

Examples of Inductive and Coinductive Definitions in ZF

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Contents

1	Sample datatype definitions	2
1.1	A type with four constructors	3
1.2	Example of a big enumeration type	3
2	Binary trees	4
2.1	Datatype definition	4
2.2	Number of nodes, with an example of tail-recursion	5
2.3	Number of leaves	5
2.4	Reflecting trees	6
3	Terms over an alphabet	6
4	Datatype definition n-ary branching trees	11
5	Trees and forests, a mutually recursive type definition	14
5.1	Datatype definition	14
5.2	Operations	16
6	Infinite branching datatype definitions	19
6.1	The Brouwer ordinals	19
6.2	The Martin-Löf wellordering type	19
7	The Mutilated Chess Board Problem, formalized inductively	20
7.1	Basic properties of <i>evnodd</i>	21
7.2	Dominoes	21
7.3	Tilings	22
7.4	The Operator <i>setsum</i>	28

8	The accessible part of a relation	31
8.1	Properties of the original "restrict" from ZF.thy	34
8.2	Multiset Orderings	47
8.3	Toward the proof of well-foundedness of multirell	48
8.4	Ordinal Multisets	56
9	An operator to "map" a relation over a list	59
10	Meta-theory of propositional logic	60
10.1	The datatype of propositions	61
10.2	The proof system	61
10.3	The semantics	61
10.3.1	Semantics of propositional logic.	61
10.3.2	Logical consequence	62
10.4	Proof theory of propositional logic	62
10.4.1	Weakening, left and right	63
10.4.2	The deduction theorem	63
10.4.3	The cut rule	63
10.4.4	Soundness of the rules wrt truth-table semantics	63
10.5	Completeness	64
10.5.1	Towards the completeness proof	64
10.5.2	Completeness – lemmas for reducing the set of as- sumptions	65
10.5.3	Completeness theorem	66
11	Lists of n elements	66
12	Combinatory Logic example: the Church-Rosser Theorem	68
12.1	Definitions	68
12.2	Transitive closure preserves the Church-Rosser property	69
12.3	Results about Contraction	70
12.4	Non-contraction results	70
12.5	Results about Parallel Contraction	71
12.6	Basic properties of parallel contraction	72
13	Primitive Recursive Functions: the inductive definition	73
13.1	Basic definitions	73
13.2	Inductive definition of the PR functions	74
13.3	Ackermann's function cases	75
13.4	Main result	77

1 Sample datatype definitions

```
theory Datatypes imports Main begin
```

1.1 A type with four constructors

It has four constructors, of arities 0–3, and two parameters A and B .

consts

$data :: [i, i] => i$

datatype $data(A, B) =$

$Con0$
| $Con1 (a \in A)$
| $Con2 (a \in A, b \in B)$
| $Con3 (a \in A, b \in B, d \in data(A, B))$

lemma $data-unfold: data(A, B) = (\{0\} + A) + (A \times B + A \times B \times data(A, B))$

by ($fast\ intro!:$ $data.intros$ [$unfolded\ data.con-defs$]
 $elim:$ $data.cases$ [$unfolded\ data.con-defs$])

Lemmas to justify using $data$ in other recursive type definitions.

lemma $data-mono: [| A \subseteq C; B \subseteq D |] ==> data(A, B) \subseteq data(C, D)$

apply ($unfold\ data.defs$)
apply ($rule\ lfp-mono$)
apply ($rule\ data.bnd-mono$)
apply ($rule\ univ-mono\ Un-mono\ basic-monos$ | $assumption$)
done

lemma $data-univ: data(univ(A), univ(A)) \subseteq univ(A)$

apply ($unfold\ data.defs\ data.con-defs$)
apply ($rule\ lfp-lowerbound$)
apply ($rule-tac$ [2] $subset-trans$ [$OF\ A-subset-univ\ Un-upper1, THEN\ univ-mono$])
apply ($fast\ intro!:$ $zero-in-univ\ Inl-in-univ\ Inr-in-univ\ Pair-in-univ$)
done

lemma $data-subset-univ:$

$[| A \subseteq univ(C); B \subseteq univ(C) |] ==> data(A, B) \subseteq univ(C)$
by ($rule\ subset-trans$ [$OF\ data-mono\ data-univ$])

1.2 Example of a big enumeration type

Can go up to at least 100 constructors, but it takes nearly 7 minutes ...
(back in 1994 that is).

consts

$enum :: i$

datatype $enum =$

$C00$ | $C01$ | $C02$ | $C03$ | $C04$ | $C05$ | $C06$ | $C07$ | $C08$ | $C09$
| $C10$ | $C11$ | $C12$ | $C13$ | $C14$ | $C15$ | $C16$ | $C17$ | $C18$ | $C19$
| $C20$ | $C21$ | $C22$ | $C23$ | $C24$ | $C25$ | $C26$ | $C27$ | $C28$ | $C29$
| $C30$ | $C31$ | $C32$ | $C33$ | $C34$ | $C35$ | $C36$ | $C37$ | $C38$ | $C39$
| $C40$ | $C41$ | $C42$ | $C43$ | $C44$ | $C45$ | $C46$ | $C47$ | $C48$ | $C49$

| C50 | C51 | C52 | C53 | C54 | C55 | C56 | C57 | C58 | C59

end

2 Binary trees

theory *Binary-Trees* imports *Main* begin

2.1 Datatype definition

consts

$bt :: i \Rightarrow i$

datatype $bt(A) =$

$Lf \mid Br (a \in A, t1 \in bt(A), t2 \in bt(A))$

declare $bt.intros [simp]$

lemma *Br-neq-left*: $l \in bt(A) \implies Br(x, l, r) \neq l$

by (*induct arbitrary: x r set: bt*) auto

lemma *Br-iff*: $Br(a, l, r) = Br(a', l', r') \iff a = a' \ \& \ l = l' \ \& \ r = r'$

— Proving a freeness theorem.

by (*fast elim!: bt.free-elims*)

inductive-cases *BrE*: $Br(a, l, r) \in bt(A)$

— An elimination rule, for type-checking.

Lemmas to justify using bt in other recursive type definitions.

lemma *bt-mono*: $A \subseteq B \implies bt(A) \subseteq bt(B)$

apply (*unfold bt.defs*)

apply (*rule lfp-mono*)

apply (*rule bt.bnd-mono*)+

apply (*rule univ-mono basic-monos | assumption*)+

done

lemma *bt-univ*: $bt(univ(A)) \subseteq univ(A)$

apply (*unfold bt.defs bt.con-defs*)

apply (*rule lfp-lowerbound*)

apply (*rule-tac [2] A-subset-univ [THEN univ-mono]*)

apply (*fast intro!: zero-in-univ Inl-in-univ Inr-in-univ Pair-in-univ*)

done

lemma *bt-subset-univ*: $A \subseteq univ(B) \implies bt(A) \subseteq univ(B)$

apply (*rule subset-trans*)

apply (*erule bt-mono*)

apply (*rule bt-univ*)

done

lemma *bt-rec-type*:

```
[[ t ∈ bt(A);
  c ∈ C(Lf);
  !!x y z r s. [[ x ∈ A; y ∈ bt(A); z ∈ bt(A); r ∈ C(y); s ∈ C(z) ]] ==>
  h(x, y, z, r, s) ∈ C(Br(x, y, z))
]] ==> bt-rec(c, h, t) ∈ C(t)
— Type checking for recursor – example only; not really needed.
apply (induct-tac t)
apply simp-all
done
```

2.2 Number of nodes, with an example of tail-recursion

consts *n-nodes* :: $i \Rightarrow i$

primrec

```
n-nodes(Lf) = 0
n-nodes(Br(a, l, r)) = succ(n-nodes(l) #+ n-nodes(r))
```

lemma *n-nodes-type* [*simp*]: $t \in bt(A) \Rightarrow n\text{-nodes}(t) \in nat$

by (induct set: bt) auto

consts *n-nodes-aux* :: $i \Rightarrow i$

primrec

```
n-nodes-aux(Lf) = ( $\lambda k \in nat. k$ )
n-nodes-aux(Br(a, l, r)) =
  ( $\lambda k \in nat. n\text{-nodes-aux}(r) \text{ ‘ } (n\text{-nodes-aux}(l) \text{ ‘ } succ(k))$ )
```

lemma *n-nodes-aux-eq*:

$t \in bt(A) \Rightarrow k \in nat \Rightarrow n\text{-nodes-aux}(t) \text{ ‘ } k = n\text{-nodes}(t) \text{ #+ } k$

apply (induct arbitrary: k set: bt)

apply simp

apply (atomize, simp)

done

definition

n-nodes-tail :: $i \Rightarrow i$ **where**

$n\text{-nodes-tail}(t) == n\text{-nodes-aux}(t) \text{ ‘ } 0$

lemma $t \in bt(A) \Rightarrow n\text{-nodes-tail}(t) = n\text{-nodes}(t)$

by (simp add: *n-nodes-tail-def* *n-nodes-aux-eq*)

2.3 Number of leaves

consts

n-leaves :: $i \Rightarrow i$

primrec

```
n-leaves(Lf) = 1
n-leaves(Br(a, l, r)) = n-leaves(l) #+ n-leaves(r)
```

lemma *n-leaves-type* [*simp*]: $t \in \text{bt}(A) \implies \text{n-leaves}(t) \in \text{nat}$
by (*induct set: bt*) *auto*

2.4 Reflecting trees

consts

bt-reflect :: $i \implies i$

primrec

bt-reflect(*Lf*) = *Lf*

bt-reflect(*Br*(*a*, *l*, *r*)) = *Br*(*a*, *bt-reflect*(*r*), *bt-reflect*(*l*))

lemma *bt-reflect-type* [*simp*]: $t \in \text{bt}(A) \implies \text{bt-reflect}(t) \in \text{bt}(A)$
by (*induct set: bt*) *auto*

Theorems about *n-leaves*.

lemma *n-leaves-reflect*: $t \in \text{bt}(A) \implies \text{n-leaves}(\text{bt-reflect}(t)) = \text{n-leaves}(t)$
by (*induct set: bt*) (*simp-all add: add-commute n-leaves-type*)

lemma *n-leaves-nodes*: $t \in \text{bt}(A) \implies \text{n-leaves}(t) = \text{succ}(\text{n-nodes}(t))$
by (*induct set: bt*) (*simp-all add: add-succ-right*)

Theorems about *bt-reflect*.

lemma *bt-reflect-bt-reflect-ident*: $t \in \text{bt}(A) \implies \text{bt-reflect}(\text{bt-reflect}(t)) = t$
by (*induct set: bt*) *simp-all*

end

3 Terms over an alphabet

theory *Term* **imports** *Main* **begin**

Illustrates the list functor (essentially the same type as in *Trees-Forest*).

consts

term :: $i \implies i$

datatype *term*(*A*) = *Apply* ($a \in A, l \in \text{list}(\text{term}(A))$)

monos *list-mono*

type-elims *list-univ* [*THEN subsetD, elim-format*]

declare *Apply* [*TC*]

definition

term-rec :: $[i, [i, i, i] \implies i] \implies i$ **where**

term-rec(*t, d*) ==

$\text{Vrec}(t, \lambda t g. \text{term-case}(\lambda x \text{zs}. d(x, \text{zs}, \text{map}(\lambda z. g'z, \text{zs})), t))$

definition

term-map :: $[i \Rightarrow i, i] \Rightarrow i$ **where**
term-map(*f*,*t*) == *term-rec*(*t*, $\lambda x \text{ } zs \text{ } rs. \text{Apply}(f(x), rs)$)

definition

term-size :: $i \Rightarrow i$ **where**
term-size(*t*) == *term-rec*(*t*, $\lambda x \text{ } zs \text{ } rs. \text{succ}(\text{list-add}(rs))$)

definition

reflect :: $i \Rightarrow i$ **where**
reflect(*t*) == *term-rec*(*t*, $\lambda x \text{ } zs \text{ } rs. \text{Apply}(x, \text{rev}(rs))$)

definition

preorder :: $i \Rightarrow i$ **where**
preorder(*t*) == *term-rec*(*t*, $\lambda x \text{ } zs \text{ } rs. \text{Cons}(x, \text{flat}(rs))$)

definition

postorder :: $i \Rightarrow i$ **where**
postorder(*t*) == *term-rec*(*t*, $\lambda x \text{ } zs \text{ } rs. \text{flat}(rs) @ [x]$)

lemma *term-unfold*: $\text{term}(A) = A * \text{list}(\text{term}(A))$

by (*fast intro!*: *term.intros* [*unfolded term.con-defs*]
elim: *term.cases* [*unfolded term.con-defs*])

lemma *term-induct2*:

$[[t \in \text{term}(A);$
 $!!x. [x \in A] \Rightarrow P(\text{Apply}(x, \text{Nil}));$
 $!!x \text{ } z \text{ } zs. [x \in A; z \in \text{term}(A); zs: \text{list}(\text{term}(A)); P(\text{Apply}(x, zs))$
 $[] \Rightarrow P(\text{Apply}(x, \text{Cons}(z, zs)))$
 $[] \Rightarrow P(t)$

— Induction on *term*(*A*) followed by induction on *list*.

apply (*induct-tac t*)

apply (*erule list.induct*)

apply (*auto dest: list-CollectD*)

done

lemma *term-induct-eqn* [*consumes 1*, *case-names Apply*]:

$[[t \in \text{term}(A);$
 $!!x \text{ } zs. [x \in A; zs: \text{list}(\text{term}(A)); \text{map}(f, zs) = \text{map}(g, zs)] \Rightarrow$
 $f(\text{Apply}(x, zs)) = g(\text{Apply}(x, zs))$
 $[] \Rightarrow f(t) = g(t)$

— Induction on *term*(*A*) to prove an equation.

apply (*induct-tac t*)

apply (*auto dest: map-list-Collect list-CollectD*)

done

Lemmas to justify using *term* in other recursive type definitions.

lemma *term-mono*: $A \subseteq B \Rightarrow \text{term}(A) \subseteq \text{term}(B)$

apply (*unfold term.defs*)

```

apply (rule lfp-mono)
  apply (rule term.bnd-mono)+
apply (rule univ-mono basic-monos | assumption)+
done

```

```

lemma term-univ: term(univ(A))  $\subseteq$  univ(A)
  — Easily provable by induction also
apply (unfold term.defs term.con-defs)
apply (rule lfp-lowerbound)
  apply (rule-tac [2] A-subset-univ [THEN univ-mono])
apply safe
apply (assumption | rule Pair-in-univ list-univ [THEN subsetD])+
done

```

```

lemma term-subset-univ:  $A \subseteq \text{univ}(B) \implies \text{term}(A) \subseteq \text{univ}(B)$ 
apply (rule subset-trans)
apply (erule term-mono)
apply (rule term-univ)
done

```

```

lemma term-into-univ:  $[[ t \in \text{term}(A); A \subseteq \text{univ}(B) ]] \implies t \in \text{univ}(B)$ 
by (rule term-subset-univ [THEN subsetD])

```

term-rec – by *Vset* recursion.

```

lemma map-lemma:  $[[ l \in \text{list}(A); \text{Ord}(i); \text{rank}(l) < i ]]$ 
   $\implies \text{map}(\lambda z. (\lambda x \in \text{Vset}(i).h(x)) ' z, l) = \text{map}(h,l)$ 
  — map works correctly on the underlying list of terms.
apply (induct set: list)
apply simp
apply (subgoal-tac rank (a) < i & rank (l) < i)
apply (simp add: rank-of-Ord)
apply (simp add: list.con-defs)
apply (blast dest: rank-rls [THEN lt-trans])
done

```

```

lemma term-rec [simp]:  $ts \in \text{list}(A) \implies$ 
   $\text{term-rec}(\text{Apply}(a,ts), d) = d(a, ts, \text{map}(\lambda z. \text{term-rec}(z,d), ts))$ 
  — Typing premise is necessary to invoke map-lemma.
apply (rule term-rec-def [THEN def-Vrec, THEN trans])
apply (unfold term.con-defs)
apply (simp add: rank-pair2 map-lemma)
done

```

```

lemma term-rec-type:
assumes t:  $t \in \text{term}(A)$ 
  and a:  $!!x \text{ zs } r. [[ x \in A; \text{zs}: \text{list}(\text{term}(A));$ 
     $r \in \text{list}(\bigcup t \in \text{term}(A). C(t)) ]]$ 
     $\implies d(x, \text{zs}, r): C(\text{Apply}(x,\text{zs}))$ 
shows  $\text{term-rec}(t,d) \in C(t)$ 

```

— Slightly odd typing condition on r in the second premise!

```
using t
apply induct
apply (frule list-CollectD)
apply (subst term-rec)
  apply (assumption | rule a)+
apply (erule list.induct)
  apply (simp add: term-rec)
apply (auto simp add: term-rec)
done
```

```
lemma def-term-rec:
  [| !!t. j(t) == term-rec(t,d); ts: list(A) |] ==>
  j(Apply(a,ts)) = d(a, ts, map(λZ. j(Z), ts))
  apply (simp only:)
  apply (erule term-rec)
done
```

```
lemma term-rec-simple-type [TC]:
  [| t ∈ term(A);
    !!x zs r. [| x ∈ A; zs: list(term(A)); r ∈ list(C) |]
      ==> d(x, zs, r): C
  |] ==> term-rec(t,d) ∈ C
  apply (erule term-rec-type)
  apply (drule subset-refl [THEN UN-least, THEN list-mono, THEN subsetD])
  apply simp
done
```

term-map.

```
lemma term-map [simp]:
  ts ∈ list(A) ==>
  term-map(f, Apply(a, ts)) = Apply(f(a), map(term-map(f), ts))
  by (rule term-map-def [THEN def-term-rec])
```

```
lemma term-map-type [TC]:
  [| t ∈ term(A); !!x. x ∈ A ==> f(x): B |] ==> term-map(f,t) ∈ term(B)
  apply (unfold term-map-def)
  apply (erule term-rec-simple-type)
  apply fast
done
```

```
lemma term-map-type2 [TC]:
  t ∈ term(A) ==> term-map(f,t) ∈ term({f(u). u ∈ A})
  apply (erule term-map-type)
  apply (erule RepFunI)
done
```

term-size.

lemma *term-size* [*simp*]:

$ts \in \text{list}(A) \implies \text{term-size}(\text{Apply}(a, ts)) = \text{succ}(\text{list-add}(\text{map}(\text{term-size}, ts)))$

by (*rule term-size-def [THEN def-term-rec]*)

lemma *term-size-type* [*TC*]: $t \in \text{term}(A) \implies \text{term-size}(t) \in \text{nat}$

by (*auto simp add: term-size-def*)

reflect.

lemma *reflect* [*simp*]:

$ts \in \text{list}(A) \implies \text{reflect}(\text{Apply}(a, ts)) = \text{Apply}(a, \text{rev}(\text{map}(\text{reflect}, ts)))$

by (*rule reflect-def [THEN def-term-rec]*)

lemma *reflect-type* [*TC*]: $t \in \text{term}(A) \implies \text{reflect}(t) \in \text{term}(A)$

by (*auto simp add: reflect-def*)

preorder.

lemma *preorder* [*simp*]:

$ts \in \text{list}(A) \implies \text{preorder}(\text{Apply}(a, ts)) = \text{Cons}(a, \text{flat}(\text{map}(\text{preorder}, ts)))$

by (*rule preorder-def [THEN def-term-rec]*)

lemma *preorder-type* [*TC*]: $t \in \text{term}(A) \implies \text{preorder}(t) \in \text{list}(A)$

by (*simp add: preorder-def*)

postorder.

lemma *postorder* [*simp*]:

$ts \in \text{list}(A) \implies \text{postorder}(\text{Apply}(a, ts)) = \text{flat}(\text{map}(\text{postorder}, ts)) @ [a]$

by (*rule postorder-def [THEN def-term-rec]*)

lemma *postorder-type* [*TC*]: $t \in \text{term}(A) \implies \text{postorder}(t) \in \text{list}(A)$

by (*simp add: postorder-def*)

Theorems about *term-map*.

declare *List.map-compose* [*simp*]

lemma *term-map-ident*: $t \in \text{term}(A) \implies \text{term-map}(\lambda u. u, t) = t$

by (*induct rule: term-induct-eqn simp*)

lemma *term-map-compose*:

$t \in \text{term}(A) \implies \text{term-map}(f, \text{term-map}(g, t)) = \text{term-map}(\lambda u. f(g(u)), t)$

by (*induct rule: term-induct-eqn simp*)

lemma *term-map-reflect*:

$t \in \text{term}(A) \implies \text{term-map}(f, \text{reflect}(t)) = \text{reflect}(\text{term-map}(f, t))$

by (*induct rule: term-induct-eqn (simp add: rev-map-distrib [symmetric])*)

Theorems about *term-size*.

lemma *term-size-term-map*: $t \in \text{term}(A) \implies \text{term-size}(\text{term-map}(f,t)) = \text{term-size}(t)$
by (*induct rule*: *term-induct-eqn*) *simp*

lemma *term-size-reflect*: $t \in \text{term}(A) \implies \text{term-size}(\text{reflect}(t)) = \text{term-size}(t)$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib [symmetric] list-add-rev*)

lemma *term-size-length*: $t \in \text{term}(A) \implies \text{term-size}(t) = \text{length}(\text{preorder}(t))$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *length-flat*)

Theorems about *reflect*.

lemma *reflect-reflect-ident*: $t \in \text{term}(A) \implies \text{reflect}(\text{reflect}(t)) = t$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *rev-map-distrib*)

Theorems about *preorder*.

lemma *preorder-term-map*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{term-map}(f,t)) = \text{map}(f, \text{preorder}(t))$
by (*induct rule*: *term-induct-eqn*) (*simp add*: *map-flat*)

lemma *preorder-reflect-eq-rev-postorder*:
 $t \in \text{term}(A) \implies \text{preorder}(\text{reflect}(t)) = \text{rev}(\text{postorder}(t))$
by (*induct rule*: *term-induct-eqn*)
(*simp add*: *rev-app-distrib rev-flat rev-map-distrib [symmetric]*)

end

4 Datatype definition n-ary branching trees

theory *Ntree* **imports** *Main* **begin**

Demonstrates a simple use of function space in a datatype definition. Based upon theory *Term*.

