), (n', e', m')) = (m = n')$

**fun** *connect-edges* ::  
 $('n \times ('e :: times) \times 'n) \times ('n \times 'e \times 'n)$   
 $\Rightarrow ('n \times 'e \times 'n)$   
**where**  
 $connect\text{-}edges\ ((n, e, m), (n', e', m')) = (n, e * e', m')$

**lemma** *grcomp-code* [*code*]:  
 $grcomp\ (Graph\ G)\ (Graph\ H) = Graph\ (connect\text{-}edges\ \{ x \in G \times H. edges\text{-}match\ x \})$   
*<proof>*

**lemma** *mk-tcl-finite-terminates*:  
**fixes**  $A :: 'a\ acg$   
**assumes**  $fA: finite-acg\ A$   
**shows**  $mk-tcl-dom\ (A, A)$   
 $\langle proof \rangle$

**lemma** *mk-tcl-finite-tcl*:  
**fixes**  $A :: 'a\ acg$   
**assumes**  $fA: finite-acg\ A$   
**shows**  $mk-tcl\ A\ A = tcl\ A$   
 $\langle proof \rangle$

**definition** *test-SCT*  $:: nat\ acg \Rightarrow bool$   
**where**  
 $test-SCT\ \mathcal{A} =$   
 $(let\ \mathcal{T} = mk-tcl\ \mathcal{A}\ \mathcal{A}$   
 $in\ (\forall (n, G, m) \in dest-graph\ \mathcal{T}.$   
 $n \neq m \vee G * G \neq G \vee$   
 $(\exists (p :: nat, e, q) \in dest-graph\ G. p = q \wedge e = LESS)))$

**lemma** *SCT'-exec*:  
**assumes**  $fin: finite-acg\ A$   
**shows**  $SCT'\ A = test-SCT\ A$   
 $\langle proof \rangle$

**code-modulename** *SML*  
*Implementation Graphs*

**lemma** [*code func*]:  
 $(G :: ('a :: eq, 'b :: eq)\ graph) \leq H \iff dest-graph\ G \subseteq dest-graph\ H$   
 $(G :: ('a :: eq, 'b :: eq)\ graph) < H \iff dest-graph\ G \subset dest-graph\ H$   
 $\langle proof \rangle$

**lemma** [*code func*]:  
 $(G :: ('a :: eq, 'b :: eq)\ graph) + H = Graph\ (dest-graph\ G \cup dest-graph\ H)$   
 $\langle proof \rangle$

**lemma** [*code func*]:  
 $(G :: ('a :: eq, 'b :: \{eq, times\})\ graph) * H = grcomp\ G\ H$   
 $\langle proof \rangle$

**lemma** *SCT'-empty*:  $SCT'\ (Graph\ \{\})$   
 $\langle proof \rangle$

## 7.1 Witness checking

**definition** *test-SCT-witness* :: *nat acg*  $\Rightarrow$  *nat acg*  $\Rightarrow$  *bool*

**where**

*test-SCT-witness* *A T* =  
(*A*  $\leq$  *T*  $\wedge$  *A* \* *T*  $\leq$  *T*  $\wedge$   
 ( $\forall (n, G, m) \in \text{dest-graph } T.$   
  $n \neq m \vee G * G \neq G \vee$   
 ( $\exists (p :: \text{nat}, e, q) \in \text{dest-graph } G. p = q \wedge e = \text{LESS}$ )))

**lemma** *no-bad-graphs-ucl*:

**assumes** *A*  $\leq$  *B*

**assumes** *no-bad-graphs B*

**shows** *no-bad-graphs A*

*<proof>*

**lemma** *SCT'-witness*:

**assumes** *a*: *test-SCT-witness A T*

**shows** *SCT' A*

*<proof>*

**code-modulename** *SML*

*Graphs SCT*

*Kleene-Algebras SCT*

*Implementation SCT*

**export-code** *test-SCT* **in** *SML*

**end**

## 8 Size-Change Termination

**theory** *Size-Change-Termination*

**imports** *Correctness Interpretation Implementation*

**uses** *sct.ML*

**begin**

### 8.1 Simplifier setup

This is needed to run the SCT algorithm in the simplifier:

**lemma** *setbcomp-simps*:

$\{x \in \{\}. P x\} = \{\}$

$\{x \in \text{insert } y \text{ ys}. P x\} = (\text{if } P y \text{ then } \text{insert } y \{x \in \text{ys}. P x\} \text{ else } \{x \in \text{ys}. P x\})$

