

# Size-Change Termination

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## 1 Miscellaneous Tools for Size-Change Termination

```
theory Misc-Tools  
imports Main  
begin
```

### 1.1 Searching in lists

```
fun index-of :: 'a list  $\Rightarrow$  'a  $\Rightarrow$  nat  
where  
  index-of [] c = 0  
| index-of (x#xs) c = (if x = c then 0 else Suc (index-of xs c))
```

```
lemma index-of-member:  
  (x  $\in$  set l)  $\Longrightarrow$  (l ! index-of l x = x)  
   $\langle$ proof $\rangle$ 
```

```
lemma index-of-length:  
  (x  $\in$  set l) = (index-of l x < length l)  
   $\langle$ proof $\rangle$ 
```

### 1.2 Some reasoning tools

```
lemma three-cases:  
  assumes a1  $\Longrightarrow$  thesis  
  assumes a2  $\Longrightarrow$  thesis  
  assumes a3  $\Longrightarrow$  thesis  
  assumes  $\bigwedge R. \llbracket a1 \Longrightarrow R; a2 \Longrightarrow R; a3 \Longrightarrow R \rrbracket \Longrightarrow R$   
  shows thesis  
   $\langle$ proof $\rangle$ 
```

### 1.3 Sequences

```
types  
  'a sequence = nat  $\Rightarrow$  'a
```

### 1.3.1 Increasing sequences

**definition**

$increasing :: (nat \Rightarrow nat) \Rightarrow bool$  **where**  
 $increasing\ s = (\forall i\ j. i < j \longrightarrow s\ i < s\ j)$

**lemma** *increasing-strict*:

**assumes** *increasing s*

**assumes**  $i < j$

**shows**  $s\ i < s\ j$

$\langle proof \rangle$

**lemma** *increasing-weak*:

**assumes** *increasing s*

**assumes**  $i \leq j$

**shows**  $s\ i \leq s\ j$

$\langle proof \rangle$

**lemma** *increasing-inc*:

**assumes** *increasing s*

**shows**  $n \leq s\ n$

$\langle proof \rangle$

**lemma** *increasing-bij*:

**assumes**  $[simp]: increasing\ s$

**shows**  $(s\ i < s\ j) = (i < j)$

$\langle proof \rangle$

### 1.3.2 Sections induced by an increasing sequence

**abbreviation**

$section\ s\ i == \{s\ i ..< s\ (Suc\ i)\}$

**definition**

$section-of\ s\ n = (LEAST\ i. n < s\ (Suc\ i))$

**lemma** *section-help*:

**assumes** *increasing s*

**shows**  $\exists i. n < s\ (Suc\ i)$

$\langle proof \rangle$

**lemma** *section-of2*:

**assumes** *increasing s*

**shows**  $n < s\ (Suc\ (section-of\ s\ n))$

$\langle proof \rangle$

**lemma** *section-of1*:

**assumes**  $[simp, intro]: increasing\ s$

**assumes**  $s\ i \leq n$

**shows**  $s\ (section-of\ s\ n) \leq n$

$\langle proof \rangle$

**lemma** *section-of-known*:

**assumes** *[simp]*: *increasing s*

**assumes** *in-sect*:  $k \in \text{section } s \ i$

**shows** *section-of s k = i* (**is**  $?s = i$ )

$\langle proof \rangle$

**lemma** *in-section-of*:

**assumes** *increasing s*

**assumes**  $s \ i \leq k$

**shows**  $k \in \text{section } s \ (\text{section-of } s \ k)$

$\langle proof \rangle$

**end**

## 2 Kleene Algebras

**theory** *Kleene-Algebras*

**imports** *Main*

**begin**

A type class of kleene algebras

**class** *star* = *type* +

**fixes** *star* ::  $'a \Rightarrow 'a$

**class** *idem-add* = *ab-semigroup-add* +

**assumes** *add-idem* *[simp]*:  $x + x = x$

**lemma** *add-idem2* *[simp]*:  $(x :: 'a :: \text{idem-add}) + (x + y) = x + y$

$\langle proof \rangle$

**class** *order-by-add* = *idem-add* + *ord* +

**assumes** *order-def*:  $a \leq b \iff a + b = b$

**assumes** *strict-order-def*:  $a < b \iff a \leq b \wedge a \neq b$

**lemma** *ord-simp1* *[simp]*:  $(x :: 'a :: \text{order-by-add}) \leq y \implies x + y = y$

$\langle proof \rangle$

**lemma** *ord-simp2* *[simp]*:  $(x :: 'a :: \text{order-by-add}) \leq y \implies y + x = y$

$\langle proof \rangle$

**lemma** *ord-intro*:  $(x :: 'a :: \text{order-by-add}) + y = y \implies x \leq y$

$\langle proof \rangle$

**instance** *order-by-add*  $\subseteq$  *order*

$\langle proof \rangle$

**class** *pre-kleene* = *semiring-1* + *order-by-add*

```

instance pre-kleene  $\subseteq$  pordered-semiring
  <proof>

class kleene = pre-kleene + star +
  assumes star1:  $1 + a * \text{star } a \leq \text{star } a$ 
  and star2:  $1 + \text{star } a * a \leq \text{star } a$ 
  and star3:  $a * x \leq x \implies \text{star } a * x \leq x$ 
  and star4:  $x * a \leq x \implies x * \text{star } a \leq x$ 

class kleene-by-complete-lattice = pre-kleene
  + complete-lattice + recpower + star +
  assumes star-cont:  $a * \text{star } b * c = \text{SUPR UNIV } (\lambda n. a * b ^ n * c)$ 

lemma plus-leI:
  fixes x :: 'a :: order-by-add
  shows  $x \leq z \implies y \leq z \implies x + y \leq z$ 
  <proof>

lemma le-SUPI':
  fixes l :: 'a :: complete-lattice
  assumes  $l \leq M \ i$ 
  shows  $l \leq (\text{SUP } i. M \ i)$ 
  <proof>

lemma zero-minimum[simp]:  $(0 :: 'a :: \text{pre-kleene}) \leq x$ 
  <proof>

instance kleene-by-complete-lattice  $\subseteq$  kleene
  <proof>

lemma less-add[simp]:
  fixes a b :: 'a :: order-by-add
  shows  $a \leq a + b$ 
  and  $b \leq a + b$ 
  <proof>

lemma add-est1:
  fixes a b c :: 'a :: order-by-add
  assumes  $a + b \leq c$ 
  shows  $a \leq c$ 
  <proof>

lemma add-est2:
  fixes a b c :: 'a :: order-by-add
  assumes  $a + b \leq c$ 
  shows  $b \leq c$ 
  <proof>

```

**lemma** *star3'*:  
**fixes**  $a\ b\ x :: 'a :: \text{kleene}$   
**assumes**  $a: b + a * x \leq x$   
**shows**  $\text{star } a * b \leq x$   
 $\langle \text{proof} \rangle$

**lemma** *star4'*:  
**fixes**  $a\ b\ x :: 'a :: \text{kleene}$   
**assumes**  $a: b + x * a \leq x$   
**shows**  $b * \text{star } a \leq x$   
 $\langle \text{proof} \rangle$

**lemma** *star-idemp*:  
**fixes**  $x :: 'a :: \text{kleene}$   
**shows**  $\text{star } (\text{star } x) = \text{star } x$   
 $\langle \text{proof} \rangle$

**lemma** *star-unfold-left*:  
**fixes**  $a :: 'a :: \text{kleene}$   
**shows**  $1 + a * \text{star } a = \text{star } a$   
 $\langle \text{proof} \rangle$

**lemma** *star-unfold-right*:  
**fixes**  $a :: 'a :: \text{kleene}$   
**shows**  $1 + \text{star } a * a = \text{star } a$   
 $\langle \text{proof} \rangle$

**lemma** *star-zero[simp]*:  
**shows**  $\text{star } (0 :: 'a :: \text{kleene}) = 1$   
 $\langle \text{proof} \rangle$

**lemma** *star-commute*:  
**fixes**  $a\ b\ x :: 'a :: \text{kleene}$   
**assumes**  $a: a * x = x * b$   
**shows**  $\text{star } a * x = x * \text{star } b$   
 $\langle \text{proof} \rangle$

**lemma** *star-assoc*:  
**fixes**  $c\ d :: 'a :: \text{kleene}$   
**shows**  $\text{star } (c * d) * c = c * \text{star } (d * c)$   
 $\langle \text{proof} \rangle$

**lemma** *star-dist*:  
**fixes**  $a\ b :: 'a :: \text{kleene}$   
**shows**  $\text{star } (a + b) = \text{star } a * \text{star } (b * \text{star } a)$