consts

ntree :: $i \implies i$
maptree :: $i \implies i$
maptree2 :: $[i, i] \implies i$

datatype *ntree*(A) = *Branch* ($a \in A, h \in (\bigcup n \in \text{nat}. n \rightarrow \text{ntree}(A))$)
monos *UN-mono* [*OF subset-refl Pi-mono*] — MUST have this form
type-intros *nat-fun-univ* [*THEN subsetD*]
type-elims *UN-E*

datatype *maptree*(A) = *Sons* ($a \in A, h \in \text{maptree}(A) \rightarrow \text{maptree}(A)$)
monos *FiniteFun-mono1* — Use monotonicity in BOTH args
type-intros *FiniteFun-univ1* [*THEN subsetD*]

datatype *maptree2*(A, B) = *Sons2* ($a \in A, h \in B \rightarrow \text{maptree2}(A, B)$)

monos *FiniteFun-mono* [*OF subset-refl*]
type-intros *FiniteFun-in-univ'*

definition

ntree-rec :: $[[i, i, i] => i, i] => i$ **where**
ntree-rec(*b*) ==
Vrecursor($\lambda pr. ntree\text{-}case(\lambda x h. b(x, h), \lambda i \in domain(h). pr'(h'i))$)

definition

ntree-copy :: $i => i$ **where**
ntree-copy(*z*) == *ntree-rec*($\lambda x h r. Branch(x, r), z$)

ntree

lemma *ntree-unfold*: $ntree(A) = A \times (\bigcup n \in nat. n \rightarrow ntree(A))$
by (*blast intro: ntree.intros [unfolded ntree.con-defs]*)
elim: ntree.cases [unfolded ntree.con-defs])

lemma *ntree-induct* [*consumes 1, case-names Branch, induct set: ntree*]:

assumes *t*: $t \in ntree(A)$
and step: $!!x n h. [| x \in A; n \in nat; h \in n \rightarrow ntree(A); \forall i \in n. P(h'i) |]$ ==> $P(Branch(x, h))$

shows $P(t)$

— A nicer induction rule than the standard one.

using *t*

apply *induct*

apply (*erule UN-E*)

apply (*assumption | rule step*)+

apply (*fast elim: fun-weaken-type*)

apply (*fast dest: apply-type*)

done

lemma *ntree-induct-eqn* [*consumes 1*]:

assumes *t*: $t \in ntree(A)$

and *f*: $f \in ntree(A) \rightarrow B$

and *g*: $g \in ntree(A) \rightarrow B$

and step: $!!x n h. [| x \in A; n \in nat; h \in n \rightarrow ntree(A); f O h = g O h |]$

==>

$f ' Branch(x, h) = g ' Branch(x, h)$

shows $f't=g't$

— Induction on *ntree*(*A*) to prove an equation

using *t*

apply *induct*

apply (*assumption | rule step*)+

apply (*insert f g*)

apply (*rule fun-extension*)

apply (*assumption | rule comp-fun*)+

apply (*simp add: comp-fun-apply*)

done

Lemmas to justify using *Ntree* in other recursive type definitions.

lemma *ntree-mono*: $A \subseteq B \implies \text{ntree}(A) \subseteq \text{ntree}(B)$
apply (*unfold ntree.defs*)
apply (*rule lfp-mono*)
apply (*rule ntree.bnd-mono*) +
apply (*assumption | rule univ-mono basic-monos*) +
done

lemma *ntree-univ*: $\text{ntree}(\text{univ}(A)) \subseteq \text{univ}(A)$
— Easily provable by induction also
apply (*unfold ntree.defs ntree.con-defs*)
apply (*rule lfp-lowerbound*)
apply (*rule-tac [2] A-subset-univ [THEN univ-mono]*)
apply (*blast intro: Pair-in-univ nat-fun-univ [THEN subsetD]*)
done

lemma *ntree-subset-univ*: $A \subseteq \text{univ}(B) \implies \text{ntree}(A) \subseteq \text{univ}(B)$
by (*rule subset-trans [OF ntree-mono ntree-univ]*)

ntree recursion.

lemma *ntree-rec-Branch*:
function(*h*) \implies
 $\text{ntree-rec}(b, \text{Branch}(x, h)) = b(x, h, \lambda i \in \text{domain}(h). \text{ntree-rec}(b, h'i))$
apply (*rule ntree-rec-def [THEN def-Vrecursor, THEN trans]*)
apply (*simp add: ntree.con-defs rank-pair2 [THEN [2] lt-trans] rank-apply*)
done

lemma *ntree-copy-Branch* [*simp*]:
function(*h*) \implies
 $\text{ntree-copy}(\text{Branch}(x, h)) = \text{Branch}(x, \lambda i \in \text{domain}(h). \text{ntree-copy}(h'i))$
by (*simp add: ntree-copy-def ntree-rec-Branch*)

lemma *ntree-copy-is-ident*: $z \in \text{ntree}(A) \implies \text{ntree-copy}(z) = z$
by (*induct z set: ntree*)
(*auto simp add: domain-of-fun Pi-Collect-iff fun-is-function*)

maptree

lemma *maptree-unfold*: $\text{maptree}(A) = A \times (\text{maptree}(A) -||> \text{maptree}(A))$
by (*fast intro!: maptree.intros [unfolded maptree.con-defs]*)
elim: *maptree.cases* [*unfolded maptree.con-defs*])

lemma *maptree-induct* [*consumes 1, induct set: maptree*]:
assumes *t*: $t \in \text{maptree}(A)$
and *step*: $\forall x \ n \ h. [\![x \in A; h \in \text{maptree}(A) -||> \text{maptree}(A);$
 $\forall y \in \text{field}(h). P(y)$
 $\]\!] \implies P(\text{Sons}(x, h))$
shows $P(t)$
— A nicer induction rule than the standard one.

```

using t
apply induct
apply (assumption | rule step)+
apply (erule Collect-subset [THEN FiniteFun-mono1, THEN subsetD])
apply (drule FiniteFun.dom-subset [THEN subsetD])
apply (drule Fin.dom-subset [THEN subsetD])
apply fast
done

maptree2

lemma maptree2-unfold:  $maptree2(A, B) = A \times (B -||> maptree2(A, B))$ 
  by (fast intro!: maptree2.intros [unfolded maptree2.con-defs]
    elim: maptree2.cases [unfolded maptree2.con-defs])

lemma maptree2-induct [consumes 1, induct set: maptree2]:
  assumes t:  $t \in maptree2(A, B)$ 
    and step:  $!!x\ n\ h. []\ x \in A; h \in B -||> maptree2(A, B); \forall y \in range(h). P(y)$ 
       $[] ==> P(Sons2(x, h))$ 
  shows  $P(t)$ 
  using t
  apply induct
  apply (assumption | rule step)+
  apply (erule FiniteFun-mono [OF subset-refl Collect-subset, THEN subsetD])
  apply (drule FiniteFun.dom-subset [THEN subsetD])
  apply (drule Fin.dom-subset [THEN subsetD])
  apply fast
  done

end

```

5 Trees and forests, a mutually recursive type definition

theory *Tree-Forest* **imports** *Main* **begin**

5.1 Datatype definition

consts

```

tree ::  $i ==> i$ 
forest ::  $i ==> i$ 
tree-forest ::  $i ==> i$ 

```

```

datatype tree(A) = Tcons ( $a \in A, f \in forest(A)$ )
  and forest(A) = Fnil | Fcons ( $t \in tree(A), f \in forest(A)$ )

```

lemmas *tree'induct* =

```

    tree-forest.mutual-induct [THEN conjunct1, THEN spec, THEN [2] rev-mp, of
concl: - t, standard, consumes 1]
    and forest'induct =
    tree-forest.mutual-induct [THEN conjunct2, THEN spec, THEN [2] rev-mp, of
concl: - f, standard, consumes 1]

declare tree-forest.intros [simp, TC]

lemma tree-def: tree(A) == Part(tree-forest(A), Inl)
  by (simp only: tree-forest.defs)

lemma forest-def: forest(A) == Part(tree-forest(A), Inr)
  by (simp only: tree-forest.defs)

tree-forest(A) as the union of tree(A) and forest(A).

lemma tree-subset-TF: tree(A)  $\subseteq$  tree-forest(A)
  apply (unfold tree-forest.defs)
  apply (rule Part-subset)
  done

lemma treeI [TC]:  $x \in \text{tree}(A) \implies x \in \text{tree-forest}(A)$ 
  by (rule tree-subset-TF [THEN subsetD])

lemma forest-subset-TF: forest(A)  $\subseteq$  tree-forest(A)
  apply (unfold tree-forest.defs)
  apply (rule Part-subset)
  done

lemma treeI' [TC]:  $x \in \text{forest}(A) \implies x \in \text{tree-forest}(A)$ 
  by (rule forest-subset-TF [THEN subsetD])

lemma TF-equals-Un:  $\text{tree}(A) \cup \text{forest}(A) = \text{tree-forest}(A)$ 
  apply (insert tree-subset-TF forest-subset-TF)
  apply (auto intro!: equalityI tree-forest.intros elim: tree-forest.cases)
  done

lemma
  notes rews = tree-forest.con-defs tree-def forest-def
  shows
    tree-forest-unfold: tree-forest(A) =
      (A  $\times$  forest(A)) + ({0} + tree(A)  $\times$  forest(A))
    — NOT useful, but interesting ...
  apply (unfold tree-def forest-def)
  apply (fast intro!: tree-forest.intros [unfolded rews, THEN PartD1]
    elim: tree-forest.cases [unfolded rews])
  done

lemma tree-forest-unfold':
  tree-forest(A) =

```

$A \times \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inr}(w)) +$
 $\{0\} + \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inl}(w)) * \text{Part}(\text{tree-forest}(A), \lambda w. \text{Inr}(w))$
by (rule tree-forest-unfold [unfolded tree-def forest-def])

lemma tree-unfold: $\text{tree}(A) = \{\text{Inl}(x). x \in A \times \text{forest}(A)\}$
apply (unfold tree-def forest-def)
apply (rule Part-Inl [THEN subst])
apply (rule tree-forest-unfold' [THEN subst-context])
done

lemma forest-unfold: $\text{forest}(A) = \{\text{Inr}(x). x \in \{0\} + \text{tree}(A) * \text{forest}(A)\}$
apply (unfold tree-def forest-def)
apply (rule Part-Inr [THEN subst])
apply (rule tree-forest-unfold' [THEN subst-context])
done

Type checking for recursor: Not needed; possibly interesting?

lemma TF-rec-type:
 $\llbracket z \in \text{tree-forest}(A);$
 $\quad \llbracket x f r. \llbracket x \in A; f \in \text{forest}(A); r \in C(f)$
 $\quad \quad \quad \rrbracket \implies b(x,f,r) \in C(\text{Tcons}(x,f));$
 $\quad c \in C(\text{Fnil});$
 $\quad \llbracket t f r1 r2. \llbracket t \in \text{tree}(A); f \in \text{forest}(A); r1 \in C(t); r2 \in C(f)$
 $\quad \quad \quad \rrbracket \implies d(t,f,r1,r2) \in C(\text{Fcons}(t,f))$
 $\rrbracket \implies \text{tree-forest-rec}(b,c,d,z) \in C(z)$
by (induct-tac z) simp-all

lemma tree-forest-rec-type:
 $\llbracket \llbracket x f r. \llbracket x \in A; f \in \text{forest}(A); r \in D(f)$
 $\quad \quad \quad \rrbracket \implies b(x,f,r) \in C(\text{Tcons}(x,f));$
 $\quad c \in D(\text{Fnil});$
 $\quad \llbracket t f r1 r2. \llbracket t \in \text{tree}(A); f \in \text{forest}(A); r1 \in C(t); r2 \in D(f)$
 $\quad \quad \quad \rrbracket \implies d(t,f,r1,r2) \in D(\text{Fcons}(t,f))$
 $\rrbracket \implies (\forall t \in \text{tree}(A). \text{tree-forest-rec}(b,c,d,t) \in C(t)) \wedge$
 $\quad (\forall f \in \text{forest}(A). \text{tree-forest-rec}(b,c,d,f) \in D(f))$
 — Mutually recursive version.
apply (unfold Ball-def)
apply (rule tree-forest.mutual-induct)
apply simp-all
done

5.2 Operations

consts
 $\text{map} :: [i \Rightarrow i, i] \Rightarrow i$
 $\text{size} :: i \Rightarrow i$
 $\text{preorder} :: i \Rightarrow i$
 $\text{list-of-TF} :: i \Rightarrow i$
 $\text{of-list} :: i \Rightarrow i$

reflect :: $i \Rightarrow i$

primrec

list-of-TF ($Tcons(x,f)$) = $[Tcons(x,f)]$
list-of-TF ($Fnil$) = $[]$
list-of-TF ($Fcons(t,tf)$) = $Cons(t, list-of-TF(tf))$

primrec

of-list($[]$) = $Fnil$
of-list($Cons(t,l)$) = $Fcons(t, of-list(l))$

primrec

map ($h, Tcons(x,f)$) = $Tcons(h(x), map(h,f))$
map ($h, Fnil$) = $Fnil$
map ($h, Fcons(t,tf)$) = $Fcons(map(h,t), map(h,tf))$

primrec

size ($Tcons(x,f)$) = $succ(size(f))$
size ($Fnil$) = 0
size ($Fcons(t,tf)$) = $size(t) \# + size(tf)$

primrec

preorder ($Tcons(x,f)$) = $Cons(x, preorder(f))$
preorder ($Fnil$) = Nil
preorder ($Fcons(t,tf)$) = $preorder(t) @ preorder(tf)$

primrec

reflect ($Tcons(x,f)$) = $Tcons(x, reflect(f))$
reflect ($Fnil$) = $Fnil$
reflect ($Fcons(t,tf)$) =
 of-list (*list-of-TF* ($reflect(tf)$) @ $Cons(reflect(t), Nil)$)

list-of-TF and *of-list*.

lemma *list-of-TF-type* [TC]:

$z \in tree-forest(A) \Rightarrow list-of-TF(z) \in list(tree(A))$

by (*induct set: tree-forest*) *simp-all*

lemma *of-list-type* [TC]: $l \in list(tree(A)) \Rightarrow of-list(l) \in forest(A)$

by (*induct set: list*) *simp-all*

map.

lemma

assumes $!!x. x \in A \Rightarrow h(x): B$

shows *map-tree-type*: $t \in tree(A) \Rightarrow map(h,t) \in tree(B)$

and *map-forest-type*: $f \in forest(A) \Rightarrow map(h,f) \in forest(B)$

using *prems*

by (*induct rule: tree'induct forest'induct*) *simp-all*

size.

lemma *size-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{size}(z) \in \text{nat}$
by (*induct set: tree-forest*) *simp-all*

preorder.

lemma *preorder-type* [TC]: $z \in \text{tree-forest}(A) \implies \text{preorder}(z) \in \text{list}(A)$
by (*induct set: tree-forest*) *simp-all*

Theorems about *list-of-TF* and *of-list*.

lemma *forest-induct* [*consumes 1, case-names Fnil Fcons*]:
 $\llbracket f \in \text{forest}(A);$
 $R(\text{Fnil});$
 $\text{!!}t f. \llbracket t \in \text{tree}(A); f \in \text{forest}(A); R(f) \rrbracket \implies R(\text{Fcons}(t,f))$
 $\rrbracket \implies R(f)$
— Essentially the same as list induction.
apply (*erule tree-forest.mutual-induct*
[*THEN conjunct2, THEN spec, THEN [2] rev-mp*])
apply (*rule TrueI*)
apply *simp*
apply *simp*
done

lemma *forest-iso*: $f \in \text{forest}(A) \implies \text{of-list}(\text{list-of-TF}(f)) = f$
by (*induct rule: forest-induct*) *simp-all*

lemma *tree-list-iso*: $ts: \text{list}(\text{tree}(A)) \implies \text{list-of-TF}(\text{of-list}(ts)) = ts$
by (*induct set: list*) *simp-all*

Theorems about *map*.

lemma *map-ident*: $z \in \text{tree-forest}(A) \implies \text{map}(\lambda u. u, z) = z$
by (*induct set: tree-forest*) *simp-all*

lemma *map-compose*:
 $z \in \text{tree-forest}(A) \implies \text{map}(h, \text{map}(j,z)) = \text{map}(\lambda u. h(j(u)), z)$
by (*induct set: tree-forest*) *simp-all*

Theorems about *size*.

lemma *size-map*: $z \in \text{tree-forest}(A) \implies \text{size}(\text{map}(h,z)) = \text{size}(z)$
by (*induct set: tree-forest*) *simp-all*

lemma *size-length*: $z \in \text{tree-forest}(A) \implies \text{size}(z) = \text{length}(\text{preorder}(z))$
by (*induct set: tree-forest*) (*simp-all add: length-app*)

Theorems about *preorder*.

lemma *preorder-map*:
 $z \in \text{tree-forest}(A) \implies \text{preorder}(\text{map}(h,z)) = \text{List.map}(h, \text{preorder}(z))$
by (*induct set: tree-forest*) (*simp-all add: map-app-distrib*)

end

6 Infinite branching datatype definitions

theory *Brouwer* imports *Main-ZFC* begin

6.1 The Brouwer ordinals

consts

brouwer :: *i*

datatype \subseteq *Vfrom*(0, *csucc*(*nat*))

brouwer = *Zero* | *Suc* (*b* \in *brouwer*) | *Lim* (*h* \in *nat* \rightarrow *brouwer*)

monos *Pi-mono*

type-intros *inf-datatype-intros*

lemma *brouwer-unfold*: *brouwer* = {0} + *brouwer* + (*nat* \rightarrow *brouwer*)

by (*fast intro!*: *brouwer.intros* [*unfolded brouwer.con-defs*])

elim: *brouwer.cases* [*unfolded brouwer.con-defs*])

lemma *brouwer-induct2* [*consumes 1*, *case-names Zero Suc Lim*]:

assumes *b*: *b* \in *brouwer*

and *cases*:

P(*Zero*)

!!*b*. [| *b* \in *brouwer*; *P*(*b*) |] ==> *P*(*Suc*(*b*))

!!*h*. [| *h* \in *nat* \rightarrow *brouwer*; $\forall i \in$ *nat*. *P*(*h*'*i*) |] ==> *P*(*Lim*(*h*))

shows *P*(*b*)

— A nicer induction rule than the standard one.

using *b*

apply *induct*

 apply (*rule cases*(1))

 apply (*erule* (1) *cases*(2))

 apply (*rule cases*(3))

 apply (*fast elim*: *fun-weaken-type*)

 apply (*fast dest*: *apply-type*)

done

6.2 The Martin-Löf wellordering type

consts

Well :: [*i*, *i* \Rightarrow *i*] \Rightarrow *i*

datatype \subseteq *Vfrom*(*A* \cup ($\bigcup x \in A$. *B*(*x*)), *csucc*(*nat* \cup $|\bigcup x \in A$. *B*(*x*)|))

— The union with *nat* ensures that the cardinal is infinite.

Well(*A*, *B*) = *Sup* (*a* \in *A*, *f* \in *B*(*a*) \rightarrow *Well*(*A*, *B*))

monos *Pi-mono*

type-intros *le-trans* [*OF UN-upper-cardinal le-nat-Un-cardinal*] *inf-datatype-intros*

lemma *Well-unfold*: $Well(A, B) = (\Sigma x \in A. B(x) \rightarrow Well(A, B))$
by (*fast intro!*: *Well.intros* [*unfolded Well.con-defs*])
elim: *Well.cases* [*unfolded Well.con-defs*])

lemma *Well-induct2* [*consumes 1, case-names step*]:
assumes *w*: $w \in Well(A, B)$
and *step*: $!!a f. [| a \in A; f \in B(a) \rightarrow Well(A,B); \forall y \in B(a). P(f'y) |]$
 $\implies P(Sup(a,f))$
shows $P(w)$
— A nicer induction rule than the standard one.
using *w*
apply *induct*
apply (*assumption* | *rule step*)
apply (*fast elim*: *fun-weaken-type*)
apply (*fast dest*: *apply-type*)
done

lemma *Well-bool-unfold*: $Well(bool, \lambda x. x) = 1 + (1 \rightarrow Well(bool, \lambda x. x))$
— In fact it's isomorphic to *nat*, but we need a recursion operator
— for *Well* to prove this.
apply (*rule Well-unfold* [*THEN trans*])
apply (*simp add*: *Sigma-bool Pi-empty1 succ-def*)
done

end

7 The Mutilated Chess Board Problem, formalized inductively

theory *Mutil* **imports** *Main* **begin**

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

consts

domino :: *i*
tiling :: *i* => *i*

inductive

domains *domino* $\subseteq Pow(nat \times nat)$

intros

horiz: $[| i \in nat; j \in nat |] \implies \{<i,j>, <i,succ(j)>\} \in domino$
vertl: $[| i \in nat; j \in nat |] \implies \{<i,j>, <succ(i),j>\} \in domino$

type-intros *empty-subsetI cons-subsetI PowI SigmaI nat-succI*

inductive

domains $tiling(A) \subseteq Pow(Union(A))$
intros
empty: $0 \in tiling(A)$
Un: $\llbracket a \in A; t \in tiling(A); a \text{ Int } t = 0 \rrbracket \implies a \text{ Un } t \in tiling(A)$
type-intros *empty-subsetI Union-upper Un-least PowI*
type-elims *PowD [elim-format]*

definition

evnodd :: $[i, i] \implies i$ **where**
evnodd(A, b) == $\{z \in A. \exists i j. z = \langle i, j \rangle \wedge (i \# + j) \bmod 2 = b\}$

7.1 Basic properties of evnodd

lemma *evnodd-iff*: $\langle i, j \rangle: evnodd(A, b) \iff \langle i, j \rangle: A \ \& \ (i \# + j) \bmod 2 = b$
by (*unfold evnodd-def blast*)

lemma *evnodd-subset*: $evnodd(A, b) \subseteq A$
by (*unfold evnodd-def blast*)

lemma *Finite-evnodd*: $Finite(X) \implies Finite(evnodd(X, b))$
by (*rule lepoll-Finite, rule subset-imp-lepoll, rule evnodd-subset*)

lemma *evnodd-Un*: $evnodd(A \text{ Un } B, b) = evnodd(A, b) \text{ Un } evnodd(B, b)$
by (*simp add: evnodd-def Collect-Un*)

lemma *evnodd-Diff*: $evnodd(A - B, b) = evnodd(A, b) - evnodd(B, b)$
by (*simp add: evnodd-def Collect-Diff*)

lemma *evnodd-cons* [*simp*]:
 $evnodd(\text{cons}(\langle i, j \rangle, C), b) =$
(if $(i \# + j) \bmod 2 = b$ *then* $\text{cons}(\langle i, j \rangle, evnodd(C, b))$ *else* $evnodd(C, b)$ *)*
by (*simp add: evnodd-def Collect-cons*)

lemma *evnodd-0* [*simp*]: $evnodd(0, b) = 0$
by (*simp add: evnodd-def*)

7.2 Dominoes

lemma *domino-Finite*: $d \in \text{domino} \implies Finite(d)$
by (*blast intro!: Finite-cons Finite-0 elim: domino.cases*)

lemma *domino-singleton*:
 $\llbracket d \in \text{domino}; b < 2 \rrbracket \implies \exists i' j'. evnodd(d, b) = \{\langle i', j' \rangle\}$
apply (*erule domino.cases*)
apply (*rule-tac [2] k1 = i# + j in mod2-cases [THEN disjE]*)
apply (*rule-tac k1 = i# + j in mod2-cases [THEN disjE]*)
apply (*rule add-type | assumption*) +
apply (*auto simp add: mod-succ succ-neq-self dest: ltD*)
done

