

ZF

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```
theory Helper  
imports Main  
begin
```

```
lemma theI2':  $?! x. P x \implies (!! x. P x \implies Q x) \implies Q (THE x. P x)$   
  apply auto  
  apply (subgoal-tac  $P (THE x. P x)$ )  
  apply blast  
  apply (rule theI)  
  apply auto  
  done
```

```
lemma in-range-superfluous:  $(z \in range f \ \& \ z \in (f \ ' x)) = (z \in f \ ' x)$   
  by auto
```

```
lemma f-x-in-range-f:  $f x \in range f$   
  by (blast intro: image-eqI)
```

```
lemma comp-inj:  $inj f \implies inj g \implies inj (g \ o \ f)$   
  by (blast intro: comp-inj-on subset-inj-on)
```

```
lemma comp-image-eq:  $(g \ o \ f) \ ' x = g \ ' f \ ' x$   
  by auto
```

```
end
```

```
theory HOLZF  
imports Helper  
begin
```

```
typedecl ZF
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```
axiomatization
```

```
  Empty :: ZF and  
  Elem :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  bool and
```

Sum :: $ZF \Rightarrow ZF$ **and**
Power :: $ZF \Rightarrow ZF$ **and**
Repl :: $ZF \Rightarrow (ZF \Rightarrow ZF) \Rightarrow ZF$ **and**
Inf :: ZF

constdefs

Upair:: $ZF \Rightarrow ZF \Rightarrow ZF$
Upair *a* *b* == *Repl* (*Power* (*Power* *Empty*)) (% *x*. if *x* = *Empty* then *a* else *b*)
Singleton:: $ZF \Rightarrow ZF$
Singleton *x* == *Upair* *x* *x*
union :: $ZF \Rightarrow ZF \Rightarrow ZF$
union *A* *B* == *Sum* (*Upair* *A* *B*)
SucNat:: $ZF \Rightarrow ZF$
SucNat *x* == *union* *x* (*Singleton* *x*)
subset :: $ZF \Rightarrow ZF \Rightarrow \text{bool}$
subset *A* *B* == ! *x*. *Elem* *x* *A* \longrightarrow *Elem* *x* *B*

axioms

Empty: *Not* (*Elem* *x* *Empty*)
Ext: $(x = y) = (! z. \text{Elem } z \ x = \text{Elem } z \ y)$
Sum: $\text{Elem } z \ (\text{Sum } x) = (? y. \text{Elem } z \ y \ \& \ \text{Elem } y \ x)$
Power: $\text{Elem } y \ (\text{Power } x) = (\text{subset } y \ x)$
Repl: $\text{Elem } b \ (\text{Repl } A \ f) = (? a. \text{Elem } a \ A \ \& \ b = f \ a)$
Regularity: $A \neq \text{Empty} \longrightarrow (? x. \text{Elem } x \ A \ \& \ (! y. \text{Elem } y \ x \longrightarrow \text{Not} (\text{Elem } y \ A)))$
Infinity: $\text{Elem } \text{Empty} \ \text{Inf} \ \& \ (! x. \text{Elem } x \ \text{Inf} \longrightarrow \text{Elem} (\text{SucNat } x) \ \text{Inf})$

constdefs

Sep:: $ZF \Rightarrow (ZF \Rightarrow \text{bool}) \Rightarrow ZF$
Sep *A* *p* == (if (!*x*. *Elem* *x* *A* \longrightarrow *Not* (*p* *x*)) then *Empty* else
(let *z* = (ϵ *x*. *Elem* *x* *A* & *p* *x*) in
let *f* = % *x*. (if *p* *x* then *x* else *z*) in *Repl* *A* *f*))

thm *Power*[unfolded subset-def]

theorem *Sep*: $\text{Elem } b \ (\text{Sep } A \ p) = (\text{Elem } b \ A \ \& \ p \ b)$

apply (*auto simp add: Sep-def Empty*)
apply (*auto simp add: Let-def Repl*)
apply (*rule someI2, auto*)
done

lemma *subset-empty*: *subset* *Empty* *A*

by (*simp add: subset-def Empty*)

theorem *Upair*: $\text{Elem } x \ (\text{Upair } a \ b) = (x = a \ | \ x = b)$

apply (*auto simp add: Upair-def Repl*)
apply (*rule exI*[**where** *x=Empty*])
apply (*simp add: Power subset-empty*)
apply (*rule exI*[**where** *x=Power Empty*])

```

apply (auto)
apply (auto simp add: Ext Power subset-def Empty)
apply (drule spec[where x=Empty], simp add: Empty)+
done

lemma Singleton: Elem x (Singleton y) = (x = y)
  by (simp add: Singleton-def Upair)

constdefs
  Opair:: ZF  $\Rightarrow$  ZF  $\Rightarrow$  ZF
  Opair a b == Upair (Upair a a) (Upair a b)

lemma Upair-singleton: (Upair a a = Upair c d) = (a = c & a = d)
  by (auto simp add: Ext[where x=Upair a a] Upair)

lemma Upair-fsteg: (Upair a b = Upair a c) = ((a = b & a = c) | (b = c))
  by (auto simp add: Ext[where x=Upair a b] Upair)

lemma Upair-comm: Upair a b = Upair b a
  by (auto simp add: Ext Upair)

theorem Opair: (Opair a b = Opair c d) = (a = c & b = d)
proof -
  have fst: (Opair a b = Opair c d)  $\implies$  a = c
    apply (simp add: Opair-def)
    apply (simp add: Ext[where x=Upair (Upair a a) (Upair a b)])
    apply (drule spec[where x=Upair a a])
    apply (auto simp add: Upair Upair-singleton)
    done
  show ?thesis
    apply (auto)
    apply (erule fst)
    apply (frule fst)
    apply (auto simp add: Opair-def Upair-fsteg)
    done
qed

constdefs
  Replacement :: ZF  $\Rightarrow$  (ZF  $\Rightarrow$  ZF option)  $\Rightarrow$  ZF
  Replacement A f == Repl (Sep A (% a. f a  $\neq$  None)) (the o f)

theorem Replacement: Elem y (Replacement A f) = (? x. Elem x A & f x = Some y)
  by (auto simp add: Replacement-def Repl Sep)

constdefs
  Fst :: ZF  $\Rightarrow$  ZF
  Fst q == SOME x. ? y. q = Opair x y
  Snd :: ZF  $\Rightarrow$  ZF

```

```

Snd q == SOME y. ? x. q = Opair x y

theorem Fst: Fst (Opair x y) = x
  apply (simp add: Fst-def)
  apply (rule someI2)
  apply (simp-all add: Opair)
  done

theorem Snd: Snd (Opair x y) = y
  apply (simp add: Snd-def)
  apply (rule someI2)
  apply (simp-all add: Opair)
  done

constdefs
  isOpair :: ZF  $\Rightarrow$  bool
  isOpair q == ? x y. q = Opair x y

lemma isOpair: isOpair (Opair x y) = True
  by (auto simp add: isOpair-def)

lemma FstSnd: isOpair x  $\Longrightarrow$  Opair (Fst x) (Snd x) = x
  by (auto simp add: isOpair-def Fst Snd)

constdefs
  CartProd :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  ZF
  CartProd A B == Sum(Repl A (% a. Repl B (% b. Opair a b)))

lemma CartProd: Elem x (CartProd A B) = (? a b. Elem a A & Elem b B & x
= (Opair a b))
  apply (auto simp add: CartProd-def Sum Repl)
  apply (rule-tac x=Repl B (Opair a) in exI)
  apply (auto simp add: Repl)
  done

constdefs
  explode :: ZF  $\Rightarrow$  ZF set
  explode z == { x. Elem x z }

lemma explode-Empty: (explode x = {}) = (x = Empty)
  by (auto simp add: explode-def Ext Empty)

lemma explode-Elem: (x  $\in$  explode X) = (Elem x X)
  by (simp add: explode-def)

lemma Elem-explode-in: [Elem a A; explode A  $\subseteq$  B]  $\Longrightarrow$  a  $\in$  B
  by (auto simp add: explode-def)

lemma explode-CartProd-eq: explode (CartProd a b) = (% (x,y). Opair x y) ‘

```

((*explode a*) × (*explode b*))
by (*simp add: explode-def expand-set-eq CartProd image-def*)

lemma *explode-Repl-eq*: *explode (Repl A f) = image f (explode A)*
by (*simp add: explode-def Repl image-def*)

constdefs

Domain :: *ZF* ⇒ *ZF*
Domain f == *Replacement f* (% *p*. if *isOpair p* then *Some (Fst p)* else *None*)
Range :: *ZF* ⇒ *ZF*
Range f == *Replacement f* (% *p*. if *isOpair p* then *Some (Snd p)* else *None*)

theorem *Domain*: *Elem x (Domain f) = (? y. Elem (Opair x y) f)*
apply (*auto simp add: Domain-def Replacement*)
apply (*rule-tac x=Snd x in exI*)
apply (*simp add: FstSnd*)
apply (*rule-tac x=Opair x y in exI*)
apply (*simp add: isOpair Fst*)
done

theorem *Range*: *Elem y (Range f) = (? x. Elem (Opair x y) f)*
apply (*auto simp add: Range-def Replacement*)
apply (*rule-tac x=Fst x in exI*)
apply (*simp add: FstSnd*)
apply (*rule-tac x=Opair x y in exI*)
apply (*simp add: isOpair Snd*)
done

theorem *union*: *Elem x (union A B) = (Elem x A | Elem x B)*
by (*auto simp add: union-def Sum Upair*)

constdefs

Field :: *ZF* ⇒ *ZF*
Field A == *union (Domain A) (Range A)*

constdefs

app :: *ZF* ⇒ *ZF* ⇒ *ZF* (**infixl** '90) — function application
f ' *x* == (*THE y. Elem (Opair x y) f*)

constdefs

isFun :: *ZF* ⇒ *bool*
isFun f == (! *x y1 y2. Elem (Opair x y1) f & Elem (Opair x y2) f* ⇒ *y1 = y2*)

constdefs

Lambda :: *ZF* ⇒ (*ZF* ⇒ *ZF*) ⇒ *ZF*
Lambda A f == *Repl A* (% *x. Opair x (f x)*)

lemma *Lambda-app*: *Elem x A* ⇒ (*Lambda A f*) ' *x* = *f x*

```

by (simp add: app-def Lambda-def Repl Opair)

lemma isFun-Lambda: isFun (Lambda A f)
  by (auto simp add: isFun-def Lambda-def Repl Opair)

lemma domain-Lambda: Domain (Lambda A f) = A
  apply (auto simp add: Domain-def)
  apply (subst Ext)
  apply (auto simp add: Replacement)
  apply (simp add: Lambda-def Repl)
  apply (auto simp add: Fst)
  apply (simp add: Lambda-def Repl)
  apply (rule-tac x=Opair z (f z) in exI)
  apply (auto simp add: Fst isOpair-def)
  done

lemma Lambda-ext: (Lambda s f = Lambda t g) = (s = t & (! x. Elem x s  $\longrightarrow$  f
x = g x))
proof -
  have Lambda s f = Lambda t g  $\implies$  s = t
    apply (subst domain-Lambda[where A = s and f = f, symmetric])
    apply (subst domain-Lambda[where A = t and f = g, symmetric])
    apply auto
    done
  then show ?thesis
    apply auto
    apply (subst Lambda-app[where f=f, symmetric], simp)
    apply (subst Lambda-app[where f=g, symmetric], simp)
    apply auto
    apply (auto simp add: Lambda-def Repl Ext)
    apply (auto simp add: Ext[symmetric])
    done
qed

constdefs
  PFun :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  ZF
  PFun A B == Sep (Power (CartProd A B)) isFun
  Fun :: ZF  $\Rightarrow$  ZF  $\Rightarrow$  ZF
  Fun A B == Sep (PFun A B) ( $\lambda$  f. Domain f = A)

lemma Fun-Range: Elem f (Fun U V)  $\implies$  subset (Range f) V
  apply (simp add: Fun-def Sep PFun-def Power subset-def CartProd)
  apply (auto simp add: Domain Range)
  apply (erule-tac x=Opair xa x in allE)
  apply (auto simp add: Opair)
  done

lemma Elem-Elem-PFun: Elem F (PFun U V)  $\implies$  Elem p F  $\implies$  isOpair p &
Elem (Fst p) U & Elem (Snd p) V

```

apply (*simp add: PFun-def Sep Power subset-def, clarify*)
apply (*erule-tac x=p in allE*)
apply (*auto simp add: CartProd isOpair Fst Snd*)
done

lemma *Fun-implies-PFun[*simp*]*: $Elem\ f\ (Fun\ U\ V) \implies Elem\ f\ (PFun\ U\ V)$
by (*simp add: Fun-def Sep*)

lemma *Elem-Elem-Fun*: $Elem\ F\ (Fun\ U\ V) \implies Elem\ p\ F \implies isOpair\ p \ \&\ Elem\ (Fst\ p)\ U \ \&\ Elem\ (Snd\ p)\ V$
by (*auto simp add: Elem-Elem-PFun dest: Fun-implies-PFun*)

lemma *PFun-inj*: $Elem\ F\ (PFun\ U\ V) \implies Elem\ x\ F \implies Elem\ y\ F \implies Fst\ x = Fst\ y \implies Snd\ x = Snd\ y$
apply (*frule Elem-Elem-PFun[**where** p=x], simp*)
apply (*frule Elem-Elem-PFun[**where** p=y], simp*)
apply (*subgoal-tac isFun F*)
apply (*simp add: isFun-def isOpair-def*)
apply (*auto simp add: Fst Snd, blast*)
apply (*auto simp add: PFun-def Sep*)
done

lemma *Fun-total*: $\llbracket Elem\ F\ (Fun\ U\ V); Elem\ a\ U \rrbracket \implies \exists x. Elem\ (Opair\ a\ x)\ F$
using $\llbracket simp\text{-depth-limit} = 2 \rrbracket$
by (*auto simp add: Fun-def Sep Domain*)

lemma *unique-fun-value*: $\llbracket isFun\ f; Elem\ x\ (Domain\ f) \rrbracket \implies \text{?! } y. Elem\ (Opair\ x\ y)\ f$
by (*auto simp add: Domain isFun-def*)

lemma *fun-value-in-range*: $\llbracket isFun\ f; Elem\ x\ (Domain\ f) \rrbracket \implies Elem\ (f\ 'x)\ (Range\ f)$
apply (*auto simp add: Range*)
apply (*drule unique-fun-value*)
apply *simp*
apply (*simp add: app-def*)
apply (*rule exI[**where** x=x]*)
apply (*auto simp add: the-equality*)
done

lemma *fun-range-witness*: $\llbracket isFun\ f; Elem\ y\ (Range\ f) \rrbracket \implies \text{? } x. Elem\ x\ (Domain\ f) \ \&\ f\ 'x = y$
apply (*auto simp add: Range*)
apply (*rule-tac x=x in exI*)
apply (*auto simp add: app-def the-equality isFun-def Domain*)
done

lemma *Elem-Fun-Lambda*: $Elem\ F\ (Fun\ U\ V) \implies \text{? } f. F = Lambda\ U\ f$