$\langle proof \rangle$

**lemma** *star-one*:

**fixes**  $a\ p\ p' :: 'a :: kleene$

**assumes**  $p * p' = 1$  **and**  $p' * p = 1$

**shows**  $p' * star\ a * p = star\ (p' * a * p)$

$\langle proof \rangle$

**lemma** *star-mono*:

**fixes**  $x\ y :: 'a :: kleene$

**assumes**  $x \leq y$

**shows**  $star\ x \leq star\ y$

$\langle proof \rangle$

**lemma** *x-less-star[simp]*:

**fixes**  $x :: 'a :: kleene$

**shows**  $x \leq x * star\ a$

$\langle proof \rangle$

## 2.1 Transitive Closure

**definition**

$tcl\ (x :: 'a :: kleene) = star\ x * x$

**lemma** *tcl-zero*:

$tcl\ (0 :: 'a :: kleene) = 0$

$\langle proof \rangle$

**lemma** *tcl-unfold-right*:  $tcl\ a = a + tcl\ a * a$

$\langle proof \rangle$

**lemma** *less-tcl*:  $a \leq tcl\ a$

$\langle proof \rangle$

## 2.2 Naive Algorithm to generate the transitive closure

**function** (default  $\lambda x. 0$ , *tailrec*, *domintros*)

$mk-tcl :: ('a :: \{plus, times, ord, zero\}) \Rightarrow 'a \Rightarrow 'a$

**where**

$mk-tcl\ A\ X = (if\ X * A \leq X\ then\ X\ else\ mk-tcl\ A\ (X + X * A))$

$\langle proof \rangle$

**declare** *mk-tcl.simps*[*simp del*]

**lemma** *mk-tcl-code*[*code*]:

```

mk-tcl A X =
  (let XA = X * A
   in if XA ≤ X then X else mk-tcl A (X + XA))
⟨proof⟩

```

```

lemma mk-tcl-lemma1:
  fixes X :: 'a :: kleene
  shows (X + X * A) * star A = X * star A
⟨proof⟩

```

```

lemma mk-tcl-lemma2:
  fixes X :: 'a :: kleene
  shows X * A ≤ X ⟹ X * star A = X
⟨proof⟩

```

```

lemma mk-tcl-correctness:
  fixes A X :: 'a :: {kleene}
  assumes mk-tcl-dom (A, X)
  shows mk-tcl A X = X * star A
⟨proof⟩

```

```

lemma graph-implies-dom: mk-tcl-graph x y ⟹ mk-tcl-dom x
⟨proof⟩

```

```

lemma mk-tcl-default: ¬ mk-tcl-dom (a,x) ⟹ mk-tcl a x = 0
⟨proof⟩

```

We can replace the dom-Condition of the correctness theorem with something executable

```

lemma mk-tcl-correctness2:
  fixes A X :: 'a :: {kleene}
  assumes mk-tcl A A ≠ 0
  shows mk-tcl A A = tcl A
⟨proof⟩

```

**end**

### 3 General Graphs as Sets

```

theory Graphs
imports Main Misc-Tools Kleene-Algebras
begin

```

### 3.1 Basic types, Size Change Graphs

```
datatype ('a, 'b) graph =
  Graph ('a × 'b × 'a) set

fun dest-graph :: ('a, 'b) graph ⇒ ('a × 'b × 'a) set
  where dest-graph (Graph G) = G
```

```
lemma graph-dest-graph[simp]:
  Graph (dest-graph G) = G
  ⟨proof⟩
```

```
lemma split-graph-all:
  (⋀gr. PROP P gr) ≡ (⋀set. PROP P (Graph set))
  ⟨proof⟩
```

```
definition
  has-edge :: ('n, 'e) graph ⇒ 'n ⇒ 'e ⇒ 'n ⇒ bool
  (- ⊢ - ∼ -)
where
  has-edge G n e n' = ((n, e, n') ∈ dest-graph G)
```

### 3.2 Graph composition

```
fun grcomp :: ('n, 'e::times) graph ⇒ ('n, 'e) graph ⇒ ('n, 'e) graph
where
  grcomp (Graph G) (Graph H) =
    Graph {(p,b,q) | p b q.
      (∃ k e e'. (p,e,k) ∈ G ∧ (k,e',q) ∈ H ∧ b = e * e')}
```

```
declare grcomp.simps[code del]
```

```
lemma graph-ext:
  assumes ⋀n e n'. has-edge G n e n' = has-edge H n e n'
  shows G = H
  ⟨proof⟩
```

```
instance graph :: (type, type) {comm-monoid-add}
  graph-zero-def: 0 == Graph {}
  graph-plus-def: G + H == Graph (dest-graph G ∪ dest-graph H)
  ⟨proof⟩
```

```
lemmas [code func del] = graph-plus-def
```

```
instance graph :: (type, type) {distrib-lattice, complete-lattice}
  graph-leq-def: G ≤ H ≡ dest-graph G ⊆ dest-graph H
  graph-less-def: G < H ≡ dest-graph G ⊂ dest-graph H
```



$\text{inf } G \ H \equiv \text{Graph } (\text{dest-graph } G \cap \text{dest-graph } H)$   
 $\text{sup } G \ H \equiv G + H$   
 $\text{Inf-graph-def: } \text{Inf} \equiv \lambda Gs. \text{Graph } (\bigcap (\text{dest-graph } 'Gs))$   
 $\text{Sup-graph-def: } \text{Sup} \equiv \lambda Gs. \text{Graph } (\bigcup (\text{dest-graph } 'Gs))$   
 $\langle \text{proof} \rangle$

**lemmas** [code func del] = graph-leq-def graph-less-def  
 inf-graph-def sup-graph-def Inf-graph-def Sup-graph-def

**lemma** in-grplus:  
 $\text{has-edge } (G + H) \ p \ b \ q = (\text{has-edge } G \ p \ b \ q \vee \text{has-edge } H \ p \ b \ q)$   
 $\langle \text{proof} \rangle$

**lemma** in-grzero:  
 $\text{has-edge } 0 \ p \ b \ q = \text{False}$   
 $\langle \text{proof} \rangle$

### 3.2.1 Multiplicative Structure

**instance** graph :: (type, times) mult-zero  
 graph-mult-def:  $G * H == \text{grcomp } G \ H$   
 $\langle \text{proof} \rangle$

**lemmas** [code func del] = graph-mult-def

**instance** graph :: (type, one) one  
 graph-one-def:  $1 == \text{Graph } \{ (x, 1, x) \mid x. \text{True} \}$   $\langle \text{proof} \rangle$

**lemma** in-grcomp:  
 $\text{has-edge } (G * H) \ p \ b \ q$   
 $= (\exists k \ e \ e'. \text{has-edge } G \ p \ e \ k \wedge \text{has-edge } H \ k \ e' \ q \wedge b = e * e')$   
 $\langle \text{proof} \rangle$

**lemma** in-grunit:  
 $\text{has-edge } 1 \ p \ b \ q = (p = q \wedge b = 1)$   
 $\langle \text{proof} \rangle$

**instance** graph :: (type, semigroup-mult) semigroup-mult  
 $\langle \text{proof} \rangle$

**fun** grpow :: nat  $\Rightarrow$  ('a::type, 'b::monoid-mult) graph  $\Rightarrow$  ('a, 'b) graph  
**where**  
 grpow 0 A = 1  
 | grpow (Suc n) A = A \* (grpow n A)

**instance** graph :: (type, monoid-mult)  
 {semiring-1, idem-add, recpower, star}  
 graph-pow-def:  $A ^ n == \text{grpow } n \ A$   
 graph-star-def:  $\text{star } G == (\text{SUP } n. G ^ n)$