7.3 Tilings

The union of two disjoint tilings is a tiling

lemma *tiling-UnI*:

```

  t ∈ tiling(A) ==> u ∈ tiling(A) ==> t Int u = 0 ==> t Un u ∈ tiling(A)
apply (induct set: tiling)
apply (simp add: tiling.intros)
apply (simp add: Un-assoc subset-empty-iff [THEN iff-sym])
apply (blast intro: tiling.intros)
done

```

lemma *tiling-domino-Finite*: $t \in \text{tiling}(\text{domino}) \implies \text{Finite}(t)$

```

apply (induct set: tiling)
apply (rule Finite-0)
apply (blast intro!: Finite-Un intro: domino-Finite)
done

```

lemma *tiling-domino-0-1*: $t \in \text{tiling}(\text{domino}) \implies |\text{evnodd}(t,0)| = |\text{evnodd}(t,1)|$

```

apply (induct set: tiling)
apply (simp add: evnodd-def)
apply (rule-tac b1 = 0 in domino-singleton [THEN exE])
  prefer 2
apply simp
apply assumption
apply (rule-tac b1 = 1 in domino-singleton [THEN exE])
  prefer 2
apply simp
apply assumption
apply safe
apply (subgoal-tac  $\forall p b. p \in \text{evnodd}(a,b) \implies p \notin \text{evnodd}(t,b)$ )
apply (simp add: evnodd-Un Un-cons tiling-domino-Finite
  evnodd-subset [THEN subset-Finite] Finite-imp-cardinal-cons)
apply (blast dest!: evnodd-subset [THEN subsetD] elim: equalityE)
done

```

lemma *dominoes-tile-row*:

```

  [| i ∈ nat; n ∈ nat |] ==> {i} * (n #+ n) ∈ tiling(domino)
apply (induct-tac n)
apply (simp add: tiling.intros)
apply (simp add: Un-assoc [symmetric] Sigma-succ2)
apply (rule tiling.intros)
  prefer 2 apply assumption
apply (rename-tac n')
apply (subgoal-tac
  {i}*{succ (n'#+n')} Un {i}*{n'#+n'} =
  {<i,n'#+n'>, <i,succ (n'#+n') >})
  prefer 2 apply blast
apply (simp add: domino.horiz)
apply (blast elim: mem-irrefl mem-asym)

```

done

lemma *dominoes-tile-matrix*:

$[[m \in \text{nat}; n \in \text{nat}]] \implies m * (n \# + n) \in \text{tiling}(\text{domino})$
apply (*induct-tac m*)
apply (*simp add: tiling.intros*)
apply (*simp add: Sigma-succ1*)
apply (*blast intro: tiling-UnI dominoes-tile-row elim: mem-irrefl*)
done

lemma *eq-lt-E*: $[[x=y; x<y]] \implies P$

by *auto*

theorem *mutl-not-tiling*: $[[m \in \text{nat}; n \in \text{nat};$

$t = (\text{succ}(m)\# + \text{succ}(m)) * (\text{succ}(n)\# + \text{succ}(n));$
 $t' = t - \{<0,0>\} - \{<\text{succ}(m\# + m), \text{succ}(n\# + n)>\}]]$
 $\implies t' \notin \text{tiling}(\text{domino})$

apply (*rule notI*)
apply (*drule tiling-domino-0-1*)
apply (*erule-tac x = |?A| in eq-lt-E*)
apply (*subgoal-tac t \in tiling (domino)*)
prefer 2
apply (*simp only: nat-succI add-type dominoes-tile-matrix*)
apply (*simp add: evnodd-Diff mod2-add-self mod2-succ-succ tiling-domino-0-1 [symmetric]*)
apply (*rule lt-trans*)
apply (*rule Finite-imp-cardinal-Diff,*
simp add: tiling-domino-Finite Finite-evnodd Finite-Diff,
simp add: evnodd-iff nat-0-le [THEN ltD] mod2-add-self)
done

end

theory *FoldSet* **imports** *Main* **begin**

consts *fold-set* :: $[i, i, [i,i] \implies i, i] \implies i$

inductive

domains *fold-set*(*A, B, f, e*) $\leq \text{Fin}(A) * B$

intros

emptyI: $e \in B \implies <0, e> \in \text{fold-set}(A, B, f, e)$
consI: $[[x \in A; x \notin C; <C, y> : \text{fold-set}(A, B, f, e); f(x, y) : B]]$
 $\implies <\text{cons}(x, C), f(x, y)> \in \text{fold-set}(A, B, f, e)$

type-intros *Fin.intros*

definition

fold :: $[i, [i,i] \implies i, i, i] \implies i$ (*fold*[*-*]('(-,-,-)') **where**

$fold[B](f,e, A) == THE x. \langle A, x \rangle \in fold\text{-}set(A, B, f,e)$

definition

$setsum :: [i=>i, i] => i$ **where**
 $setsum(g, C) == if Finite(C) then$
 $fold[int](\%x y. g(x) \$+ y, \#0, C) else \#0$

inductive-cases $empty\text{-}fold\text{-}setE: \langle 0, x \rangle : fold\text{-}set(A, B, f,e)$

inductive-cases $cons\text{-}fold\text{-}setE: \langle cons(x,C), y \rangle : fold\text{-}set(A, B, f,e)$

lemma $cons\text{-}lemma1: [| x \notin C; x \notin B |] ==> cons(x,B)=cons(x,C) \langle - \rangle B = C$
by (*auto elim: equalityE*)

lemma $cons\text{-}lemma2: [| cons(x, B)=cons(y, C); x \neq y; x \notin B; y \notin C |]$
 $==> B - \{y\} = C - \{x\} \& x \in C \& y \in B$
apply (*auto elim: equalityE*)
done

lemma $fold\text{-}set\text{-}mono\text{-}lemma:$
 $\langle C, x \rangle : fold\text{-}set(A, B, f, e)$
 $==> ALL D. A \leq D \langle - \rangle \langle C, x \rangle : fold\text{-}set(D, B, f, e)$
apply (*erule fold-set.induct*)
apply (*auto intro: fold-set.intros*)
done

lemma $fold\text{-}set\text{-}mono: C \leq A ==> fold\text{-}set(C, B, f, e) \leq fold\text{-}set(A, B, f, e)$
apply *clarify*
apply (*frule fold-set.dom-subset [THEN subsetD], clarify*)
apply (*auto dest: fold-set-mono-lemma*)
done

lemma $fold\text{-}set\text{-}lemma:$
 $\langle C, x \rangle \in fold\text{-}set(A, B, f, e) ==> \langle C, x \rangle \in fold\text{-}set(C, B, f, e) \& C \leq A$
apply (*erule fold-set.induct*)
apply (*auto intro!: fold-set.intros intro: fold-set-mono [THEN subsetD]*)
done

lemma $Diff1\text{-}fold\text{-}set:$
 $[| \langle C - \{x\}, y \rangle : fold\text{-}set(A, B, f,e); x \in C; x \in A; f(x, y):B |]$
 $==> \langle C, f(x, y) \rangle : fold\text{-}set(A, B, f, e)$
apply (*frule fold-set.dom-subset [THEN subsetD]*)
apply (*erule cons-Diff [THEN subst], rule fold-set.intros, auto*)
done

locale *fold-typing* =
fixes *A* **and** *B* **and** *e* **and** *f*
assumes *f**type* [*intro*,*simp*]: $[[x \in A; y \in B]] \implies f(x,y) \in B$
and *e**type* [*intro*,*simp*]: $e \in B$
and *f**comm*: $[[x \in A; y \in A; z \in B]] \implies f(x, f(y, z))=f(y, f(x, z))$

lemma (**in** *fold-typing*) *Fin-imp-fold-set*:
 $C \in \text{Fin}(A) \implies (\exists x. \langle C, x \rangle : \text{fold-set}(A, B, f, e))$
apply (*erule Fin-induct*)
apply (*auto dest: fold-set.dom-subset [THEN subsetD]*
intro: fold-set.intros etype ftype)
done

lemma *Diff-sing-imp*:
 $[[C - \{b\} = D - \{a\}; a \neq b; b \in C]] \implies C = \text{cons}(b,D) - \{a\}$
by (*blast elim: equalityE*)

lemma (**in** *fold-typing*) *fold-set-determ-lemma* [*rule-format*]:
 $n \in \text{nat}$

$\implies \text{ALL } C. |C| < n \longrightarrow$
 $(\text{ALL } x. \langle C, x \rangle : \text{fold-set}(A, B, f, e) \longrightarrow$
 $(\text{ALL } y. \langle C, y \rangle : \text{fold-set}(A, B, f, e) \longrightarrow y=x))$
apply (*erule nat-induct*)
apply (*auto simp add: le-iff*)
apply (*erule fold-set.cases*)
apply (*force elim!: empty-fold-setE*)
apply (*erule fold-set.cases*)
apply (*force elim!: empty-fold-setE, clarify*)

apply (*frule-tac a = Ca in fold-set.dom-subset [THEN subsetD, THEN SigmaD1]*)
apply (*frule-tac a = Cb in fold-set.dom-subset [THEN subsetD, THEN SigmaD1]*)
apply (*simp add: Fin-into-Finite [THEN Finite-imp-cardinal-cons]*)
apply (*case-tac x=xb, auto*)
apply (*simp add: cons-lemma1, blast*)

case $x \neq xb$

apply (*drule cons-lemma2, safe*)
apply (*frule Diff-sing-imp, assumption+*)

* LEVEL 17

apply (*subgoal-tac |Ca| le |Cb|*)
prefer 2
apply (*rule succ-le-imp-le*)
apply (*simp add: Fin-into-Finite Finite-imp-succ-cardinal-Diff*
Fin-into-Finite [THEN Finite-imp-cardinal-cons])
apply (*rule-tac C1 = Ca - {xb} in Fin-imp-fold-set [THEN exE]*)

```

apply (blast intro: Diff-subset [THEN Fin-subset])
* LEVEL 24 *
apply (frule Diff1-fold-set, blast, blast)
apply (blast dest!: ftype fold-set.dom-subset [THEN subsetD])
apply (subgoal-tac ya = f(xb,xa) )
prefer 2 apply (blast del: equalityCE)
apply (subgoal-tac <Cb-{x}, xa> : fold-set(A,B,f,e))
prefer 2 apply simp
apply (subgoal-tac yb = f (x, xa) )
apply (drule-tac [2] C = Cb in Diff1-fold-set, simp-all)
apply (blast intro: fcomm dest!: fold-set.dom-subset [THEN subsetD])
apply (blast intro: ftype dest!: fold-set.dom-subset [THEN subsetD], blast)
done

```

```

lemma (in fold-typing) fold-set-determ:
  [| <C, x>∈fold-set(A, B, f, e);
    <C, y>∈fold-set(A, B, f, e)|] ==> y=x
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (drule Fin-into-Finite)
apply (unfold Finite-def, clarify)
apply (rule-tac n = succ (n) in fold-set-determ-lemma)
apply (auto intro: eqpoll-imp-lepoll [THEN lepoll-cardinal-le])
done

```

```

lemma (in fold-typing) fold-equality:
  <C,y> : fold-set(A,B,f,e) ==> fold[B](f,e,C) = y
apply (unfold fold-def)
apply (frule fold-set.dom-subset [THEN subsetD], clarify)
apply (rule the-equality)
apply (rule-tac [2] A=C in fold-typing.fold-set-determ)
apply (force dest: fold-set-lemma)
apply (auto dest: fold-set-lemma)
apply (simp add: fold-typing-def, auto)
apply (auto dest: fold-set-lemma intro: ftype etype fcomm)
done

```

```

lemma fold-0 [simp]: e : B ==> fold[B](f,e,0) = e
apply (unfold fold-def)
apply (blast elim!: empty-fold-setE intro: fold-set.intros)
done

```

This result is the right-to-left direction of the subsequent result

```

lemma (in fold-typing) fold-set-imp-cons:
  [| <C, y> : fold-set(C, B, f, e); C : Fin(A); c : A; c∉C |]
  ==> <cons(c, C), f(c,y)> : fold-set(cons(c, C), B, f, e)
apply (frule FinD [THEN fold-set-mono, THEN subsetD])

```

```

apply assumption
apply (frule fold-set.dom-subset [of A, THEN subsetD])
apply (blast intro!: fold-set.consI intro: fold-set-mono [THEN subsetD])
done

```

```

lemma (in fold-typing) fold-cons-lemma [rule-format]:

```

```

[[ C : Fin(A); c : A; c ∉ C ]]
  ==> <cons(c, C), v> : fold-set(cons(c, C), B, f, e) <->
    (∃ y. <C, y> : fold-set(C, B, f, e) & v = f(c, y))

```

```

apply auto

```

```

prefer 2 apply (blast intro: fold-set-imp-cons)

```

```

apply (frule-tac Fin.consI [of c, THEN FinD, THEN fold-set-mono, THEN sub-
  setD], assumption+)

```

```

apply (frule-tac fold-set.dom-subset [of A, THEN subsetD])

```

```

apply (drule FinD)

```

```

apply (rule-tac A1 = cons(c,C) and f1=f and B1=B and C1=C and e1=e
in fold-typing.Fin-imp-fold-set [THEN exE])

```

```

apply (blast intro: fold-typing.intro ftype etype fcomm)

```

```

apply (blast intro: Fin-subset [of - cons(c,C)] Finite-into-Fin
  dest: Fin-into-Finite)

```

```

apply (rule-tac x = x in exI)

```

```

apply (auto intro: fold-set.intros)

```

```

apply (drule-tac fold-set-lemma [of C], blast)

```

```

apply (blast intro!: fold-set.consI

```

```

  intro: fold-set-determ fold-set-mono [THEN subsetD]

```

```

  dest: fold-set.dom-subset [THEN subsetD])

```

```

done

```

```

lemma (in fold-typing) fold-cons:

```

```

[[ C ∈ Fin(A); c ∈ A; c ∉ C ]]
  ==> fold[B](f, e, cons(c, C)) = f(c, fold[B](f, e, C))

```

```

apply (unfold fold-def)

```

```

apply (simp add: fold-cons-lemma)

```

```

apply (rule the-equality, auto)

```

```

apply (subgoal-tac [2] ⟨C, y⟩ ∈ fold-set(A, B, f, e))

```

```

apply (drule Fin-imp-fold-set)

```

```

apply (auto dest: fold-set-lemma simp add: fold-def [symmetric] fold-equality)

```

```

apply (blast intro: fold-set-mono [THEN subsetD] dest!: FinD)

```

```

done

```

```

lemma (in fold-typing) fold-type [simp,TC]:

```

```

C ∈ Fin(A) ==> fold[B](f, e, C):B

```

```

apply (erule Fin-induct)

```

```

apply (simp-all add: fold-cons ftype etype)

```

```

done

```

```

lemma (in fold-typing) fold-commute [rule-format]:

```

```

[[ C ∈ Fin(A); c ∈ A ]]
  ==> (∀ y ∈ B. f(c, fold[B](f, y, C)) = fold[B](f, f(c, y), C))

```

```

apply (erule Fin-induct)
apply (simp-all add: fold-typing.fold-cons [of A B - f]
        fold-typing.fold-type [of A B - f]
        fold-typing-def fcomm)
done

```

```

lemma (in fold-typing) fold-nest-Un-Int:
  [| C ∈ Fin(A); D ∈ Fin(A) |]
  ==> fold[B](f, fold[B](f, e, D), C) =
    fold[B](f, fold[B](f, e, (C Int D)), C Un D)
apply (erule Fin-induct, auto)
apply (simp add: Un-cons Int-cons-left fold-type fold-commute
        fold-typing.fold-cons [of A - - f]
        fold-typing-def fcomm cons-absorb)
done

```

```

lemma (in fold-typing) fold-nest-Un-disjoint:
  [| C ∈ Fin(A); D ∈ Fin(A); C Int D = 0 |]
  ==> fold[B](f, e, C Un D) = fold[B](f, fold[B](f, e, D), C)
by (simp add: fold-nest-Un-Int)

```

```

lemma Finite-cons-lemma: Finite(C) ==> C ∈ Fin(cons(c, C))
apply (drule Finite-into-Fin)
apply (blast intro: Fin-mono [THEN subsetD])
done

```

7.4 The Operator *setsum*

```

lemma setsum-0 [simp]: setsum(g, 0) = #0
by (simp add: setsum-def)

```

```

lemma setsum-cons [simp]:
  Finite(C) ==>
  setsum(g, cons(c, C)) =
    (if c : C then setsum(g, C) else g(c) $+ setsum(g, C))
apply (auto simp add: setsum-def Finite-cons cons-absorb)
apply (rule-tac A = cons (c, C) in fold-typing.fold-cons)
apply (auto intro: fold-typing.intro Finite-cons-lemma)
done

```

```

lemma setsum-K0: setsum((%i. #0), C) = #0
apply (case-tac Finite (C) )
prefer 2 apply (simp add: setsum-def)
apply (erule Finite-induct, auto)
done

```

```

lemma setsum-Un-Int:
  [| Finite(C); Finite(D) |]

```

```

    ==> setsum(g, C Un D) $+ setsum(g, C Int D)
      = setsum(g, C) $+ setsum(g, D)
  apply (erule Finite-induct)
  apply (simp-all add: Int-cons-right cons-absorb Un-cons Int-commute Finite-Un
    Int-lower1 [THEN subset-Finite])
done

lemma setsum-type [simp,TC]: setsum(g, C):int
  apply (case-tac Finite (C) )
  prefer 2 apply (simp add: setsum-def)
  apply (erule Finite-induct, auto)
done

lemma setsum-Un-disjoint:
  [| Finite(C); Finite(D); C Int D = 0 |]
  ==> setsum(g, C Un D) = setsum(g, C) $+ setsum(g,D)
  apply (subst setsum-Un-Int [symmetric])
  apply (subgoal-tac [3] Finite (C Un D) )
  apply (auto intro: Finite-Un)
done

lemma Finite-RepFun [rule-format (no-asm)]:
  Finite(I) ==> (∀ i∈I. Finite(C(i))) --> Finite(RepFun(I, C))
  apply (erule Finite-induct, auto)
done

lemma setsum-UN-disjoint [rule-format (no-asm)]:
  Finite(I)
  ==> (∀ i∈I. Finite(C(i))) -->
    (∀ i∈I. ∀ j∈I. i≠j --> C(i) Int C(j) = 0) -->
    setsum(f, ⋃ i∈I. C(i)) = setsum (%i. setsum(f, C(i)), I)
  apply (erule Finite-induct, auto)
  apply (subgoal-tac ∀ i∈B. x ≠ i)
  prefer 2 apply blast
  apply (subgoal-tac C (x) Int (⋃ i∈B. C (i)) = 0)
  prefer 2 apply blast
  apply (subgoal-tac Finite (⋃ i∈B. C (i)) & Finite (C (x)) & Finite (B) )
  apply (simp (no-asm-simp) add: setsum-Un-disjoint)
  apply (auto intro: Finite-Union Finite-RepFun)
done

lemma setsum-addf: setsum(%x. f(x) $+ g(x),C) = setsum(f, C) $+ setsum(g,
C)
  apply (case-tac Finite (C) )
  prefer 2 apply (simp add: setsum-def)
  apply (erule Finite-induct, auto)
done

```

lemma *fold-set-cong*:

$[[A=A'; B=B'; e=e'; (\forall x \in A'. \forall y \in B'. f(x,y) = f'(x,y))]]$
 $==> fold\text{-}set(A,B,f,e) = fold\text{-}set(A',B',f',e')$

apply (*simp add: fold-set-def*)

apply (*intro refl iff-refl lfp-cong Collect-cong disj-cong ex-cong, auto*)

done

lemma *fold-cong*:

$[[B=B'; A=A'; e=e';$

$!!x y. [[x \in A'; y \in B']] ==> f(x,y) = f'(x,y)]]$ $==>$
 $fold[B](f,e,A) = fold[B'](f',e',A')$

apply (*simp add: fold-def*)

apply (*subst fold-set-cong*)

apply (*rule-tac [5] refl, simp-all*)

done

lemma *setsum-cong*:

$[[A=B; !!x. x \in B ==> f(x) = g(x)]]$ $==>$
 $setsum(f, A) = setsum(g, B)$

by (*simp add: setsum-def cong add: fold-cong*)

lemma *setsum-Un*:

$[[Finite(A); Finite(B)]]$

$==> setsum(f, A \cup B) =$

$setsum(f, A) \#+ setsum(f, B) \#- setsum(f, A \cap B)$

apply (*subst setsum-Un-Int [symmetric], auto*)

done

lemma *setsum-zneg-or-0* [*rule-format (no-asm)*]:

$Finite(A) ==> (\forall x \in A. g(x) \#<= \#0) \text{--->} setsum(g, A) \#<= \#0$

apply (*erule Finite-induct*)

apply (*auto intro: zneg-or-0-add-zneg-or-0-imp-zneg-or-0*)

done

lemma *setsum-succD-lemma* [*rule-format*]:

$Finite(A)$

$==> \forall n \in nat. setsum(f, A) = \# succ(n) \text{--->} (\exists a \in A. \#0 \#< f(a))$

apply (*erule Finite-induct*)

apply (*auto simp del: int-of-0 int-of-succ simp add: not-zless-iff-zle int-of-0 [symmetric]*)

apply (*subgoal-tac setsum (f, B) \#<= \#0*)

apply *simp-all*

prefer 2 apply (*blast intro: setsum-zneg-or-0*)

apply (*subgoal-tac \# 1 \#<= f (x) \#+ setsum (f, B)*)

apply (*drule zdiff-zle-iff [THEN iffD2]*)

apply (*subgoal-tac \# 1 \#<= \# 1 \#- setsum (f, B)*)

apply (*drule-tac x = \# 1 in zle-trans*)

apply (*rule-tac [2] j = \#1 in zless-zle-trans, auto*)

done

lemma *setsum-succD*:

$[[\text{setsum}(f, A) = \#\text{succ}(n); n \in \text{nat}]] \implies \exists a \in A. \#0 \ \$ < f(a)$
apply (*case-tac Finite (A)*)
apply (*blast intro: setsum-succD-lemma*)
apply (*unfold setsum-def*)
apply (*auto simp del: int-of-0 int-of-succ simp add: int-succ-int-1 [symmetric]*
int-of-0 [symmetric])
done

lemma *g-zpos-imp-setsum-zpos* [*rule-format*]:

$\text{Finite}(A) \implies (\forall x \in A. \#0 \ \$ \leq g(x)) \dashrightarrow \#0 \ \$ \leq \text{setsum}(g, A)$
apply (*erule Finite-induct*)
apply (*simp (no-asm)*)
apply (*auto intro: zpos-add-zpos-imp-zpos*)
done

lemma *g-zpos-imp-setsum-zpos2* [*rule-format*]:

$[[\text{Finite}(A); \forall x. \#0 \ \$ \leq g(x)]] \implies \#0 \ \$ \leq \text{setsum}(g, A)$
apply (*erule Finite-induct*)
apply (*auto intro: zpos-add-zpos-imp-zpos*)
done

lemma *g-zspos-imp-setsum-zspos* [*rule-format*]:

$\text{Finite}(A) \implies (\forall x \in A. \#0 \ \$ < g(x)) \dashrightarrow A \neq 0 \dashrightarrow (\#0 \ \$ < \text{setsum}(g, A))$
apply (*erule Finite-induct*)
apply (*auto intro: zspos-add-zspos-imp-zspos*)
done

lemma *setsum-Diff* [*rule-format*]:

$\text{Finite}(A) \implies \forall a. M(a) = \#0 \dashrightarrow \text{setsum}(M, A) = \text{setsum}(M, A - \{a\})$
apply (*erule Finite-induct*)
apply (*simp-all add: Diff-cons-eq Finite-Diff*)
done

end

8 The accessible part of a relation

theory *Acc* **imports** *Main* **begin**

Inductive definition of *acc*(*r*); see [?].

consts

acc :: *i* => *i*

inductive

domains *acc*(*r*) \subseteq *field*(*r*)

```

intros
  vimage: [|  $r - \{\!-\!\} a$ :  $Pow(acc(r))$ ;  $a \in field(r)$  |] ==>  $a \in acc(r)$ 
monos    Pow-mono

```

The introduction rule must require $a \in field(r)$, otherwise $acc(r)$ would be a proper class!