```

apply (rule exI[where  $x = \% x. (THE y. Elem (Opair x y) F)$ ])
apply (simp add: Ext Lambda-def Repl Domain)
apply (simp add: Ext[symmetric])
apply auto
apply (frule Elem-Elem-Fun)
apply auto
apply (rule-tac  $x = Fst z$  in exI)
apply (simp add: isOpair-def)
apply (auto simp add: Fst Snd Opair)
apply (rule theI2^)
apply auto
apply (drule Fun-implies-PFun)
apply (drule-tac  $x = Opair x ya$  and  $y = Opair x yb$  in PFun-inj)
apply (auto simp add: Fst Snd)
apply (drule Fun-implies-PFun)
apply (drule-tac  $x = Opair x y$  and  $y = Opair x ya$  in PFun-inj)
apply (auto simp add: Fst Snd)
apply (rule theI2^)
apply (auto simp add: Fun-total)
apply (drule Fun-implies-PFun)
apply (drule-tac  $x = Opair a x$  and  $y = Opair a y$  in PFun-inj)
apply (auto simp add: Fst Snd)
done

```

lemma Elem-Lambda-Fun: $Elem (Lambda A f) (Fun U V) = (A = U \ \& \ (! x. Elem x A \longrightarrow Elem (f x) V))$

proof –

```

have Elem (Lambda A f) (Fun U V)  $\implies A = U$ 
  by (simp add: Fun-def Sep domain-Lambda)
then show ?thesis
  apply auto
  apply (drule Fun-Range)
  apply (subgoal-tac  $f x = ((Lambda U f) ' x)$ )
  prefer 2
  apply (simp add: Lambda-app)
  apply simp
  apply (subgoal-tac Elem (Lambda U f ' x) (Range (Lambda U f)))
  apply (simp add: subset-def)
  apply (rule fun-value-in-range)
  apply (simp-all add: isFun-Lambda domain-Lambda)
  apply (simp add: Fun-def Sep PFun-def Power domain-Lambda isFun-Lambda)
  apply (auto simp add: subset-def CartProd)
  apply (rule-tac  $x = Fst x$  in exI)
  apply (auto simp add: Lambda-def Repl Fst)
done

```

qed

constdefs

is-Elem-of :: (ZF * ZF) set
is-Elem-of == { (a,b) | a b. Elem a b }

lemma *cond-wf-Elem*:

assumes *hyps*: $\forall x. (\forall y. \text{Elem } y \ x \longrightarrow \text{Elem } y \ U \longrightarrow P \ y) \longrightarrow \text{Elem } x \ U \longrightarrow P \ x$
x Elem a U
shows *P a*

proof –

{
 fix *P*
 fix *U*
 fix *a*
 assume *P-induct*: $(\forall x. (\forall y. \text{Elem } y \ x \longrightarrow \text{Elem } y \ U \longrightarrow P \ y) \longrightarrow (\text{Elem } x \ U \longrightarrow P \ x))$
 assume *a-in-U*: *Elem a U*
 have *P a*
 proof –
 term *P*
 term *Sep*
 let *?Z = Sep U (Not o P)*
 have *?Z = Empty \longrightarrow P a* **by** (*simp add: Ext Sep Empty a-in-U*)
 moreover have *?Z \neq Empty \longrightarrow False*
 proof
 assume *not-empty*: *?Z \neq Empty*
 note *thereis-x = Regularity[where A=?Z, simplified not-empty, simplified]*
 then obtain *x where x-def: Elem x ?Z & (! y. Elem y x \longrightarrow Not (Elem y ?Z)) ..*
 then have *x-induct: ! y. Elem y x \longrightarrow Elem y U \longrightarrow P y* **by** (*simp add: Sep*)
 have *Elem x U \longrightarrow P x*
 by (*rule impE[OF spec[OF P-induct, where x=x], OF x-induct]*,
assumption)
 moreover have *Elem x U & Not(P x)*
 apply (*insert x-def*)
 apply (*simp add: Sep*)
 done
 ultimately show *False* **by** *auto*
 qed
 ultimately show *P a* **by** *auto*
 qed
 }
 with *hyps* **show** *?thesis* **by** *blast*
qed

lemma *cond2-wf-Elem*:

assumes
 special-P: $? U. ! x. \text{Not}(\text{Elem } x \ U) \longrightarrow (P \ x)$
 and *P-induct*: $\forall x. (\forall y. \text{Elem } y \ x \longrightarrow P \ y) \longrightarrow P \ x$
shows

```

    P a
proof -
  have ? U Q. P = (λ x. (Elem x U → Q x))
proof -
  from special-P obtain U where U:! x. Not(Elem x U) → (P x) ..
  show ?thesis
    apply (rule-tac exI[where x=U])
    apply (rule exI[where x=P])
    apply (rule ext)
    apply (auto simp add: U)
    done
qed
then obtain U where ? Q. P = (λ x. (Elem x U → Q x)) ..
then obtain Q where UQ: P = (λ x. (Elem x U → Q x)) ..
show ?thesis
  apply (auto simp add: UQ)
  apply (rule cond-wf-Elem)
  apply (rule P-induct[simplified UQ])
  apply simp
  done
qed

consts
  nat2Nat :: nat ⇒ ZF

primrec
  nat2Nat-0[intro]: nat2Nat 0 = Empty
  nat2Nat-Suc[intro]: nat2Nat (Suc n) = SucNat (nat2Nat n)

constdefs
  Nat2nat :: ZF ⇒ nat
  Nat2nat == inv nat2Nat

lemma Elem-nat2Nat-inf[intro]: Elem (nat2Nat n) Inf
  apply (induct n)
  apply (simp-all add: Infinity)
  done

constdefs
  Nat :: ZF
  Nat == Sep Inf (λ N. ? n. nat2Nat n = N)

lemma Elem-nat2Nat-Nat[intro]: Elem (nat2Nat n) Nat
  by (auto simp add: Nat-def Sep)

lemma Elem-Empty-Nat: Elem Empty Nat
  by (auto simp add: Nat-def Sep Infinity)

lemma Elem-SucNat-Nat: Elem N Nat ⇒ Elem (SucNat N) Nat

```

by (auto simp add: Nat-def Sep Infinity)

lemma no-infinite-Elem-down-chain:

Not (? f. isFun f & Domain f = Nat & (! N. Elem N Nat \longrightarrow Elem (f' (SucNat N)) (f' N)))

proof –

```
{
  fix f
  assume f:isFun f & Domain f = Nat & (! N. Elem N Nat  $\longrightarrow$  Elem (f' (SucNat
N)) (f' N))
  let ?r = Range f
  have ?r  $\neq$  Empty
  apply (auto simp add: Ext Empty)
  apply (rule exI[where x=f' Empty])
  apply (rule fun-value-in-range)
  apply (auto simp add: f Elem-Empty-Nat)
  done
  then have ? x. Elem x ?r & (! y. Elem y x  $\longrightarrow$  Not(Elem y ?r))
  by (simp add: Regularity)
  then obtain x where x: Elem x ?r & (! y. Elem y x  $\longrightarrow$  Not(Elem y ?r)) ..
  then have ? N. Elem N (Domain f) & f' N = x
  apply (rule-tac fun-range-witness)
  apply (simp-all add: f)
  done
  then have ? N. Elem N Nat & f' N = x
  by (simp add: f)
  then obtain N where N: Elem N Nat & f' N = x ..
  from N have N': Elem N Nat by auto
  let ?y = f' (SucNat N)
  have Elem-y-r: Elem ?y ?r
  by (simp-all add: f Elem-SucNat-Nat N fun-value-in-range)
  have Elem ?y (f' N) by (auto simp add: f N')
  then have Elem ?y x by (simp add: N)
  with x have Not (Elem ?y ?r) by auto
  with Elem-y-r have False by auto
}
then show ?thesis by auto
qed
```

lemma Upair-nonEmpty: Upair a b \neq Empty

by (auto simp add: Ext Empty Upair)

lemma Singleton-nonEmpty: Singleton x \neq Empty

by (auto simp add: Singleton-def Upair-nonEmpty)

lemma antisym-Elem: Not(Elem a b & Elem b a)

proof –

```
{
  fix a b
```

```

assume ab: Elem a b
assume ba: Elem b a
let ?Z = Upair a b
have ?Z ≠ Empty by (simp add: Upair-nonEmpty)
then have ? x. Elem x ?Z & (! y. Elem y x → Not(Elem y ?Z))
  by (simp add: Regularity)
then obtain x where x:Elem x ?Z & (! y. Elem y x → Not(Elem y ?Z)) ..
then have x = a ∨ x = b by (simp add: Upair)
moreover have x = a → Not (Elem b ?Z)
  by (auto simp add: x ba)
moreover have x = b → Not (Elem a ?Z)
  by (auto simp add: x ab)
ultimately have False
  by (auto simp add: Upair)
}
then show ?thesis by auto
qed

lemma irreflexiv-Elem: Not(Elem a a)
  by (simp add: antisym-Elem[of a a, simplified])

lemma antisym-Elem: Elem a b ⇒ Not (Elem b a)
  apply (insert antisym-Elem[of a b])
  apply auto
  done

consts
  NatInterval :: nat ⇒ nat ⇒ ZF

primrec
  NatInterval n 0 = Singleton (nat2Nat n)
  NatInterval n (Suc m) = union (NatInterval n m) (Singleton (nat2Nat (n+m+1)))

lemma n-Elem-NatInterval[rule-format]: ! q. q ≤ m → Elem (nat2Nat (n+q))
  (NatInterval n m)
  apply (induct m)
  apply (auto simp add: Singleton union)
  apply (case-tac q ≤ m)
  apply auto
  apply (subgoal-tac q = Suc m)
  apply auto
  done

lemma NatInterval-not-Empty: NatInterval n m ≠ Empty
  by (auto intro: n-Elem-NatInterval[where q = 0, simplified] simp add: Empty Ext)

lemma increasing-nat2Nat[rule-format]: 0 < n → Elem (nat2Nat (n - 1))
  (nat2Nat n)

```

```

apply (case-tac ? m. n = Suc m)
apply (auto simp add: SucNat-def union Singleton)
apply (drule spec[where x=n - 1])
apply arith
done

```

```

lemma represent-NatInterval[rule-format]: Elem x (NatInterval n m)  $\longrightarrow$  (? u. n
 $\leq$  u & u  $\leq$  n+m & nat2Nat u = x)
apply (induct m)
apply (auto simp add: Singleton union)
apply (rule-tac x=Suc (n+m) in exI)
apply auto
done

```

```

lemma inj-nat2Nat: inj nat2Nat

```

```

proof -

```

```

{
  fix n m :: nat
  assume nm: nat2Nat n = nat2Nat (n+m)
  assume mg0: 0 < m
  let ?Z = NatInterval n m
  have ?Z  $\neq$  Empty by (simp add: NatInterval-not-Empty)
  then have ? x. (Elem x ?Z) & (! y. Elem y x  $\longrightarrow$  Not (Elem y ?Z))
    by (auto simp add: Regularity)
  then obtain x where x:Elem x ?Z & (! y. Elem y x  $\longrightarrow$  Not (Elem y ?Z)) ..
  then have ? u. n  $\leq$  u & u  $\leq$  n+m & nat2Nat u = x
    by (simp add: represent-NatInterval)
  then obtain u where u: n  $\leq$  u & u  $\leq$  n+m & nat2Nat u = x ..
  have n < u  $\longrightarrow$  False
  proof
    assume n-less-u: n < u
    let ?y = nat2Nat (u - 1)
    have Elem ?y (nat2Nat u)
      apply (rule increasing-nat2Nat)
      apply (insert n-less-u)
      apply arith
      done
    with u have Elem ?y x by auto
    with x have Not (Elem ?y ?Z) by auto
    moreover have Elem ?y ?Z
      apply (insert n-Elem-NatInterval[where q = u - n - 1 and n=n and
m=m])
      apply (insert n-less-u)
      apply (insert u)
      apply auto
      done
    ultimately show False by auto
  qed
  moreover have u = n  $\longrightarrow$  False

```

```

proof
  assume  $u = n$ 
  with  $u$  have  $\text{nat2Nat } n = x$  by auto
  then have  $\text{nm-eq-x: nat2Nat } (n+m) = x$  by (simp add: nm)
  let  $?y = \text{nat2Nat } (n+m - 1)$ 
  have  $\text{Elem } ?y (\text{nat2Nat } (n+m))$ 
    apply (rule increasing-nat2Nat)
    apply (insert mg0)
    apply arith
    done
  with  $\text{nm-eq-x}$  have  $\text{Elem } ?y x$  by auto
  with  $x$  have  $\text{Not } (\text{Elem } ?y ?Z)$  by auto
  moreover have  $\text{Elem } ?y ?Z$ 
    apply (insert n-Elem-NatInterval[where  $q = m - 1$  and  $n=n$  and  $m=m$ ])
    apply (insert mg0)
    apply auto
    done
  ultimately show False by auto
qed
  ultimately have False using  $u$  by arith
}
note lemma-nat2Nat = this
have  $\text{th: } \bigwedge x y. \neg (x < y \wedge (\forall (m::\text{nat}). y \neq x + m))$  by presburger
have  $\text{th': } \bigwedge x y. \neg (x \neq y \wedge (\neg x < y) \wedge (\forall (m::\text{nat}). x \neq y + m))$  by presburger
show ?thesis
  apply (auto simp add: inj-on-def)
  apply (case-tac x = y)
  apply auto
  apply (case-tac x < y)
  apply (case-tac ? m. y = x + m & 0 < m)
  apply (auto intro: lemma-nat2Nat)
  apply (case-tac y < x)
  apply (case-tac ? m. x = y + m & 0 < m)
  apply simp
  apply simp
  using  $\text{th}$  apply blast
  apply (case-tac ? m. x = y + m)
  apply (auto intro: lemma-nat2Nat)
  apply (drule sym)
  using lemma-nat2Nat apply blast
  using  $\text{th'}$  apply blast
  done
qed

lemma Nat2nat-nat2Nat[simp]:  $\text{Nat2nat } (\text{nat2Nat } n) = n$ 
  by (simp add: Nat2nat-def inv-f-f[OF inj-nat2Nat])

lemma nat2Nat-Nat2nat[simp]:  $\text{Elem } n \text{ Nat} \implies \text{nat2Nat } (\text{Nat2nat } n) = n$ 
  apply (simp add: Nat2nat-def)

```

```

apply (rule-tac f-inv-f)
apply (auto simp add: image-def Nat-def Sep)
done

```

lemma *Nat2nat-SucNat: Elem N Nat \implies Nat2nat (SucNat N) = Suc (Nat2nat N)*

```

apply (auto simp add: Nat-def Sep Nat2nat-def)
apply (auto simp add: inv-f-f[OF inj-nat2Nat])
apply (simp only: nat2Nat.simps[symmetric])
apply (simp only: inv-f-f[OF inj-nat2Nat])
done

```

lemma *Elem-Opair-exists: ? z. Elem x z & Elem y z & Elem z (Opair x y)*

```

apply (rule exI[where x=Upair x y])
by (simp add: Upair Opair-def)

```

lemma *UNIV-is-not-in-ZF: UNIV \neq explode R*

proof

```

let ?Russell = { x. Not(Elem x x) }
have ?Russell = UNIV by (simp add: irreflexiv-Elem)
moreover assume UNIV = explode R
ultimately have russell: ?Russell = explode R by simp
then show False
proof(cases Elem R R)
  case True
    then show ?thesis
      by (insert irreflexiv-Elem, auto)
  next
    case False
      then have R  $\in$  ?Russell by auto
      then have Elem R R by (simp add: russell explode-def)
      with False show ?thesis by auto

```

qed

qed

constdefs

```

SpecialR :: (ZF * ZF) set
SpecialR  $\equiv$  { (x, y) . x  $\neq$  Empty  $\wedge$  y = Empty }

```

lemma *wf SpecialR*