$\langle \text{proof} \rangle$

**lemma** *graph-leqI*:

**assumes**  $\bigwedge n\ e\ n'. \text{has-edge } G\ n\ e\ n' \implies \text{has-edge } H\ n\ e\ n'$

**shows**  $G \leq H$

$\langle \text{proof} \rangle$

**lemma** *in-graph-plusE*:

**assumes**  $\text{has-edge } (G + H)\ n\ e\ n'$

**assumes**  $\text{has-edge } G\ n\ e\ n' \implies P$

**assumes**  $\text{has-edge } H\ n\ e\ n' \implies P$

**shows**  $P$

$\langle \text{proof} \rangle$

**lemma** *in-graph-compE*:

**assumes**  $GH: \text{has-edge } (G * H)\ n\ e\ n'$

**obtains**  $e1\ k\ e2$

**where**  $\text{has-edge } G\ n\ e1\ k\ \text{has-edge } H\ k\ e2\ n'\ e = e1 * e2$

$\langle \text{proof} \rangle$

**lemma**

**assumes**  $x \in S\ k$

**shows**  $x \in (\bigcup k. S\ k)$

$\langle \text{proof} \rangle$

**lemma** *graph-union-least*:

**assumes**  $\bigwedge n. \text{Graph } (G\ n) \leq C$

**shows**  $\text{Graph } (\bigcup n. G\ n) \leq C$

$\langle \text{proof} \rangle$

**lemma** *Sup-graph-eq*:

$(\text{SUP } n. \text{Graph } (G\ n)) = \text{Graph } (\bigcup n. G\ n)$

$\langle \text{proof} \rangle$

**lemma** *has-edge-leq*:  $\text{has-edge } G\ p\ b\ q = (\text{Graph } \{(p,b,q)\} \leq G)$

$\langle \text{proof} \rangle$

**lemma** *Sup-graph-eq2*:

$(\text{SUP } n. G\ n) = \text{Graph } (\bigcup n. \text{dest-graph } (G\ n))$

$\langle \text{proof} \rangle$

**lemma** *in-SUP*:

$\text{has-edge } (\text{SUP } x. Gs\ x)\ p\ b\ q = (\exists x. \text{has-edge } (Gs\ x)\ p\ b\ q)$

$\langle \text{proof} \rangle$

**instance** *graph* ::  $(\text{type}, \text{monoid-mult}) \text{ kleene-by-complete-lattice}$

$\langle \text{proof} \rangle$

**lemma** *in-star*:

*has-edge* (*star* *G*) *a x b* = ( $\exists n. \text{has-edge } (G \wedge n) \text{ } a \text{ } x \text{ } b$ )  
 ⟨*proof*⟩

**lemma** *tcl-is-SUP*:

*tcl* (*G*::('a::type, 'b::monoid-mult) graph) =  
 (*SUP* *n*. *G*  $\wedge$  (*Suc* *n*))  
 ⟨*proof*⟩

**lemma** *in-tcl*:

*has-edge* (*tcl* *G*) *a x b* = ( $\exists n > 0. \text{has-edge } (G \wedge n) \text{ } a \text{ } x \text{ } b$ )  
 ⟨*proof*⟩

### 3.3 Infinite Paths

**types** ('n, 'e) *ipath* = ('n  $\times$  'e) *sequence*

**definition** *has-ipath* :: ('n, 'e) graph  $\Rightarrow$  ('n, 'e) *ipath*  $\Rightarrow$  bool

**where**

*has-ipath* *G p* =  
 ( $\forall i. \text{has-edge } G \text{ (fst } (p \text{ } i)) \text{ (snd } (p \text{ } i)) \text{ (fst } (p \text{ (Suc } i)))$ )

### 3.4 Finite Paths

**types** ('n, 'e) *fpath* = ('n  $\times$  ('e  $\times$  'n) *list*)

**inductive** *has-fpath* :: ('n, 'e) graph  $\Rightarrow$  ('n, 'e) *fpath*  $\Rightarrow$  bool

**for** *G* :: ('n, 'e) graph

**where**

*has-fpath-empty*: *has-fpath* *G* (*n*, [])  
 | *has-fpath-join*:  $\llbracket G \vdash n \rightsquigarrow^e n'; \text{has-fpath } G \text{ (} n', \text{es} \rrbracket \Longrightarrow \text{has-fpath } G \text{ (} n, (e, n') \# \text{es})$

**definition**

*end-node* *p* =  
 (if *snd* *p* = [] then *fst* *p* else *snd* (*snd* *p* ! (*length* (*snd* *p*) - 1)))

**definition** *path-nth* :: ('n, 'e) *fpath*  $\Rightarrow$  nat  $\Rightarrow$  ('n  $\times$  'e  $\times$  'n)

**where**

*path-nth* *p k* = (if *k* = 0 then *fst* *p* else *snd* (*snd* *p* ! (*k* - 1)), *snd* *p* ! *k*)

**lemma** *endnode-nth*:

**assumes** *length* (*snd* *p*) = *Suc* *k*  
**shows** *end-node* *p* = *snd* (*snd* (*path-nth* *p k*))  
 ⟨*proof*⟩

**lemma** *path-nth-graph*:

**assumes** *k* < *length* (*snd* *p*)

**assumes** *has-fpath*  $G\ p$   
**shows**  $(\lambda(n,e,n'). \text{has-edge } G\ n\ e\ n')\ (\text{path-nth } p\ k)$   
 $\langle \text{proof} \rangle$

**lemma** *path-nth-connected*:  
**assumes**  $\text{Suc } k < \text{length } (\text{snd } p)$   
**shows**  $\text{fst } (\text{path-nth } p\ (\text{Suc } k)) = \text{snd } (\text{snd } (\text{path-nth } p\ k))$   
 $\langle \text{proof} \rangle$

**definition** *path-loop* ::  $('n, 'e)\ \text{fpath} \Rightarrow ('n, 'e)\ \text{ipath } (\text{omega})$   
**where**  
 $\text{omega } p \equiv (\lambda i. (\lambda(n,e,n'). (n,e))\ (\text{path-nth } p\ (i \bmod (\text{length } (\text{snd } p))))))$

**lemma** *fst-p0*:  $\text{fst } (\text{path-nth } p\ 0) = \text{fst } p$   
 $\langle \text{proof} \rangle$

**lemma** *path-loop-connect*:  
**assumes**  $\text{fst } p = \text{end-node } p$   
**and**  $0 < \text{length } (\text{snd } p)$  (**is**  $0 < ?l$ )  
**shows**  $\text{fst } (\text{path-nth } p\ (\text{Suc } i \bmod (\text{length } (\text{snd } p))))$   
 $= \text{snd } (\text{snd } (\text{path-nth } p\ (i \bmod \text{length } (\text{snd } p))))$   
**(is**  $\dots = \text{snd } (\text{snd } (\text{path-nth } p\ ?k))$ )  
 $\langle \text{proof} \rangle$

**lemma** *path-loop-graph*:  
**assumes** *has-fpath*  $G\ p$   
**and** *loop*:  $\text{fst } p = \text{end-node } p$   
**and** *nonempty*:  $0 < \text{length } (\text{snd } p)$  (**is**  $0 < ?l$ )  
**shows** *has-ipath*  $G\ (\text{omega } p)$   
 $\langle \text{proof} \rangle$

**definition** *prod* ::  $('n, 'e::\text{monoid-mult})\ \text{fpath} \Rightarrow 'e$   
**where**  
 $\text{prod } p = \text{foldr } (\text{op } *)\ (\text{map } \text{fst } (\text{snd } p))\ 1$

**lemma** *prod-simps*[*simp*]:  
 $\text{prod } (n, []) = 1$   
 $\text{prod } (n, (e,n')\#es) = e * (\text{prod } (n',es))$   
 $\langle \text{proof} \rangle$

**lemma** *power-induces-path*:  
**assumes**  $a: \text{has-edge } (A \wedge k)\ n\ G\ m$   
**obtains**  $p$   
**where** *has-fpath*  $A\ p$   
**and**  $n = \text{fst } p\ m = \text{end-node } p$   
**and**  $G = \text{prod } p$   
**and**  $k = \text{length } (\text{snd } p)$   
 $\langle \text{proof} \rangle$

### 3.5 Sub-Paths

**definition** *sub-path* :: ('n, 'e) ipath  $\Rightarrow$  nat  $\Rightarrow$  nat  $\Rightarrow$  ('n, 'e) fpath  
 ((-⟨-, -⟩))

**where**

$p\langle i, j \rangle =$   
 (fst (p i), map ( $\lambda k. (snd (p k), fst (p (Suc k)))$ ) [i ..< j])

**lemma** *sub-path-is-path*:

**assumes** *ipath*: has-ipath G p

**assumes** l:  $i \leq j$

**shows** has-fpath G (p⟨i, j⟩)

⟨proof⟩

**lemma** *sub-path-start[simp]*:

fst (p⟨i, j⟩) = fst (p i)

⟨proof⟩

**lemma** *nth-upto[simp]*:  $k < j - i \implies [i ..< j] ! k = i + k$

⟨proof⟩

**lemma** *sub-path-end[simp]*:

$i < j \implies \text{end-node } (p\langle i, j \rangle) = \text{fst } (p j)$

⟨proof⟩

**lemma** *foldr-map*: foldr f (map g xs) = foldr (f o g) xs

⟨proof⟩

**lemma** *upto-append[simp]*:

**assumes**  $i \leq j \leq k$

**shows** [ i ..< j ] @ [ j ..< k ] = [ i ..< k ]

⟨proof⟩

**lemma** *foldr-monoid*: foldr (op \*) xs 1 \* foldr (op \*) ys 1

= foldr (op \*) (xs @ ys) (1::'a::monoid-mult)