The intended introduction rule:

```

lemma accI: [| !! $b$ .  $\langle b, a \rangle : r$  ==>  $b \in acc(r)$ ;  $a \in field(r)$  |] ==>  $a \in acc(r)$ 
  by (blast intro: acc.intros)

```

```

lemma acc-downward: [|  $b \in acc(r)$ ;  $\langle a, b \rangle : r$  |] ==>  $a \in acc(r)$ 
  by (erule acc.cases) blast

```

```

lemma acc-induct [consumes 1, case-names vimage, induct set: acc]:
  [|  $a \in acc(r)$ ;
    !! $x$ . [|  $x \in acc(r)$ ;  $\forall y$ .  $\langle y, x \rangle : r \dashrightarrow P(y)$  |] ==>  $P(x)$ 
  |] ==>  $P(a)$ 
  by (erule acc.induct) (blast intro: acc.intros)

```

```

lemma wf-on-acc:  $wf[acc(r)](r)$ 
  apply (rule wf-onI2)
  apply (erule acc-induct)
  apply fast
  done

```

```

lemma acc-wfI:  $field(r) \subseteq acc(r) \implies wf(r)$ 
  by (erule wf-on-acc [THEN wf-on-subset-A, THEN wf-on-field-imp-wf])

```

```

lemma acc-wfD:  $wf(r) \implies field(r) \subseteq acc(r)$ 
  apply (rule subsetI)
  apply (erule wf-induct2, assumption)
  apply (blast intro: accI)+
  done

```

```

lemma wf-acc-iff:  $wf(r) \iff field(r) \subseteq acc(r)$ 
  by (rule iffI, erule acc-wfD, erule acc-wfI)

```

end

```

theory Multiset
imports FoldSet Acc
begin

```

```

abbreviation (input)
  — Short cut for multiset space
  Mult ::  $i \Rightarrow i$  where

```

$Mult(A) == A -||> nat - \{0\}$

definition

$funrestrict :: [i, i] => i$ **where**
 $funrestrict(f, A) == \lambda x \in A. f'x$

definition

$multiset :: i => o$ **where**
 $multiset(M) == \exists A. M \in A -> nat - \{0\} \ \& \ Finite(A)$

definition

$mset-of :: i => i$ **where**
 $mset-of(M) == domain(M)$

definition

$munion :: [i, i] => i$ (**infixl** $+\#$ 65) **where**
 $M +\# N == \lambda x \in mset-of(M) \cup mset-of(N).$
 $if \ x \in mset-of(M) \ Int \ mset-of(N) \ then \ (M'x) \ \# + \ (N'x)$
 $else \ (if \ x \in mset-of(M) \ then \ M'x \ else \ N'x)$

definition

$normalize :: i => i$ **where**
 $normalize(f) ==$
 $if \ (\exists A. f \in A -> nat \ \& \ Finite(A)) \ then$
 $funrestrict(f, \{x \in mset-of(f). \ 0 < f'x\})$
 $else \ 0$

definition

$mdiff :: [i, i] => i$ (**infixl** $-\#$ 65) **where**
 $M -\# N == normalize(\lambda x \in mset-of(M).$
 $if \ x \in mset-of(N) \ then \ M'x \ \# - \ N'x \ else \ M'x)$

definition

$msingle :: i => i$ (**{#-#}**) **where**
 $\{ \# a \# \} == \{ \langle a, 1 \rangle \}$

definition

$MCollect :: [i, i=>o] => i$ **where**
 $MCollect(M, P) == funrestrict(M, \{x \in mset-of(M). P(x)\})$

definition

$mcount :: [i, i] => i$ **where**
 $mcount(M, a) == if \ a \in mset-of(M) \ then \ M'a \ else \ 0$

definition

$msize :: i \Rightarrow i$ **where**
 $msize(M) == setsum(\%a. \$\# mcount(M,a), mset-of(M))$

abbreviation

$melem :: [i,i] \Rightarrow o$ $((-/ :\# -) [50, 51] 50)$ **where**
 $a :\# M == a \in mset-of(M)$

syntax

$@MColl :: [pttrn, i, o] \Rightarrow i$ $((1\{\#\ - : -/ -\#\}))$

syntax (*xsymbols*)

$@MColl :: [pttrn, i, o] \Rightarrow i$ $((1\{\#\ - \in -/ -\#\}))$

translations

$\{\#\ x \in M. P\#\} == CONST MCollect(M, \%x. P)$

definition

$multirel1 :: [i,i] \Rightarrow i$ **where**
 $multirel1(A, r) ==$
 $\{<M, N> \in Mult(A)*Mult(A).$
 $\exists a \in A. \exists M0 \in Mult(A). \exists K \in Mult(A).$
 $N=M0 +\# \{\#\ a\#\} \ \& \ M=M0 +\# K \ \& \ (\forall b \in mset-of(K). <b,a> \in r)\}$

definition

$multirel :: [i, i] \Rightarrow i$ **where**
 $multirel(A, r) == multirel1(A, r) \hat{+}$

definition

$omultiset :: i \Rightarrow o$ **where**
 $omultiset(M) == \exists i. Ord(i) \ \& \ M \in Mult(field(Memrel(i)))$

definition

$mless :: [i, i] \Rightarrow o$ **(infixl <\# 50) where**
 $M <\# N == \exists i. Ord(i) \ \& \ <M, N> \in multirel(field(Memrel(i)), Memrel(i))$

definition

$mle :: [i, i] \Rightarrow o$ **(infixl <\#= 50) where**
 $M <\#= N == (omultiset(M) \ \& \ M = N) \ | \ M <\# N$

8.1 Properties of the original "restrict" from ZF.thy

lemma *funrestrict-subset*: $[[f \in Pi(C,B); A \subseteq C]] ==> funrestrict(f,A) \subseteq f$
by (*auto simp add: funrestrict-def lam-def intro: apply-Pair*)

lemma *funrestrict-type*:

by (*simp add: funrestrict-def lam-type*)

lemma *funrestrict-type2*: $[[f \in Pi(C,B); A \subseteq C]] ==> funrestrict(f,A) \in Pi(A,B)$
by (*blast intro: apply-type funrestrict-type*)

lemma *funrestrict [simp]*: $a \in A ==> funrestrict(f,A) \text{ ' } a = f'a$
by (*simp add: funrestrict-def*)

lemma *funrestrict-empty [simp]*: $funrestrict(f,0) = 0$
by (*simp add: funrestrict-def*)

lemma *domain-funrestrict [simp]*: $domain(funrestrict(f,C)) = C$
by (*auto simp add: funrestrict-def lam-def*)

lemma *fun-cons-funrestrict-eq*:

$f \in cons(a, b) \rightarrow B ==> f = cons(<a, f \text{ ' } a>, funrestrict(f, b))$
apply (*rule equalityI*)

prefer 2 **apply** (*blast intro: apply-Pair funrestrict-subset [THEN subsetD]*)

apply (*auto dest!: Pi-memberD simp add: funrestrict-def lam-def*)

done

declare *domain-of-fun [simp]*

declare *domainE [rule del]*

A useful simplification rule

lemma *multiset-fun-iff*:

$(f \in A \rightarrow nat-\{0\}) \leftrightarrow f \in A \rightarrow nat \& (\forall a \in A. f'a \in nat \& 0 < f'a)$

apply *safe*

apply (*rule-tac [4] B1 = range (f) in Pi-mono [THEN subsetD]*)

apply (*auto intro!: Ord-0-lt*)

dest: apply-type Diff-subset [THEN Pi-mono, THEN subsetD]

simp add: range-of-fun apply-iff)

done

lemma *multiset-into-Mult*: $[[multiset(M); mset-of(M) \subseteq A]] ==> M \in Mult(A)$

apply (*simp add: multiset-def*)

apply (*auto simp add: multiset-fun-iff mset-of-def*)

apply (*rule-tac B1 = nat-\{0\} in FiniteFun-mono [THEN subsetD], simp-all*)

apply (*rule Finite-into-Fin [THEN [2] Fin-mono [THEN subsetD], THEN fun-FiniteFunI]*)

apply (*simp-all (no-asm-simp) add: multiset-fun-iff*)

done

lemma *Mult-into-multiset*: $M \in Mult(A) ==> multiset(M) \& mset-of(M) \subseteq A$

apply (*simp add: multiset-def mset-of-def*)

apply (*frule FiniteFun-is-fun*)

apply (*drule FiniteFun-domain-Fin*)

apply (*frule FinD, clarify*)

apply (*rule-tac* $x = \text{domain } (M)$ **in** exI)
apply (*blast intro: Fin-into-Finite*)
done

lemma *Multi-iff-multiset*: $M \in \text{Mult}(A) \leftrightarrow \text{multiset}(M) \ \& \ \text{mset-of}(M) \subseteq A$
by (*blast dest: Multi-into-multiset intro: multiset-into-Mult*)

lemma *multiset-iff-Multi-mset-of*: $\text{multiset}(M) \leftrightarrow M \in \text{Mult}(\text{mset-of}(M))$
by (*auto simp add: Multi-iff-multiset*)

The *multiset* operator

lemma *multiset-0 [simp]*: $\text{multiset}(0)$
by (*auto intro: FiniteFun.intros simp add: multiset-iff-Multi-mset-of*)

The *mset-of* operator

lemma *multiset-set-of-Finite [simp]*: $\text{multiset}(M) \implies \text{Finite}(\text{mset-of}(M))$
by (*simp add: multiset-def mset-of-def, auto*)

lemma *mset-of-0 [iff]*: $\text{mset-of}(0) = 0$
by (*simp add: mset-of-def*)

lemma *mset-is-0-iff*: $\text{multiset}(M) \implies \text{mset-of}(M) = 0 \leftrightarrow M = 0$
by (*auto simp add: multiset-def mset-of-def*)

lemma *mset-of-single [iff]*: $\text{mset-of}(\{\#a\}) = \{a\}$
by (*simp add: msingle-def mset-of-def*)

lemma *mset-of-union [iff]*: $\text{mset-of}(M \ +\# \ N) = \text{mset-of}(M) \ \text{Un} \ \text{mset-of}(N)$
by (*simp add: mset-of-def munion-def*)

lemma *mset-of-diff [simp]*: $\text{mset-of}(M) \subseteq A \implies \text{mset-of}(M \ -\# \ N) \subseteq A$
by (*auto simp add: mdiff-def multiset-def normalize-def mset-of-def*)

lemma *msingle-not-0 [iff]*: $\{\#a\} \neq 0 \ \& \ 0 \neq \{\#a\}$
by (*simp add: msingle-def*)

lemma *msingle-eq-iff [iff]*: $(\{\#a\} = \{\#b\}) \leftrightarrow (a = b)$
by (*simp add: msingle-def*)

lemma *msingle-multiset [iff, TC]*: $\text{multiset}(\{\#a\})$
apply (*simp add: multiset-def msingle-def*)
apply (*rule-tac* $x = \{a\}$ **in** exI)
apply (*auto intro: Finite-cons Finite-0 fun-extend3*)
done

lemmas *Collect-Finite = Collect-subset* [THEN subset-Finite, standard]

lemma *normalize-idem* [simp]: $normalize(normalize(f)) = normalize(f)$
apply (simp add: normalize-def funrestrict-def mset-of-def)
apply (case-tac $\exists A. f \in A \rightarrow nat \ \& \ Finite \ (A)$)
apply clarify
apply (drule-tac $x = \{x \in domain \ (f) \ . \ 0 < f \ 'x\}$ in spec)
apply auto
apply (auto intro!: lam-type simp add: Collect-Finite)
done

lemma *normalize-multiset* [simp]: $multiset(M) ==> normalize(M) = M$
by (auto simp add: multiset-def normalize-def mset-of-def funrestrict-def multiset-fun-iff)

lemma *multiset-normalize* [simp]: $multiset(normalize(f))$
apply (simp add: normalize-def)
apply (simp add: normalize-def mset-of-def multiset-def, auto)
apply (rule-tac $x = \{x \in A \ . \ 0 < f \ 'x\}$ in exI)
apply (auto intro: Collect-subset [THEN subset-Finite] funrestrict-type)
done

lemma *munion-multiset* [simp]: $[\![\ multiset(M); \ multiset(N) \]\!] ==> multiset(M$
 $+ \# \ N)$
apply (unfold multiset-def munion-def mset-of-def, auto)
apply (rule-tac $x = A \ Un \ Aa$ in exI)
apply (auto intro!: lam-type intro: Finite-Un simp add: multiset-fun-iff zero-less-add)
done

lemma *mdiff-multiset* [simp]: $multiset(M - \# \ N)$
by (simp add: mdiff-def)

lemma *munion-0* [simp]: $multiset(M) ==> M + \# \ 0 = M \ \& \ 0 + \# \ M = M$
apply (simp add: multiset-def)
apply (auto simp add: munion-def mset-of-def)
done

lemma *munion-commute*: $M + \# \ N = N + \# \ M$
by (auto intro!: lam-cong simp add: munion-def)

lemma *munion-assoc*: $(M +\# N) +\# K = M +\# (N +\# K)$
apply (*unfold munion-def mset-of-def*)
apply (*rule lam-cong, auto*)
done

lemma *munion-lcommute*: $M +\# (N +\# K) = N +\# (M +\# K)$
apply (*unfold munion-def mset-of-def*)
apply (*rule lam-cong, auto*)
done

lemmas *munion-ac = munion-commute munion-assoc munion-lcommute*

lemma *mdiff-self-eq-0* [*simp*]: $M -\# M = 0$
by (*simp add: mdiff-def normalize-def mset-of-def*)

lemma *mdiff-0* [*simp*]: $0 -\# M = 0$
by (*simp add: mdiff-def normalize-def*)

lemma *mdiff-0-right* [*simp*]: $\text{multiset}(M) \implies M -\# 0 = M$
by (*auto simp add: multiset-def mdiff-def normalize-def multiset-fun-iff mset-of-def funrestrict-def*)

lemma *mdiff-union-inverse2* [*simp*]: $\text{multiset}(M) \implies M +\# \{\#a\# \} -\# \{\#a\# \} = M$
apply (*unfold multiset-def munion-def mdiff-def msingle-def normalize-def mset-of-def*)
apply (*auto cong add: if-cong simp add: ltD multiset-fun-iff funrestrict-def subset-Un-iff2 [THEN iffD1]*)
prefer 2 **apply** (*force intro!: lam-type*)
apply (*subgoal-tac [2] $\{x \in A \cup \{a\} . x \neq a \wedge x \in A\} = A$*)
apply (*rule fun-extension, auto*)
apply (*drule-tac $x = A \text{ Un } \{a\}$ in spec*)
apply (*simp add: Finite-Un*)
apply (*force intro!: lam-type*)
done

lemma *mcount-type* [*simp,TC*]: $\text{multiset}(M) \implies \text{mcount}(M, a) \in \text{nat}$
by (*auto simp add: multiset-def mcount-def mset-of-def multiset-fun-iff*)

lemma *mcount-0* [*simp*]: $\text{mcount}(0, a) = 0$
by (*simp add: mcount-def*)

lemma *mcount-single* [*simp*]: $\text{mcount}(\{\#b\# \}, a) = (\text{if } a=b \text{ then } 1 \text{ else } 0)$
by (*simp add: mcount-def mset-of-def msingle-def*)

lemma *mcount-union* [*simp*]: $[\text{multiset}(M); \text{multiset}(N)]$

$==> \text{mcount}(M \# N, a) = \text{mcount}(M, a) \# + \text{mcount}(N, a)$
apply (*auto simp add: multiset-def multiset-fun-iff mcount-def munion-def mset-of-def*)
done

lemma *mcount-diff* [*simp*]:
 $\text{multiset}(M) ==> \text{mcount}(M \# N, a) = \text{mcount}(M, a) \# - \text{mcount}(N, a)$
apply (*simp add: multiset-def*)
apply (*auto dest!: not-lt-imp-le*)
 $\text{simp add: mdiff-def multiset-fun-iff mcount-def normalize-def mset-of-def}$
apply (*force intro!: lam-type*)
apply (*force intro!: lam-type*)
done

lemma *mcount-elim*: $[\text{multiset}(M); a \in \text{mset-of}(M)] ==> 0 < \text{mcount}(M, a)$
apply (*simp add: multiset-def, clarify*)
apply (*simp add: mcount-def mset-of-def*)
apply (*simp add: multiset-fun-iff*)
done

lemma *msize-0* [*simp*]: $\text{msize}(0) = \#0$
by (*simp add: msize-def*)

lemma *msize-single* [*simp*]: $\text{msize}(\{ \#a \}) = \#1$
by (*simp add: msize-def*)

lemma *msize-type* [*simp, TC*]: $\text{msize}(M) \in \text{int}$
by (*simp add: msize-def*)

lemma *msize-zpositive*: $\text{multiset}(M) ==> \#0 \leq \text{msize}(M)$
by (*auto simp add: msize-def intro: g-zpos-imp-setsum-zpos*)

lemma *msize-int-of-nat*: $\text{multiset}(M) ==> \exists n \in \text{nat}. \text{msize}(M) = \#n$
apply (*rule not-zneg-int-of*)
apply (*simp-all (no-asm-simp) add: msize-type [THEN znegative-iff-zless-0] not-zless-iff-zle msize-zpositive*)
done

lemma *not-empty-multiset-imp-exist*:
 $[\text{M} \neq 0; \text{multiset}(M)] ==> \exists a \in \text{mset-of}(M). 0 < \text{mcount}(M, a)$
apply (*simp add: multiset-def*)
apply (*erule not-emptyE*)
apply (*auto simp add: mset-of-def mcount-def multiset-fun-iff*)
apply (*blast dest!: fun-is-rel*)
done

lemma *msize-eq-0-iff*: $\text{multiset}(M) ==> \text{msize}(M) = \#0 \iff M = 0$
apply (*simp add: msize-def, auto*)

```

apply (rule-tac  $Pa = \text{setsum } (?u, ?v) \neq \#0$  in swap)
apply blast
apply (drule not-empty-multiset-imp-exist, assumption, clarify)
apply (subgoal-tac Finite (mset-of (M) - {a}))
  prefer 2 apply (simp add: Finite-Diff)
apply (subgoal-tac setsum (%x. $# mcount (M, x), cons (a, mset-of (M) - {a}))=#0)
  prefer 2 apply (simp add: cons-Diff, simp)
apply (subgoal-tac #0  $\leq$  setsum (%x. $# mcount (M, x), mset-of (M) - {a})
)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
apply (auto simp add: Finite-Diff not-zless-iff-zle [THEN iff-sym] znegative-iff-zless-0
[THEN iff-sym])
apply (rule not-zneg-int-of [THEN bexE])
apply (auto simp del: int-of-0 simp add: int-of-add [symmetric] int-of-0 [symmetric])
done

```

lemma setsum-mcount-Int:

$$\text{Finite}(A) \implies \text{setsum}(\%a. \text{\$}\# \text{mcount}(N, a), A \text{ Int mset-of}(N)) \\ = \text{setsum}(\%a. \text{\$}\# \text{mcount}(N, a), A)$$

```

apply (induct rule: Finite-induct)
apply auto
apply (subgoal-tac Finite (B Int mset-of (N)))
prefer 2 apply (blast intro: subset-Finite)
apply (auto simp add: mcount-def Int-cons-left)
done

```

lemma msize-union [simp]:

$$[[\text{multiset}(M); \text{multiset}(N)]] \implies \text{msize}(M +\# N) = \text{msize}(M) \text{\$}+ \text{msize}(N)$$

```

apply (simp add: msize-def setsum-Un setsum-addf int-of-add setsum-mcount-Int)
apply (subst Int-commute)
apply (simp add: setsum-mcount-Int)
done

```

lemma msize-eq-succ-imp-elem: $[[\text{msize}(M) = \text{\$}\# \text{succ}(n); n \in \text{nat}]] \implies \exists a. a \in \text{mset-of}(M)$

```

apply (unfold msize-def)
apply (blast dest: setsum-succD)
done

```

lemma equality-lemma:

$$[[\text{multiset}(M); \text{multiset}(N); \forall a. \text{mcount}(M, a) = \text{mcount}(N, a)]] \\ \implies \text{mset-of}(M) = \text{mset-of}(N)$$

```

apply (simp add: multiset-def)
apply (rule sym, rule equalityI)
apply (auto simp add: multiset-fun-iff mcount-def mset-of-def)
apply (drule-tac [!]  $x=x$  in spec)
apply (case-tac [2]  $x \in Aa$ , case-tac  $x \in A$ , auto)

```

done

lemma *multiset-equality*:

$[[\text{multiset}(M); \text{multiset}(N)]]==> M=N <-> (\forall a. \text{mcount}(M, a)=\text{mcount}(N, a))$

apply *auto*

apply (*subgoal-tac mset-of (M) = mset-of (N)*)

prefer 2 **apply** (*blast intro: equality-lemma*)

apply (*simp add: multiset-def mset-of-def*)

apply (*auto simp add: multiset-fun-iff*)

apply (*rule fun-extension*)

apply (*blast, blast*)

apply (*drule-tac x = x in spec*)

apply (*auto simp add: mcount-def mset-of-def*)

done

lemma *munion-eq-0-iff* [*simp*]: $[[\text{multiset}(M); \text{multiset}(N)]]==> (M \# N = 0) <-> (M=0 \ \& \ N=0)$

by (*auto simp add: multiset-equality*)

lemma *empty-eq-munion-iff* [*simp*]: $[[\text{multiset}(M); \text{multiset}(N)]]==> (0=M \# N) <-> (M=0 \ \& \ N=0)$

apply (*rule iffI, drule sym*)

apply (*simp-all add: multiset-equality*)

done

lemma *munion-right-cancel* [*simp*]:

$[[\text{multiset}(M); \text{multiset}(N); \text{multiset}(K)]]==> (M \# K = N \# K) <-> (M=N)$

by (*auto simp add: multiset-equality*)

lemma *munion-left-cancel* [*simp*]:

$[[\text{multiset}(K); \text{multiset}(M); \text{multiset}(N)]]==> (K \# M = K \# N) <-> (M = N)$

by (*auto simp add: multiset-equality*)

lemma *nat-add-eq-1-cases*: $[[m \in \text{nat}; n \in \text{nat}]]==> (m \# n = 1) <-> (m=1 \ \& \ n=0) \ | \ (m=0 \ \& \ n=1)$

by (*induct-tac n auto*)

lemma *munion-is-single*:

$[[\text{multiset}(M); \text{multiset}(N)]]$

$==> (M \# N = \{\#a\}) <-> (M=\{\#a\} \ \& \ N=0) \ | \ (M=0 \ \& \ N=\{\#a\})$

apply (*simp (no-asm-simp) add: multiset-equality*)

apply *safe*

apply *simp-all*

apply (*case-tac aa=a*)

```

apply (drule-tac [2] x = aa in spec)
apply (drule-tac x = a in spec)
apply (simp add: nat-add-eq-1-cases, simp)
apply (case-tac aaa=aa, simp)
apply (drule-tac x = aa in spec)
apply (simp add: nat-add-eq-1-cases)
apply (case-tac aaa=a)
apply (drule-tac [4] x = aa in spec)
apply (drule-tac [3] x = a in spec)
apply (drule-tac [2] x = aaa in spec)
apply (drule-tac x = aa in spec)
apply (simp-all add: nat-add-eq-1-cases)
done

```

```

lemma msingle-is-union: [| multiset(M); multiset(N) |]
  ==> ({#a#} = M +# N) <-> ({#a#} = M & N=0 | M = 0 & {#a#}
  = N)
apply (subgoal-tac ({#a#} = M +# N) <-> (M +# N = {#a#}))
apply (simp (no-asm-simp) add: munion-is-single)
apply blast
apply (blast dest: sym)
done

```

```

lemma setsum-decr:
  Finite(A)
  ==> (∀ M. multiset(M) -->
    (∀ a ∈ mset-of(M). setsum(%z. $# mcount(M(a:=M'a #- 1), z), A) =
      (if a ∈ A then setsum(%z. $# mcount(M, z), A) #- #1
        else setsum(%z. $# mcount(M, z), A))))
apply (unfold multiset-def)
apply (erule Finite-induct)
apply (auto simp add: multiset-fun-iff)
apply (unfold mset-of-def mcount-def)
apply (case-tac x ∈ A, auto)
apply (subgoal-tac $# M ' x $+ #-1 = $# M ' x #- $# 1)
apply (erule ssubst)
apply (rule int-of-diff, auto)
done

```

```

lemma setsum-decr2:
  Finite(A)
  ==> ∀ M. multiset(M) --> (∀ a ∈ mset-of(M).
    setsum(%x. $# mcount(funrestrict(M, mset-of(M)-{a}), x), A) =
      (if a ∈ A then setsum(%x. $# mcount(M, x), A) #- $# M'a
        else setsum(%x. $# mcount(M, x), A)))
apply (simp add: multiset-def)
apply (erule Finite-induct)

```

apply (*auto simp add: multiset-fun-iff mcount-def mset-of-def*)
done

lemma *setsum-decr3*: $[[\text{Finite}(A); \text{multiset}(M); a \in \text{mset-of}(M)]]$
 $\implies \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A - \{a\})$
 $=$
 $(\text{if } a \in A \text{ then } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A) - \$\# M'a$
 $\text{else } \text{setsum}(\%x. \$\# \text{mcount}(M, x), A))$
apply (*subgoal-tac setsum (%x. \$# mcount (funrestrict (M, mset-of (M) - {a}), x), A - {a})*)
 $= \text{setsum}(\%x. \$\# \text{mcount}(\text{funrestrict}(M, \text{mset-of}(M) - \{a\}), x), A)$
apply (*rule-tac [2] setsum-Diff [symmetric]*)
apply (*rule sym, rule ssubst, blast*)
apply (*rule sym, drule setsum-decr2, auto*)
apply (*simp add: mcount-def mset-of-def*)
done

lemma *nat-le-1-cases*: $n \in \text{nat} \implies n \leq 1 \iff (n=0 \mid n=1)$
by (*auto elim: natE*)

lemma *succ-pred-eq-self*: $[[0 < n; n \in \text{nat}]]$ $\implies \text{succ}(n - 1) = n$
apply (*subgoal-tac 1 le n*)
apply (*drule add-diff-inverse2, auto*)
done

Specialized for use in the proof below.

lemma *multiset-funrestrict*:
 $[[\forall a \in A. M \text{ ' } a \in \text{nat} \wedge 0 < M \text{ ' } a; \text{Finite}(A)]]$
 $\implies \text{multiset}(\text{funrestrict}(M, A - \{a\}))$
apply (*simp add: multiset-def multiset-fun-iff*)
apply (*rule-tac x=A-{a} in exI*)
apply (*auto intro: Finite-Diff funrestrict-type*)
done

lemma *multiset-induct-aux*:
assumes *prem1*: $!!M a. [[\text{multiset}(M); a \notin \text{mset-of}(M); P(M)]]$ $\implies P(\text{cons}(<a,$
 $1>, M))$
and *prem2*: $!!M b. [[\text{multiset}(M); b \in \text{mset-of}(M); P(M)]]$ $\implies P(M(b :=$
 $M'b \#+ 1))$
shows
 $[[n \in \text{nat}; P(0)]]$
 $\implies (\forall M. \text{multiset}(M) \iff$
 $(\text{setsum}(\%x. \$\# \text{mcount}(M, x), \{x \in \text{mset-of}(M). 0 < M'x\}) = \$\# n) \iff$
 $P(M))$
apply (*erule nat-induct, clarify*)
apply (*frule msize-eq-0-iff*)
apply (*auto simp add: mset-of-def multiset-def multiset-fun-iff msize-def*)
apply (*subgoal-tac setsum (%x. \$# mcount (M, x), A) = \$# succ (x)*)
apply (*drule setsum-succD, auto*)
apply (*case-tac 1 <M'a*)

```

apply (drule-tac [2] not-lt-imp-le)
apply (simp-all add: nat-le-1-cases)
apply (subgoal-tac  $M = (M (a := M'a \#- 1)) (a := (M (a := M'a \#- 1)) 'a \#+ 1)$ 
)
apply (rule-tac [2]  $A = A$  and  $B = \%x. nat$  and  $D = \%x. nat$  in fun-extension)
apply (rule-tac [3] update-type)+
apply (simp-all (no-asm-simp))
  apply (rule-tac [2] impI)
  apply (rule-tac [2] succ-pred-eq-self [symmetric])
apply (simp-all (no-asm-simp))
apply (rule subst, rule sym, blast, rule prem2)
apply (simp (no-asm) add: multiset-def multiset-fun-iff)
apply (rule-tac  $x = A$  in exI)
apply (force intro: update-type)
apply (simp (no-asm-simp) add: mset-of-def mcount-def)
apply (drule-tac  $x = M (a := M 'a \#- 1)$  in spec)
apply (drule mp, drule-tac [2] mp, simp-all)
apply (rule-tac  $x = A$  in exI)
apply (auto intro: update-type)
apply (subgoal-tac Finite ( $\{x \in cons (a, A) . x \neq a \rightarrow 0 < M'x\}$ ))
prefer 2 apply (blast intro: Collect-subset [THEN subset-Finite] Finite-cons)
apply (drule-tac  $A = \{x \in cons (a, A) . x \neq a \rightarrow 0 < M'x\}$  in setsum-decr)
apply (drule-tac  $x = M$  in spec)
apply (subgoal-tac multiset ( $M$ ))
prefer 2
  apply (simp add: multiset-def multiset-fun-iff)
  apply (rule-tac  $x = A$  in exI, force)
apply (simp-all add: mset-of-def)
apply (drule-tac  $psi = \forall x \in A. ?u (x)$  in asm-rl)
apply (drule-tac  $x = a$  in bspec)
apply (simp (no-asm-simp))
apply (subgoal-tac  $cons (a, A) = A$ )
prefer 2 apply blast
apply simp
apply (subgoal-tac  $M = cons (<a, M'a>, funrestrict (M, A - \{a\}))$ )
prefer 2
  apply (rule fun-cons-funrestrict-eq)
  apply (subgoal-tac  $cons (a, A - \{a\}) = A$ )
  apply force
  apply force
apply (rule-tac  $a = cons (<a, 1>, funrestrict (M, A - \{a\}))$  in ssubst)
apply simp
apply (frule multiset-funrestrict, assumption)
apply (rule prem1, assumption)
apply (simp add: mset-of-def)
apply (drule-tac  $x = funrestrict (M, A - \{a\})$  in spec)
apply (drule mp)
apply (rule-tac  $x = A - \{a\}$  in exI)
apply (auto intro: Finite-Diff funrestrict-type simp add: funrestrict)

```

```

apply (frule-tac  $A = A$  and  $M = M$  and  $a = a$  in setsum-decr3)
apply (simp (no-asm-simp) add: multiset-def multiset-fun-iff)
apply blast
apply (simp (no-asm-simp) add: mset-of-def)
apply (drule-tac  $b = \text{if } ?u \text{ then } ?v \text{ else } ?w$  in sym, simp-all)
apply (subgoal-tac  $\{x \in A - \{a\} . 0 < \text{funrestrict } (M, A - \{x\}) \text{ ' } x\} = A - \{a\}$ )
apply (auto intro!: setsum-cong simp add: zdiff-eq-iff zadd-commute multiset-def multiset-fun-iff mset-of-def)
done

```

lemma *multiset-induct2*:

```

[[ multiset( $M$ );  $P(0)$ ;
  (!! $M$   $a$ . [[ multiset( $M$ );  $a \notin \text{mset-of}(M)$ ;  $P(M)$  ]] ==>  $P(\text{cons}(<a, 1>, M))$ );
  (!! $M$   $b$ . [[ multiset( $M$ );  $b \in \text{mset-of}(M)$ ;  $P(M)$  ]] ==>  $P(M(b := M \# b \# + 1))$ )
]]
==>  $P(M)$ 
apply (subgoal-tac  $\exists n \in \text{nat. setsum } (\lambda x. \$\# \text{mcount } (M, x), \{x \in \text{mset-of } (M) . 0 < M \text{ ' } x\}) = \$\# n$ )
apply (rule-tac [2] not-zneg-int-of)
apply (simp-all (no-asm-simp) add: znegative-iff-zless-0 not-zless-iff-zle)
apply (rule-tac [2] g-zpos-imp-setsum-zpos)
prefer 2 apply (blast intro: multiset-set-of-Finite Collect-subset [THEN subset-Finite])
prefer 2 apply (simp add: multiset-def multiset-fun-iff, clarify)
apply (rule multiset-induct-aux [rule-format], auto)
done

```

lemma *munion-single-case1*:

```

[[ multiset( $M$ );  $a \notin \text{mset-of}(M)$  ]] ==>  $M \# \{\#a\} = \text{cons}(<a, 1>, M)$ 
apply (simp add: multiset-def msingle-def)
apply (auto simp add: munion-def)
apply (unfold mset-of-def, simp)
apply (rule fun-extension, rule lam-type, simp-all)
apply (auto simp add: multiset-fun-iff fun-extend-apply)
apply (drule-tac  $c = a$  and  $b = 1$  in fun-extend3)
apply (auto simp add: cons-eq Un-commute [of - {a}])
done

```

lemma *munion-single-case2*:

```

[[ multiset( $M$ );  $a \in \text{mset-of}(M)$  ]] ==>  $M \# \{\#a\} = M(a := M \# a \# + 1)$ 
apply (simp add: multiset-def)
apply (auto simp add: munion-def multiset-fun-iff msingle-def)
apply (unfold mset-of-def, simp)
apply (subgoal-tac  $A \text{ Un } \{a\} = A$ )
apply (rule fun-extension)
apply (auto dest: domain-type intro: lam-type update-type)
done

```

```

lemma multiset-induct:
  assumes  $M$ : multiset( $M$ )
    and  $P0$ :  $P(0)$ 
    and step:  $\llbracket M \ a. \llbracket \text{multiset}(M); P(M) \rrbracket \implies P(M \ +\# \ \{\#a\# \}) \rrbracket$ 
  shows  $P(M)$ 
apply (rule multiset-induct2 [OF  $M$ ])
apply (simp-all add:  $P0$ )
apply (frule-tac [ $2$ ]  $a = b$  in munion-single-case2 [symmetric])
apply (frule-tac  $a = a$  in munion-single-case1 [symmetric])
apply (auto intro: step)
done

```

```

lemma MCollect-multiset [simp]:
   $\text{multiset}(M) \implies \text{multiset}(\{\# x \in M. P(x)\# \})$ 
apply (simp add: MCollect-def multiset-def mset-of-def, clarify)
apply (rule-tac  $x = \{x \in A. P(x)\}$  in exI)
apply (auto dest: CollectD1 [THEN [ $2$ ] apply-type]
  intro: Collect-subset [THEN subset-Finite] funrestrict-type)
done

```

```

lemma mset-of-MCollect [simp]:
   $\text{multiset}(M) \implies \text{mset-of}(\{\# x \in M. P(x)\# \}) \subseteq \text{mset-of}(M)$ 
by (auto simp add: mset-of-def MCollect-def multiset-def funrestrict-def)

```

```

lemma MCollect-mem-iff [iff]:
   $x \in \text{mset-of}(\{\#x \in M. P(x)\# \}) \iff x \in \text{mset-of}(M) \ \& \ P(x)$ 
by (simp add: MCollect-def mset-of-def)

```

```

lemma mcount-MCollect [simp]:
   $\text{mcount}(\{\# x \in M. P(x)\# \}, a) = (\text{if } P(a) \text{ then } \text{mcount}(M, a) \text{ else } 0)$ 
by (simp add: mcount-def MCollect-def mset-of-def)

```

```

lemma multiset-partition:  $\text{multiset}(M) \implies M = \{\# x \in M. P(x)\# \} \ +\# \ \{\# x \in M. \sim P(x)\# \}$ 
by (simp add: multiset-equality)

```

```

lemma natify-elim-is-self [simp]:
   $\llbracket \text{multiset}(M); a \in \text{mset-of}(M) \rrbracket \implies \text{natify}(M'a) = M'a$ 
by (auto simp add: multiset-def mset-of-def multiset-fun-iff)

```

```

lemma munion-eq-conv-diff:  $\llbracket \text{multiset}(M); \text{multiset}(N) \rrbracket$ 
 $\implies (M \ +\# \ \{\#a\# \} = N \ +\# \ \{\#b\# \}) \iff (M = N \ \& \ a = b \ |$ 
 $M = N \ -\# \ \{\#a\# \} \ +\# \ \{\#b\# \} \ \& \ N = M \ -\# \ \{\#b\# \} \ +\# \ \{\#a\# \})$ 
apply (simp del: mcount-single add: multiset-equality)

```

```

apply (rule iffI, erule-tac [2] disjE, erule-tac [3] conjE)
apply (case-tac a=b, auto)
apply (drule-tac x = a in spec)
apply (drule-tac [2] x = b in spec)
apply (drule-tac [3] x = aa in spec)
apply (drule-tac [4] x = a in spec, auto)
apply (subgoal-tac [!] mcount (N,a) :nat)
apply (erule-tac [3] natE, erule natE, auto)
done

```

lemma *melem-diff-single*:

```

multiset(M) ==>
  k ∈ mset-of(M -# {#a#}) <-> (k=a & 1 < mcount(M,a)) | (k≠ a & k ∈
mset-of(M))
apply (simp add: multiset-def)
apply (simp add: normalize-def mset-of-def msingle-def mdiff-def mcount-def)
apply (auto dest: domain-type intro: zero-less-diff [THEN iffD1]
      simp add: multiset-fun-iff apply-iff)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
apply (force intro!: lam-type)
done

```

lemma *munion-eq-conv-exist*:

```

[[ M ∈ Mult(A); N ∈ Mult(A) ]]
==> (M +# {#a#} = N +# {#b#}) <->
  (M=N & a=b | (∃ K ∈ Mult(A). M=K +# {#b#} & N=K +# {#a#}))
by (auto simp add: Mult-iff-multiset melem-diff-single munion-eq-conv-diff)

```

8.2 Multiset Orderings

lemma *multirel1-type*: $\text{multirel1}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$

by (auto simp add: multirel1-def)

lemma *multirel1-0* [simp]: $\text{multirel1}(0, r) = 0$

by (auto simp add: multirel1-def)

lemma *multirel1-iff*:

```

<N, M> ∈ multirel1(A, r) <->
  (∃ a. a ∈ A &
  (∃ M0. M0 ∈ Mult(A) & (∃ K. K ∈ Mult(A) &
  M=M0 +# {#a#} & N=M0 +# K & (∀ b ∈ mset-of(K). <b,a> ∈ r))))
by (auto simp add: multirel1-def Mult-iff-multiset Bex-def)

```

Monotonicity of *multirel1*

lemma *multirel1-mono1*: $A \subseteq B \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(B, r)$

apply (auto simp add: multirel1-def)

apply (auto simp add: Un-subset-iff Mult-iff-multiset)

apply (rule-tac x = a in bexI)

```

apply (rule-tac x = M0 in bexI, simp)
apply (rule-tac x = K in bexI)
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma multirel1-mono2:  $r \subseteq s \implies \text{multirel1}(A, r) \subseteq \text{multirel1}(A, s)$ 
apply (simp add: multirel1-def, auto)
apply (rule-tac x = a in bexI)
apply (rule-tac x = M0 in bexI)
apply (simp-all add: Mult-iff-multiset)
apply (rule-tac x = K in bexI)
apply (simp-all add: Mult-iff-multiset, auto)
done

```

```

lemma multirel1-mono:
  [| A ⊆ B; r ⊆ s |] ==> multirel1(A, r) ⊆ multirel1(B, s)
apply (rule subset-trans)
apply (rule multirel1-mono1)
apply (rule-tac [2] multirel1-mono2, auto)
done

```

8.3 Toward the proof of well-foundedness of multirel1

```

lemma not-less-0 [iff]:  $\langle M, 0 \rangle \notin \text{multirel1}(A, r)$ 
by (auto simp add: multirel1-def Mult-iff-multiset)

```

```

lemma less-munion: [|  $\langle N, M0 +\# \{ \#a\# \} \rangle \in \text{multirel1}(A, r)$ ;  $M0 \in \text{Mult}(A)$ 
|] ==>
  ( $\exists M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \ \& \ N = M +\# \{ \#a\# \}$ ) |
  ( $\exists K. K \in \text{Mult}(A) \ \& \ (\forall b \in \text{mset-of}(K). \langle b, a \rangle \in r) \ \& \ N = M0 +\# K$ )
apply (frule multirel1-type [THEN subsetD])
apply (simp add: multirel1-iff)
apply (auto simp add: munion-eq-conv-exist)
apply (rule-tac x = Ka +\# K in exI, auto, simp add: Mult-iff-multiset)
apply (simp (no-asm-simp) add: munion-left-cancel munion-assoc)
apply (auto simp add: munion-commute)
done

```

```

lemma multirel1-base: [|  $M \in \text{Mult}(A)$ ;  $a \in A$  |] ==>  $\langle M, M +\# \{ \#a\# \} \rangle \in \text{multirel1}(A, r)$ 
apply (auto simp add: multirel1-iff)
apply (simp add: Mult-iff-multiset)
apply (rule-tac x = a in exI, clarify)
apply (rule-tac x = M in exI, simp)
apply (rule-tac x = 0 in exI, auto)
done

```

```

lemma acc-0:  $\text{acc}(0) = 0$ 
by (auto intro!: equalityI dest: acc.dom-subset [THEN subsetD])

```

```

lemma lemma1: [|  $\forall b \in A. \langle b, a \rangle \in r \longrightarrow$ 
  ( $\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\# \} : \text{acc}(\text{multirel1}(A, r))$ );
   $M0 \in \text{acc}(\text{multirel1}(A, r)); a \in A$ ;
   $\forall M. \langle M, M0 \rangle \in \text{multirel1}(A, r) \longrightarrow M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
|]
  ==>  $M0 +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
apply (subgoal-tac  $M0 \in \text{Mult}(A)$  )
prefer 2
apply (erule acc.cases)
apply (erule fieldE)
apply (auto dest: multirel1-type [THEN subsetD])
apply (rule accI)
apply (rename-tac  $N$ )
apply (erule less-munion, blast)
apply (auto simp add: Mult-iff-multiset)
apply (erule-tac  $P = \forall x \in \text{mset-of}(K) . \langle x, a \rangle \in r$  in rev-mp)
apply (erule-tac  $P = \text{mset-of}(K) \subseteq A$  in rev-mp)
apply (erule-tac  $M = K$  in multiset-induct)

apply (simp (no-asm-simp))

apply (simp add: Ball-def Un-subset-iff, clarify)
apply (erule-tac  $x = aa$  in spec, simp)
apply (subgoal-tac  $aa \in A$ )
prefer 2 apply blast
apply (erule-tac  $x = M0 +\# M$  and  $P =$ 
  % $x. x \in \text{acc}(\text{multirel1}(A, r)) \longrightarrow ?Q(x)$  in spec)
apply (simp add: munion-assoc [symmetric])

apply (auto intro!: multirel1-base [THEN fieldI2] simp add: Mult-iff-multiset)
done

lemma lemma2: [|  $\forall b \in A. \langle b, a \rangle \in r$ 
   $\longrightarrow (\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#b\# \} : \text{acc}(\text{multirel1}(A, r))$ );
   $M \in \text{acc}(\text{multirel1}(A, r)); a \in A$  |] ==>  $M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A,$ 
 $r))$ 
apply (erule acc-induct)
apply (blast intro: lemma1)
done

lemma lemma3: [| wf[A](r);  $a \in A$  |]
  ==>  $\forall M \in \text{acc}(\text{multirel1}(A, r)). M +\# \{\#a\# \} \in \text{acc}(\text{multirel1}(A, r))$ 
apply (erule-tac  $a = a$  in wf-on-induct, blast)
apply (blast intro: lemma2)
done

lemma lemma4: multiset(M) ==> mset-of(M)  $\subseteq A \longrightarrow$ 