*<proof>*

**lemma** *setbcomp-cong*:

$$A = B \implies (\bigwedge x. P x = Q x) \implies \{x \in A. P x\} = \{x \in B. Q x\}$$

*<proof>*

**lemma** *cartprod-simps*:

$$\{\} \times A = \{\}$$
$$\text{insert } a \ A \times B = \text{Pair } a \ ' \ B \cup (A \times B)$$

*<proof>*

**lemma** *image-simps*:

$$f \ ' \ \{\} = \{\}$$
$$f \ ' \ \text{insert } a \ A = \text{insert } (f \ a) \ (f \ ' \ A)$$

*<proof>*

**lemmas** *union-simps* =

$$\text{Un-empty-left} \ \text{Un-empty-right} \ \text{Un-insert-left}$$

**lemma** *subset-simps*:

$$\{\} \subseteq B$$
$$\text{insert } a \ A \subseteq B \equiv a \in B \wedge A \subseteq B$$

*<proof>*

**lemma** *element-simps*:

$$x \in \{\} \equiv \text{False}$$
$$x \in \text{insert } a \ A \equiv x = a \vee x \in A$$

*<proof>*

**lemma** *set-eq-simp*:

$$A = B \iff A \subseteq B \wedge B \subseteq A \ \langle \text{proof} \rangle$$

**lemma** *ball-simps*:

$$\forall x \in \{\}. P \ x \equiv \text{True}$$
$$(\forall x \in \text{insert } a \ A. P \ x) \equiv P \ a \wedge (\forall x \in A. P \ x)$$

*<proof>*

**lemma** *bex-simps*:

$$\exists x \in \{\}. P \ x \equiv \text{False}$$
$$(\exists x \in \text{insert } a \ A. P \ x) \equiv P \ a \vee (\exists x \in A. P \ x)$$

*<proof>*

**lemmas** *set-simps* =

$$\text{setbcomp-simps}$$
$$\text{cartprod-simps} \ \text{image-simps} \ \text{union-simps} \ \text{subset-simps}$$
$$\text{element-simps} \ \text{set-eq-simp}$$
$$\text{ball-simps} \ \text{bex-simps}$$

**lemma** *sedg-simps*:

$$\downarrow * \ x = \downarrow$$

$\Downarrow * x = x$   
*<proof>*

**lemmas** *sctTest-simps* =

*simp-thms*

*if-True*

*if-False*

*nat.inject*

*nat.distinct*

*Pair-eq*

*grcomp-code*

*edges-match.simps*

*connect-edges.simps*

*sedge-simps*

*sedge.distinct*

*set-simps*

*graph-mult-def*

*graph-leq-def*

*dest-graph.simps*

*graph-plus-def*

*graph.inject*

*graph-zero-def*

*test-SCT-def*

*mk-tcl-code*

*Let-def*

*split-conv*

**lemmas** *sctTest-congs* =

*if-weak-cong let-weak-cong setbcomp-cong*

**lemma** *SCT-Main*:

*finite-acg A*  $\implies$  *test-SCT A*  $\implies$  *SCT A*

*<proof>*

**end**

## 9 Examples for Size-Change Termination

**theory** *Examples*

**imports** *Size-Change-Termination*

**begin**

```

function f :: nat ⇒ nat ⇒ nat
where
  f n 0 = n
| f 0 (Suc m) = f (Suc m) m
| f (Suc n) (Suc m) = f m n
⟨proof⟩

```

```

termination
⟨proof⟩

```

```

function p :: nat ⇒ nat ⇒ nat ⇒ nat
where
  p m n r = (if r>0 then p m (r - 1) n else
             if n>0 then p r (n - 1) m
             else m)
⟨proof⟩

```

```

termination
⟨proof⟩

```

```

function foo :: bool ⇒ nat ⇒ nat ⇒ nat
where
  foo True (Suc n) m = foo True n (Suc m)
| foo True 0 m = foo False 0 m
| foo False n (Suc m) = foo False (Suc n) m
| foo False n 0 = n
⟨proof⟩

```

```

termination
⟨proof⟩

```

```

function (sequential)
  bar :: nat ⇒ nat ⇒ nat ⇒ nat
where
  bar 0 (Suc n) m = bar m m m
| bar k n m = 0
⟨proof⟩

```

```

termination
⟨proof⟩

```

```

end

```