```

apply (subst wf-def)
apply (auto simp add: SpecialR-def)
done

```

constdefs

```

Ext :: ('a * 'b) set  $\Rightarrow$  'b  $\Rightarrow$  'a set

```

$Ext\ R\ y \equiv \{ x . (x, y) \in R \}$

lemma *Ext-Elem*: *Ext is-Elem-of = explode*
by (*auto intro: ext simp add: Ext-def is-Elem-of-def explode-def*)

lemma *Ext SpecialR Empty \neq explode z*

proof

have *Ext SpecialR Empty = UNIV - {Empty}*
by (*auto simp add: Ext-def SpecialR-def*)
moreover assume *Ext SpecialR Empty = explode z*
ultimately have *UNIV = explode(union z (Singleton Empty))*
by (*auto simp add: explode-def union Singleton*)
then show *False* **by** (*simp add: UNIV-is-not-in-ZF*)

qed

constdefs

implode :: *ZF set \Rightarrow ZF*
implode == *inv explode*

lemma *inj-explode*: *inj explode*
by (*auto simp add: inj-on-def explode-def Ext*)

lemma *implode-explode[simp]*: *implode (explode x) = x*
by (*simp add: implode-def inj-explode*)

constdefs

regular :: *(ZF * ZF) set \Rightarrow bool*
regular R == *! A. A \neq Empty \longrightarrow (? x. Elem x A & (! y. (y, x) \in R \longrightarrow Not (Elem y A)))*
set-like :: *(ZF * ZF) set \Rightarrow bool*
set-like R == *! y. Ext R y \in range explode*
wfzf :: *(ZF * ZF) set \Rightarrow bool*
wfzf R == *regular R & set-like R*

lemma *regular-Elem*: *regular is-Elem-of*
by (*simp add: regular-def is-Elem-of-def Regularity*)

lemma *set-like-Elem*: *set-like is-Elem-of*
by (*auto simp add: set-like-def image-def Ext-Elem*)

lemma *wfzf-is-Elem-of*: *wfzf is-Elem-of*
by (*auto simp add: wfzf-def regular-Elem set-like-Elem*)

constdefs

SeqSum :: *(nat \Rightarrow ZF) \Rightarrow ZF*
SeqSum f == *Sum (Repl Nat (f o Nat2nat))*

lemma *SeqSum*: *Elem x (SeqSum f) = (? n. Elem x (f n))*
apply (*auto simp add: SeqSum-def Sum Repl*)

```

apply (rule-tac x = f n in exI)
apply auto
done

constdefs
  Ext-ZF :: (ZF * ZF) set  $\Rightarrow$  ZF  $\Rightarrow$  ZF
  Ext-ZF R s == implode (Ext R s)

lemma Elem-implode:  $A \in \text{range explode} \implies \text{Elem } x (\text{implode } A) = (x \in A)$ 
apply (auto)
apply (simp-all add: explode-def)
done

lemma Elem-Ext-ZF: set-like R  $\implies \text{Elem } x (\text{Ext-ZF } R \ s) = ((x,s) \in R)$ 
apply (simp add: Ext-ZF-def)
apply (subst Elem-implode)
apply (simp add: set-like-def)
apply (simp add: Ext-def)
done

consts
  Ext-ZF-n :: (ZF * ZF) set  $\Rightarrow$  ZF  $\Rightarrow$  nat  $\Rightarrow$  ZF

primrec
  Ext-ZF-n R s 0 = Ext-ZF R s
  Ext-ZF-n R s (Suc n) = Sum (Repl (Ext-ZF-n R s n) (Ext-ZF R))

constdefs
  Ext-ZF-hull :: (ZF * ZF) set  $\Rightarrow$  ZF  $\Rightarrow$  ZF
  Ext-ZF-hull R s == SeqSum (Ext-ZF-n R s)

lemma Elem-Ext-ZF-hull:
assumes set-like-R: set-like R
shows Elem x (Ext-ZF-hull R S) = (? n. Elem x (Ext-ZF-n R S n))
by (simp add: Ext-ZF-hull-def SeqSum)

lemma Elem-Elem-Ext-ZF-hull:
assumes set-like-R: set-like R
and x-hull: Elem x (Ext-ZF-hull R S)
and y-R-x: (y, x)  $\in$  R
shows Elem y (Ext-ZF-hull R S)
proof -
from Elem-Ext-ZF-hull[OF set-like-R] x-hull
have ? n. Elem x (Ext-ZF-n R S n) by auto
then obtain n where n: Elem x (Ext-ZF-n R S n) ..
with y-R-x have Elem y (Ext-ZF-n R S (Suc n))
apply (auto simp add: Repl Sum)
apply (rule-tac x=Ext-ZF R x in exI)
apply (auto simp add: Elem-Ext-ZF[OF set-like-R])

```

```

done
with Elem-Ext-ZF-hull[OF set-like-R, where x=y] show ?thesis
  by (auto simp del: Ext-ZF-n.simps)
qed

```

lemma *wfzf-minimal*:

```

assumes hyps: wfzf R C ≠ {}
shows ∃x. x ∈ C ∧ (∀y. (y, x) ∈ R ⟶ y ∉ C)
proof -
  from hyps have ∃S. S ∈ C by auto
  then obtain S where S:S ∈ C by auto
  let ?T = Sep (Ext-ZF-hull R S) (λ s. s ∈ C)
  from hyps have set-like-R: set-like R by (simp add: wfzf-def)
  show ?thesis
  proof (cases ?T = Empty)
    case True
    then have ∀ z. ¬ (Elem z (Sep (Ext-ZF R S) (λ s. s ∈ C)))
    apply (auto simp add: Ext Empty Sep Ext-ZF-hull-def SeqSum)
    apply (erule-tac x=z in allE, auto)
    apply (erule-tac x=0 in allE, auto)
    done
    then show ?thesis
    apply (rule-tac exI[where x=S])
    apply (auto simp add: Sep Empty S)
    apply (erule-tac x=y in allE)
    apply (simp add: set-like-R Elem-Ext-ZF)
    done
  next
  case False
  from hyps have regular-R: regular R by (simp add: wfzf-def)
  from
    regular-R[simplified regular-def, rule-format, OF False, simplified Sep]
    Elem-Elem-Ext-ZF-hull[OF set-like-R]
  show ?thesis by blast
qed
qed

```

lemma *wfzf-implies-wf*: $wfzf R ⟹ wf R$

```

proof (subst wf-def, rule allI)
  assume wfzf: wfzf R
  fix P :: ZF ⇒ bool
  let ?C = {x. P x}
  {
    assume induct: (∀x. (∀y. (y, x) ∈ R ⟶ P y) ⟶ P x)
    let ?C = {x. ¬ (P x)}
    have ?C = {}
    proof (rule ccontr)
      assume C: ?C ≠ {}
      from

```

```

      wfzf-minimal[OF wfzf C]
    obtain x where x: x ∈ ?C ∧ (∀ y. (y, x) ∈ R ⟶ y ∉ ?C) ..
    then have P x
      apply (rule-tac induct[rule-format])
      apply auto
      done
    with x show False by auto
  qed
  then have ! x. P x by auto
}
then show (∀ x. (∀ y. (y, x) ∈ R ⟶ P y) ⟶ P x) ⟶ (! x. P x) by blast
qed

```

lemma *wf-is-Elem-of: wf is-Elem-of*
 by (auto simp add: wfzf-is-Elem-of wfzf-implies-wf)

lemma *in-Ext-RTrans-implies-Elem-Ext-ZF-hull:*
set-like R ⟹ x ∈ (Ext (R⁺) s) ⟹ Elem x (Ext-ZF-hull R s)
 apply (simp add: Ext-def Elem-Ext-ZF-hull)
 apply (erule converse-trancl-induct[where r=R])
 apply (rule exI[where x=0])
 apply (simp add: Elem-Ext-ZF)
 apply auto
 apply (rule-tac x=Suc n in exI)
 apply (simp add: Sum Repl)
 apply (rule-tac x=Ext-ZF R z in exI)
 apply (auto simp add: Elem-Ext-ZF)
 done

lemma *implodeable-Ext-trancl: set-like R ⟹ set-like (R⁺)*
 apply (subst set-like-def)
 apply (auto simp add: image-def)
 apply (rule-tac x=Sep (Ext-ZF-hull R y) (λ z. z ∈ (Ext (R⁺) y)) in exI)
 apply (auto simp add: explode-def Sep set-ext
 in-Ext-RTrans-implies-Elem-Ext-ZF-hull)
 done

lemma *Elem-Ext-ZF-hull-implies-in-Ext-RTrans[rule-format]:*
set-like R ⟹ ! x. Elem x (Ext-ZF-n R s n) ⟶ x ∈ (Ext (R⁺) s)
 apply (induct-tac n)
 apply (auto simp add: Elem-Ext-ZF Ext-def Sum Repl)
 done

lemma *set-like R ⟹ Ext-ZF (R⁺) s = Ext-ZF-hull R s*
 apply (frule implodeable-Ext-trancl)
 apply (auto simp add: Ext)
 apply (erule in-Ext-RTrans-implies-Elem-Ext-ZF-hull)
 apply (simp add: Elem-Ext-ZF Ext-def)
 apply (auto simp add: Elem-Ext-ZF Elem-Ext-ZF-hull)

apply (*erule Elem-Ext-ZF-hull-implies-in-Ext-RTrans[simplified Ext-def, simplified]*, *assumption*)

done

lemma *wf-implies-regular*: $wf\ R \implies regular\ R$

proof (*simp add: regular-def, rule allI*)

assume *wf*: $wf\ R$

fix *A*

show $A \neq Empty \longrightarrow (\exists x. Elem\ x\ A \wedge (\forall y. (y, x) \in R \longrightarrow \neg Elem\ y\ A))$

proof

assume *A*: $A \neq Empty$

then have $?x. x \in explode\ A$

by (*auto simp add: explode-def Ext Empty*)

then obtain *x* **where** $x \in explode\ A$..

from *iffD1[OF wf-eq-minimal wf, rule-format, where Q=explode A, OF x]*

obtain *z* **where** $z \in explode\ A \wedge (\forall y. (y, z) \in R \longrightarrow y \notin explode\ A)$ **by** *auto*

then show $\exists x. Elem\ x\ A \wedge (\forall y. (y, x) \in R \longrightarrow \neg Elem\ y\ A)$

apply (*rule-tac exI[where x = z]*)

apply (*simp add: explode-def*)

done

qed

qed

lemma *wf-eq-wfzf*: $(wf\ R \wedge set-like\ R) = wfzf\ R$

apply (*auto simp add: wfzf-implies-wf*)

apply (*auto simp add: wfzf-def wf-implies-regular*)

done

lemma *wfzf-trancl*: $wfzf\ R \implies wfzf\ (R^+)$

by (*auto simp add: wf-eq-wfzf[symmetric] implodeable-Ext-trancl wf-trancl*)

lemma *Ext-subset-mono*: $R \subseteq S \implies Ext\ R\ y \subseteq Ext\ S\ y$

by (*auto simp add: Ext-def*)

lemma *set-like-subset*: $set-like\ R \implies S \subseteq R \implies set-like\ S$

apply (*auto simp add: set-like-def*)

apply (*erule-tac x=y in allE*)

apply (*drule-tac y=y in Ext-subset-mono*)

apply (*auto simp add: image-def*)

apply (*rule-tac x=Sep x (% z. z \in (Ext S y)) in exI*)

apply (*auto simp add: explode-def Sep*)

done

lemma *wfzf-subset*: $wfzf\ S \implies R \subseteq S \implies wfzf\ R$

by (*auto intro: set-like-subset wf-subset simp add: wf-eq-wfzf[symmetric]*)

end

```

theory Zet
imports HOLZF
begin

typedef 'a zet = {A :: 'a set | A f z. inj-on f A  $\wedge$  f ' A  $\subseteq$  explode z}
  by blast

constdefs
  zin :: 'a  $\Rightarrow$  'a zet  $\Rightarrow$  bool
  zin x A == x  $\in$  (Rep-zet A)

lemma zet-ext-eq: (A = B) = (! x. zin x A = zin x B)
  by (auto simp add: Rep-zet-inject[symmetric] zin-def)

constdefs
  zimage :: ('a  $\Rightarrow$  'b)  $\Rightarrow$  'a zet  $\Rightarrow$  'b zet
  zimage f A == Abs-zet (image f (Rep-zet A))

lemma zet-def': zet = {A :: 'a set | A f z. inj-on f A  $\wedge$  f ' A = explode z}
  apply (rule set-ext)
  apply (auto simp add: zet-def)
  apply (rule-tac x=f in exI)
  apply auto
  apply (rule-tac x=Sep z ( $\lambda$  y. y  $\in$  (f ' x)) in exI)
  apply (auto simp add: explode-def Sep)
  done

lemma image-Inv-f-f: inj-on f B  $\Longrightarrow$  A  $\subseteq$  B  $\Longrightarrow$  (Inv B f) ' f ' A = A
  apply (rule set-ext)
  apply (auto simp add: Inv-f-f image-def)
  apply (rule-tac x=f x in exI)
  apply (auto simp add: Inv-f-f)
  done

lemma image-zet-rep: A  $\in$  zet  $\Longrightarrow$  ? z . g ' A = explode z
  apply (auto simp add: zet-def')
  apply (rule-tac x=Repl z (g o (Inv A f)) in exI)
  apply (simp add: explode-Repl-eq)
  apply (subgoal-tac explode z = f ' A)
  apply (simp-all add: comp-image-eq image-Inv-f-f)
  done

lemma Inv-f-f-mem:
  assumes x  $\in$  A
  shows Inv A g (g x)  $\in$  A
  apply (simp add: Inv-def)
  apply (rule someI2)

```

```

using (x ∈ A) apply auto
done

lemma zet-image-mem:
  assumes Azet: A ∈ zet
  shows g ' A ∈ zet
proof -
  from Azet have ? (f :: - ⇒ ZF). inj-on f A
    by (auto simp add: zet-def')
  then obtain f where injf: inj-on (f :: - ⇒ ZF) A
    by auto
  let ?w = f o (Inv A g)
  have subset: (Inv A g) ' (g ' A) ⊆ A
    by (auto simp add: Inv-f-f-mem)
  have inj-on (Inv A g) (g ' A) by (simp add: inj-on-Inv)
  then have injw: inj-on ?w (g ' A)
    apply (rule comp-inj-on)
    apply (rule subset-inj-on[where B=A])
    apply (auto simp add: subset injf)
    done
  show ?thesis
    apply (simp add: zet-def' comp-image-eq[symmetric])
    apply (rule exI[where x=?w])
    apply (simp add: injw image-zet-rep Azet)
    done
qed

lemma Rep-zimage-eq: Rep-zet (zimage f A) = image f (Rep-zet A)
  apply (simp add: zimage-def)
  apply (subst Abs-zet-inverse)
  apply (simp-all add: Rep-zet zet-image-mem)
  done

lemma zimage-iff: zin y (zimage f A) = (? x. zin x A & y = f x)
  by (auto simp add: zin-def Rep-zimage-eq)

constdefs
  zimplode :: ZF zet ⇒ ZF
  zimplode A == implode (Rep-zet A)
  zexplode :: ZF ⇒ ZF zet
  zexplode z == Abs-zet (explode z)

lemma Rep-zet-eq-explode: ? z. Rep-zet A = explode z
  by (rule image-zet-rep[where g=λ x. x, OF Rep-zet, simplified])

lemma zexplode-zimplode: zexplode (zimplode A) = A
  apply (simp add: zimplode-def zexplode-def)
  apply (simp add: implode-def)
  apply (subst f-inv-f[where y=Rep-zet A])

```