```

$wf[A](r) \dashrightarrow M \in field(multirel1(A, r)) \dashrightarrow M \in acc(multirel1(A, r))$
apply (*erule multiset-induct*)

apply *clarify*
apply (*rule accI, force*)
apply (*simp add: multirel1-def*)

apply *clarify*
apply *simp*
apply (*subgoal-tac mset-of (M) \subseteq A*)
prefer 2 **apply** *blast*
apply *clarify*
apply (*drule-tac a = a in lemma3, blast*)
apply (*subgoal-tac M \in field (multirel1 (A,r))*)
apply *blast*
apply (*rule multirel1-base [THEN fieldI1]*)
apply (*auto simp add: Mult-iff-multiset*)
done

lemma *all-accessible*: [$wf[A](r); M \in Mult(A); A \neq 0$] $\implies M \in acc(multirel1(A, r))$
apply (*erule not-emptyE*)
apply (*rule lemma4 [THEN mp, THEN mp, THEN mp]*)
apply (*rule-tac [4] multirel1-base [THEN fieldI1]*)
apply (*auto simp add: Mult-iff-multiset*)
done

lemma *wf-on-multirel1*: $wf[A](r) \implies wf[A-||>nat-\{0\}](multirel1(A, r))$
apply (*case-tac A=0*)
apply (*simp (no-asm-simp)*)
apply (*rule wf-imp-wf-on*)
apply (*rule wf-on-field-imp-wf*)
apply (*simp (no-asm-simp) add: wf-on-0*)
apply (*rule-tac A = acc (multirel1 (A,r)) in wf-on-subset-A*)
apply (*rule wf-on-acc*)
apply (*blast intro: all-accessible*)
done

lemma *wf-multirel1*: $wf(r) \implies wf(multirel1(field(r), r))$
apply (*simp (no-asm-use) add: wf-iff-wf-on-field*)
apply (*drule wf-on-multirel1*)
apply (*rule-tac A = field (r) -||> nat - {0} in wf-on-subset-A*)
apply (*simp (no-asm-simp)*)
apply (*rule field-rel-subset*)
apply (*rule multirel1-type*)
done

lemma *multirel-type*: $\text{multirel}(A, r) \subseteq \text{Mult}(A) * \text{Mult}(A)$
apply (*simp add: multirel-def*)
apply (*rule trancl-type [THEN subset-trans]*)
apply (*auto dest: multirel1-type [THEN subsetD]*)
done

lemma *multirel-mono*:
 $[[A \subseteq B; r \subseteq s]] \implies \text{multirel}(A, r) \subseteq \text{multirel}(B, s)$
apply (*simp add: multirel-def*)
apply (*rule trancl-mono*)
apply (*rule multirel1-mono, auto*)
done

lemma *add-diff-eq*: $k \in \text{nat} \implies 0 < k \longrightarrow n \# + k \# - 1 = n \# + (k \# - 1)$
by (*erule nat-induct, auto*)

lemma *mdiff-union-single-conv*: $[[a \in \text{mset-of}(J); \text{multiset}(I); \text{multiset}(J)]]$
 $\implies I \# + J \# - \{ \# a \# \} = I \# + (J \# - \{ \# a \# \})$
apply (*simp (no-asm-simp) add: multiset-equality*)
apply (*case-tac a \notin mset-of (I)*)
apply (*auto simp add: mcount-def mset-of-def multiset-def multiset-fun-iff*)
apply (*auto dest: domain-type simp add: add-diff-eq*)
done

lemma *diff-add-commute*: $[[n \text{ le } m; m \in \text{nat}; n \in \text{nat}; k \in \text{nat}]] \implies m \# - n \# + k = m \# + k \# - n$
by (*auto simp add: le-iff less-iff-succ-add*)

lemma *multirel-implies-one-step*:
 $\langle M, N \rangle \in \text{multirel}(A, r) \implies$
 $\text{trans}[A](r) \longrightarrow$
 $(\exists I J K.$
 $I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$
 $N = I \# + J \ \& \ M = I \# + K \ \& \ J \neq 0 \ \&$
 $(\forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r))$
apply (*simp add: multirel-def Ball-def Bex-def*)
apply (*erule converse-trancl-induct*)
apply (*simp-all add: multirel1-iff Mult-iff-multiset*)

apply *clarify*
apply (*rule-tac x = M0 in exI, force*)

apply *clarify*

```

apply (case-tac  $a \in \text{mset-of } (Ka)$  )
apply (rule-tac  $x = I$  in  $exI$ , simp (no-asm-simp))
apply (rule-tac  $x = J$  in  $exI$ , simp (no-asm-simp))
apply (rule-tac  $x = (Ka -\# \{a\}) +\# K$  in  $exI$ , simp (no-asm-simp))
apply (simp-all add: Un-subset-iff)
apply (simp (no-asm-simp) add: munion-assoc [symmetric])
apply (drule-tac  $t = \%M. M -\# \{a\}$  in subst-context)
apply (simp add: mdiff-union-single-conv melem-diff-single, clarify)
apply (erule disjE, simp)
apply (erule disjE, simp)
apply (drule-tac  $x = a$  and  $P = \%x. x :\# Ka \longrightarrow ?Q(x)$  in spec)
apply clarify
apply (rule-tac  $x = xa$  in  $exI$ )
apply (simp (no-asm-simp))
apply (blast dest: trans-onD)

apply (subgoal-tac  $a :\# I$ )
apply (rule-tac  $x = I -\# \{a\}$  in  $exI$ , simp (no-asm-simp))
apply (rule-tac  $x = J +\# \{a\}$  in  $exI$ )
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule-tac  $x = Ka +\# K$  in  $exI$ )
apply (simp (no-asm-simp) add: Un-subset-iff)
apply (rule conjI)
apply (simp (no-asm-simp) add: multiset-equality mcount-elim [THEN succ-pred-eq-self])
apply (rule conjI)
apply (drule-tac  $t = \%M. M -\# \{a\}$  in subst-context)
apply (simp add: mdiff-union-inverse2)
apply (simp-all (no-asm-simp) add: multiset-equality)
apply (rule diff-add-commute [symmetric])
apply (auto intro: mcount-elim)
apply (subgoal-tac  $a \in \text{mset-of } (I +\# Ka)$  )
apply (drule-tac [2] sym, auto)
done

```

lemma melem-imp-eq-diff-union [simp]: $[[a \in \text{mset-of } (M); \text{multiset}(M)]] \implies$
 $M -\# \{a\} +\# \{a\} = M$
by (simp add: multiset-equality mcount-elim [THEN succ-pred-eq-self])

lemma msize-eq-succ-imp-eq-union:

```

[[ msize(M)=$# succ(n);  $M \in \text{Mult}(A)$ ;  $n \in \text{nat}$  ]]
 $\implies \exists a N. M = N +\# \{a\} \ \& \ N \in \text{Mult}(A) \ \& \ a \in A$ 
apply (drule msize-eq-succ-imp-elim, auto)
apply (rule-tac  $x = a$  in  $exI$ )
apply (rule-tac  $x = M -\# \{a\}$  in  $exI$ )
apply (frule Mult-into-multiset)
apply (simp (no-asm-simp))
apply (auto simp add: Mult-iff-multiset)
done

```

lemma *one-step-implies-multirel-lemma* [rule-format (no-asm)]:

$n \in \text{nat} \implies$

$(\forall I J K.$

$I \in \text{Mult}(A) \ \& \ J \in \text{Mult}(A) \ \& \ K \in \text{Mult}(A) \ \&$

$(\text{msize}(J) = \#\ n \ \& \ J \neq 0 \ \& \ (\forall k \in \text{mset-of}(K). \ \exists j \in \text{mset-of}(J). \ \langle k, j \rangle \in r))$

$\implies \langle I +\# K, I +\# J \rangle \in \text{multirel}(A, r)$

apply (*simp add: Mult-iff-multiset*)

apply (*erule nat-induct, clarify*)

apply (*drule-tac M = J in msize-eq-0-iff, auto*)

apply (*subgoal-tac msize (J) =\# succ (x))*)

prefer 2 **apply** *simp*

apply (*frule-tac A = A in msize-eq-succ-imp-eq-union*)

apply (*simp-all add: Mult-iff-multiset, clarify*)

apply (*rename-tac J', simp*)

apply (*case-tac J' = 0*)

apply (*simp add: multirel-def*)

apply (*rule r-into-trancl, clarify*)

apply (*simp add: multirel1-iff Mult-iff-multiset, force*)

apply (*drule sym, rotate-tac -1, simp*)

apply (*erule-tac V = \# x = msize (J') in thin-rl*)

apply (*frule-tac M = K and P = \%x. \langle x, a \rangle \in r in multiset-partition*)

apply (*erule-tac P = \forall k \in \text{mset-of} (K) . ?P (k) in rev-mp*)

apply (*erule ssubst*)

apply (*simp add: Ball-def, auto*)

apply (*subgoal-tac \langle (I +\# \{\# x \in K. \langle x, a \rangle \in r\# \}) +\# \{\# x \in K. \langle x, a \rangle \notin r\# \}, (I +\# \{\# x \in K. \langle x, a \rangle \in r\# \}) +\# J' \rangle \in \text{multirel}(A, r))*)

prefer 2

apply (*drule-tac x = I +\# \{\# x \in K. \langle x, a \rangle \in r\# \} in spec*)

apply (*rotate-tac -1*)

apply (*drule-tac x = J' in spec*)

apply (*rotate-tac -1*)

apply (*drule-tac x = \{\# x \in K. \langle x, a \rangle \notin r\# \} in spec, simp*) **apply** *blast*

apply (*simp add: munion-assoc [symmetric] multirel-def*)

apply (*rule-tac b = I +\# \{\# x \in K. \langle x, a \rangle \in r\# \} +\# J' in trancl-trans, blast*)

apply (*rule r-into-trancl*)

apply (*simp add: multirel1-iff Mult-iff-multiset*)

apply (*rule-tac x = a in exI*)

apply (*simp (no-asm-simp)*)

apply (*rule-tac x = I +\# J' in exI*)

apply (*auto simp add: munion-ac Un-subset-iff*)

done

lemma *one-step-implies-multirel*:

$[| J \neq 0; \forall k \in \text{mset-of}(K). \exists j \in \text{mset-of}(J). \langle k, j \rangle \in r;$

```

       $I \in \text{Mult}(A); J \in \text{Mult}(A); K \in \text{Mult}(A) \parallel$ 
       $\implies \langle I+\#K, I+\#J \rangle \in \text{multirel}(A, r)$ 
apply (subgoal-tac multiset (J))
prefer 2 apply (simp add: Mult-iff-multiset)
apply (frule-tac M = J in msize-int-of-nat)
apply (auto intro: one-step-implies-multirel-lemma)
done

```

lemma *multirel-irrefl-lemma*:

```

       $\text{Finite}(A) \implies \text{part-ord}(A, r) \dashrightarrow (\forall x \in A. \exists y \in A. \langle x, y \rangle \in r) \dashrightarrow A=0$ 
apply (erule Finite-induct)
apply (auto dest: subset-consI [THEN [2] part-ord-subset])
apply (auto simp add: part-ord-def irrefl-def)
apply (drule-tac x = xa in bspec)
apply (drule-tac [2] a = xa and b = x in trans-onD, auto)
done

```

lemma *irrefl-on-multirel*:

```

       $\text{part-ord}(A, r) \implies \text{irrefl}(\text{Mult}(A), \text{multirel}(A, r))$ 
apply (simp add: irrefl-def)
apply (subgoal-tac trans[A](r))
prefer 2 apply (simp add: part-ord-def, clarify)
apply (drule multirel-implies-one-step, clarify)
apply (simp add: Mult-iff-multiset, clarify)
apply (subgoal-tac Finite (mset-of (K)))
apply (frule-tac r = r in multirel-irrefl-lemma)
apply (frule-tac B = mset-of (K) in part-ord-subset)
apply simp-all
apply (auto simp add: multiset-def mset-of-def)
done

```

lemma *trans-on-multirel*: $\text{trans}[\text{Mult}(A)](\text{multirel}(A, r))$

```

apply (simp add: multirel-def trans-on-def)
apply (blast intro: trancl-trans)
done

```

lemma *multirel-trans*:

```

       $\parallel \langle M, N \rangle \in \text{multirel}(A, r); \langle N, K \rangle \in \text{multirel}(A, r) \parallel \implies \langle M, K \rangle \in$ 
       $\text{multirel}(A, r)$ 
apply (simp add: multirel-def)
apply (blast intro: trancl-trans)
done

```

lemma *trans-multirel*: $\text{trans}(\text{multirel}(A, r))$

```

apply (simp add: multirel-def)

```

apply (*rule trans-trancl*)
done

lemma *part-ord-multirel*: $\text{part-ord}(A,r) \implies \text{part-ord}(\text{Mult}(A), \text{multirel}(A, r))$
apply (*simp (no-asm) add: part-ord-def*)
apply (*blast intro: irrefl-on-multirel trans-on-multirel*)
done

lemma *munion-multirel1-mono*:
 $[\langle M,N \rangle \in \text{multirel1}(A, r); K \in \text{Mult}(A)] \implies \langle K \+# M, K \+# N \rangle \in \text{multirel1}(A, r)$
apply (*frule multirel1-type [THEN subsetD]*)
apply (*auto simp add: multirel1-iff Mult-iff-multiset*)
apply (*rule-tac x = a in exI*)
apply (*simp (no-asm-simp)*)
apply (*rule-tac x = K+#M0 in exI*)
apply (*simp (no-asm-simp) add: Un-subset-iff*)
apply (*rule-tac x = Ka in exI*)
apply (*simp (no-asm-simp) add: munion-assoc*)
done

lemma *munion-multirel-mono2*:
 $[\langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A)] \implies \langle K \+# M, K \+# N \rangle \in \text{multirel}(A, r)$
apply (*frule multirel-type [THEN subsetD]*)
apply (*simp (no-asm-use) add: multirel-def*)
apply *clarify*
apply (*drule-tac psi = \langle M,N \rangle \in \text{multirel1}(A, r) \hat{+} in asm-rl*)
apply (*erule rev-mp*)
apply (*erule rev-mp*)
apply (*erule rev-mp*)
apply (*erule trancl-induct, clarify*)
apply (*blast intro: munion-multirel1-mono r-into-trancl, clarify*)
apply (*subgoal-tac y \in \text{Mult}(A)*)
prefer 2
apply (*blast dest: multirel-type [unfolded multirel-def, THEN subsetD]*)
apply (*subgoal-tac \langle K \+# y, K \+# z \rangle \in \text{multirel1}(A, r)*)
prefer 2 **apply** (*blast intro: munion-multirel1-mono*)
apply (*blast intro: r-into-trancl trancl-trans*)
done

lemma *munion-multirel-mono1*:
 $[\langle M, N \rangle \in \text{multirel}(A, r); K \in \text{Mult}(A)] \implies \langle M \+# K, N \+# K \rangle \in \text{multirel}(A, r)$
apply (*frule multirel-type [THEN subsetD]*)
apply (*rule-tac P = \%x. \langle x, ?u \rangle \in \text{multirel}(A, r) in munion-commute [THEN subst]*)

```

apply (subst munion-commute [of N])
apply (rule munion-multirel-mono2)
apply (auto simp add: Mult-iff-multiset)
done

```

lemma *munion-multirel-mono*:

```

  [|<M,K> ∈ multirel(A, r); <N,L> ∈ multirel(A, r)|]
  ==> <M +# N, K +# L> ∈ multirel(A, r)
apply (subgoal-tac M ∈ Mult(A) & N ∈ Mult(A) & K ∈ Mult(A) & L ∈ Mult(A)
)
prefer 2 apply (blast dest: multirel-type [THEN subsetD])
apply (blast intro: munion-multirel-mono1 multirel-trans munion-multirel-mono2)
done

```

8.4 Ordinal Multisets

lemmas *field-Memrel-mono = Memrel-mono* [*THEN field-mono, standard*]

lemmas *multirel-Memrel-mono = multirel-mono* [*OF field-Memrel-mono Memrel-mono*]

lemma *omultiset-is-multiset* [*simp*]: *omultiset(M) ==> multiset(M)*
apply (*simp add: omultiset-def*)
apply (*auto simp add: Mult-iff-multiset*)
done

lemma *munion-omultiset* [*simp*]: [| *omultiset(M); omultiset(N)* |] ==> *omultiset(M +# N)*
apply (*simp add: omultiset-def, clarify*)
apply (*rule-tac x = i Un ia in exI*)
apply (*simp add: Mult-iff-multiset Ord-Un Un-subset-iff*)
apply (*blast intro: field-Memrel-mono*)
done

lemma *mdiff-omultiset* [*simp*]: *omultiset(M) ==> omultiset(M -# N)*
apply (*simp add: omultiset-def, clarify*)
apply (*simp add: Mult-iff-multiset*)
apply (*rule-tac x = i in exI*)
apply (*simp (no-asm-simp)*)
done

lemma *irrefl-Memrel: Ord(i) ==> irrefl(field(Memrel(i)), Memrel(i))*
apply (*rule irreflI, clarify*)
apply (*subgoal-tac Ord (x)*)
prefer 2 **apply** (*blast intro: Ord-in-Ord*)
apply (*drule-tac i = x in ltI* [*THEN lt-irrefl*], *auto*)

done

lemma *trans-iff-trans-on*: $\text{trans}(r) <-> \text{trans}[\text{field}(r)](r)$
by (*simp add: trans-on-def trans-def, auto*)

lemma *part-ord-Memrel*: $\text{Ord}(i) \implies \text{part-ord}(\text{field}(\text{Memrel}(i)), \text{Memrel}(i))$
apply (*simp add: part-ord-def*)
apply (*simp (no-asm) add: trans-iff-trans-on [THEN iff-sym]*)
apply (*blast intro: trans-Memrel irrefl-Memrel*)
done

lemmas *part-ord-mless = part-ord-Memrel [THEN part-ord-multirel, standard]*

lemma *mless-not-refl*: $\sim(M <\# M)$
apply (*simp add: mless-def, clarify*)
apply (*frule multirel-type [THEN subsetD]*)
apply (*drule part-ord-mless*)
apply (*simp add: part-ord-def irrefl-def*)
done

lemmas *mless-irrefl = mless-not-refl [THEN notE, standard, elim!]*

lemma *mless-trans*: $[| K <\# M; M <\# N |] \implies K <\# N$
apply (*simp add: mless-def, clarify*)
apply (*rule-tac x = i Un ia in exI*)
apply (*blast dest: multirel-Memrel-mono [OF Un-upper1 Un-upper1, THEN subsetD]*
 multirel-Memrel-mono [OF Un-upper2 Un-upper2, THEN subsetD]
 intro: multirel-trans Ord-Un)
done

lemma *mless-not-sym*: $M <\# N \implies \sim N <\# M$
apply *clarify*
apply (*rule mless-not-refl [THEN notE]*)
apply (*erule mless-trans, assumption*)
done

lemma *mless-asm*: $[| M <\# N; \sim P \implies N <\# M |] \implies P$
by (*blast dest: mless-not-sym*)

lemma *mle-refl [simp]*: $\text{omultiset}(M) \implies M <\# M$
by (*simp add: mle-def*)

lemma *mle-antisym*:

[[$M <\# = N$; $N <\# = M$]] ==> $M = N$
apply (*simp add: mle-def*)
apply (*blast dest: mless-not-sym*)
done

lemma *mle-trans*: [[$K <\# = M$; $M <\# = N$]] ==> $K <\# = N$

apply (*simp add: mle-def*)
apply (*blast intro: mless-trans*)
done

lemma *mless-le-iff*: $M <\# N <-> (M <\# = N \ \& \ M \neq N)$

by (*simp add: mle-def, auto*)

lemma *munion-less-mono2*: [[$M <\# N$; $omultiset(K)$]] ==> $K +\# M <\# K +\# N$

apply (*simp add: mless-def omultiset-def, clarify*)
apply (*rule-tac x = i Un ia in exI*)
apply (*simp add: Mult-iff-multiset Ord-Un Un-subset-iff*)
apply (*rule munion-multirel-mono2*)
apply (*blast intro: multirel-Memrel-mono [THEN subsetD]*)
apply (*simp add: Mult-iff-multiset*)
apply (*blast intro: field-Memrel-mono [THEN subsetD]*)
done

lemma *munion-less-mono1*: [[$M <\# N$; $omultiset(K)$]] ==> $M +\# K <\# N +\# K$

by (*force dest: munion-less-mono2 simp add: munion-commute*)

lemma *mless-imp-omultiset*: $M <\# N ==> omultiset(M) \ \& \ omultiset(N)$

by (*auto simp add: mless-def omultiset-def dest: multirel-type [THEN subsetD]*)

lemma *munion-less-mono*: [[$M <\# K$; $N <\# L$]] ==> $M +\# N <\# K +\# L$

apply (*frule-tac M = M in mless-imp-omultiset*)
apply (*frule-tac M = N in mless-imp-omultiset*)
apply (*blast intro: munion-less-mono1 munion-less-mono2 mless-trans*)
done

lemma *mle-imp-omultiset*: $M <\# = N ==> omultiset(M) \ \& \ omultiset(N)$

by (*auto simp add: mle-def mless-imp-omultiset*)

lemma *mle-mono*: [[$M <\# = K$; $N <\# = L$]] ==> $M +\# N <\# = K +\# L$

```

apply (frule-tac  $M = M$  in mle-imp-omultiset)
apply (frule-tac  $M = N$  in mle-imp-omultiset)
apply (auto simp add: mle-def intro: munion-less-mono1 munion-less-mono2 munion-less-mono)
done

```

```

lemma omultiset-0 [iff]: omultiset(0)
by (auto simp add: omultiset-def Mult-iff-multiset)

```

```

lemma empty-leI [simp]: omultiset(M) ==> 0 <# = M
apply (simp add: mle-def mless-def)
apply (subgoal-tac  $\exists i. \text{Ord } (i) \ \& \ M \in \text{Mult}(\text{field}(\text{Memrel}(i)))$ )
  prefer 2 apply (simp add: omultiset-def)
apply (case-tac  $M=0, \text{simp-all}, \text{clarify}$ )
apply (subgoal-tac  $<0 \ +\# \ 0, \ 0 \ +\# \ M> \in \text{multirel}(\text{field } (\text{Memrel}(i)), \text{Memrel}(i))$ )
apply (rule-tac [2] one-step-implies-multirel)
apply (auto simp add: Mult-iff-multiset)
done

```

```

lemma munion-upper1: [| omultiset(M); omultiset(N) |] ==> M <# = M +# N
apply (subgoal-tac  $M \ +\# \ 0 \ < \# = \ M \ +\# \ N$ )
apply (rule-tac [2] mle-mono, auto)
done