⟨proof⟩

**lemma** *sub-path-prod*:

**assumes**  $i < j$

**assumes**  $j < k$

**shows** prod (p⟨i, k⟩) = prod (p⟨i, j⟩) \* prod (p⟨j, k⟩)

⟨proof⟩

**lemma** *path-acgpow-aux*:

**assumes** length es = l

**assumes** has-fpath G (n, es)

**shows** has-edge (G ^ l) n (prod (n, es)) (end-node (n, es))

$\langle proof \rangle$

**lemma** *path-acgpow*:

$has\_fpath\ G\ p$   
 $\implies has\_edge\ (G\ \wedge\ length\ (snd\ p))\ (fst\ p)\ (prod\ p)\ (end\_node\ p)$   
 $\langle proof \rangle$

**lemma** *star-paths*:

$has\_edge\ (star\ G)\ a\ x\ b =$   
 $(\exists p. has\_fpath\ G\ p \wedge a = fst\ p \wedge b = end\_node\ p \wedge x = prod\ p)$   
 $\langle proof \rangle$

**lemma** *plus-paths*:

$has\_edge\ (tcl\ G)\ a\ x\ b =$   
 $(\exists p. has\_fpath\ G\ p \wedge a = fst\ p \wedge b = end\_node\ p \wedge x = prod\ p \wedge 0 < length$   
 $(snd\ p))$   
 $\langle proof \rangle$

**definition**

$contract\ s\ p =$   
 $(\lambda i. (fst\ (p\ (s\ i)), prod\ (p\langle s\ i, s\ (Suc\ i) \rangle)))$

**lemma** *ipath-contract*:

**assumes** *[simp]*: *increasing s*  
**assumes** *ipath*: *has-ipath G p*  
**shows** *has-ipath (tcl G) (contract s p)*  
 $\langle proof \rangle$

**lemma** *prod-unfold*:

$i < j \implies prod\ (p\langle i, j \rangle)$   
 $= snd\ (p\ i) * prod\ (p\langle Suc\ i, j \rangle)$   
 $\langle proof \rangle$

**lemma** *sub-path-loop*:

**assumes**  $0 < k$   
**assumes** *k*:  $k = length\ (snd\ loop)$   
**assumes** *loop*:  $fst\ loop = end\_node\ loop$   
**shows**  $(omega\ loop)\langle k * i, k * Suc\ i \rangle = loop\ (\mathbf{is}\ ?\omega = loop)$   
 $\langle proof \rangle$

**end**

## 4 The Size-Change Principle (Definition)

```
theory Criterion
imports Graphs Infinite-Set
begin
```

### 4.1 Size-Change Graphs

```
datatype sedge =
  LESS ( $\downarrow$ )
  | LEQ ( $\Downarrow$ )
```

```
instance sedge :: one
  one-sedge-def: 1  $\equiv \downarrow$   $\langle$ proof $\rangle$ 
```

```
instance sedge :: times
  mult-sedge-def: a * b  $\equiv$  if a =  $\downarrow$  then  $\downarrow$  else b  $\langle$ proof $\rangle$ 
```

```
instance sedge :: comm-monoid-mult
 $\langle$ proof $\rangle$ 
```

```
lemma sedge-UNIV:
  UNIV = { LESS, LEQ }
 $\langle$ proof $\rangle$ 
```

```
instance sedge :: finite
 $\langle$ proof $\rangle$ 
```

```
lemmas [code func] = sedge-UNIV
```

```
types 'a scg = ('a, sedge) graph
types 'a acg = ('a, 'a scg) graph
```

### 4.2 Size-Change Termination

```
abbreviation (input)
  desc P Q == (( $\exists n. \forall i \geq n. P i$ )  $\wedge$  ( $\exists \infty i. Q i$ ))
```

```
abbreviation (input)
  dsc G i j  $\equiv$  has-edge G i LESS j
```

```
abbreviation (input)
  eq G i j  $\equiv$  has-edge G i LEQ j
```

```
abbreviation
  eql :: 'a scg  $\Rightarrow$  'a  $\Rightarrow$  'a  $\Rightarrow$  bool
  (-  $\vdash$  -  $\rightsquigarrow$  -)
```

```
where
  eql G i j  $\equiv$  has-edge G i LESS j  $\vee$  has-edge G i LEQ j
```

**abbreviation** (*input*) *descat* :: ('a, 'a scg) ipath  $\Rightarrow$  'a sequence  $\Rightarrow$  nat  $\Rightarrow$  bool  
**where**  
*descat* *p*  $\vartheta$  *i*  $\equiv$  *has-edge* (*snd* (*p* *i*)) ( $\vartheta$  *i*) *LESS* ( $\vartheta$  (*Suc* *i*))

**abbreviation** (*input*) *eqat* :: ('a, 'a scg) ipath  $\Rightarrow$  'a sequence  $\Rightarrow$  nat  $\Rightarrow$  bool  
**where**  
*eqat* *p*  $\vartheta$  *i*  $\equiv$  *has-edge* (*snd* (*p* *i*)) ( $\vartheta$  *i*) *LEQ* ( $\vartheta$  (*Suc* *i*))

**abbreviation** (*input*) *eqlat* :: ('a, 'a scg) ipath  $\Rightarrow$  'a sequence  $\Rightarrow$  nat  $\Rightarrow$  bool  
**where**  
*eqlat* *p*  $\vartheta$  *i*  $\equiv$  (*has-edge* (*snd* (*p* *i*)) ( $\vartheta$  *i*) *LESS* ( $\vartheta$  (*Suc* *i*))  
 $\vee$  *has-edge* (*snd* (*p* *i*)) ( $\vartheta$  *i*) *LEQ* ( $\vartheta$  (*Suc* *i*)))

**definition** *is-desc-thread* :: 'a sequence  $\Rightarrow$  ('a, 'a scg) ipath  $\Rightarrow$  bool  
**where**  
*is-desc-thread*  $\vartheta$  *p* = (( $\exists n. \forall i \geq n. \text{eqlat } p \ \vartheta \ i$ )  $\wedge$  ( $\exists_{\infty} i. \text{descat } p \ \vartheta \ i$ ))

**definition** *SCT* :: 'a acg  $\Rightarrow$  bool  
**where**  
*SCT* *A* =  
( $\forall p. \text{has-ipath } A \ p \longrightarrow (\exists \vartheta. \text{is-desc-thread } \vartheta \ p)$ )

**definition** *no-bad-graphs* :: 'a acg  $\Rightarrow$  bool  
**where**  
*no-bad-graphs* *A* =  
( $\forall n \ G. \text{has-edge } A \ n \ G \ n \wedge G * G = G$   
 $\longrightarrow (\exists p. \text{has-edge } G \ p \ \text{LESS } p)$ )

**definition** *SCT'* :: 'a acg  $\Rightarrow$  bool  
**where**  
*SCT'* *A* = *no-bad-graphs* (*tcl* *A*)

**end**

## 5 Proof of the Size-Change Principle

**theory** *Correctness*  
**imports** *Main Ramsey Misc-Tools Criterion*  
**begin**



## 5.1 Auxiliary definitions

**definition** *is-thread* ::  $\text{nat} \Rightarrow 'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow \text{bool}$

**where**

$$\text{is-thread } n \vartheta p = (\forall i \geq n. \text{eqlat } p \vartheta i)$$

**definition** *is-fthread* ::

$$'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$$

**where**

$$\text{is-fthread } \vartheta \text{ mp } i j = (\forall k \in \{i..<j\}. \text{eqlat } \text{mp } \vartheta k)$$

**definition** *is-desc-fthread* ::

$$'a \text{ sequence} \Rightarrow ('a, 'a \text{ scg}) \text{ ipath} \Rightarrow \text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}$$

**where**

$$\begin{aligned} \text{is-desc-fthread } \vartheta \text{ mp } i j = \\ (\text{is-fthread } \vartheta \text{ mp } i j \wedge \\ (\exists k \in \{i..<j\}. \text{descat } \text{mp } \vartheta k)) \end{aligned}$$

**definition**

$$\begin{aligned} \text{has-fth } p \ i \ j \ n \ m = \\ (\exists \vartheta. \text{is-fthread } \vartheta \ p \ i \ j \wedge \vartheta \ i = n \wedge \vartheta \ j = m) \end{aligned}$$