```

apply (auto simp add: Rep-zet-inverse Rep-zet-eq-explode image-def)
done

lemma explode-mem-zet: explode z ∈ zet
apply (simp add: zet-def')
apply (rule-tac x=%0 x. x in exI)
apply (auto simp add: inj-on-def)
done

lemma zimplode-zexplode: zimplode (zexplode z) = z
apply (simp add: zimplode-def zexplode-def)
apply (subst Abs-zet-inverse)
apply (auto simp add: explode-mem-zet implode-explode)
done

lemma zin-zexplode-eq: zin x (zexplode A) = Elem x A
apply (simp add: zin-def zexplode-def)
apply (subst Abs-zet-inverse)
apply (simp-all add: explode-Elem explode-mem-zet)
done

lemma comp-zimage-eq: zimage g (zimage f A) = zimage (g o f) A
apply (simp add: zimage-def)
apply (subst Abs-zet-inverse)
apply (simp-all add: comp-image-eq zet-image-mem Rep-zet)
done

constdefs
  zunion :: 'a zet ⇒ 'a zet ⇒ 'a zet
  zunion a b ≡ Abs-zet ((Rep-zet a) ∪ (Rep-zet b))
  zsubset :: 'a zet ⇒ 'a zet ⇒ bool
  zsubset a b ≡ ! x. zin x a ⟶ zin x b

lemma explode-union: explode (union a b) = (explode a) ∪ (explode b)
apply (rule set-ext)
apply (simp add: explode-def union)
done

lemma Rep-zet-zunion: Rep-zet (zunion a b) = (Rep-zet a) ∪ (Rep-zet b)
proof –
  from Rep-zet[of a] have ? f z. inj-on f (Rep-zet a) ∧ f ' (Rep-zet a) = explode z
  by (auto simp add: zet-def')
  then obtain fa za where a:inj-on fa (Rep-zet a) ∧ fa ' (Rep-zet a) = explode
  za
  by blast
  from a have fa: inj-on fa (Rep-zet a) by blast
  from a have za: fa ' (Rep-zet a) = explode za by blast
  from Rep-zet[of b] have ? f z. inj-on f (Rep-zet b) ∧ f ' (Rep-zet b) = explode z
  by (auto simp add: zet-def')

```

```

then obtain fb zb where b:inj-on fb (Rep-zet b)  $\wedge$  fb ' (Rep-zet b) = explode zb
  by blast
from b have fb: inj-on fb (Rep-zet b) by blast
from b have zb: fb ' (Rep-zet b) = explode zb by blast
let ?f = ( $\lambda$  x. if x  $\in$  (Rep-zet a) then Opair (fa x) (Empty) else Opair (fb x)
(Singleton Empty))
let ?z = CartProd (union za zb) (Upair Empty (Singleton Empty))
have se: Singleton Empty  $\neq$  Empty
  apply (auto simp add: Ext Singleton)
  apply (rule exI[where x=Empty])
  apply (simp add: Empty)
done
show ?thesis
  apply (simp add: zunion-def)
  apply (subst Abs-zet-inverse)
  apply (auto simp add: zet-def)
  apply (rule exI[where x = ?f])
  apply (rule conjI)
  apply (auto simp add: inj-on-def Opair inj-onD[OF fa] inj-onD[OF fb] se
se[symmetric])
  apply (rule exI[where x = ?z])
  apply (insert za zb)
  apply (auto simp add: explode-def CartProd union Upair Opair)
done
qed

```

```

lemma zunion: zin x (zunion a b) = ((zin x a)  $\vee$  (zin x b))
  by (auto simp add: zin-def Rep-zet-zunion)

```

```

lemma zimage-zexplode-eq: zimage f (zexplode z) = zexplode (Repl z f)
  by (simp add: zet-ext-eq zin-zexplode-eq Repl zimage-iff)

```

```

lemma range-explode-eq-zet: range explode = zet
  apply (rule set-ext)
  apply (auto simp add: explode-mem-zet)
  apply (drule image-zet-rep)
  apply (simp add: image-def)
  apply auto
  apply (rule-tac x=z in exI)
  apply auto
done

```

```

lemma Elem-zimplode: (Elem x (zimplode z)) = (zin x z)
  apply (simp add: zimplode-def)
  apply (subst Elem-implode)
  apply (simp-all add: zin-def Rep-zet range-explode-eq-zet)
done

```

```

constdefs

```

```

zempty :: 'a zet
zempty ≡ Abs-zet {}

lemma zempty[simp]: ¬ (zin x zempty)
  by (auto simp add: zin-def zempty-def Abs-zet-inverse zet-def)

lemma zimage-zempty[simp]: zimage f zempty = zempty
  by (auto simp add: zet-ext-eq zimage-iff)

lemma zunion-zempty-left[simp]: zunion zempty a = a
  by (simp add: zet-ext-eq zunion)

lemma zunion-zempty-right[simp]: zunion a zempty = a
  by (simp add: zet-ext-eq zunion)

lemma zimage-id[simp]: zimage id A = A
  by (simp add: zet-ext-eq zimage-iff)

lemma zimage-cong[recdef-cong]:  $\llbracket M = N; \forall x. \text{zin } x N \implies f x = g x \rrbracket \implies$ 
zimage f M = zimage g N
  by (auto simp add: zet-ext-eq zimage-iff)

end

```

1 Multisets

```

theory Multiset
imports Main
begin

```

1.1 The type of multisets

```

typedef 'a multiset = {f::'a => nat. finite {x . f x > 0}}
proof
  show ( $\lambda x. 0::\text{nat}$ ) ∈ ?multiset by simp
qed

```

```

lemmas multiset-typedef [simp] =
  Abs-multiset-inverse Rep-multiset-inverse Rep-multiset
  and [simp] = Rep-multiset-inject [symmetric]

```

```

definition
  Mempty :: 'a multiset ({} where
    {} = Abs-multiset ( $\lambda a. 0$ )

```

```

definition
  single :: 'a => 'a multiset ({}-#) where
    {#a#} = Abs-multiset ( $\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0$ )

```

definition

count :: 'a multiset => 'a => nat **where**
count = *Rep-multiset*

definition

MCollect :: 'a multiset => ('a => bool) => 'a multiset **where**
MCollect *M P* = *Abs-multiset* ($\lambda x. \text{if } P \ x \text{ then } \text{Rep-multiset } M \ x \text{ else } 0$)

abbreviation

Melem :: 'a => 'a multiset => bool ((-/ :# -) [50, 51] 50) **where**
a :# M == *count* *M a* > 0

syntax

-MCollect :: *pttrn* => 'a multiset => bool => 'a multiset ((1{# - : -/ -#}))

translations

{#*x*:*M*. *P*#} == *CONST* *MCollect* *M* ($\lambda x. P$)

definition

set-of :: 'a multiset => 'a set **where**
set-of *M* = {*x*. *x* :# *M*}

instance *multiset* :: (*type*) {*plus*, *minus*, *zero*, *size*}

union-def: *M* + *N* == *Abs-multiset* ($\lambda a. \text{Rep-multiset } M \ a + \text{Rep-multiset } N \ a$)
diff-def: *M* - *N* == *Abs-multiset* ($\lambda a. \text{Rep-multiset } M \ a - \text{Rep-multiset } N \ a$)
Zero-multiset-def [*simp*]: 0 == {#}
size-def: *size* *M* == *setsum* (*count* *M*) (*set-of* *M*) ..

definition

multiset-inter :: 'a multiset \Rightarrow 'a multiset \Rightarrow 'a multiset (**infixl** # \cap 70) **where**
multiset-inter *A B* = *A* - (*A* - *B*)

Preservation of the representing set *multiset*.

lemma *const0-in-multiset* [*simp*]: ($\lambda a. 0$) \in *multiset*
by (*simp* *add*: *multiset-def*)

lemma *only1-in-multiset* [*simp*]: ($\lambda b. \text{if } b = a \text{ then } 1 \text{ else } 0$) \in *multiset*
by (*simp* *add*: *multiset-def*)

lemma *union-preserves-multiset* [*simp*]:

M \in *multiset* ==> *N* \in *multiset* ==> ($\lambda a. M \ a + N \ a$) \in *multiset*
apply (*simp* *add*: *multiset-def*)
apply (*drule* (1) *finite-UnI*)
apply (*simp* *del*: *finite-Un* *add*: *Un-def*)
done

lemma *diff-preserves-multiset* [*simp*]:

M \in *multiset* ==> ($\lambda a. M \ a - N \ a$) \in *multiset*
apply (*simp* *add*: *multiset-def*)

```

apply (rule finite-subset)
apply auto
done

```

1.2 Algebraic properties of multisets

1.2.1 Union

```

lemma union-empty [simp]:  $M + \{\#\} = M \wedge \{\#\} + M = M$ 
by (simp add: union-def Mempty-def)

```

```

lemma union-commute:  $M + N = N + (M::'a\ multiset)$ 
by (simp add: union-def add-ac)

```

```

lemma union-assoc:  $(M + N) + K = M + (N + (K::'a\ multiset))$ 
by (simp add: union-def add-ac)

```

```

lemma union-lcomm:  $M + (N + K) = N + (M + (K::'a\ multiset))$ 

```

proof –

```

have  $M + (N + K) = (N + K) + M$ 

```

```

by (rule union-commute)

```

```

also have  $\dots = N + (K + M)$ 

```

```

by (rule union-assoc)

```

```

also have  $K + M = M + K$ 

```

```

by (rule union-commute)

```

```

finally show ?thesis .

```

qed

```

lemmas union-ac = union-assoc union-commute union-lcomm

```

```

instance multiset :: (type) comm-monoid-add

```

proof

```

fix  $a\ b\ c :: 'a\ multiset$ 

```

```

show  $(a + b) + c = a + (b + c)$  by (rule union-assoc)

```

```

show  $a + b = b + a$  by (rule union-commute)

```

```

show  $0 + a = a$  by simp

```

qed

1.2.2 Difference

```

lemma diff-empty [simp]:  $M - \{\#\} = M \wedge \{\#\} - M = \{\#\}$ 
by (simp add: Mempty-def diff-def)

```

```

lemma diff-union-inverse2 [simp]:  $M + \{\#a\# \} - \{\#a\# \} = M$ 
by (simp add: union-def diff-def)

```

1.2.3 Count of elements

```

lemma count-empty [simp]:  $\text{count } \{\#\} a = 0$ 
by (simp add: count-def Mempty-def)

```

lemma *count-single* [*simp*]: $\text{count } \{ \#b\# \} a = (\text{if } b = a \text{ then } 1 \text{ else } 0)$
by (*simp add: count-def single-def*)

lemma *count-union* [*simp*]: $\text{count } (M + N) a = \text{count } M a + \text{count } N a$
by (*simp add: count-def union-def*)

lemma *count-diff* [*simp*]: $\text{count } (M - N) a = \text{count } M a - \text{count } N a$
by (*simp add: count-def diff-def*)

1.2.4 Set of elements

lemma *set-of-empty* [*simp*]: $\text{set-of } \{ \# \} = \{ \}$
by (*simp add: set-of-def*)

lemma *set-of-single* [*simp*]: $\text{set-of } \{ \#b\# \} = \{ b \}$
by (*simp add: set-of-def*)

lemma *set-of-union* [*simp*]: $\text{set-of } (M + N) = \text{set-of } M \cup \text{set-of } N$
by (*auto simp add: set-of-def*)

lemma *set-of-eq-empty-iff* [*simp*]: $(\text{set-of } M = \{ \}) = (M = \{ \# \})$
by (*auto simp add: set-of-def Mempty-def count-def expand-fun-eq*)

lemma *mem-set-of-iff* [*simp*]: $(x \in \text{set-of } M) = (x : \# M)$
by (*auto simp add: set-of-def*)

1.2.5 Size

lemma *size-empty* [*simp*]: $\text{size } \{ \# \} = 0$
by (*simp add: size-def*)

lemma *size-single* [*simp*]: $\text{size } \{ \#b\# \} = 1$
by (*simp add: size-def*)

lemma *finite-set-of* [*iff*]: $\text{finite } (\text{set-of } M)$
using *Rep-multiset* [*of* M]
by (*simp add: multiset-def set-of-def count-def*)

lemma *setsum-count-Int*:
 $\text{finite } A \implies \text{setsum } (\text{count } N) (A \cap \text{set-of } N) = \text{setsum } (\text{count } N) A$
apply (*induct rule: finite-induct*)
apply *simp*
apply (*simp add: Int-insert-left set-of-def*)
done

lemma *size-union* [*simp*]: $\text{size } (M + N :: 'a \text{ multiset}) = \text{size } M + \text{size } N$
apply (*unfold size-def*)
apply (*subgoal-tac count (M + N) = (\lambda a. count M a + count N a)*)
prefer 2

apply (*rule ext, simp*)
apply (*simp (no-asm-simp) add: setsum-Un-nat setsum-addf setsum-count-Int*)
apply (*subst Int-commute*)
apply (*simp (no-asm-simp) add: setsum-count-Int*)
done

lemma *size-eq-0-iff-empty* [*iff*]: $(size\ M = 0) = (M = \{\#\})$
apply (*unfold size-def Mempty-def count-def, auto*)
apply (*simp add: set-of-def count-def expand-fun-eq*)
done

lemma *size-eq-Suc-imp-elem*: $size\ M = Suc\ n ==> \exists a. a :\# M$
apply (*unfold size-def*)
apply (*drule setsum-SucD, auto*)
done

1.2.6 Equality of multisets

lemma *multiset-eq-conv-count-eq*: $(M = N) = (\forall a. count\ M\ a = count\ N\ a)$
by (*simp add: count-def expand-fun-eq*)

lemma *single-not-empty* [*simp*]: $\{\#a\#\} \neq \{\#\} \wedge \{\#\} \neq \{\#a\#\}$
by (*simp add: single-def Mempty-def expand-fun-eq*)

lemma *single-eq-single* [*simp*]: $(\{\#a\#\} = \{\#b\#\}) = (a = b)$
by (*auto simp add: single-def expand-fun-eq*)

lemma *union-eq-empty* [*iff*]: $(M + N = \{\#\}) = (M = \{\#\} \wedge N = \{\#\})$
by (*auto simp add: union-def Mempty-def expand-fun-eq*)

lemma *empty-eq-union* [*iff*]: $(\{\#\} = M + N) = (M = \{\#\} \wedge N = \{\#\})$
by (*auto simp add: union-def Mempty-def expand-fun-eq*)

lemma *union-right-cancel* [*simp*]: $(M + K = N + K) = (M = (N::'a\ multiset))$
by (*simp add: union-def expand-fun-eq*)

lemma *union-left-cancel* [*simp*]: $(K + M = K + N) = (M = (N::'a\ multiset))$
by (*simp add: union-def expand-fun-eq*)

lemma *union-is-single*:
 $(M + N = \{\#a\#\}) = (M = \{\#a\#\} \wedge N = \{\#\} \vee M = \{\#\} \wedge N = \{\#a\#\})$
apply (*simp add: Mempty-def single-def union-def add-is-1 expand-fun-eq*)
apply *blast*
done

lemma *single-is-union*:
 $(\{\#a\#\} = M + N) = ((\{\#a\#\} = M \wedge N = \{\#\}) \vee M = \{\#\} \wedge \{\#a\#\} = N)$
apply (*unfold Mempty-def single-def union-def*)

```

apply (simp add: add-is-1 one-is-add expand-fun-eq)
apply (blast dest: sym)
done

```