```

end

9 An operator to “map” a relation over a list

theory *Rmap* **imports** *Main* **begin**

consts

rmap :: $i \Rightarrow i$

inductive

domains *rmap*(r) $\subseteq \text{list}(\text{domain}(r)) \times \text{list}(\text{range}(r))$

intros

NilI: $\langle \text{Nil}, \text{Nil} \rangle \in \text{rmap}(r)$

ConsI: $[| \langle x, y \rangle : r; \langle xs, ys \rangle \in \text{rmap}(r) |]$
 $\implies \langle \text{Cons}(x, xs), \text{Cons}(y, ys) \rangle \in \text{rmap}(r)$

type-intros *domainI rangeI list.intros*

lemma *rmap-mono: r \subseteq s ==> rmap(r) \subseteq rmap(s)*

apply (*unfold rmap.defs*)

apply (*rule lfp-mono*)

apply (*rule rmap.bnd-mono*)**+**

apply (*assumption | rule Sigma-mono list-mono domain-mono range-mono basic-monos*)**+**

```

done

inductive-cases
  Nil-rmap-case [elim!]: <Nil,zs> ∈ rmap(r)
  and Cons-rmap-case [elim!]: <Cons(x,xs),zs> ∈ rmap(r)

declare rmap.intros [intro]

lemma rmap-rel-type: r ⊆ A × B ==> rmap(r) ⊆ list(A) × list(B)
  apply (rule rmap.dom-subset [THEN subset-trans])
  apply (assumption |
    rule domain-rel-subset range-rel-subset Sigma-mono list-mono)+
done

lemma rmap-total: A ⊆ domain(r) ==> list(A) ⊆ domain(rmap(r))
  apply (rule subsetI)
  apply (erule list.induct)
  apply blast+
done

lemma rmap-functional: function(r) ==> function(rmap(r))
  apply (unfold function-def)
  apply (rule impI [THEN allI, THEN allI])
  apply (erule rmap.induct)
  apply blast+
done

If  $f$  is a function then  $rmap(f)$  behaves as expected.

lemma rmap-fun-type: f ∈ A->B ==> rmap(f): list(A)->list(B)
  by (simp add: Pi-iff rmap-rel-type rmap-functional rmap-total)

lemma rmap-Nil: rmap(f)'Nil = Nil
  by (unfold apply-def) blast

lemma rmap-Cons: [| f ∈ A->B; x ∈ A; xs: list(A) |]
  ==> rmap(f) 'Cons(x,xs) = Cons(f'x, rmap(f)'xs)
  by (blast intro: apply-equality apply-Pair rmap-fun-type rmap.intros)

end

```

10 Meta-theory of propositional logic

theory PropLog imports Main begin

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If $H \models p$ then $G \models p$ where $G \in \text{Fin}(H)$

10.1 The datatype of propositions

consts

propn :: *i*

datatype *propn* =

Fls
 | *Var* (*n* ∈ *nat*) (#- [100] 100)
 | *Imp* (*p* ∈ *propn*, *q* ∈ *propn*) (**infixr** ==> 90)

10.2 The proof system

consts *thms* :: *i* ==> *i*

syntax *-thms* :: [*i*,*i*] ==> *o* (**infixl** |- 50)

translations $H \vdash p == p \in \text{thms}(H)$

inductive

domains *thms*(*H*) ⊆ *propn*

intros

H: [| *p* ∈ *H*; *p* ∈ *propn* |] ==> $H \vdash p$

K: [| *p* ∈ *propn*; *q* ∈ *propn* |] ==> $H \vdash p \Rightarrow q \Rightarrow p$

S: [| *p* ∈ *propn*; *q* ∈ *propn*; *r* ∈ *propn* |]
 ==> $H \vdash (p \Rightarrow q \Rightarrow r) \Rightarrow (p \Rightarrow q) \Rightarrow p \Rightarrow r$

DN: $p \in \text{propn} ==> H \vdash ((p \Rightarrow \text{Fls}) \Rightarrow \text{Fls}) \Rightarrow p$

MP: [| $H \vdash p \Rightarrow q$; $H \vdash p$; *p* ∈ *propn*; *q* ∈ *propn* |] ==> $H \vdash q$

type-intros *propn.intros*

declare *propn.intros* [*simp*]

10.3 The semantics

10.3.1 Semantics of propositional logic.

consts

is-true-fun :: [*i*,*i*] ==> *i*

primrec

is-true-fun(*Fls*, *t*) = 0

is-true-fun(*Var*(*v*), *t*) = (if *v* ∈ *t* then 1 else 0)

is-true-fun(*p* ==> *q*, *t*) = (if *is-true-fun*(*p*,*t*) = 1 then *is-true-fun*(*q*,*t*) else 1)

definition

is-true :: [*i*,*i*] ==> *o* **where**

is-true(*p*,*t*) == *is-true-fun*(*p*,*t*) = 1

— this definition is required since predicates can't be recursive

lemma *is-true-Fls* [*simp*]: $is\text{-true}(Fls, t) \leftrightarrow False$
by (*simp add: is-true-def*)

lemma *is-true-Var* [*simp*]: $is\text{-true}(\#v, t) \leftrightarrow v \in t$
by (*simp add: is-true-def*)

lemma *is-true-Imp* [*simp*]: $is\text{-true}(p \Rightarrow q, t) \leftrightarrow (is\text{-true}(p, t) \longrightarrow is\text{-true}(q, t))$
by (*simp add: is-true-def*)

10.3.2 Logical consequence

For every valuation, if all elements of H are true then so is p .

definition

$logcon :: [i, i] \Rightarrow o$ (**infixl** $|\ = \ 50$) **where**
 $H \ | \ = \ p \ == \ \forall t. (\forall q \in H. is\text{-true}(q, t)) \longrightarrow is\text{-true}(p, t)$

A finite set of hypotheses from t and the *Vars* in p .

consts

$hyps :: [i, i] \Rightarrow i$

primrec

$hyps(Fls, t) = 0$

$hyps(Var(v), t) = (if\ v \in t\ then\ \{\#v\}\ else\ \{\#v \Rightarrow Fls\})$

$hyps(p \Rightarrow q, t) = hyps(p, t) \cup hyps(q, t)$

10.4 Proof theory of propositional logic

lemma *thms-mono*: $G \subseteq H \implies thms(G) \subseteq thms(H)$
apply (*unfold thms.defs*)
apply (*rule lfp-mono*)
apply (*rule thms.bnd-mono*)
apply (*assumption | rule univ-mono basic-monos*)
done

lemmas *thms-in-pl* = *thms.dom-subset* [*THEN subsetD*]

inductive-cases *ImpE*: $p \Rightarrow q \in propn$

lemma *thms-MP*: $[| H \ | \ - \ p \Rightarrow q; H \ | \ - \ p \ |] \implies H \ | \ - \ q$
— Stronger Modus Ponens rule: no typechecking!
apply (*rule thms.MP*)
apply (*erule asm-rl thms-in-pl thms-in-pl [THEN ImpE]*)
done

lemma *thms-I*: $p \in propn \implies H \ | \ - \ p \Rightarrow p$
— Rule is called *I* for Identity Combinator, not for Introduction.
apply (*rule thms.S [THEN thms-MP, THEN thms-MP]*)
apply (*rule-tac [5] thms.K*)
apply (*rule-tac [4] thms.K*)
apply *simp-all*

done

10.4.1 Weakening, left and right

lemma *weaken-left*: $[| G \subseteq H; G|-p |] ==> H|-p$
— Order of premises is convenient with *THEN*
by (*erule thms-mono [THEN subsetD]*)

lemma *weaken-left-cons*: $H |- p ==> cons(a,H) |- p$
by (*erule subset-consI [THEN weaken-left]*)

lemmas *weaken-left-Un1* = *Un-upper1 [THEN weaken-left]*

lemmas *weaken-left-Un2* = *Un-upper2 [THEN weaken-left]*

lemma *weaken-right*: $[| H |- q; p \in propn |] ==> H |- p=>q$
by (*simp-all add: thms.K [THEN thms-MP] thms-in-pl*)

10.4.2 The deduction theorem

theorem *deduction*: $[| cons(p,H) |- q; p \in propn |] ==> H |- p=>q$
apply (*erule thms.induct*)
 apply (*blast intro: thms-I thms.H [THEN weaken-right]*)
 apply (*blast intro: thms.K [THEN weaken-right]*)
 apply (*blast intro: thms.S [THEN weaken-right]*)
 apply (*blast intro: thms.DN [THEN weaken-right]*)
 apply (*blast intro: thms.S [THEN thms-MP [THEN thms-MP]]*)
done

10.4.3 The cut rule

lemma *cut*: $[| H|-p; cons(p,H) |- q |] ==> H |- q$
apply (*rule deduction [THEN thms-MP]*)
 apply (*simp-all add: thms-in-pl*)
done

lemma *thms-FlsE*: $[| H |- Fls; p \in propn |] ==> H |- p$
apply (*rule thms.DN [THEN thms-MP]*)
 apply (*rule-tac [2] weaken-right*)
 apply (*simp-all add: propn.intros*)
done

lemma *thms-notE*: $[| H |- p=>Fls; H |- p; q \in propn |] ==> H |- q$
by (*erule thms-MP [THEN thms-FlsE]*)

10.4.4 Soundness of the rules wrt truth-table semantics

theorem *soundness*: $H |- p ==> H |= p$
apply (*unfold logcon-def*)
apply (*induct set: thms*)
 apply *auto*

done

10.5 Completeness

10.5.1 Towards the completeness proof

lemma *Fls-Imp*: $[| H \mid - p \Rightarrow Fls; q \in \text{propn} |] \Rightarrow H \mid - p \Rightarrow q$
 apply (*frule thms-in-pl*)
 apply (*rule deduction*)
 apply (*rule weaken-left-cons [THEN thms-notE]*)
 apply (*blast intro: thms.H elim: ImpE*)
done

lemma *Imp-Fls*: $[| H \mid - p; H \mid - q \Rightarrow Fls |] \Rightarrow H \mid - (p \Rightarrow q) \Rightarrow Fls$
 apply (*frule thms-in-pl*)
 apply (*frule thms-in-pl [of concl: q \Rightarrow Fls]*)
 apply (*rule deduction*)
 apply (*erule weaken-left-cons [THEN thms-MP]*)
 apply (*rule consI1 [THEN thms.H, THEN thms-MP]*)
 apply (*blast intro: weaken-left-cons elim: ImpE*)
done

lemma *hyps-thms-if*:
 $p \in \text{propn} \Rightarrow \text{hyps}(p,t) \mid - (\text{if is-true}(p,t) \text{ then } p \text{ else } p \Rightarrow Fls)$
 — Typical example of strengthening the induction statement.
 apply *simp*
 apply (*induct-tac p*)
 apply (*simp-all add: thms-I thms.H*)
 apply (*safe elim!: Fls-Imp [THEN weaken-left-Un1] Fls-Imp [THEN weaken-left-Un2]*)
 apply (*blast intro: weaken-left-Un1 weaken-left-Un2 weaken-right Imp-Fls*)
done

lemma *logcon-thms-p*: $[| p \in \text{propn}; 0 \mid = p |] \Rightarrow \text{hyps}(p,t) \mid - p$
 — Key lemma for completeness; yields a set of assumptions satisfying p
 apply (*drule hyps-thms-if*)
 apply (*simp add: logcon-def*)
done

For proving certain theorems in our new propositional logic.

lemmas *propn-SIs* = *propn.intros deduction*
 and *propn-Is* = *thms-in-pl thms.H thms.H [THEN thms-MP]*

The excluded middle in the form of an elimination rule.

lemma *thms-excluded-middle*:
 $[| p \in \text{propn}; q \in \text{propn} |] \Rightarrow H \mid - (p \Rightarrow q) \Rightarrow ((p \Rightarrow Fls) \Rightarrow q) \Rightarrow q$
 apply (*rule deduction [THEN deduction]*)
 apply (*rule thms.DN [THEN thms-MP]*)
 apply (*best intro!: propn-SIs intro: propn-Is*)
done

lemma *thms-excluded-middle-rule*:

$\llbracket \text{cons}(p, H) \mid - q; \text{cons}(p \Rightarrow Fls, H) \mid - q; p \in \text{propn} \rrbracket \implies H \mid - q$
 — Hard to prove directly because it requires cuts
apply (*rule thms-excluded-middle [THEN thms-MP, THEN thms-MP]*)
apply (*blast intro!: propn-SIs intro: propn-Is*)
done

10.5.2 Completeness – lemmas for reducing the set of assumptions

For the case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \mid - p$ we also have $\text{hyps}(p, t) - \{\#v\} \subseteq \text{hyps}(p, t - \{v\})$.

lemma *hyps-Diff*:

$p \in \text{propn} \implies \text{hyps}(p, t - \{v\}) \subseteq \text{cons}(\#v \Rightarrow Fls, \text{hyps}(p, t) - \{\#v\})$
by (*induct set: propn*) *auto*

For the case $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow Fls, Y) \mid - p$ we also have $\text{hyps}(p, t) - \{\#v \Rightarrow Fls\} \subseteq \text{hyps}(p, \text{cons}(v, t))$.

lemma *hyps-cons*:

$p \in \text{propn} \implies \text{hyps}(p, \text{cons}(v, t)) \subseteq \text{cons}(\#v, \text{hyps}(p, t) - \{\#v \Rightarrow Fls\})$
by (*induct set: propn*) *auto*

Two lemmas for use with *weaken-left*

lemma *cons-Diff-same*: $B - C \subseteq \text{cons}(a, B - \text{cons}(a, C))$

by *blast*

lemma *cons-Diff-subset2*: $\text{cons}(a, B - \{c\}) - D \subseteq \text{cons}(a, B - \text{cons}(c, D))$

by *blast*

The set $\text{hyps}(p, t)$ is finite, and elements have the form $\#v$ or $\#v \Rightarrow Fls$; could probably prove the stronger $\text{hyps}(p, t) \in \text{Fin}(\text{hyps}(p, 0) \cup \text{hyps}(p, \text{nat}))$.

lemma *hyps-finite*: $p \in \text{propn} \implies \text{hyps}(p, t) \in \text{Fin}(\bigcup v \in \text{nat}. \{\#v, \#v \Rightarrow Fls\})$

by (*induct set: propn*) *auto*

lemmas *Diff-weaken-left = Diff-mono [OF - subset-refl, THEN weaken-left]*

Induction on the finite set of assumptions $\text{hyps}(p, t0)$. We may repeatedly subtract assumptions until none are left!

lemma *completeness-0-lemma* [*rule-format*]:

$\llbracket p \in \text{propn}; 0 \mid - p \rrbracket \implies \forall t. \text{hyps}(p, t) - \text{hyps}(p, t0) \mid - p$
apply (*frule hyps-finite*)
apply (*erule Fin-induct*)
apply (*simp add: logcon-thms-p Diff-0*)

inductive step

apply *safe*

Case $\text{hyps}(p, t) - \text{cons}(\#v, Y) \vdash p$

apply (*rule thms-excluded-middle-rule*)
apply (*erule-tac* [3] *propn.intros*)
apply (*blast intro: cons-Diff-same* [THEN *weaken-left*])
apply (*blast intro: cons-Diff-subset2* [THEN *weaken-left*]
hyps-Diff [THEN *Diff-weaken-left*])

Case $\text{hyps}(p, t) - \text{cons}(\#v \Rightarrow Fls, Y) \vdash p$

apply (*rule thms-excluded-middle-rule*)
apply (*erule-tac* [3] *propn.intros*)
apply (*blast intro: cons-Diff-subset2* [THEN *weaken-left*]
hyps-cons [THEN *Diff-weaken-left*])
apply (*blast intro: cons-Diff-same* [THEN *weaken-left*])
done

10.5.3 Completeness theorem

lemma *completeness-0*: $[\![p \in \text{propn}; 0 \models p]\!] \implies 0 \vdash p$

— The base case for completeness

apply (*rule Diff-cancel* [THEN *subst*])
apply (*blast intro: completeness-0-lemma*)
done

lemma *logcon-Imp*: $[\![\text{cons}(p, H) \models q]\!] \implies H \models p \implies q$

— A semantic analogue of the Deduction Theorem

by (*simp add: logcon-def*)

lemma *completeness*:

$H \in \text{Fin}(\text{propn}) \implies p \in \text{propn} \implies H \models p \implies H \vdash p$

apply (*induct arbitrary: p set: Fin*)
apply (*safe intro!: completeness-0*)
apply (*rule weaken-left-cons* [THEN *thms-MP*])
apply (*blast intro!: logcon-Imp propn.intros*)
apply (*blast intro: propn-Is*)
done

theorem *thms-iff*: $H \in \text{Fin}(\text{propn}) \implies H \vdash p \iff H \models p \wedge p \in \text{propn}$

by (*blast intro: soundness completeness thms-in-pl*)

end

11 Lists of n elements

theory *ListN* **imports** *Main* **begin**

Inductive definition of lists of n elements; see [?].

consts *listn* :: $i \Rightarrow i$
inductive
domains $listn(A) \subseteq nat \times list(A)$
intros
NilI: $\langle 0, Nil \rangle \in listn(A)$
ConsI: $[\mid a \in A; \langle n, l \rangle \in listn(A) \mid] \Rightarrow \langle succ(n), Cons(a, l) \rangle \in listn(A)$
type-intros *nat-typechecks list.intros*

lemma *list-into-listn*: $l \in list(A) \Rightarrow \langle length(l), l \rangle \in listn(A)$
by (*induct set: list*) (*simp-all add: listn.intros*)

lemma *listn-iff*: $\langle n, l \rangle \in listn(A) \Leftrightarrow l \in list(A) \ \& \ length(l) = n$
apply (*rule iffI*)
apply (*erule listn.induct*)
apply *auto*
apply (*blast intro: list-into-listn*)
done

lemma *listn-image-eq*: $listn(A) \text{ ``}\{n\} = \{l \in list(A). length(l) = n\}$
apply (*rule equality-iffI*)
apply (*simp add: listn-iff separation image-singleton-iff*)
done

lemma *listn-mono*: $A \subseteq B \Rightarrow listn(A) \subseteq listn(B)$
apply (*unfold listn.defs*)
apply (*rule lfp-mono*)
apply (*rule listn.bnd-mono*) +
apply (*assumption* | *rule univ-mono Sigma-mono list-mono basic-monos*) +
done

lemma *listn-append*:
 $[\mid \langle n, l \rangle \in listn(A); \langle n', l' \rangle \in listn(A) \mid] \Rightarrow \langle n \# + n', l @ l' \rangle \in listn(A)$
apply (*erule listn.induct*)
apply (*frule listn.dom-subset [THEN subsetD]*)
apply (*simp-all add: listn.intros*)
done

inductive-cases
Nil-listn-case: $\langle i, Nil \rangle \in listn(A)$
and *Cons-listn-case*: $\langle i, Cons(x, l) \rangle \in listn(A)$

inductive-cases
zero-listn-case: $\langle 0, l \rangle \in listn(A)$
and *succ-listn-case*: $\langle succ(i), l \rangle \in listn(A)$

end

12 Combinatory Logic example: the Church-Rosser Theorem

theory *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

12.1 Definitions

Datatype definition of combinators *S* and *K*.

```
consts comb :: i
datatype comb =
  K
  | S
  | app (p ∈ comb, q ∈ comb)  (infixl @@ 90)
```

Inductive definition of contractions, $-1-\>$ and (multi-step) reductions, $----\>$.

```
consts
  contract :: i
syntax
  -contract      :: [i,i] => o  (infixl -1-> 50)
  -contract-multi :: [i,i] => o  (infixl ----> 50)
translations
  p -1-> q == <p,q> ∈ contract
  p ----> q == <p,q> ∈ contract ^*
```

```
syntax (xsymbols)
  comb.app    :: [i, i] => i      (infixl · 90)
```

inductive

domains *contract* \subseteq *comb* \times *comb*

intros

```
K:  [| p ∈ comb; q ∈ comb |] ==> K·p·q -1-> p
S:  [| p ∈ comb; q ∈ comb; r ∈ comb |] ==> S·p·q·r -1-> (p·r)·(q·r)
Ap1: [| p-1->q; r ∈ comb |] ==> p·r -1-> q·r
Ap2: [| p-1->q; r ∈ comb |] ==> r·p -1-> r·q
```

type-intros *comb.intros*

Inductive definition of parallel contractions, $=1=>$ and (multi-step) parallel reductions, $====>$.

consts

parcontract :: *i*

syntax

```
-parcontract :: [i,i] => o  (infixl =1=> 50)
-parcontract-multi :: [i,i] => o  (infixl ====> 50)
```

translations

$p = 1 \Rightarrow q == \langle p, q \rangle \in \text{parcontract}$
 $p \Longrightarrow q == \langle p, q \rangle \in \text{parcontract}^{\wedge+}$

inductive

domains $\text{parcontract} \subseteq \text{comb} \times \text{comb}$

intros

refl: $[\![p \in \text{comb}]\!] \Longrightarrow p = 1 \Rightarrow p$
K: $[\![p \in \text{comb}; q \in \text{comb}]\!] \Longrightarrow K \cdot p \cdot q = 1 \Rightarrow p$
S: $[\![p \in \text{comb}; q \in \text{comb}; r \in \text{comb}]\!] \Longrightarrow S \cdot p \cdot q \cdot r = 1 \Rightarrow (p \cdot r) \cdot (q \cdot r)$
Ap: $[\![p = 1 \Rightarrow q; r = 1 \Rightarrow s]\!] \Longrightarrow p \cdot r = 1 \Rightarrow q \cdot s$

type-intros comb.intros

Misc definitions.

definition

$I :: i \text{ where}$
 $I == S \cdot K \cdot K$

definition

diamond $:: i \Rightarrow o \text{ where}$
diamond(r) $==$
 $\forall x y. \langle x, y \rangle \in r \dashrightarrow (\forall y'. \langle x, y' \rangle \in r \dashrightarrow (\exists z. \langle y, z \rangle \in r \ \& \ \langle y', z \rangle \in r))$

12.2 Transitive closure preserves the Church-Rosser property

lemma *diamond-strip-lemmaD* [*rule-format*]:

$[\![\text{diamond}(r); \langle x, y \rangle : r^{\wedge+}]\!] \Longrightarrow$
 $\forall y'. \langle x, y' \rangle : r \dashrightarrow (\exists z. \langle y', z \rangle : r^{\wedge+} \ \& \ \langle y, z \rangle : r)$
apply (*unfold diamond-def*)
apply (*erule trancl-induct*)
apply (*blast intro: r-into-trancl*)
apply *clarify*
apply (*erule spec [THEN mp], assumption*)
apply (*blast intro: r-into-trancl trans-trancl [THEN transD]*)
done

lemma *diamond-trancl*: $\text{diamond}(r) \Longrightarrow \text{diamond}(r^{\wedge+})$

apply (*simp (no-asm-simp) add: diamond-def*)
apply (*rule impI [THEN allI, THEN allI]*)
apply (*erule trancl-induct*)
apply *auto*
apply (*best intro: r-into-trancl trans-trancl [THEN transD]*)
dest: diamond-strip-lemmaD)
done

inductive-cases *Ap-E* [*elim!*]: $p \cdot q \in \text{comb}$

declare *comb.intros* [*intro!*]

12.3 Results about Contraction

For type checking: replaces $a -1-\> b$ by $a, b \in \text{comb}$.

lemmas *contract-combE2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaE2*]

and *contract-combD1* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD1*]

and *contract-combD2* = *contract.dom-subset* [*THEN subsetD*, *THEN SigmaD2*]

lemma *field-contract-eq*: *field(contract) = comb*

by (*blast intro: contract.K elim!: contract-combE2*)

lemmas *reduction-refl* =

field-contract-eq [*THEN equalityD2*, *THEN subsetD*, *THEN rtrancl-refl*]

lemmas *rtrancl-into-rtrancl2* =

r-into-rtrancl [*THEN trans-rtrancl* [*THEN transD*]]

declare *reduction-refl* [*intro!*] *contract.K* [*intro!*] *contract.S* [*intro!*]

lemmas *reduction-rls* =

contract.K [*THEN rtrancl-into-rtrancl2*]

contract.S [*THEN rtrancl-into-rtrancl2*]

contract.Ap1 [*THEN rtrancl-into-rtrancl2*]

contract.Ap2 [*THEN rtrancl-into-rtrancl2*]

lemma $p \in \text{comb} \implies I \cdot p \dashrightarrow p$

— Example only: not used

by (*unfold I-def*) (*blast intro: reduction-rls*)

lemma *comb-I*: $I \in \text{comb}$

by (*unfold I-def*) *blast*

12.4 Non-contraction results

Derive a case for each combinator constructor.