**definition**

$$\begin{aligned} \text{has-desc-fth } p \ i \ j \ n \ m = \\ (\exists \vartheta. \text{is-desc-fthread } \vartheta \ p \ i \ j \wedge \vartheta \ i = n \wedge \vartheta \ j = m) \end{aligned}$$

## 5.2 Everything is finite

**lemma** *finite-range*:

**fixes**  $f :: \text{nat} \Rightarrow 'a$

**assumes**  $\text{fin}: \text{finite } (\text{range } f)$

**shows**  $\exists x. \exists_{\infty} i. f \ i = x$

*<proof>*

**lemma** *finite-range-ignore-prefix*:

**fixes**  $f :: \text{nat} \Rightarrow 'a$

**assumes**  $fA: \text{finite } A$

**assumes**  $\text{inA}: \forall x \geq n. f \ x \in A$

**shows**  $\text{finite } (\text{range } f)$

*<proof>*

**definition**

$$\text{finite-graph } G = \text{finite } (\text{dest-graph } G)$$

**definition**

$$\text{all-finite } G = (\forall n \ H \ m. \text{has-edge } G \ n \ H \ m \longrightarrow \text{finite-graph } H)$$

**definition**

$$\text{finite-acg } A = (\text{finite-graph } A \wedge \text{all-finite } A)$$

**definition**

$nodes\ G = fst\ 'dest-graph\ G \cup snd\ 'snd\ 'dest-graph\ G$

**definition**

$edges\ G = fst\ 'snd\ 'dest-graph\ G$

**definition**

$smallnodes\ G = \bigcup (nodes\ 'edges\ G)$

**lemma** *thread-image-nodes*:

**assumes** *th*: *is-thread* *n*  $\vartheta$  *p*

**shows**  $\forall i \geq n. \vartheta\ i \in nodes\ (snd\ (p\ i))$

*<proof>*

**lemma** *finite-nodes*: *finite-graph* *G*  $\implies$  *finite* (*nodes* *G*)

*<proof>*

**lemma** *nodes-subgraph*:  $A \leq B \implies nodes\ A \subseteq nodes\ B$

*<proof>*

**lemma** *finite-edges*: *finite-graph* *G*  $\implies$  *finite* (*edges* *G*)

*<proof>*

**lemma** *edges-sum[simp]*: *edges* (*A* + *B*) = *edges* *A*  $\cup$  *edges* *B*

*<proof>*

**lemma** *nodes-sum[simp]*: *nodes* (*A* + *B*) = *nodes* *A*  $\cup$  *nodes* *B*

*<proof>*

**lemma** *finite-acg-subset*:

$A \leq B \implies finite-acg\ B \implies finite-acg\ A$

*<proof>*

**lemma** *scg-finite*:

**fixes** *G* :: 'a *scg*

**assumes** *fin*: *finite* (*nodes* *G*)

**shows** *finite-graph* *G*

*<proof>*

**lemma** *smallnodes-sum[simp]*:

*smallnodes* (*A* + *B*) = *smallnodes* *A*  $\cup$  *smallnodes* *B*

*<proof>*

**lemma** *in-smallnodes*:

**fixes** *A* :: 'a *acg*

**assumes** *e*: *has-edge* *A* *x* *G* *y*

**shows** *nodes* *G*  $\subseteq$  *smallnodes* *A*

*<proof>*

**lemma** *finite-smallnodes*:

**assumes** *fA*: *finite-acg* *A*

```

shows finite (smallnodes A)
  <proof>

lemma nodes-tcl:
  nodes (tcl A) = nodes A
  <proof>

lemma smallnodes-tcl:
  fixes A :: 'a acg
  shows smallnodes (tcl A) = smallnodes A
  <proof>

lemma finite-nodegraphs:
  assumes F: finite F
  shows finite { G::'a scg. nodes G  $\subseteq$  F } (is finite ?P)
  <proof>

lemma finite-graphI:
  fixes A :: 'a acg
  assumes fin: finite (nodes A) finite (smallnodes A)
  shows finite-graph A
  <proof>

lemma smallnodes-allfinite:
  fixes A :: 'a acg
  assumes fin: finite (smallnodes A)
  shows all-finite A
  <proof>

lemma finite-tcl:
  fixes A :: 'a acg
  shows finite-acg (tcl A)  $\longleftrightarrow$  finite-acg A
  <proof>

lemma finite-acg-empty: finite-acg (Graph {})
  <proof>

lemma finite-acg-ins:
  assumes fA: finite-acg (Graph A)
  assumes fG: finite G
  shows finite-acg (Graph (insert (a, Graph G, b) A))
  <proof>

lemmas finite-acg-simps = finite-acg-empty finite-acg-ins finite-graph-def

```

### 5.3 Contraction and more

abbreviation

$pdesc\ P == (fst\ P, prod\ P, end-node\ P)$

**lemma** *pdesc-acgplus*:

**assumes** *has-ipath*  $\mathcal{A}\ p$

**and**  $i < j$

**shows** *has-edge*  $(tcl\ \mathcal{A})\ (fst\ (p(i,j)))\ (prod\ (p(i,j)))\ (end-node\ (p(i,j)))$

$\langle proof \rangle$

**lemma** *combine-fthreads*:

**assumes** *range*:  $i < j \leq k$

**shows**

*has-fth*  $p\ i\ k\ m\ r =$

$(\exists n. \text{has-fth } p\ i\ j\ m\ n \wedge \text{has-fth } p\ j\ k\ n\ r) \text{ (is } ?L = ?R)$

$\langle proof \rangle$

**lemma** *desc-is-fthread*:

*is-desc-fthread*  $\vartheta\ p\ i\ k \implies \text{is-fthread } \vartheta\ p\ i\ k$

$\langle proof \rangle$

**lemma** *combine-dfthreads*:

**assumes** *range*:  $i < j \leq k$

**shows**

*has-desc-fth*  $p\ i\ k\ m\ r =$

$(\exists n. (\text{has-desc-fth } p\ i\ j\ m\ n \wedge \text{has-fth } p\ j\ k\ n\ r)$

$\vee (\text{has-fth } p\ i\ j\ m\ n \wedge \text{has-desc-fth } p\ j\ k\ n\ r)) \text{ (is } ?L = ?R)$

$\langle proof \rangle$

**lemma** *fth-single*:

*has-fth*  $p\ i\ (Suc\ i)\ m\ n = \text{eq}\ (snd\ (p\ i))\ m\ n \text{ (is } ?L = ?R)$

$\langle proof \rangle$

**lemma** *desc-fth-single*:

*has-desc-fth*  $p\ i\ (Suc\ i)\ m\ n =$

*dsc*  $(snd\ (p\ i))\ m\ n \text{ (is } ?L = ?R)$

$\langle proof \rangle$

**lemma** *mk-eql*:  $(G \vdash m \rightsquigarrow^e n) \implies \text{eq}\ G\ m\ n$

$\langle proof \rangle$

**lemma** *eql-scgcomp*:

*eq*  $(G * H)\ m\ r =$

$(\exists n. \text{eq}\ G\ m\ n \wedge \text{eq}\ H\ n\ r) \text{ (is } ?L = ?R)$

$\langle proof \rangle$

**lemma** *desc-segcomp*:  
 $dsc (G * H) m r =$   
 $(\exists n. (dsc G m n \wedge eql H n r) \vee (eq G m n \wedge dsc H n r))$  (**is** ?L = ?R)  
 $\langle proof \rangle$

**lemma** *has-fth-unfold*:  
**assumes**  $i < j$   
**shows**  $has-fth p i j m n =$   
 $(\exists r. has-fth p i (Suc i) m r \wedge has-fth p (Suc i) j r n)$   
 $\langle proof \rangle$

**lemma** *has-dfth-unfold*:  
**assumes**  $range: i < j$   
**shows**  
 $has-desc-fth p i j m r =$   
 $(\exists n. (has-desc-fth p i (Suc i) m n \wedge has-fth p (Suc i) j n r)$   
 $\vee (has-fth p i (Suc i) m n \wedge has-desc-fth p (Suc i) j n r))$   
 $\langle proof \rangle$

**lemma** *Lemma7a*:  
 $i \leq j \implies has-fth p i j m n = eql (prod (p\langle i,j \rangle)) m n$   
 $\langle proof \rangle$

**lemma** *Lemma7b*:  
**assumes**  $i \leq j$   
**shows**  
 $has-desc-fth p i j m n =$   
 $dsc (prod (p\langle i,j \rangle)) m n$   
 $\langle proof \rangle$