```

lemma add-eq-conv-diff:
  (M + {#a#} = N + {#b#}) =
  (M = N ∧ a = b ∨ M = N - {#a#} + {#b#} ∧ N = M - {#b#} +
  {#a#})
  using [[simpproc del: neq]]
  apply (unfold single-def union-def diff-def)
  apply (simp (no-asm) add: expand-fun-eq)
  apply (rule conjI, force, safe, simp-all)
  apply (simp add: eq-sym-conv)
done

```

```

declare Rep-multiset-inject [symmetric, simp del]

```

```

instance multiset :: (type) cancel-ab-semigroup-add
proof
  fix a b c :: 'a multiset
  show a + b = a + c  $\implies$  b = c by simp
qed

```

1.2.7 Intersection

```

lemma multiset-inter-count:
  count (A #∩ B) x = min (count A x) (count B x)
  by (simp add: multiset-inter-def min-def)

```

```

lemma multiset-inter-commute: A #∩ B = B #∩ A
  by (simp add: multiset-eq-conv-count-eq multiset-inter-count
  min-max.inf-commute)

```

```

lemma multiset-inter-assoc: A #∩ (B #∩ C) = A #∩ B #∩ C
  by (simp add: multiset-eq-conv-count-eq multiset-inter-count
  min-max.inf-assoc)

```

```

lemma multiset-inter-left-commute: A #∩ (B #∩ C) = B #∩ (A #∩ C)
  by (simp add: multiset-eq-conv-count-eq multiset-inter-count min-def)

```

```

lemmas multiset-inter-ac =
  multiset-inter-commute
  multiset-inter-assoc
  multiset-inter-left-commute

```

```

lemma multiset-union-diff-commute: B #∩ C = {#}  $\implies$  A + B - C = A - C
+ B
  apply (simp add: multiset-eq-conv-count-eq multiset-inter-count min-def
  split: split-if-asm)

```

```

apply clarsimp
apply (erule-tac  $x = a$  in allE)
apply auto
done

```

1.3 Induction over multisets

lemma *setsum-decr*:

```

finite  $F \implies (0::nat) < f\ a \implies$ 
   $setsum\ (f\ (a := f\ a - 1))\ F = (if\ a \in F\ then\ setsum\ f\ F - 1\ else\ setsum\ f\ F)$ 
apply (induct rule: finite-induct)
apply auto
apply (drule-tac  $a = a$  in mk-disjoint-insert, auto)
done

```

lemma *rep-multiset-induct-aux*:

```

assumes 1:  $P\ (\lambda a. (0::nat))$ 
  and 2:  $\forall!f\ b. f \in multiset \implies P\ f \implies P\ (f\ (b := f\ b + 1))$ 
shows  $\forall f. f \in multiset \longrightarrow setsum\ f\ \{x. f\ x \neq 0\} = n \longrightarrow P\ f$ 
apply (unfold multiset-def)
apply (induct-tac  $n$ , simp, clarify)
apply (subgoal-tac  $f = (\lambda a. 0)$ )
  apply simp
  apply (rule 1)
apply (rule ext, force, clarify)
apply (frule setsum-SucD, clarify)
apply (rename-tac  $a$ )
apply (subgoal-tac  $finite\ \{x. (f\ (a := f\ a - 1))\ x > 0\}$ )
  prefer 2
  apply (rule finite-subset)
  prefer 2
  apply assumption
  apply simp
  apply blast
apply (subgoal-tac  $f = (f\ (a := f\ a - 1))(a := (f\ (a := f\ a - 1))\ a + 1)$ )
  prefer 2
  apply (rule ext)
  apply (simp (no-asm-simp))
  apply (erule ssubst, rule 2 [unfolded multiset-def], blast)
apply (erule allE, erule impE, erule-tac [2] mp, blast)
apply (simp (no-asm-simp) add: setsum-decr del: fun-upd-apply One-nat-def)
apply (subgoal-tac  $\{x. x \neq a \longrightarrow f\ x \neq 0\} = \{x. f\ x \neq 0\}$ )
  prefer 2
  apply blast
apply (subgoal-tac  $\{x. x \neq a \wedge f\ x \neq 0\} = \{x. f\ x \neq 0\} - \{a\}$ )
  prefer 2
  apply blast
apply (simp add: le-imp-diff-is-add setsum-diff1-nat cong: conj-cong)
done

```

theorem *rep-multiset-induct*:
 $f \in \text{multiset} \implies P (\lambda a. 0) \implies$
 $(\forall f b. f \in \text{multiset} \implies P f \implies P (f (b := f b + 1))) \implies P f$
using *rep-multiset-induct-aux* **by** *blast*

theorem *multiset-induct* [*case-names empty add, induct type: multiset*]:
assumes *empty*: $P \{\#\}$
and *add*: $\forall M x. P M \implies P (M + \{\#x\#})$
shows $P M$
proof –
note *defns* = *union-def single-def Mempty-def*
show *?thesis*
apply (*rule Rep-multiset-inverse* [*THEN subst*])
apply (*rule Rep-multiset* [*THEN rep-multiset-induct*])
apply (*rule empty* [*unfolded defns*])
apply (*subgoal-tac* $f(b := f b + 1) = (\lambda a. f a + (\text{if } a=b \text{ then } 1 \text{ else } 0))$)
prefer 2
apply (*simp add: expand-fun-eq*)
apply (*erule ssubst*)
apply (*erule Abs-multiset-inverse* [*THEN subst*])
apply (*erule add* [*unfolded defns, simplified*])
done
qed

lemma *MCollect-preserves-multiset*:
 $M \in \text{multiset} \implies (\lambda x. \text{if } P x \text{ then } M x \text{ else } 0) \in \text{multiset}$
apply (*simp add: multiset-def*)
apply (*rule finite-subset, auto*)
done

lemma *count-MCollect* [*simp*]:
 $\text{count } \{\# x:M. P x \#\} a = (\text{if } P a \text{ then } \text{count } M a \text{ else } 0)$
by (*simp add: count-def MCollect-def MCollect-preserves-multiset*)

lemma *set-of-MCollect* [*simp*]: $\text{set-of } \{\# x:M. P x \#\} = \text{set-of } M \cap \{x. P x\}$
by (*auto simp add: set-of-def*)

lemma *multiset-partition*: $M = \{\# x:M. P x \#\} + \{\# x:M. \neg P x \#\}$
by (*subst multiset-eq-conv-count-eq, auto*)

lemma *add-eq-conv-ex*:
 $(M + \{\#a\#} = N + \{\#b\#}) =$
 $(M = N \wedge a = b \vee (\exists K. M = K + \{\#b\#} \wedge N = K + \{\#a\#}))$
by (*auto simp add: add-eq-conv-diff*)

declare *multiset-typedef* [*simp del*]

1.4 Multiset orderings

1.4.1 Well-foundedness

definition

$mult1 :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$ **where**
 $mult1 \ r =$
 $\{(N, M). \exists a \ M0 \ K. M = M0 + \{\#a\#\} \wedge N = M0 + K \wedge$
 $(\forall b. b :\# K \longrightarrow (b, a) \in r)\}$

definition

$mult :: ('a \times 'a) \text{ set} \Rightarrow ('a \text{ multiset} \times 'a \text{ multiset}) \text{ set}$ **where**
 $mult \ r = (mult1 \ r)^+$

lemma *not-less-empty [iff]*: $(M, \{\#\}) \notin mult1 \ r$
by (*simp add: mult1-def*)

lemma *less-add*: $(N, M0 + \{\#a\#\}) \in mult1 \ r \Longrightarrow$
 $(\exists M. (M, M0) \in mult1 \ r \wedge N = M + \{\#a\#\}) \vee$
 $(\exists K. (\forall b. b :\# K \longrightarrow (b, a) \in r) \wedge N = M0 + K)$
(is - \Longrightarrow ?case1 (mult1 r) \vee ?case2)

proof (*unfold mult1-def*)

let $?r = \lambda K \ a. \forall b. b :\# K \longrightarrow (b, a) \in r$
let $?R = \lambda N \ M. \exists a \ M0 \ K. M = M0 + \{\#a\#\} \wedge N = M0 + K \wedge ?r \ K \ a$
let $?case1 = ?case1 \ \{(N, M). ?R \ N \ M\}$

assume $(N, M0 + \{\#a\#\}) \in \{(N, M). ?R \ N \ M\}$

then have $\exists a' \ M0' \ K.$

$M0 + \{\#a\#\} = M0' + \{\#a'\#\} \wedge N = M0' + K \wedge ?r \ K \ a'$ **by** *simp*

then show $?case1 \ \vee \ ?case2$

proof (*elim exE conjE*)

fix $a' \ M0' \ K$

assume $N: N = M0' + K$ **and** $r: ?r \ K \ a'$

assume $M0 + \{\#a\#\} = M0' + \{\#a'\#\}$

then have $M0 = M0' \wedge a = a' \vee$

$(\exists K'. M0 = K' + \{\#a'\#\} \wedge M0' = K' + \{\#a\#\})$

by (*simp only: add-eq-conv-ex*)

then show *?thesis*

proof (*elim disjE conjE exE*)

assume $M0 = M0' \wedge a = a'$

with $N \ r$ **have** $?r \ K \ a \wedge N = M0 + K$ **by** *simp*

then have $?case2 \ ..$ **then show** *?thesis ..*

next

fix K'

assume $M0' = K' + \{\#a\#\}$

with N **have** $n: N = K' + K + \{\#a\#\}$ **by** (*simp add: union-ac*)

assume $M0 = K' + \{\#a'\#\}$

with r **have** $?R \ (K' + K) \ M0$ **by** *blast*

with n **have** $?case1$ **by** *simp* **then show** *?thesis ..*

qed
 qed
 qed

lemma *all-accessible*: $wf\ r \implies \forall M. M \in acc\ (mult1\ r)$

proof

let $?R = mult1\ r$

let $?W = acc\ ?R$

{

fix $M\ M0\ a$

assume $M0: M0 \in ?W$

and *wf-hyp*: $!!b. (b, a) \in r \implies (\forall M \in ?W. M + \{\#b\# \} \in ?W)$

and *acc-hyp*: $\forall M. (M, M0) \in ?R \implies M + \{\#a\# \} \in ?W$

have $M0 + \{\#a\# \} \in ?W$

proof (*rule accI [of M0 + {\#a\#}]*)

fix N

assume $(N, M0 + \{\#a\# \}) \in ?R$

then have $((\exists M. (M, M0) \in ?R \wedge N = M + \{\#a\# \}) \vee$

$(\exists K. (\forall b. b :\# K \implies (b, a) \in r) \wedge N = M0 + K))$

by (*rule less-add*)

then show $N \in ?W$

proof (*elim exE disjE conjE*)

fix M assume $(M, M0) \in ?R$ and $N: N = M + \{\#a\# \}$

from *acc-hyp* have $(M, M0) \in ?R \implies M + \{\#a\# \} \in ?W$..

from *this* and $\langle (M, M0) \in ?R \rangle$ have $M + \{\#a\# \} \in ?W$..

then show $N \in ?W$ by (*simp only: N*)

next

fix K

assume $N: N = M0 + K$

assume $\forall b. b :\# K \implies (b, a) \in r$

then have $M0 + K \in ?W$

proof (*induct K*)

case *empty*

from $M0$ show $M0 + \{\#\} \in ?W$ by *simp*

next

case (*add K x*)

from *add.prem*s have $(x, a) \in r$ by *simp*

with *wf-hyp* have $\forall M \in ?W. M + \{\#x\# \} \in ?W$ by *blast*

moreover from *add* have $M0 + K \in ?W$ by *simp*

ultimately have $(M0 + K) + \{\#x\# \} \in ?W$..

then show $M0 + (K + \{\#x\# \}) \in ?W$ by (*simp only: union-assoc*)

qed

then show $N \in ?W$ by (*simp only: N*)

qed

qed

} note *tedious-reasoning = this*

assume *wf*: $wf\ r$

fix M

```

show  $M \in ?W$ 
proof (induct M)
  show  $\{\#\} \in ?W$ 
  proof (rule accI)
    fix b assume  $(b, \{\#\}) \in ?R$ 
    with not-less-empty show  $b \in ?W$  by contradiction
  qed

fix M a assume  $M \in ?W$ 
from wf have  $\forall M \in ?W. M + \{\#a\# \} \in ?W$ 
proof induct
  fix a
  assume r:  $!!b. (b, a) \in r \implies (\forall M \in ?W. M + \{\#b\# \} \in ?W)$ 
  show  $\forall M \in ?W. M + \{\#a\# \} \in ?W$ 
  proof
    fix M assume  $M \in ?W$ 
    then show  $M + \{\#a\# \} \in ?W$ 
    by (rule acc-induct) (rule tedious-reasoning [OF - r])
  qed
qed
from this and  $\langle M \in ?W \rangle$  show  $M + \{\#a\# \} \in ?W ..$ 
qed

```

```

theorem wf-mult1: wf r ==> wf (mult1 r)
  by (rule acc-wfI) (rule all-accessible)

```

```

theorem wf-mult: wf r ==> wf (mult r)
  unfolding mult-def by (rule wf-trancl) (rule wf-mult1)

```

1.4.2 Closure-free presentation

```

lemma diff-union-single-conv:  $a :\# J \implies I + J - \{\#a\# \} = I + (J - \{\#a\# \})$ 
  by (simp add: multiset-eq-conv-count-eq)

```

One direction.

```

lemma mult-implies-one-step:
  trans r ==>  $(M, N) \in mult\ r \implies$ 
   $\exists I J K. N = I + J \wedge M = I + K \wedge J \neq \{\#\} \wedge$ 
   $(\forall k \in set-of\ K. \exists j \in set-of\ J. (k, j) \in r)$ 
  apply (unfold mult-def mult1-def set-of-def)
  apply (erule converse-trancl-induct, clarify)
  apply (rule-tac  $x = M0$  in exI, simp, clarify)
  apply (case-tac  $a :\# K$ )
  apply (rule-tac  $x = I$  in exI)
  apply (simp (no-asm))
  apply (rule-tac  $x = (K - \{\#a\# \}) + Ka$  in exI)
  apply (simp (no-asm-simp) add: union-assoc [symmetric])
  apply (drule-tac  $f = \lambda M. M - \{\#a\# \}$  in arg-cong)

```

```

apply (simp add: diff-union-single-conv)
apply (simp (no-asm-use) add: trans-def)
apply blast
apply (subgoal-tac a :# I)
apply (rule-tac x = I - {#a#} in exI)
apply (rule-tac x = J + {#a#} in exI)
apply (rule-tac x = K + Ka in exI)
apply (rule conjI)
  apply (simp add: multiset-eq-conv-count-eq split: nat-diff-split)
apply (rule conjI)
  apply (drule-tac f =  $\lambda M. M - \{#a#\}$  in arg-cong, simp)
  apply (simp add: multiset-eq-conv-count-eq split: nat-diff-split)
apply (simp (no-asm-use) add: trans-def)
apply blast
apply (subgoal-tac a :# (M0 + {#a#}))
  apply simp
apply (simp (no-asm))
done

```

lemma *elem-imp-eq-diff-union*: $a :# M \implies M = M - \{#a#\} + \{#a#\}$
by (simp add: multiset-eq-conv-count-eq)

lemma *size-eq-Suc-imp-eq-union*: $size\ M = Suc\ n \implies \exists a\ N. M = N + \{#a#\}$
apply (erule size-eq-Suc-imp-elem [THEN exE])
apply (drule elem-imp-eq-diff-union, auto)
done

lemma *one-step-implies-mult-aux*:
 $trans\ r \implies$
 $\forall I\ J\ K. (size\ J = n \wedge J \neq \{#\} \wedge (\forall k \in set-of\ K. \exists j \in set-of\ J. (k, j) \in r))$
 $\implies (I + K, I + J) \in mult\ r$
apply (induct-tac n, auto)
apply (frule size-eq-Suc-imp-eq-union, clarify)
apply (rename-tac J', simp)
apply (erule notE, auto)
apply (case-tac J' = {#})
apply (simp add: mult-def)
apply (rule r-into-trancl)
apply (simp add: mult1-def set-of-def, blast)

Now we know $J' \neq \{#\}$.