inductive-cases

K-contractE [*elim!*]: $K -1-\> r$

and *S-contractE* [*elim!*]: $S -1-\> r$

and *Ap-contractE* [*elim!*]: $p \cdot q -1-\> r$

lemma *I-contract-E*: $I -1-\> r \implies P$

by (*auto simp add: I-def*)

lemma *K1-contractD*: $K \cdot p -1-\> r \implies (\exists q. r = K \cdot q \ \& \ p -1-\> q)$

by *auto*

lemma *Ap-reduce1*: $[[p \dashrightarrow q; r \in \text{comb}]] \implies p \cdot r \dashrightarrow q \cdot r$

apply (*frule rtrancl-type* [*THEN subsetD*, *THEN SigmaD1*])

```

apply (drule field-contract-eq [THEN equalityD1, THEN subsetD])
apply (erule rtrancl-induct)
apply (blast intro: reduction-rls)
apply (erule trans-rtrancl [THEN transD])
apply (blast intro: contract-combD2 reduction-rls)
done

```

```

lemma Ap-reduce2: [| p ----> q; r ∈ comb |] ==> r.p ----> r.q
apply (frule rtrancl-type [THEN subsetD, THEN SigmaD1])
apply (drule field-contract-eq [THEN equalityD1, THEN subsetD])
apply (erule rtrancl-induct)
apply (blast intro: reduction-rls)
apply (blast intro: trans-rtrancl [THEN transD]
        contract-combD2 reduction-rls)
done

```

Counterexample to the diamond property for $-1-\>$.

```

lemma KIII-contract1: K.I.(I.I) -1-> I
by (blast intro: comb.intros contract.K comb-I)

```

```

lemma KIII-contract2: K.I.(I.I) -1-> K.I.((K.I).(K.I))
by (unfold I-def) (blast intro: comb.intros contract.intros)

```

```

lemma KIII-contract3: K.I.((K.I).(K.I)) -1-> I
by (blast intro: comb.intros contract.K comb-I)

```

```

lemma not-diamond-contract: ¬ diamond(contract)
apply (unfold diamond-def)
apply (blast intro: KIII-contract1 KIII-contract2 KIII-contract3
        elim!: I-contract-E)
done

```

12.5 Results about Parallel Contraction

For type checking: replaces $a =1=> b$ by $a, b \in \text{comb}$

```

lemmas parcontract-combE2 = parcontract.dom-subset [THEN subsetD, THEN
SigmaE2]
and parcontract-combD1 = parcontract.dom-subset [THEN subsetD, THEN Sig-
maD1]
and parcontract-combD2 = parcontract.dom-subset [THEN subsetD, THEN Sig-
maD2]

```

```

lemma field-parcontract-eq: field(parcontract) = comb
by (blast intro: parcontract.K elim!: parcontract-combE2)

```

Derive a case for each combinator constructor.

```

inductive-cases
K-parcontractE [elim!]: K =1=> r

```

and S -parcontractE [elim!]: $S = 1 \Rightarrow r$
and Ap -parcontractE [elim!]: $p \cdot q = 1 \Rightarrow r$

declare *parcontract.intros* [intro]

12.6 Basic properties of parallel contraction

lemma *K1-parcontractD* [dest!]:

$K \cdot p = 1 \Rightarrow r \implies (\exists p'. r = K \cdot p' \ \& \ p = 1 \Rightarrow p')$

by *auto*

lemma *S1-parcontractD* [dest!]:

$S \cdot p = 1 \Rightarrow r \implies (\exists p'. r = S \cdot p' \ \& \ p = 1 \Rightarrow p')$

by *auto*

lemma *S2-parcontractD* [dest!]:

$S \cdot p \cdot q = 1 \Rightarrow r \implies (\exists p' q'. r = S \cdot p' \cdot q' \ \& \ p = 1 \Rightarrow p' \ \& \ q = 1 \Rightarrow q')$

by *auto*

lemma *diamond-parcontract*: *diamond(parcontract)*

— Church-Rosser property for parallel contraction

apply (*unfold diamond-def*)

apply (*rule impI [THEN allI, THEN allI]*)

apply (*erule parcontract.induct*)

apply (*blast elim!: comb.free-elims intro: parcontract-combD2*)

done

Equivalence of $p \dashrightarrow q$ and $p \implies q$.

lemma *contract-imp-parcontract*: $p - 1 -> q \implies p = 1 \Rightarrow q$

by (*induct set: contract*) *auto*

lemma *reduce-imp-parreduce*: $p \dashrightarrow q \implies p \implies q$

apply (*frule rtrancl-type [THEN subsetD, THEN SigmaD1]*)

apply (*drule field-contract-eq [THEN equalityD1, THEN subsetD]*)

apply (*erule rtrancl-induct*)

apply (*blast intro: r-into-trancl*)

apply (*blast intro: contract-imp-parcontract r-into-trancl*)

trans-trancl [THEN transD])

done

lemma *parcontract-imp-reduce*: $p = 1 \Rightarrow q \implies p \dashrightarrow q$

apply (*induct set: parcontract*)

apply (*blast intro: reduction-rls*)

apply (*blast intro: reduction-rls*)

apply (*blast intro: reduction-rls*)

apply (*blast intro: trans-rtrancl [THEN transD]*)

Ap-reduce1 Ap-reduce2 parcontract-combD1 parcontract-combD2)

done

```

lemma parreduce-imp-reduce:  $p \implies q \implies p \dashv\vdash q$ 
  apply (frule trancl-type [THEN subsetD, THEN SigmaD1])
  apply (drule field-parcontract-eq [THEN equalityD1, THEN subsetD])
  apply (erule trancl-induct, erule parcontract-imp-reduce)
  apply (erule trans-rtrancl [THEN transD])
  apply (erule parcontract-imp-reduce)
done

```

```

lemma parreduce-iff-reduce:  $p \implies q \iff p \dashv\vdash q$ 
  by (blast intro: parreduce-imp-reduce reduce-imp-parreduce)

```

end

13 Primitive Recursive Functions: the inductive definition

theory *Primrec* **imports** *Main* **begin**

Proof adopted from [?].

See also [?, page 250, exercise 11].

13.1 Basic definitions

definition

```

SC :: i where
  SC ==  $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. \text{succ}(x), l)$ 

```

definition

```

CONSTANT ::  $i \Rightarrow i$  where
  CONSTANT(k) ==  $\lambda l \in \text{list}(\text{nat}). k$ 

```

definition

```

PROJ ::  $i \Rightarrow i$  where
  PROJ(i) ==  $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x \text{ xs}. x, \text{drop}(i, l))$ 

```

definition

```

COMP ::  $[i, i] \Rightarrow i$  where
  COMP(g, fs) ==  $\lambda l \in \text{list}(\text{nat}). g \text{ ' } \text{List.map}(\lambda f. f^l, fs)$ 

```

definition

```

PREC ::  $[i, i] \Rightarrow i$  where
  PREC(f, g) ==
     $\lambda l \in \text{list}(\text{nat}). \text{list-case}(0,$ 
       $\lambda x \text{ xs}. \text{rec}(x, f^x \text{ xs}, \lambda y \text{ r}. g \text{ ' } \text{Cons}(r, \text{Cons}(y, \text{xs}))), l)$ 

```

— Note that *g* is applied first to *PREC*(*f*, *g*) ' *y* and then to *y*!

consts

$ACK :: i \Rightarrow i$
primrec
 $ACK(0) = SC$
 $ACK(succ(i)) = PREC (CONSTANT (ACK(i) ' [1]), COMP(ACK(i), [PROJ(0)]))$

abbreviation

$ack :: [i,i] \Rightarrow i$ **where**
 $ack(x,y) == ACK(x) ' [y]$

Useful special cases of evaluation.

lemma *SC*: $[[x \in nat; l \in list(nat)]] \Rightarrow SC ' (Cons(x,l)) = succ(x)$
by (*simp add: SC-def*)

lemma *CONSTANT*: $l \in list(nat) \Rightarrow CONSTANT(k) ' l = k$
by (*simp add: CONSTANT-def*)

lemma *PROJ-0*: $[[x \in nat; l \in list(nat)]] \Rightarrow PROJ(0) ' (Cons(x,l)) = x$
by (*simp add: PROJ-def*)

lemma *COMP-1*: $l \in list(nat) \Rightarrow COMP(g,[f]) ' l = g ' [f'l]$
by (*simp add: COMP-def*)

lemma *PREC-0*: $l \in list(nat) \Rightarrow PREC(f,g) ' (Cons(0,l)) = f'l$
by (*simp add: PREC-def*)

lemma *PREC-succ*:

$[[x \in nat; l \in list(nat)]]$
 $\Rightarrow PREC(f,g) ' (Cons(succ(x),l)) =$
 $g ' Cons(PREC(f,g)'(Cons(x,l)), Cons(x,l))$
by (*simp add: PREC-def*)

13.2 Inductive definition of the PR functions

consts

prim-rec :: *i*

inductive

domains *prim-rec* $\subseteq list(nat) \rightarrow nat$

intros

$SC \in prim-rec$

$k \in nat \Rightarrow CONSTANT(k) \in prim-rec$

$i \in nat \Rightarrow PROJ(i) \in prim-rec$

$[[g \in prim-rec; fs \in list(prim-rec)]] \Rightarrow COMP(g,fs) \in prim-rec$

$[[f \in prim-rec; g \in prim-rec]] \Rightarrow PREC(f,g) \in prim-rec$

monos *list-mono*

con-defs *SC-def* *CONSTANT-def* *PROJ-def* *COMP-def* *PREC-def*

type-intros *nat-typechecks* *list.intros*

lam-type *list-case-type* *drop-type* *List.map-type*

apply-type *rec-type*

lemma *prim-rec-into-fun* [TC]: $c \in \text{prim-rec} \implies c \in \text{list}(\text{nat}) \rightarrow \text{nat}$
by (*erule subsetD* [OF *prim-rec.dom-subset*])

lemmas [TC] = *apply-type* [OF *prim-rec-into-fun*]

declare *prim-rec.intros* [TC]

declare *nat-into-Ord* [TC]

declare *rec-type* [TC]

lemma *ACK-in-prim-rec* [TC]: $i \in \text{nat} \implies \text{ACK}(i) \in \text{prim-rec}$
by (*induct set: nat*) *simp-all*

lemma *ack-type* [TC]: $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i,j) \in \text{nat}$
by *auto*

13.3 Ackermann's function cases

lemma *ack-0*: $j \in \text{nat} \implies \text{ack}(0,j) = \text{succ}(j)$
— PROPERTY A 1
by (*simp add: SC*)

lemma *ack-succ-0*: $\text{ack}(\text{succ}(i), 0) = \text{ack}(i,1)$
— PROPERTY A 2
by (*simp add: CONSTANT PREC-0*)

lemma *ack-succ-succ*:
 $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(\text{succ}(i), \text{succ}(j)) = \text{ack}(i, \text{ack}(\text{succ}(i), j))$
— PROPERTY A 3
by (*simp add: CONSTANT PREC-succ COMP-1 PROJ-0*)

lemmas [*simp*] = *ack-0 ack-succ-0 ack-succ-succ ack-type*
and [*simp del*] = *ACK.simps*

lemma *lt-ack2*: $i \in \text{nat} \implies j \in \text{nat} \implies j < \text{ack}(i,j)$
— PROPERTY A 4
apply (*induct i arbitrary: j set: nat*)
apply *simp*
apply (*induct-tac j*)
apply (*erule-tac* [2] *succ-leI* [THEN *lt-trans1*])
apply (*rule nat-0I* [THEN *nat-0-le*, THEN *lt-trans*])
apply *auto*
done

lemma *ack-lt-ack-succ2*: $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i,j) < \text{ack}(i, \text{succ}(j))$
— PROPERTY A 5-, the single-step lemma
by (*induct set: nat*) (*simp-all add: lt-ack2*)

lemma *ack-lt-mono2*: $[[j < k; i \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, j) < \text{ack}(i, k)$
 — PROPERTY A 5, monotonicity for <
apply (*frule lt-nat-in-nat, assumption*)
apply (*erule succ-lt-induct*)
 apply *assumption*
 apply (*rule-tac [2] lt-trans*)
 apply (*auto intro: ack-lt-ack-succ2*)
done

lemma *ack-le-mono2*: $[[j \leq k; i \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, j) \leq \text{ack}(i, k)$
 — PROPERTY A 5', monotonicity for \leq
apply (*rule-tac f = $\lambda j. \text{ack}(i, j)$ in Ord-lt-mono-imp-le-mono*)
 apply (*assumption | rule ack-lt-mono2 ack-type [THEN nat-into-Ord]*)+
done

lemma *ack2-le-ack1*:
 $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i, \text{succ}(j)) \leq \text{ack}(\text{succ}(i), j)$
 — PROPERTY A 6
apply (*induct-tac j*)
 apply *simp-all*
 apply (*rule ack-le-mono2*)
 apply (*rule lt-ack2 [THEN succ-leI, THEN le-trans]*)
 apply *auto*
done

lemma *ack-lt-ack-succ1*: $[[i \in \text{nat}; j \in \text{nat}]] \implies \text{ack}(i, j) < \text{ack}(\text{succ}(i), j)$
 — PROPERTY A 7-, the single-step lemma
apply (*rule ack-lt-mono2 [THEN lt-trans2]*)
 apply (*rule-tac [4] ack2-le-ack1*)
 apply *auto*
done

lemma *ack-lt-mono1*: $[[i < j; j \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, k) < \text{ack}(j, k)$
 — PROPERTY A 7, monotonicity for <
apply (*frule lt-nat-in-nat, assumption*)
apply (*erule succ-lt-induct*)
 apply *assumption*
 apply (*rule-tac [2] lt-trans*)
 apply (*auto intro: ack-lt-ack-succ1*)
done

lemma *ack-le-mono1*: $[[i \leq j; j \in \text{nat}; k \in \text{nat}]] \implies \text{ack}(i, k) \leq \text{ack}(j, k)$
 — PROPERTY A 7', monotonicity for \leq
apply (*rule-tac f = $\lambda j. \text{ack}(j, k)$ in Ord-lt-mono-imp-le-mono*)
 apply (*assumption | rule ack-lt-mono1 ack-type [THEN nat-into-Ord]*)+
done

lemma *ack-1*: $j \in \text{nat} \implies \text{ack}(1, j) = \text{succ}(\text{succ}(j))$

```

— PROPERTY A 8
by (induct set: nat) simp-all

lemma ack-2: j ∈ nat ==> ack(succ(1),j) = succ(succ(succ(j#+j)))
— PROPERTY A 9
by (induct set: nat) (simp-all add: ack-1)

lemma ack-nest-bound:
[[ i1 ∈ nat; i2 ∈ nat; j ∈ nat ]]
==> ack(i1, ack(i2,j)) < ack(succ(succ(i1#+i2)), j)
— PROPERTY A 10
apply (rule lt-trans2 [OF - ack2-le-ack1])
  apply simp
  apply (rule add-le-self [THEN ack-le-mono1, THEN lt-trans1])
  apply auto
apply (force intro: add-le-self2 [THEN ack-lt-mono1, THEN ack-lt-mono2])
done

lemma ack-add-bound:
[[ i1 ∈ nat; i2 ∈ nat; j ∈ nat ]]
==> ack(i1,j) #+ ack(i2,j) < ack(succ(succ(succ(succ(i1#+i2))))), j)
— PROPERTY A 11
apply (rule-tac j = ack (succ (1), ack (i1 #+ i2, j)) in lt-trans)
  apply (simp add: ack-2)
  apply (rule-tac [2] ack-nest-bound [THEN lt-trans2])
  apply (rule add-le-mono [THEN leI, THEN leI])
  apply (auto intro: add-le-self add-le-self2 ack-le-mono1)
done

lemma ack-add-bound2:
[[ i < ack(k,j); j ∈ nat; k ∈ nat ]]
==> i#+j < ack(succ(succ(succ(succ(k))))), j)
— PROPERTY A 12.
— Article uses existential quantifier but the ALF proof used k #+ integ-of(Pls
BIT 1 BIT 0 BIT 0).
— Quantified version must be nested ∃ k'. ∀ i,j ...
apply (rule-tac j = ack (k,j) #+ ack (0,j) in lt-trans)
  apply (rule-tac [2] ack-add-bound [THEN lt-trans2])
  apply (rule add-lt-mono)
  apply auto
done

13.4 Main result

declare list-add-type [simp]

lemma SC-case: l ∈ list(nat) ==> SC ' l < ack(1, list-add(l))
  apply (unfold SC-def)
  apply (erule list.cases)

```

```

apply (simp add: succ-iff)
apply (simp add: ack-1 add-le-self)
done

```

lemma *lt-ack1*: $[| i \in \text{nat}; j \in \text{nat} |] \implies i < \text{ack}(i,j)$
— PROPERTY A 4'? Extra lemma needed for *CONSTANT* case, constant functions.

```

apply (induct-tac i)
apply (simp add: nat-0-le)
apply (erule lt-trans1 [OF succ-leI ack-lt-ack-succ1])
apply auto
done

```

lemma *CONSTANT-case*:

```

 $[| l \in \text{list}(\text{nat}); k \in \text{nat} |] \implies \text{CONSTANT}(k) \text{ ' } l < \text{ack}(k, \text{list-add}(l))$ 
by (simp add: CONSTANT-def lt-ack1)

```

lemma *PROJ-case* [rule-format]:

```

 $l \in \text{list}(\text{nat}) \implies \forall i \in \text{nat}. \text{PROJ}(i) \text{ ' } l < \text{ack}(0, \text{list-add}(l))$ 
apply (unfold PROJ-def)
apply simp
apply (erule list.induct)
apply (simp add: nat-0-le)
apply simp
apply (rule ballI)
apply (erule-tac n = i in natE)
apply (simp add: add-le-self)
apply simp
apply (erule bspec [THEN lt-trans2])
apply (rule-tac [2] add-le-self2 [THEN succ-leI])
apply auto
done

```

COMP case.

lemma *COMP-map-lemma*:

```

 $fs \in \text{list}(\{f \in \text{prim-rec. } \exists kf \in \text{nat. } \forall l \in \text{list}(\text{nat}). f \text{ ' } l < \text{ack}(kf, \text{list-add}(l))\})$ 
 $\implies \exists k \in \text{nat. } \forall l \in \text{list}(\text{nat}).$ 
 $\text{list-add}(\text{map}(\lambda f. f \text{ ' } l, fs)) < \text{ack}(k, \text{list-add}(l))$ 
apply (induct set: list)
apply (rule-tac x = 0 in bezI)
apply (simp-all add: lt-ack1 nat-0-le)
apply clarify
apply (rule ballI [THEN bezI])
apply (rule add-lt-mono [THEN lt-trans])
apply (rule-tac [5] ack-add-bound)
apply blast
apply auto
done

```

lemma *COMP-case*:

```

[[ kg ∈ nat;
  ∀ l ∈ list(nat). g^l < ack(kg, list-add(l));
  fs ∈ list({f ∈ prim-rec .
    ∃ kf ∈ nat. ∀ l ∈ list(nat).
      f^l < ack(kf, list-add(l))}) ]]
==> ∃ k ∈ nat. ∀ l ∈ list(nat). COMP(g,fs)^l < ack(k, list-add(l))
apply (simp add: COMP-def)
apply (frule list-CollectD)
apply (erule COMP-map-lemma [THEN bexE])
apply (rule ballI [THEN beXI])
apply (erule bspec [THEN lt-trans])
apply (rule-tac [2] lt-trans)
apply (rule-tac [3] ack-nest-bound)
apply (erule-tac [2] bspec [THEN ack-lt-mono2])
apply auto
done

```

PREC case.

lemma *PREC-case-lemma*:

```

[[ ∀ l ∈ list(nat). f^l #+ list-add(l) < ack(kf, list-add(l));
  ∀ l ∈ list(nat). g^l #+ list-add(l) < ack(kg, list-add(l));
  f ∈ prim-rec; kf ∈ nat;
  g ∈ prim-rec; kg ∈ nat;
  l ∈ list(nat) ]]
==> PREC(f,g)^l #+ list-add(l) < ack(succ(kf#+kg), list-add(l))
apply (unfold PREC-def)
apply (erule list.cases)
apply (simp add: lt-trans [OF nat-le-refl lt-ack2])
apply simp
apply (erule ssubst) — get rid of the needless assumption
apply (induct-tac a)
apply simp-all

```

base case

```

apply (rule lt-trans, erule bspec, assumption)
apply (simp add: add-le-self [THEN ack-lt-mono1])

```

ind step

```

apply (rule succ-leI [THEN lt-trans1])
apply (rule-tac j = g ‘ ?ll #+ ?mm in lt-trans1)
apply (erule-tac [2] bspec)
apply (rule nat-le-refl [THEN add-le-mono])
apply typecheck
apply (simp add: add-le-self2)

```

final part of the simplification

```

apply simp

```

```

apply (rule add-le-self2 [THEN ack-le-mono1, THEN lt-trans1])
  apply (erule-tac [4] ack-lt-mono2)
  apply auto
done

lemma PREC-case:
  [|  $f \in \text{prim-rec}; kf \in \text{nat};$ 
     $g \in \text{prim-rec}; kg \in \text{nat};$ 
     $\forall l \in \text{list}(\text{nat}). f^l < \text{ack}(kf, \text{list-add}(l));$ 
     $\forall l \in \text{list}(\text{nat}). g^l < \text{ack}(kg, \text{list-add}(l))$  |]
  ==>  $\exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). \text{PREC}(f,g)^l < \text{ack}(k, \text{list-add}(l))$ 
apply (rule ballI [THEN bexI])
apply (rule lt-trans1 [OF add-le-self PREC-case-lemma])
  apply typecheck
  apply (blast intro: ack-add-bound2 list-add-type)+
done

lemma ack-bounds-prim-rec:
   $f \in \text{prim-rec} ==> \exists k \in \text{nat}. \forall l \in \text{list}(\text{nat}). f^l < \text{ack}(k, \text{list-add}(l))$ 
apply (induct set: prim-rec)
apply (auto intro: SC-case CONSTANT-case PROJ-case COMP-case PREC-case)
done

theorem ack-not-prim-rec:
   $(\lambda l \in \text{list}(\text{nat}). \text{list-case}(0, \lambda x xs. \text{ack}(x,x), l)) \notin \text{prim-rec}$ 
apply (rule notI)
apply (drule ack-bounds-prim-rec)
apply force
done

end

```