**lemma** *descat-contract*:  
**assumes** [*simp*]: *increasing s*  
**shows**  
 $descat (contract s p) \vartheta i =$   
 $has-desc-fth p (s i) (s (Suc i)) (\vartheta i) (\vartheta (Suc i))$   
 $\langle proof \rangle$

**lemma** *eqlat-contract*:  
**assumes** [*simp*]: *increasing s*  
**shows**  
 $eqlat (contract s p) \vartheta i =$   
 $has-fth p (s i) (s (Suc i)) (\vartheta i) (\vartheta (Suc i))$   
 $\langle proof \rangle$

### 5.3.1 Connecting threads

**definition**

$connect\ s\ \vartheta s = (\lambda k. \vartheta s\ (section-of\ s\ k)\ k)$

**lemma** *next-in-range*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes**  $a$ :  $k \in section\ s\ i$

**shows**  $(Suc\ k \in section\ s\ i) \vee (Suc\ k \in section\ s\ (Suc\ i))$

$\langle proof \rangle$

**lemma** *connect-threads*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes** *connected*:  $\vartheta s\ i\ (s\ (Suc\ i)) = \vartheta s\ (Suc\ i)\ (s\ (Suc\ i))$

**assumes** *fth*: *is-fthread*  $(\vartheta s\ i)\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows**

*is-fthread*  $(connect\ s\ \vartheta s)\ p\ (s\ i)\ (s\ (Suc\ i))$

$\langle proof \rangle$

**lemma** *connect-dthreads*:

**assumes** *inc* $[simp]$ : *increasing*  $s$

**assumes** *connected*:  $\vartheta s\ i\ (s\ (Suc\ i)) = \vartheta s\ (Suc\ i)\ (s\ (Suc\ i))$

**assumes** *fth*: *is-desc-fthread*  $(\vartheta s\ i)\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows**

*is-desc-fthread*  $(connect\ s\ \vartheta s)\ p\ (s\ i)\ (s\ (Suc\ i))$

$\langle proof \rangle$

**lemma** *mk-inf-thread*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes** *fths*:  $\bigwedge i. i > n \implies is-fthread\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows** *is-thread*  $(s\ (Suc\ n))\ \vartheta\ p$

$\langle proof \rangle$

**lemma** *mk-inf-desc-thread*:

**assumes**  $[simp]$ : *increasing*  $s$

**assumes** *fths*:  $\bigwedge i. i > n \implies is-fthread\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$

**assumes** *fdths*:  $\exists_{\infty} i. is-desc-fthread\ \vartheta\ p\ (s\ i)\ (s\ (Suc\ i))$

**shows** *is-desc-thread*  $\vartheta\ p$

$\langle proof \rangle$

**lemma** *desc-ex-choice*:

**assumes**  $A$ :  $((\exists n. \forall i \geq n. \exists x. P\ x\ i) \wedge (\exists_{\infty} i. \exists x. Q\ x\ i))$

**and** *imp*:  $\bigwedge x\ i. Q\ x\ i \implies P\ x\ i$

**shows**  $\exists xs. ((\exists n. \forall i \geq n. P (xs\ i)\ i) \wedge (\exists_{\infty} i. Q (xs\ i)\ i))$   
**(is**  $\exists xs. ?Ps\ xs \wedge ?Qs\ xs)$   
 $\langle proof \rangle$

**lemma** *dthreads-join*:  
**assumes**  $[simp]$ : *increasing s*  
**assumes** *dthread*: *is-desc-thread*  $\vartheta$  (*contract s p*)  
**shows**  $\exists \vartheta s. desc\ (\lambda i. is-fthread\ (\vartheta s\ i)\ p\ (s\ i)\ (s\ (Suc\ i)))$   
 $\wedge \vartheta s\ i\ (s\ i) = \vartheta\ i$   
 $\wedge \vartheta s\ i\ (s\ (Suc\ i)) = \vartheta\ (Suc\ i)$   
 $(\lambda i. is-desc-fthread\ (\vartheta s\ i)\ p\ (s\ i)\ (s\ (Suc\ i)))$   
 $\wedge \vartheta s\ i\ (s\ i) = \vartheta\ i$   
 $\wedge \vartheta s\ i\ (s\ (Suc\ i)) = \vartheta\ (Suc\ i)$   
 $\langle proof \rangle$

**lemma** *INF-drop-prefix*:  
 $(\exists_{\infty} i::nat. i > n \wedge P\ i) = (\exists_{\infty} i. P\ i)$   
 $\langle proof \rangle$

**lemma** *contract-keeps-threads*:  
**assumes**  $inc[simp]$ : *increasing s*  
**shows**  $(\exists \vartheta. is-desc-thread\ \vartheta\ p)$   
 $\longleftrightarrow (\exists \vartheta. is-desc-thread\ \vartheta\ (contract\ s\ p))$   
**(is**  $?A \longleftrightarrow ?B)$   
 $\langle proof \rangle$

**lemma** *repeated-edge*:  
**assumes**  $\bigwedge i. i > n \implies dsc\ (snd\ (p\ i))\ k\ k$   
**shows** *is-desc-thread*  $(\lambda i. k)\ p$   
 $\langle proof \rangle$

**lemma** *fin-from-inf*:  
**assumes** *is-thread*  $n\ \vartheta\ p$   
**assumes**  $n < i$   
**assumes**  $i < j$   
**shows** *is-fthread*  $\vartheta\ p\ i\ j$   
 $\langle proof \rangle$

## 5.4 Ramsey's Theorem

**definition**  
 $set2pair\ S = (THE\ (x,y). x < y \wedge S = \{x,y\})$

**lemma** *set2pair-conv*:  
**fixes**  $x\ y :: \text{nat}$   
**assumes**  $x < y$   
**shows**  $\text{set2pair } \{x, y\} = (x, y)$   
 $\langle \text{proof} \rangle$

**definition**  
 $\text{set2list} = \text{inv set}$

**lemma** *finite-set2list*:  
**assumes** *finite*  $S$   
**shows**  $\text{set } (\text{set2list } S) = S$   
 $\langle \text{proof} \rangle$

**corollary** *RamseyNatpairs*:  
**fixes**  $S :: 'a \text{ set}$   
**and**  $f :: \text{nat} \times \text{nat} \Rightarrow 'a$   
  
**assumes** *finite*  $S$   
**and** *inS*:  $\bigwedge x\ y. x < y \implies f\ (x, y) \in S$   
  
**obtains**  $T :: \text{nat set}$  **and**  $s :: 'a$   
**where** *infinite*  $T$   
**and**  $s \in S$   
**and**  $\bigwedge x\ y. \llbracket x \in T; y \in T; x < y \rrbracket \implies f\ (x, y) = s$   
 $\langle \text{proof} \rangle$

## 5.5 Main Result

**theorem** *LJA-Theorem4*:  
**assumes** *finite-acg*  $A$   
**shows**  $\text{SCT } A \longleftrightarrow \text{SCT}' A$   
 $\langle \text{proof} \rangle$

**end**

## 6 Applying SCT to function definitions

**theory** *Interpretation*  
**imports** *Main Misc-Tools Criterion*  
**begin**

**definition**  
 $\text{idseq } R\ s\ x = (s\ 0 = x \wedge (\forall i. R\ (s\ (\text{Suc } i))\ (s\ i)))$

**lemma** *not-acc-smaller*:



**assumes** *notacc*:  $\neg \text{accp } R \ x$   
**shows**  $\exists y. R \ y \ x \wedge \neg \text{accp } R \ y$   
 $\langle \text{proof} \rangle$

**lemma** *non-acc-has-idseq*:

**assumes**  $\neg \text{accp } R \ x$   
**shows**  $\exists s. \text{idseq } R \ s \ x$   
 $\langle \text{proof} \rangle$

**types**  $('a, 'q) \text{ cdesc} =$   
 $('q \Rightarrow \text{bool}) \times ('q \Rightarrow 'a) \times ('q \Rightarrow 'a)$

**fun** *in-cdesc* ::  $('a, 'q) \text{ cdesc} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$   
**where**  
 $\text{in-cdesc } (\Gamma, r, l) \ x \ y = (\exists q. x = r \ q \wedge y = l \ q \wedge \Gamma \ q)$

**fun** *mk-rel* ::  $('a, 'q) \text{ cdesc list} \Rightarrow 'a \Rightarrow 'a \Rightarrow \text{bool}$   
**where**  
 $\text{mk-rel } [] \ x \ y = \text{False}$   
 $| \text{mk-rel } (c \# cs) \ x \ y =$   
 $(\text{in-cdesc } c \ x \ y \vee \text{mk-rel } cs \ x \ y)$