```

apply (cut-tac M = K and P =  $\lambda x. (x, a) \in r$  in multiset-partition)
apply (erule-tac P =  $\forall k \in set-of\ K. ?P\ k$  in rev-mp)
apply (erule ssubst)
apply (simp add: Ball-def, auto)
apply (subgoal-tac
  ((I + {# x : K. (x, a)  $\in$  r #}) + {# x : K. (x, a)  $\notin$  r #},
  (I + {# x : K. (x, a)  $\in$  r #}) + J')  $\in$  mult r)
prefer 2

```

```

apply force
apply (simp (no-asm-use) add: union-assoc [symmetric] mult-def)
apply (erule trancl-trans)
apply (rule r-into-trancl)
apply (simp add: mult1-def set-of-def)
apply (rule-tac x = a in exI)
apply (rule-tac x = I + J' in exI)
apply (simp add: union-ac)
done

```

lemma *one-step-implies-mult*:
 $trans\ r \implies J \neq \{\#\} \implies \forall k \in set-of\ K. \exists j \in set-of\ J. (k, j) \in r$
 $\implies (I + K, I + J) \in mult\ r$
using *one-step-implies-mult-aux* **by** *blast*

1.4.3 Partial-order properties

instance *multiset* :: (*type*) *ord* ..

defs (*overloaded*)

```

less-multiset-def:  $M' < M \implies (M', M) \in mult\ \{(x', x). x' < x\}$ 
le-multiset-def:  $M' \leq M \implies M' = M \vee M' < (M::'a\ multiset)$ 

```

lemma *trans-base-order*: $trans\ \{(x', x). x' < (x::'a::order)\}$
unfolding *trans-def* **by** (*blast intro: order-less-trans*)

Irreflexivity.

lemma *mult-irrefl-aux*:

```

finite A  $\implies (\forall x \in A. \exists y \in A. x < (y::'a::order)) \implies A = \{\}$ 
by (induct rule: finite-induct) (auto intro: order-less-trans)

```

lemma *mult-less-not-refl*: $\neg M < (M::'a::order\ multiset)$

```

apply (unfold less-multiset-def, auto)
apply (drule trans-base-order [THEN mult-implies-one-step], auto)
apply (drule finite-set-of [THEN mult-irrefl-aux [rule-format (no-asm)]])
apply (simp add: set-of-eq-empty-iff)
done

```

lemma *mult-less-irrefl* [*elim!*]: $M < (M::'a::order\ multiset) \implies R$
using *insert mult-less-not-refl* **by** *fast*

Transitivity.

theorem *mult-less-trans*: $K < M \implies M < N \implies K < (N::'a::order\ multiset)$
unfolding *less-multiset-def mult-def* **by** (*blast intro: trancl-trans*)

Asymmetry.

theorem *mult-less-not-sym*: $M < N \implies \neg N < (M::'a::order\ multiset)$
apply *auto*

apply (rule *mult-less-not-refl* [*THEN notE*])
apply (erule *mult-less-trans*, *assumption*)
done

theorem *mult-less-asy*:

$M < N \implies (\neg P \implies N < (M::'a::\text{order multiset})) \implies P$
by (*insert mult-less-not-sym*, *blast*)

theorem *mult-le-refl* [*iff*]: $M \leq (M::'a::\text{order multiset})$

unfolding *le-multiset-def* **by** *auto*

Anti-symmetry.

theorem *mult-le-antisym*:

$M \leq N \implies N \leq M \implies M = (N::'a::\text{order multiset})$
unfolding *le-multiset-def* **by** (*blast dest: mult-less-not-sym*)

Transitivity.

theorem *mult-le-trans*:

$K \leq M \implies M \leq N \implies K \leq (N::'a::\text{order multiset})$
unfolding *le-multiset-def* **by** (*blast intro: mult-less-trans*)

theorem *mult-less-le*: $(M < N) = (M \leq N \wedge M \neq (N::'a::\text{order multiset}))$

unfolding *le-multiset-def* **by** *auto*

Partial order.

instance *multiset* :: (*order*) *order*

apply *intro-classes*
apply (rule *mult-less-le*)
apply (rule *mult-le-refl*)
apply (erule *mult-le-trans*, *assumption*)
apply (erule *mult-le-antisym*, *assumption*)
done

1.4.4 Monotonicity of multiset union

lemma *mult1-union*:

$(B, D) \in \text{mult1 } r \implies \text{trans } r \implies (C + B, C + D) \in \text{mult1 } r$
apply (*unfold mult1-def*, *auto*)
apply (rule-tac $x = a$ **in** *exI*)
apply (rule-tac $x = C + M0$ **in** *exI*)
apply (*simp add: union-assoc*)
done

lemma *union-less-mono2*: $B < D \implies C + B < C + (D::'a::\text{order multiset})$

apply (*unfold less-multiset-def mult-def*)
apply (*erule trancl-induct*)
apply (*blast intro: mult1-union transI order-less-trans r-into-trancl*)
apply (*blast intro: mult1-union transI order-less-trans r-into-trancl trancl-trans*)
done

```

lemma union-less-mono1:  $B < D \implies B + C < D + (C::'a::order\ multiset)$ 
apply (subst union-commute [of B C])
apply (subst union-commute [of D C])
apply (erule union-less-mono2)
done

```

```

lemma union-less-mono:
   $A < C \implies B < D \implies A + B < C + (D::'a::order\ multiset)$ 
apply (blast intro!: union-less-mono1 union-less-mono2 mult-less-trans)
done

```

```

lemma union-le-mono:
   $A \leq C \implies B \leq D \implies A + B \leq C + (D::'a::order\ multiset)$ 
unfolding le-multiset-def
by (blast intro: union-less-mono union-less-mono1 union-less-mono2)

```

```

lemma empty-leI [iff]:  $\{\#\} \leq (M::'a::order\ multiset)$ 
apply (unfold le-multiset-def less-multiset-def)
apply (case-tac  $M = \{\#\}$ )
prefer 2
apply (subgoal-tac ( $\{\#\} + \{\#\}, \{\#\} + M \in mult (Collect (split\ op\ <))$ ))
prefer 2
apply (rule one-step-implies-mult)
apply (simp only: trans-def, auto)
done

```

```

lemma union-upper1:  $A \leq A + (B::'a::order\ multiset)$ 
proof -
  have  $A + \{\#\} \leq A + B$  by (blast intro: union-le-mono)
  then show ?thesis by simp
qed

```

```

lemma union-upper2:  $B \leq A + (B::'a::order\ multiset)$ 
by (subst union-commute) (rule union-upper1)

```

```

instance multiset :: (order) pordered-ab-semigroup-add
apply intro-classes
apply (erule union-le-mono[OF mult-le-refl])
done

```

1.5 Link with lists

```

consts
  multiset-of :: 'a list  $\Rightarrow$  'a multiset
primrec
  multiset-of [] =  $\{\#\}$ 
  multiset-of (a # x) = multiset-of x +  $\{\#\ a \#\}$ 

```

lemma *multiset-of-zero-iff*[simp]: $(\text{multiset-of } x = \{\#\}) = (x = [])$
by (*induct x*) *auto*

lemma *multiset-of-zero-iff-right*[simp]: $(\{\#\} = \text{multiset-of } x) = (x = [])$
by (*induct x*) *auto*

lemma *set-of-multiset-of*[simp]: $\text{set-of}(\text{multiset-of } x) = \text{set } x$
by (*induct x*) *auto*

lemma *mem-set-multiset-eq*: $x \in \text{set } xs = (x :\# \text{ multiset-of } xs)$
by (*induct xs*) *auto*

lemma *multiset-of-append* [simp]:
 $\text{multiset-of } (xs @ ys) = \text{multiset-of } xs + \text{multiset-of } ys$
by (*induct xs arbitrary: ys*) (*auto simp: union-ac*)

lemma *surj-multiset-of*: *surj multiset-of*
apply (*unfold surj-def, rule allI*)
apply (*rule-tac M=y in multiset-induct, auto*)
apply (*rule-tac x = x # xa in exI, auto*)
done

lemma *set-count-greater-0*: $\text{set } x = \{a. \text{count}(\text{multiset-of } x) a > 0\}$
by (*induct x*) *auto*

lemma *distinct-count-atmost-1*:
 $\text{distinct } x = (! a. \text{count}(\text{multiset-of } x) a = (\text{if } a \in \text{set } x \text{ then } 1 \text{ else } 0))$
apply (*induct x, simp, rule iffI, simp-all*)
apply (*rule conjI*)
apply (*simp-all add: set-of-multiset-of [THEN sym] del: set-of-multiset-of*)
apply (*erule-tac x=a in allE, simp, clarify*)
apply (*erule-tac x=aa in allE, simp*)
done

lemma *multiset-of-eq-setD*:
 $\text{multiset-of } xs = \text{multiset-of } ys \implies \text{set } xs = \text{set } ys$
by (*rule*) (*auto simp add: multiset-eq-conv-count-eq set-count-greater-0*)

lemma *set-eq-iff-multiset-of-eq-distinct*:
 $[\text{distinct } x; \text{distinct } y]$
 $\implies (\text{set } x = \text{set } y) = (\text{multiset-of } x = \text{multiset-of } y)$
by (*auto simp: multiset-eq-conv-count-eq distinct-count-atmost-1*)

lemma *set-eq-iff-multiset-of-remdups-eq*:
 $(\text{set } x = \text{set } y) = (\text{multiset-of } (\text{remdups } x) = \text{multiset-of } (\text{remdups } y))$
apply (*rule iffI*)
apply (*simp add: set-eq-iff-multiset-of-eq-distinct [THEN iffD1]*)
apply (*drule distinct-remdups [THEN distinct-remdups*
 $[\text{THEN set-eq-iff-multiset-of-eq-distinct}[\text{THEN iffD2}]]]$)

apply *simp*
done

lemma *multiset-of-compl-union* [*simp*]:
 $multiset-of [x \leftarrow xs. P x] + multiset-of [x \leftarrow xs. \neg P x] = multiset-of xs$
by (*induct xs*) (*auto simp: union-ac*)

lemma *count-filter*:
 $count (multiset-of xs) x = length [y \leftarrow xs. y = x]$
by (*induct xs*) *auto*

1.6 Pointwise ordering induced by count

definition
 $mset-le :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool$ (**infix** $\leq\#$ 50) **where**
 $(A \leq\# B) = (\forall a. count A a \leq count B a)$

definition
 $mset-less :: 'a multiset \Rightarrow 'a multiset \Rightarrow bool$ (**infix** $<\#$ 50) **where**
 $(A <\# B) = (A \leq\# B \wedge A \neq B)$

lemma *mset-le-refl*[*simp*]: $A \leq\# A$
unfolding *mset-le-def* **by** *auto*

lemma *mset-le-trans*: $\llbracket A \leq\# B; B \leq\# C \rrbracket \Longrightarrow A \leq\# C$
unfolding *mset-le-def* **by** (*fast intro: order-trans*)

lemma *mset-le-antisym*: $\llbracket A \leq\# B; B \leq\# A \rrbracket \Longrightarrow A = B$
apply (*unfold mset-le-def*)
apply (*rule multiset-eq-conv-count-eq [THEN iffD2]*)
apply (*blast intro: order-antisym*)
done

lemma *mset-le-exists-conv*:
 $(A \leq\# B) = (\exists C. B = A + C)$
apply (*unfold mset-le-def, rule iffI, rule-tac x = B - A in exI*)
apply (*auto intro: multiset-eq-conv-count-eq [THEN iffD2]*)
done

lemma *mset-le-mono-add-right-cancel*[*simp*]: $(A + C \leq\# B + C) = (A \leq\# B)$
unfolding *mset-le-def* **by** *auto*

lemma *mset-le-mono-add-left-cancel*[*simp*]: $(C + A \leq\# C + B) = (A \leq\# B)$
unfolding *mset-le-def* **by** *auto*

lemma *mset-le-mono-add*: $\llbracket A \leq\# B; C \leq\# D \rrbracket \Longrightarrow A + C \leq\# B + D$
apply (*unfold mset-le-def*)
apply *auto*
apply (*erule-tac x=a in allE*)
apply *auto*

```

done

lemma mset-le-add-left[simp]:  $A \leq\# A + B$ 
  unfolding mset-le-def by auto

lemma mset-le-add-right[simp]:  $B \leq\# A + B$ 
  unfolding mset-le-def by auto

lemma multiset-of-remdups-le:  $\text{multiset-of } (\text{remdups } xs) \leq\# \text{multiset-of } xs$ 
  apply (induct xs)
  apply auto
  apply (rule mset-le-trans)
  apply auto
done

interpretation mset-order:
  order [ $op \leq\# op <\#$ ]
  by (auto intro: order.intro mset-le-refl mset-le-antisym
      mset-le-trans simp: mset-less-def)

interpretation mset-order-cancel-semigroup:
  pordered-cancel-ab-semigroup-add [ $op \leq\# op <\# op +$ ]
  by unfold-locales (erule mset-le-mono-add [OF mset-le-refl])

interpretation mset-order-semigroup-cancel:
  pordered-ab-semigroup-add-imp-le [ $op \leq\# op <\# op +$ ]
  by (unfold-locales) simp

end

theory LProd
imports Multiset
begin

inductive-set
  lprod :: ('a * 'a) set  $\Rightarrow$  ('a list * 'a list) set
  for R :: ('a * 'a) set
where
  lprod-single[intro!]:  $(a, b) \in R \Longrightarrow ([a], [b]) \in \text{lprod } R$ 
| lprod-list[intro!]:  $(ah@at, bh@bt) \in \text{lprod } R \Longrightarrow (a, b) \in R \vee a = b \Longrightarrow (ah@a\#at,$ 
 $bh@b\#bt) \in \text{lprod } R$ 

lemma (as,bs)  $\in \text{lprod } R \Longrightarrow \text{length } as = \text{length } bs$ 
  apply (induct as bs rule: lprod.induct)
  apply auto
done

```

```

lemma  $(as, bs) \in \text{lprod } R \implies 1 \leq \text{length } as \wedge 1 \leq \text{length } bs$ 
  apply (induct as bs rule: lprod.induct)
  apply auto
  done

lemma lprod-subset-elem:  $(as, bs) \in \text{lprod } S \implies S \subseteq R \implies (as, bs) \in \text{lprod } R$ 
  apply (induct as bs rule: lprod.induct)
  apply (auto)
  done

lemma lprod-subset:  $S \subseteq R \implies \text{lprod } S \subseteq \text{lprod } R$ 
  by (auto intro: lprod-subset-elem)

lemma lprod-implies-mult:  $(as, bs) \in \text{lprod } R \implies \text{trans } R \implies (\text{multiset-of } as, \text{multiset-of } bs) \in \text{mult } R$ 
proof (induct as bs rule: lprod.induct)
  case (lprod-single a b)
  note step = one-step-implies-mult[
    where  $r=R$  and  $I=\{\#\}$  and  $K=\{\#a\#$  and  $J=\{\#b\#$ , simplified]
    show ?case by (auto intro: lprod-single step)
  next
  case (lprod-list ah at bh bt a b)
  from prems have transR: trans R by auto
  have as: multiset-of (ah @ a # at) = multiset-of (ah @ at) + {\#a\#} (is - =
    ?ma + -)
    by (simp add: ring-simps)
  have bs: multiset-of (bh @ b # bt) = multiset-of (bh @ bt) + {\#b\#} (is - =
    ?mb + -)
    by (simp add: ring-simps)
  from prems have (?ma, ?mb)  $\in \text{mult } R$ 
    by auto
  with mult-implies-one-step[OF transR] have
     $\exists I J K. ?mb = I + J \wedge ?ma = I + K \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in R)$ 
    by blast
  then obtain I J K where
    decomposed:  $?mb = I + J \wedge ?ma = I + K \wedge J \neq \{\#\} \wedge (\forall k \in \text{set-of } K. \exists j \in \text{set-of } J. (k, j) \in R)$ 
    by blast
  show ?case
  proof (cases a = b)
  case True
  have  $((I + \{\#b\#\}) + K, (I + \{\#b\#\}) + J) \in \text{mult } R$ 
    apply (rule one-step-implies-mult[OF transR])
    apply (auto simp add: decomposed)
    done
  then show ?thesis
    apply (simp only: as bs)
    apply (simp only: decomposed True)

```

```

    apply (simp add: ring-simps)
  done
next
case False
from False lprod-list have False: (a, b) ∈ R by blast
have (I + (K + {#a#}), I + (J + {#b#})) ∈ mult R
  apply (rule one-step-implies-mult[OF transR])
  apply (auto simp add: False decomposed)
  done
then show ?thesis
  apply (simp only: as bs)
  apply (simp only: decomposed)
  apply (simp add: ring-simps)
  done
qed
qed

lemma wf-lprod[recdef-wf,simp,intro]:
  assumes wf-R: wf R
  shows wf (lprod R)
proof -
  have subset: lprod (R^+) ⊆ inv-image (mult (R^+)) multiset-of
    by (auto simp add: lprod-implies-mult trans-trancl)
  note lprodtrancl = wf-subset[OF wf-inv-image[where r=mult (R^+) and f=multiset-of,
    OF wf-mult[OF wf-trancl[OF wf-R]]], OF subset]
  note lprod = wf-subset[OF lprodtrancl, where p=lprod R, OF lprod-subset, sim-
    plified]
  show ?thesis by (auto intro: lprod)
qed

constdefs
  gprod-2-2 :: ('a * 'a) set ⇒ (('a * 'a) * ('a * 'a)) set
  gprod-2-2 R ≡ { ((a,b), (c,d)) . (a = c ∧ (b,d) ∈ R) ∨ (b = d ∧ (a,c) ∈ R) }
  gprod-2-1 :: ('a * 'a) set ⇒ (('a * 'a) * ('a * 'a)) set
  gprod-2-1 R ≡ { ((a,b), (c,d)) . (a = d ∧ (b,c) ∈ R) ∨ (b = c ∧ (a,d) ∈ R) }

lemma lprod-2-3: (a, b) ∈ R ⇒ ([a, c], [b, c]) ∈ lprod R
  by (auto intro: lprod-list[where a=c and b=c and
    ah = [a] and at = [] and bh=[b] and bt=[], simplified])

lemma lprod-2-4: (a, b) ∈ R ⇒ ([c, a], [c, b]) ∈ lprod R
  by (auto intro: lprod-list[where a=c and b=c and
    ah = [] and at = [a] and bh=[] and bt=[b], simplified])

lemma lprod-2-1: (a, b) ∈ R ⇒ ([c, a], [b, c]) ∈ lprod R
  by (auto intro: lprod-list[where a=c and b=c and
    ah = [] and at = [a] and bh=[b] and bt=[], simplified])

```

lemma *lprod-2-2*: $(a, b) \in R \implies ([a, c], [c, b]) \in \text{lprod } R$
by (*auto intro: lprod-list*[**where** $a=c$ **and** $b=c$ **and**
 $ah = [a]$ **and** $at = []$ **and** $bh=[]$ **and** $bt=[b]$, *simplified*])

lemma [*recdef-wf, simp, intro*]:
assumes *wfR*: *wf R shows wf (gprod-2-1 R)*
proof –
have *gprod-2-1 R* \subseteq *inv-image (lprod R) (λ (a,b). [a,b])*
by (*auto simp add: gprod-2-1-def lprod-2-1 lprod-2-2*)
with *wfR show ?thesis*
by (*rule-tac wf-subset, auto*)
qed

lemma [*recdef-wf, simp, intro*]:
assumes *wfR*: *wf R shows wf (gprod-2-2 R)*
proof –
have *gprod-2-2 R* \subseteq *inv-image (lprod R) (λ (a,b). [a,b])*
by (*auto simp add: gprod-2-2-def lprod-2-3 lprod-2-4*)
with *wfR show ?thesis*
by (*rule-tac wf-subset, auto*)
qed

lemma *lprod-3-1*: **assumes** $(x', x) \in R$ **shows** $([y, z, x'], [x, y, z]) \in \text{lprod } R$
apply (*rule lprod-list*[**where** $a=y$ **and** $b=y$ **and** $ah=[]$ **and** $at=[z,x']$ **and** $bh=[x]$
and $bt=[z]$, *simplified*])
apply (*auto simp add: lprod-2-1 prems*)
done

lemma *lprod-3-2*: **assumes** $(z', z) \in R$ **shows** $([z', x, y], [x, y, z]) \in \text{lprod } R$
apply (*rule lprod-list*[**where** $a=y$ **and** $b=y$ **and** $ah=[z',x]$ **and** $at=[]$ **and** $bh=[x]$
and $bt=[z]$, *simplified*])
apply (*auto simp add: lprod-2-2 prems*)
done

lemma *lprod-3-3*: **assumes** *xr*: $(xr, x) \in R$ **shows** $([xr, y, z], [x, y, z]) \in \text{lprod } R$
apply (*rule lprod-list*[**where** $a=y$ **and** $b=y$ **and** $ah=[xr]$ **and** $at=[z]$ **and** $bh=[x]$
and $bt=[z]$, *simplified*])
apply (*simp add: xr lprod-2-3*)
done

lemma *lprod-3-4*: **assumes** *yr*: $(yr, y) \in R$ **shows** $([x, yr, z], [x, y, z]) \in \text{lprod } R$
apply (*rule lprod-list*[**where** $a=x$ **and** $b=x$ **and** $ah=[]$ **and** $at=[yr,z]$ **and** $bh=[]$
and $bt=[y,z]$, *simplified*])
apply (*simp add: yr lprod-2-3*)
done

lemma *lprod-3-5*: **assumes** *zr*: $(zr, z) \in R$ **shows** $([x, y, zr], [x, y, z]) \in \text{lprod } R$
apply (*rule lprod-list*[**where** $a=x$ **and** $b=x$ **and** $ah=[]$ **and** $at=[y,zr]$ **and** $bh=[]$
and $bt=[y,z]$, *simplified*])

```

apply (simp add: zr lprod-2-4)
done

lemma lprod-3-6: assumes  $y'$ :  $(y', y) \in R$  shows  $([x, z, y'], [x, y, z]) \in \text{lprod } R$ 
apply (rule lprod-list[where a=z and b=z and ah=[x] and at=[y'] and bh=[x,y]
and  $bt=[]$ , simplified)
apply (simp add: y' lprod-2-4)
done

lemma lprod-3-7: assumes  $z'$ :  $(z', z) \in R$  shows  $([x, z', y], [x, y, z]) \in \text{lprod } R$ 
apply (rule lprod-list[where a=y and b=y and ah=[x, z'] and at=[] and
bh=[x] and  $bt=[z]$ , simplified)
apply (simp add: z' lprod-2-4)
done

constdefs
  perm ::  $('a \Rightarrow 'a) \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$ 
  perm  $f A \equiv \text{inj-on } f A \wedge f 'A = A$ 

lemma  $((as, bs) \in \text{lprod } R) =$ 
   $(\exists f. \text{perm } f \{0 .. < (\text{length } as)\} \wedge$ 
   $(\forall j. j < \text{length } as \longrightarrow ((\text{nth } as j, \text{nth } bs (f j)) \in R \vee (\text{nth } as j = \text{nth } bs (f j))))$ 
   $\wedge$ 
   $(\exists i. i < \text{length } as \wedge (\text{nth } as i, \text{nth } bs (f i)) \in R)$ )

oops

lemma  $\text{trans } R \Longrightarrow (ah@a\#at, bh@b\#bt) \in \text{lprod } R \Longrightarrow (b, a) \in R \vee a = b \Longrightarrow$ 
 $(ah@at, bh@bt) \in \text{lprod } R$ 

oops

end

theory MainZF
imports Zet LProd
begin
end

theory Games
imports MainZF
begin

constdefs
  fixgames ::  $ZF \text{ set} \Rightarrow ZF \text{ set}$ 
  fixgames  $A \equiv \{ \text{Opair } l r \mid l r. \text{explode } l \subseteq A \ \& \ \text{explode } r \subseteq A \}$ 
  games-lfp ::  $ZF \text{ set}$ 

```

$games\text{-}lfp \equiv lfp\ fixgames$
 $games\text{-}gfp :: ZF\ set$
 $games\text{-}gfp \equiv gfp\ fixgames$

lemma *mono-fixgames*: *mono* (*fixgames*)
apply (*auto simp add: mono-def fixgames-def*)
apply (*rule-tac x=l in exI*)
apply (*rule-tac x=r in exI*)
apply *auto*
done

lemma *games-lfp-unfold*: $games\text{-}lfp = fixgames\ games\text{-}lfp$
by (*auto simp add: def-lfp-unfold games-lfp-def mono-fixgames*)

lemma *games-gfp-unfold*: $games\text{-}gfp = fixgames\ games\text{-}gfp$
by (*auto simp add: def-gfp-unfold games-gfp-def mono-fixgames*)

lemma *games-lfp-nonempty*: $Opair\ Empty\ Empty \in games\text{-}lfp$
proof –

have $fixgames\ \{\} \subseteq games\text{-}lfp$
apply (*subst games-lfp-unfold*)
apply (*simp add: mono-fixgames[simplified mono-def, rule-format]*)
done
moreover have $fixgames\ \{\} = \{Opair\ Empty\ Empty\}$
by (*simp add: fixgames-def explode-Empty*)
finally show *?thesis*
by *auto*

qed

constdefs

$left\text{-}option :: ZF \Rightarrow ZF \Rightarrow bool$
 $left\text{-}option\ g\ opt \equiv (Elem\ opt\ (Fst\ g))$
 $right\text{-}option :: ZF \Rightarrow ZF \Rightarrow bool$
 $right\text{-}option\ g\ opt \equiv (Elem\ opt\ (Snd\ g))$
 $is\text{-}option\text{-}of :: (ZF * ZF)\ set$
 $is\text{-}option\text{-}of \equiv \{ (opt, g) \mid opt\ g.\ g \in games\text{-}gfp \wedge (left\text{-}option\ g\ opt \vee right\text{-}option\ g\ opt) \}$

lemma *games-lfp-subset-gfp*: $games\text{-}lfp \subseteq games\text{-}gfp$

proof –

have $games\text{-}lfp \subseteq fixgames\ games\text{-}lfp$
by (*simp add: games-lfp-unfold[symmetric]*)
then show *?thesis*
by (*simp add: games-gfp-def gfp-upperbound*)

qed

lemma *games-option-stable*:

assumes $fixgames: games = fixgames\ games$
and $g: g \in games$

and opt : $left-option\ g\ opt \vee right-option\ g\ opt$
shows $opt \in games$
proof –
from $g\ fixgames$ **have** $g \in fixgames\ games$ **by** $auto$
then have $\exists\ l\ r.\ g = Opair\ l\ r \wedge explode\ l \subseteq games \wedge explode\ r \subseteq games$
by ($simp\ add: fixgames-def$)
then obtain l **where** $\exists\ r.\ g = Opair\ l\ r \wedge explode\ l \subseteq games \wedge explode\ r \subseteq games$..
then obtain r **where** $lr: g = Opair\ l\ r \wedge explode\ l \subseteq games \wedge explode\ r \subseteq games$..
with opt **show** $?thesis$
by ($auto\ intro: Elem-explode-in\ simp\ add: left-option-def\ right-option-def\ Fst\ Snd$)
qed

lemma $option2elem$: $(opt, g) \in is-option-of \implies \exists\ u\ v.\ Elem\ opt\ u \wedge Elem\ u\ v \wedge Elem\ v\ g$
apply ($simp\ add: is-option-of-def$)
apply ($subgoal-tac\ (g \in games-gfp) = (g \in (fixgames\ games-gfp))$)
prefer 2
apply ($simp\ add: games-gfp-unfold[symmetric]$)
apply ($auto\ simp\ add: fixgames-def\ left-option-def\ right-option-def\ Fst\ Snd$)
apply ($rule-tac\ x=l\ in\ exI, insert\ Elem-Opair-exists, blast$)
apply ($rule-tac\ x=r\ in\ exI, insert\ Elem-Opair-exists, blast$)
done

lemma $is-option-of-subset-is-Elem-of$: $is-option-of \subseteq (is-Elem-of^+)$
proof –
{
fix opt
fix g
assume $(opt, g) \in is-option-of$
then have $\exists\ u\ v.\ (opt, u) \in (is-Elem-of^+) \wedge (u, v) \in (is-Elem-of^+) \wedge (v, g) \in (is-Elem-of^+)$
apply –
apply ($drule\ option2elem$)
apply ($auto\ simp\ add: r-into-trancl'\ is-Elem-of-def$)
done
then have $(opt, g) \in (is-Elem-of^+)$
by ($blast\ intro: trancl-into-rtrancl\ trancl-rtrancl-trancl$)
}
then show $?thesis$ **by** $auto$
qed

lemma $wfzf-is-option-of$: $wfzf\ is-option-of$
proof –
have $wfzf\ (is-Elem-of^+)$ **by** ($simp\ add: wfzf-trancl\ wfzf-is-Elem-of$)
then show $?thesis$
apply ($rule\ wfzf-subset$)