**lemma** *some-rd*:

**assumes** *mk-rel rds*  $x \ y$   
**shows**  $\exists rd \in \text{set } rds. \text{in-cdesc } rd \ x \ y$   
 $\langle \text{proof} \rangle$

**lemma** *ex-cs*:

**assumes** *idseq*:  $\text{idseq } (\text{mk-rel } rds) \ s \ x$   
**shows**  $\exists cs. \forall i. cs \ i \in \text{set } rds \wedge \text{in-cdesc } (cs \ i) \ (s \ (\text{Suc } i)) \ (s \ i)$   
 $\langle \text{proof} \rangle$

**types**  $'a \text{ measures} = \text{nat} \Rightarrow 'a \Rightarrow \text{nat}$

**fun** *stepP* ::  $('a, 'q) \text{ cdesc} \Rightarrow ('a, 'q) \text{ cdesc} \Rightarrow$   
 $('a \Rightarrow \text{nat}) \Rightarrow ('a \Rightarrow \text{nat}) \Rightarrow (\text{nat} \Rightarrow \text{nat} \Rightarrow \text{bool}) \Rightarrow \text{bool}$   
**where**  
 $\text{stepP } (\Gamma 1, r1, l1) \ (\Gamma 2, r2, l2) \ m1 \ m2 \ R$   
 $= (\forall q_1 \ q_2. \Gamma 1 \ q_1 \wedge \Gamma 2 \ q_2 \wedge r1 \ q_1 = l2 \ q_2$   
 $\longrightarrow R \ (m2 \ (l2 \ q_2)) \ ((m1 \ (l1 \ q_1))))$

**definition**

$$\text{decr} :: ('a, 'q) \text{ cdesc} \Rightarrow ('a, 'q) \text{ cdesc} \Rightarrow$$

$$('a \Rightarrow \text{nat}) \Rightarrow ('a \Rightarrow \text{nat}) \Rightarrow \text{bool}$$
**where**

$$\text{decr } c1 \ c2 \ m1 \ m2 = \text{stepP } c1 \ c2 \ m1 \ m2 \ (op <)$$
**definition**

$$\text{decreq} :: ('a, 'q) \text{ cdesc} \Rightarrow ('a, 'q) \text{ cdesc} \Rightarrow$$

$$('a \Rightarrow \text{nat}) \Rightarrow ('a \Rightarrow \text{nat}) \Rightarrow \text{bool}$$
**where**

$$\text{decreq } c1 \ c2 \ m1 \ m2 = \text{stepP } c1 \ c2 \ m1 \ m2 \ (op \leq)$$
**definition**

$$\text{no-step} :: ('a, 'q) \text{ cdesc} \Rightarrow ('a, 'q) \text{ cdesc} \Rightarrow \text{bool}$$
**where**

$$\text{no-step } c1 \ c2 = \text{stepP } c1 \ c2 \ (\lambda x. 0) \ (\lambda x. 0) \ (\lambda x y. \text{False})$$
**lemma** *decr-in-cdesc:*

**assumes** *in-cdesc* *RD1* *y* *x*  
**assumes** *in-cdesc* *RD2* *z* *y*  
**assumes** *decr* *RD1* *RD2* *m1* *m2*  
**shows** *m2* *y* < *m1* *x*  
 ⟨*proof*⟩

**lemma** *decreq-in-cdesc:*

**assumes** *in-cdesc* *RD1* *y* *x*  
**assumes** *in-cdesc* *RD2* *z* *y*  
**assumes** *decreq* *RD1* *RD2* *m1* *m2*  
**shows** *m2* *y* ≤ *m1* *x*  
 ⟨*proof*⟩

**lemma** *no-inf-desc-nat-sequence:*

**fixes** *s* :: *nat* ⇒ *nat*  
**assumes** *leq*:  $\bigwedge i. n \leq i \implies s \ (Suc \ i) \leq s \ i$   
**assumes** *less*:  $\exists_{\infty} i. s \ (Suc \ i) < s \ i$   
**shows** *False*  
 ⟨*proof*⟩

**definition**

$$\text{approx} :: \text{nat} \text{ scg} \Rightarrow ('a, 'q) \text{ cdesc} \Rightarrow ('a, 'q) \text{ cdesc}$$

$$\Rightarrow 'a \text{ measures} \Rightarrow 'a \text{ measures} \Rightarrow \text{bool}$$
**where**

$approx\ G\ C\ C'\ M\ M'$   
 $= (\forall i\ j. (dsc\ G\ i\ j \longrightarrow decr\ C\ C'\ (M\ i)\ (M'\ j)))$   
 $\wedge (eq\ G\ i\ j \longrightarrow decreq\ C\ C'\ (M\ i)\ (M'\ j)))$

**lemma** *approx-empty*:

$approx\ (Graph\ \{\})\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *approx-less*:

**assumes**  $stepP\ c1\ c2\ (ms1\ i)\ (ms2\ j)\ (op\ <)$   
**assumes**  $approx\ (Graph\ Es)\ c1\ c2\ ms1\ ms2$   
**shows**  $approx\ (Graph\ (insert\ (i,\ \downarrow,\ j)\ Es))\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *approx-leq*:

**assumes**  $stepP\ c1\ c2\ (ms1\ i)\ (ms2\ j)\ (op\ \leq)$   
**assumes**  $approx\ (Graph\ Es)\ c1\ c2\ ms1\ ms2$   
**shows**  $approx\ (Graph\ (insert\ (i,\ \Downarrow,\ j)\ Es))\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *approx*  $(Graph\ \{(1,\ \downarrow,\ 2), (2,\ \Downarrow,\ 3)\})\ c1\ c2\ ms1\ ms2$   
 $\langle proof \rangle$

**lemma** *no-stepI*:

$stepP\ c1\ c2\ m1\ m2\ (\lambda x\ y. False)$   
 $\implies no\text{-}step\ c1\ c2$   
 $\langle proof \rangle$

**definition**

$sound\text{-}int :: nat\ \alpha c g \Rightarrow ('a,\ 'q)\ cdesc\ list$   
 $\Rightarrow 'a\ measures\ list \Rightarrow bool$

**where**

$sound\text{-}int\ \mathcal{A}\ RDs\ M =$   
 $(\forall n < length\ RDs. \forall m < length\ RDs.$   
 $no\text{-}step\ (RDs\ !\ n)\ (RDs\ !\ m) \vee$   
 $(\exists G. (\mathcal{A} \vdash n \rightsquigarrow^G m) \wedge approx\ G\ (RDs\ !\ n)\ (RDs\ !\ m)\ (M\ !\ n)\ (M\ !\ m)))$

**lemma** *length-simps*:  $length\ [] = 0\ length\ (x\#xs) = Suc\ (length\ xs)$

```

    <proof>

lemma all-less-zero:  $\forall n < (0 :: nat). P\ n$ 
    <proof>

lemma all-less-Suc:
  assumes  $Pk: P\ k$ 
  assumes  $Pn: \forall n < k. P\ n$ 
  shows  $\forall n < Suc\ k. P\ n$ 
  <proof>

lemma step-witness:
  assumes in-cdesc  $RD1\ y\ x$ 
  assumes in-cdesc  $RD2\ z\ y$ 
  shows  $\neg no\text{-}step\ RD1\ RD2$ 
  <proof>

theorem SCT-on-relations:
  assumes  $R: R = mk\text{-}rel\ RDs$ 
  assumes sound: sound-int  $\mathcal{A}\ RDs\ M$ 
  assumes SCT  $\mathcal{A}$ 
  shows  $\forall x. accp\ R\ x$ 
  <proof>

end

## 7 Implementation of the SCT criterion

theory Implementation
imports Correctness
begin

fun edges-match ::  $('n \times 'e \times 'n) \times ('n \times 'e \times 'n) \Rightarrow bool$ 
where
  edges-match  $((n, e, m), (n', e', m')) = (m = n')$ 

fun connect-edges ::
   $('n \times ('e :: times) \times 'n) \times ('n \times 'e \times 'n)$ 
   $\Rightarrow ('n \times 'e \times 'n)$ 
where
  connect-edges  $((n, e, m), (n', e', m')) = (n, e * e', m')$ 

lemma grcomp-code [code]:
  grcomp  $(Graph\ G)\ (Graph\ H) = Graph\ (connect\text{-}edges\ ' \{ x \in G \times H. edges\text{-}match\ x \})$ 
  <proof>

```

**lemma** *mk-tcl-finite-terminates*:  
**fixes**  $A :: 'a\ acg$   
**assumes**  $fA: finite-acg\ A$   
**shows**  $mk-tcl-dom\ (A, A)$   
 $\langle proof \rangle$

**lemma** *mk-tcl-finite-tcl*:  
**fixes**  $A :: 'a\ acg$   
**assumes**  $fA: finite-acg\ A$   
**shows**  $mk-tcl\ A\ A = tcl\ A$   
 $\langle proof \rangle$

**definition**  $test-SCT :: nat\ acg \Rightarrow bool$   
**where**  
 $test-SCT\ \mathcal{A} =$   
 $(let\ \mathcal{T} = mk-tcl\ \mathcal{A}\ \mathcal{A}$   
 $in\ (\forall (n, G, m) \in dest-graph\ \mathcal{T}.$   
 $n \neq m \vee G * G \neq G \vee$   
 $(\exists (p :: nat, e, q) \in dest-graph\ G. p = q \wedge e = LESS)))$

**lemma** *SCT'-exec*:  
**assumes**  $fin: finite-acg\ A$   
**shows**  $SCT'\ A = test-SCT\ A$   
 $\langle proof \rangle$

**code-module** *SML*  
*Implementation Graphs*

**lemma** [*code func*]:  
 $(G :: ('a :: eq, 'b :: eq)\ graph) \leq H \longleftrightarrow dest-graph\ G \subseteq dest-graph\ H$   
 $(G :: ('a :: eq, 'b :: eq)\ graph) < H \longleftrightarrow dest-graph\ G \subset dest-graph\ H$   
 $\langle proof \rangle$

**lemma** [*code func*]:  
 $(G :: ('a :: eq, 'b :: eq)\ graph) + H = Graph\ (dest-graph\ G \cup dest-graph\ H)$   
 $\langle proof \rangle$

**lemma** [*code func*]:  
 $(G :: ('a :: eq, 'b :: \{eq, times\})\ graph) * H = grcomp\ G\ H$   
 $\langle proof \rangle$

**lemma** *SCT'-empty*:  $SCT'\ (Graph\ \{\})$   
 $\langle proof \rangle$

## 7.1 Witness checking

**definition** *test-SCT-witness* :: *nat acg*  $\Rightarrow$  *nat acg*  $\Rightarrow$  *bool*

**where**

$$\begin{aligned} \text{test-SCT-witness } A \ T = \\ (A \leq T \wedge A * T \leq T \wedge \\ (\forall (n, G, m) \in \text{dest-graph } T. \\ n \neq m \vee G * G \neq G \vee \\ (\exists (p :: \text{nat}, e, q) \in \text{dest-graph } G. p = q \wedge e = \text{LESS}))) \end{aligned}$$

**lemma** *no-bad-graphs-ucl*:

**assumes**  $A \leq B$

**assumes** *no-bad-graphs*  $B$

**shows** *no-bad-graphs*  $A$

*<proof>*

**lemma** *SCT'-witness*:

**assumes**  $a$ : *test-SCT-witness*  $A \ T$

**shows** *SCT'*  $A$

*<proof>*

**code-modulename** *SML*

*Graphs SCT*

*Kleene-Algebras SCT*

*Implementation SCT*

**export-code** *test-SCT* **in** *SML*

**end**

## 8 Size-Change Termination

**theory** *Size-Change-Termination*

**imports** *Correctness Interpretation Implementation*

**uses** *sct.ML*

**begin**

### 8.1 Simplifier setup

This is needed to run the SCT algorithm in the simplifier:

**lemma** *setbcomp-simps*:

$$\{x \in \{\}. P \ x\} = \{\}$$

$$\{x \in \text{insert } y \ ys. P \ x\} = (\text{if } P \ y \text{ then insert } y \ \{x \in ys. P \ x\} \text{ else } \{x \in ys. P \ x\})$$

*<proof>*

**lemma** *setbcomp-cong*:

$$A = B \implies (\bigwedge x. P\ x = Q\ x) \implies \{x \in A. P\ x\} = \{x \in B. Q\ x\}$$

*<proof>*

**lemma** *cartprod-simps*:

$$\{\} \times A = \{\}$$

$$\text{insert } a\ A \times B = \text{Pair } a\ 'B \cup (A \times B)$$

*<proof>*

**lemma** *image-simps*:

$$f\ ' \{\} = \{\}$$

$$f\ ' \text{insert } a\ A = \text{insert } (f\ a)\ (f\ ' A)$$

*<proof>*

**lemmas** *union-simps* =

$$\text{Un-empty-left } \text{Un-empty-right } \text{Un-insert-left}$$

**lemma** *subset-simps*:

$$\{\} \subseteq B$$

$$\text{insert } a\ A \subseteq B \equiv a \in B \wedge A \subseteq B$$

*<proof>*

**lemma** *element-simps*:

$$x \in \{\} \equiv \text{False}$$

$$x \in \text{insert } a\ A \equiv x = a \vee x \in A$$

*<proof>*

**lemma** *set-eq-simp*:

$$A = B \longleftrightarrow A \subseteq B \wedge B \subseteq A$$

*<proof>*

**lemma** *ball-simps*:

$$\forall x \in \{\}. P\ x \equiv \text{True}$$

$$(\forall x \in \text{insert } a\ A. P\ x) \equiv P\ a \wedge (\forall x \in A. P\ x)$$

*<proof>*

**lemma** *bex-simps*:

$$\exists x \in \{\}. P\ x \equiv \text{False}$$

$$(\exists x \in \text{insert } a\ A. P\ x) \equiv P\ a \vee (\exists x \in A. P\ x)$$

*<proof>*

**lemmas** *set-simps* =

$$\text{setbcomp-simps}$$

$$\text{cartprod-simps } \text{image-simps } \text{union-simps } \text{subset-simps}$$

$$\text{element-simps } \text{set-eq-simp}$$

$$\text{ball-simps } \text{bex-simps}$$

**lemma** *sedg-simps*:

$$\downarrow * x = \downarrow$$

```

    ↓↓ * x = x
    ⟨proof⟩

lemmas sctTest-simps =
  simp-thms
  if-True
  if-False
  nat.inject
  nat.distinct
  Pair-eq

  grcomp-code
  edges-match.simps
  connect-edges.simps

  sedge-simps
  sedge.distinct
  set-simps

  graph-mult-def
  graph-leq-def
  dest-graph.simps
  graph-plus-def
  graph.inject
  graph-zero-def

  test-SCT-def
  mk-tcl-code

  Let-def
  split-conv

lemmas sctTest-congs =
  if-weak-cong let-weak-cong setbcomp-cong

lemma SCT-Main:
  finite-acg A  $\implies$  test-SCT A  $\implies$  SCT A
  ⟨proof⟩

end

```

## 9 Examples for Size-Change Termination

```

theory Examples
imports Size-Change-Termination
begin

```



```

function  $f :: nat \Rightarrow nat \Rightarrow nat$ 
where
   $f\ n\ 0 = n$ 
  |  $f\ 0\ (Suc\ m) = f\ (Suc\ m)\ m$ 
  |  $f\ (Suc\ n)\ (Suc\ m) = f\ m\ n$ 
   $\langle proof \rangle$ 

```

```

termination
   $\langle proof \rangle$ 

```

```

function  $p :: nat \Rightarrow nat \Rightarrow nat \Rightarrow nat$ 
where
   $p\ m\ n\ r = (if\ r > 0\ then\ p\ m\ (r - 1)\ n\ else$ 
     $if\ n > 0\ then\ p\ r\ (n - 1)\ m$ 
     $else\ m)$ 
   $\langle proof \rangle$ 

```

```

termination
   $\langle proof \rangle$ 

```

```

function  $foo :: bool \Rightarrow nat \Rightarrow nat \Rightarrow nat$ 
where
   $foo\ True\ (Suc\ n)\ m = foo\ True\ n\ (Suc\ m)$ 
  |  $foo\ True\ 0\ m = foo\ False\ 0\ m$ 
  |  $foo\ False\ n\ (Suc\ m) = foo\ False\ (Suc\ n)\ m$ 
  |  $foo\ False\ n\ 0 = n$ 
   $\langle proof \rangle$ 

```

```

termination
   $\langle proof \rangle$ 

```

```

function  $(sequential)$ 
   $bar :: nat \Rightarrow nat \Rightarrow nat \Rightarrow nat$ 
where
   $bar\ 0\ (Suc\ n)\ m = bar\ m\ m\ m$ 
  |  $bar\ k\ n\ m = 0$ 
   $\langle proof \rangle$ 

```

```

termination
   $\langle proof \rangle$ 

```

```

end

```