```

    apply (rule is-option-of-subset-is-Elem-of)
  done
qed

lemma games-gfp-imp-lfp:  $g \in \text{games-gfp} \longrightarrow g \in \text{games-lfp}$ 
proof -
  have unfold-gfp:  $\bigwedge x. x \in \text{games-gfp} \implies x \in (\text{fixgames games-gfp})$ 
    by (simp add: games-gfp-unfold[symmetric])
  have unfold-lfp:  $\bigwedge x. (x \in \text{games-lfp}) = (x \in (\text{fixgames games-lfp}))$ 
    by (simp add: games-lfp-unfold[symmetric])
  show ?thesis
    apply (rule wf-induct[OF wfzf-implies-wf[OF wfzf-is-option-of]])
    apply (auto simp add: is-option-of-def)
    apply (drule-tac unfold-gfp)
    apply (simp add: fixgames-def)
    apply (auto simp add: left-option-def Fst right-option-def Snd)
    apply (subgoal-tac explode  $l \subseteq \text{games-lfp}$ )
    apply (subgoal-tac explode  $r \subseteq \text{games-lfp}$ )
    apply (subst unfold-lfp)
    apply (auto simp add: fixgames-def)
    apply (simp-all add: explode-Elem Elem-explode-in)
  done
qed

theorem games-lfp-eq-gfp:  $\text{games-lfp} = \text{games-gfp}$ 
  apply (auto simp add: games-gfp-imp-lfp)
  apply (insert games-lfp-subset-gfp)
  apply auto
  done

theorem unique-games:  $(g = \text{fixgames } g) = (g = \text{games-lfp})$ 
proof -
  {
    fix g
    assume g:  $g = \text{fixgames } g$ 
    from g have  $\text{fixgames } g \subseteq g$  by auto
    then have  $l:\text{games-lfp} \subseteq g$ 
      by (simp add: games-lfp-def lfp-lowerbound)
    from g have  $g \subseteq \text{fixgames } g$  by auto
    then have  $u:g \subseteq \text{games-gfp}$ 
      by (simp add: games-gfp-def gfp-upperbound)
    from l u games-lfp-eq-gfp[symmetric] have  $g = \text{games-lfp}$ 
      by auto
  }
  note games = this
  show ?thesis
    apply (rule iff[rule-format])
    apply (erule games)
    apply (simp add: games-lfp-unfold[symmetric])

```

done
qed

lemma *games-lfp-option-stable*:
 assumes *g*: $g \in \text{games-lfp}$
 and *opt*: $\text{left-option } g \text{ opt} \vee \text{right-option } g \text{ opt}$
 shows $\text{opt} \in \text{games-lfp}$
 apply (rule *games-option-stable*[**where** $g=g$])
 apply (simp add: *games-lfp-unfold*[*symmetric*])
 apply (simp-all add: *prems*)
 done

lemma *is-option-of-imp-games*:
 assumes *hyp*: $(\text{opt}, g) \in \text{is-option-of}$
 shows $\text{opt} \in \text{games-lfp} \wedge g \in \text{games-lfp}$
proof –
 from *hyp* have *g-game*: $g \in \text{games-lfp}$
 by (simp add: *is-option-of-def* *games-lfp-eq-gfp*)
 from *hyp* have $\text{left-option } g \text{ opt} \vee \text{right-option } g \text{ opt}$
 by (auto simp add: *is-option-of-def*)
 with *g-game* *games-lfp-option-stable*[*OF g-game, OF this*] **show** *?thesis*
 by auto
 qed

lemma *games-lfp-represent*: $x \in \text{games-lfp} \implies \exists l r. x = \text{Opair } l r$
 apply (rule *exI*[**where** $x=\text{Fst } x$])
 apply (rule *exI*[**where** $x=\text{Snd } x$])
 apply (subgoal-tac $x \in (\text{fixgames } \text{games-lfp})$)
 apply (simp add: *fixgames-def*)
 apply (auto simp add: *Fst Snd*)
 apply (simp add: *games-lfp-unfold*[*symmetric*])
 done

typedef *game* = *games-lfp*
 by (blast intro: *games-lfp-nonempty*)

consts
left-options :: *game* \Rightarrow *game zet*
left-options *g* \equiv *zimage Abs-game (zerplode (Fst (Rep-game g)))*
right-options :: *game* \Rightarrow *game zet*
right-options *g* \equiv *zimage Abs-game (zerplode (Snd (Rep-game g)))*
options :: *game* \Rightarrow *game zet*
options *g* \equiv *zunion (left-options g) (right-options g)*
Game :: *game zet* \Rightarrow *game zet* \Rightarrow *game*
Game *L R* \equiv *Abs-game (Opair (zimplode (zimage Rep-game L)) (zimplode (zimage Rep-game R)))*

lemma *Repl-Rep-game-Abs-game*: $\forall e. \text{Elem } e z \longrightarrow e \in \text{games-lfp} \implies \text{Repl } z (\text{Rep-game } o \text{ Abs-game}) = z$

```

apply (subst Ext)
apply (simp add: Repl)
apply auto
apply (subst Abs-game-inverse, simp-all add: game-def)
apply (rule-tac x=za in exI)
apply (subst Abs-game-inverse, simp-all add: game-def)
done

lemma game-split:  $g = \text{Game } (\text{left-options } g) (\text{right-options } g)$ 
proof –
  have  $\exists l r. \text{Rep-game } g = \text{Opair } l r$ 
    apply (insert Rep-game[of g])
    apply (simp add: game-def games-lfp-represent)
    done
  then obtain  $l r$  where  $lr: \text{Rep-game } g = \text{Opair } l r$  by auto
  have partizan-g:  $\text{Rep-game } g \in \text{games-lfp}$ 
    apply (insert Rep-game[of g])
    apply (simp add: game-def)
    done
  have  $\forall e. \text{Elem } e l \longrightarrow \text{left-option } (\text{Rep-game } g) e$ 
    by (simp add: lr left-option-def Fst)
  then have partizan-l:  $\forall e. \text{Elem } e l \longrightarrow e \in \text{games-lfp}$ 
    apply auto
    apply (rule games-lfp-option-stable[where  $g = \text{Rep-game } g, OF \text{ partizan-g}$ ])
    apply auto
    done
  have  $\forall e. \text{Elem } e r \longrightarrow \text{right-option } (\text{Rep-game } g) e$ 
    by (simp add: lr right-option-def Snd)
  then have partizan-r:  $\forall e. \text{Elem } e r \longrightarrow e \in \text{games-lfp}$ 
    apply auto
    apply (rule games-lfp-option-stable[where  $g = \text{Rep-game } g, OF \text{ partizan-g}$ ])
    apply auto
    done
  let ?L = zimage (Abs-game) (zexplode l)
  let ?R = zimage (Abs-game) (zexplode r)
  have L: ?L = left-options g
    by (simp add: left-options-def lr Fst)
  have R: ?R = right-options g
    by (simp add: right-options-def lr Snd)
  have  $g = \text{Game } ?L ?R$ 
  apply (simp add: Game-def Rep-game-inject[symmetric] comp-zimage-eq zimage-zexplode-eq
zimplode-zexplode)
    apply (simp add: Repl-Rep-game-Abs-game partizan-l partizan-r)
    apply (subst Abs-game-inverse)
    apply (simp-all add: lr[symmetric] Rep-game)
    done
  then show ?thesis
    by (simp add: L R)
qed

```

```

lemma Opair-in-games-lfp:
  assumes l: explode l  $\subseteq$  games-lfp
  and r: explode r  $\subseteq$  games-lfp
  shows Opair l r  $\in$  games-lfp
proof –
  note f = unique-games[of games-lfp, simplified]
  show ?thesis
    apply (subst f)
    apply (simp add: fixgames-def)
    apply (rule exI[where x=l])
    apply (rule exI[where x=r])
    apply (auto simp add: l r)
  done
qed

lemma left-options[simp]: left-options (Game l r) = l
  apply (simp add: left-options-def Game-def)
  apply (subst Abs-game-inverse)
  apply (simp add: game-def)
  apply (rule Opair-in-games-lfp)
  apply (auto simp add: explode-Elem Elem-zimplode zimage-iff Rep-game[simplified
game-def])
  apply (simp add: Fst zexplode-zimplode comp-zimage-eq)
  apply (simp add: zet-ext-eq zimage-iff Rep-game-inverse)
  done

lemma right-options[simp]: right-options (Game l r) = r
  apply (simp add: right-options-def Game-def)
  apply (subst Abs-game-inverse)
  apply (simp add: game-def)
  apply (rule Opair-in-games-lfp)
  apply (auto simp add: explode-Elem Elem-zimplode zimage-iff Rep-game[simplified
game-def])
  apply (simp add: Snd zexplode-zimplode comp-zimage-eq)
  apply (simp add: zet-ext-eq zimage-iff Rep-game-inverse)
  done

lemma Game-ext: (Game l1 r1 = Game l2 r2) = ((l1 = l2)  $\wedge$  (r1 = r2))
  apply auto
  apply (subst left-options[where l=l1 and r=r1,symmetric])
  apply (subst left-options[where l=l2 and r=r2,symmetric])
  apply simp
  apply (subst right-options[where l=l1 and r=r1,symmetric])
  apply (subst right-options[where l=l2 and r=r2,symmetric])
  apply simp
  done

constdefs

```

```

option-of :: (game * game) set
option-of ≡ image (λ (option, g). (Abs-game option, Abs-game g)) is-option-of

lemma option-to-is-option-of: ((option, g) ∈ option-of) = ((Rep-game option,
Rep-game g) ∈ is-option-of)
  apply (auto simp add: option-of-def)
  apply (subst Abs-game-inverse)
  apply (simp add: is-option-of-imp-games game-def)
  apply (subst Abs-game-inverse)
  apply (simp add: is-option-of-imp-games game-def)
  apply simp
  apply (auto simp add: Bex-def image-def)
  apply (rule exI[where x=Rep-game option])
  apply (rule exI[where x=Rep-game g])
  apply (simp add: Rep-game-inverse)
done

lemma wf-is-option-of: wf is-option-of
  apply (rule wfzf-implies-wf)
  apply (simp add: wfzf-is-option-of)
done

lemma wf-option-of[recdef-wf, simp, intro]: wf option-of
proof -
  have option-of: option-of = inv-image is-option-of Rep-game
    apply (rule set-ext)
    apply (case-tac x)
  by (simp add: option-to-is-option-of)
show ?thesis
  apply (simp add: option-of)
  apply (auto intro: wf-inv-image wf-is-option-of)
done
qed

lemma right-option-is-option[simp, intro]: zin x (right-options g) ⇒ zin x (options
g)
  by (simp add: options-def zunion)

lemma left-option-is-option[simp, intro]: zin x (left-options g) ⇒ zin x (options
g)
  by (simp add: options-def zunion)

lemma zin-options[simp, intro]: zin x (options g) ⇒ (x, g) ∈ option-of
  apply (simp add: options-def zunion left-options-def right-options-def option-of-def

    image-def is-option-of-def zimage-iff zin-zexplode-eq)
  apply (cases g)
  apply (cases x)
  apply (auto simp add: Abs-game-inverse games-lfp-eq-gfp[symmetric] game-def

```

```

    right-option-def[symmetric] left-option-def[symmetric])
  done

consts
  neg-game :: game  $\Rightarrow$  game

recdef neg-game option-of
  neg-game g = Game (zimage neg-game (right-options g)) (zimage neg-game
(left-options g))

declare neg-game.simps[simp del]

lemma neg-game (neg-game g) = g
  apply (induct g rule: neg-game.induct)
  apply (subst neg-game.simps)+
  apply (simp add: right-options left-options comp-zimage-eq)
  apply (subgoal-tac zimage (neg-game o neg-game) (left-options g) = left-options
g)
  apply (subgoal-tac zimage (neg-game o neg-game) (right-options g) = right-options
g)
  apply (auto simp add: game-split[symmetric])
  apply (auto simp add: zet-ext-eq zimage-iff)
  done

consts
  ge-game :: (game * game)  $\Rightarrow$  bool

recdef ge-game (gprod-2-1 option-of)
  ge-game (G, H) = ( $\forall$  x. if zin x (right-options G) then (
    if zin x (left-options H) then  $\neg$  (ge-game (H, x)  $\vee$  (ge-game
(x, G)))
    else  $\neg$  (ge-game (H, x)))
    else (if zin x (left-options H) then  $\neg$  (ge-game (x, G)) else
True))
  (hints simp: gprod-2-1-def)

declare ge-game.simps [simp del]

lemma ge-game-def: ge-game (G, H) = ( $\forall$  x. (zin x (right-options G)  $\longrightarrow$   $\neg$ 
ge-game (H, x))  $\wedge$  (zin x (left-options H)  $\longrightarrow$   $\neg$  ge-game (x, G)))
  apply (subst ge-game.simps[where G=G and H=H])
  apply (auto)
  done

lemma ge-game-leftright-refl[rule-format]:
   $\forall$  y. (zin y (right-options x)  $\longrightarrow$   $\neg$  ge-game (x, y))  $\wedge$  (zin y (left-options x)  $\longrightarrow$ 
 $\neg$  (ge-game (y, x)))  $\wedge$  ge-game (x, x)
proof (induct x rule: wf-induct[OF wf-option-of])
  case (1 g)

```

```

{
  fix y
  assume y: zin y (right-options g)
  have  $\neg$  ge-game (g, y)
  proof -
    have (y, g)  $\in$  option-of by (auto intro: y)
    with 1 have ge-game (y, y) by auto
    with y show ?thesis by (subst ge-game-def, auto)
  qed
}
note right = this
{
  fix y
  assume y: zin y (left-options g)
  have  $\neg$  ge-game (y, g)
  proof -
    have (y, g)  $\in$  option-of by (auto intro: y)
    with 1 have ge-game (y, y) by auto
    with y show ?thesis by (subst ge-game-def, auto)
  qed
}
note left = this
from left right show ?case
  by (auto, subst ge-game-def, auto)
qed

```

lemma *ge-game-refl*: *ge-game* (x,x) by (simp add: *ge-game-leftright-refl*)

```

lemma  $\forall$  y. (zin y (right-options x)  $\longrightarrow$   $\neg$  ge-game (x, y))  $\wedge$  (zin y (left-options
x)  $\longrightarrow$   $\neg$  (ge-game (y, x)))  $\wedge$  ge-game (x, x)
proof (induct x rule: wf-induct[OF wf-option-of])
  case (1 g)
  show ?case
  proof (auto)
    {case (goal1 y)
      from goal1 have (y, g)  $\in$  option-of by (auto)
      with 1 have ge-game (y, y) by auto
      with goal1 have  $\neg$  ge-game (g, y)
        by (subst ge-game-def, auto)
      with goal1 show ?case by auto}
    note right = this
    {case (goal2 y)
      from goal2 have (y, g)  $\in$  option-of by (auto)
      with 1 have ge-game (y, y) by auto
      with goal2 have  $\neg$  ge-game (y, g)
        by (subst ge-game-def, auto)
      with goal2 show ?case by auto}
    note left = this
    {case goal3

```

```

    from left right show ?case
    by (subst ge-game-def, auto)
  }
qed
qed

constdefs
  eq-game :: game  $\Rightarrow$  game  $\Rightarrow$  bool
  eq-game G H  $\equiv$  ge-game (G, H)  $\wedge$  ge-game (H, G)

lemma eq-game-sym: (eq-game G H) = (eq-game H G)
  by (auto simp add: eq-game-def)

lemma eq-game-refl: eq-game G G
  by (simp add: ge-game-refl eq-game-def)

lemma induct-game: ( $\bigwedge x. \forall y. (y, x) \in \text{lprod option-of} \longrightarrow P y \Longrightarrow P x$ )  $\Longrightarrow$  P
  a
  by (erule wf-induct[OF wf-lprod[OF wf-option-of]])

lemma ge-game-trans:
  assumes ge-game (x, y) ge-game (y, z)
  shows ge-game (x, z)
proof -
  {
    fix a
    have  $\forall x y z. a = [x,y,z] \longrightarrow \text{ge-game } (x,y) \longrightarrow \text{ge-game } (y,z) \longrightarrow \text{ge-game } (x, z)$ 
    proof (induct a rule: induct-game)
      case (1 a)
      show ?case
      proof (rule allI | rule impI)+
        case (goal1 x y z)
        show ?case
        proof -
          { fix xr
            assume xr:zin xr (right-options x)
            assume ge-game (z, xr)
            have ge-game (y, xr)
              apply (rule 1[rule-format, where y=[y,z,xr]])
              apply (auto intro: xr lprod-3-1 simp add: prems)
            done
            moreover from xr have  $\neg \text{ge-game } (y, xr)$ 
              by (simp add: goal1(2)[simplified ge-game-def[of x y], rule-format, of
xr, simplified xr])
            ultimately have False by auto
          }
          note xr = this
          { fix zl

```

```

    assume zl:zin zl (left-options z)
    assume ge-game (zl, x)
    have ge-game (zl, y)
      apply (rule 1 [rule-format, where y=[zl,x,y]])
      apply (auto intro: zl lprod-3-2 simp add: prems)
    done
    moreover from zl have  $\neg$  ge-game (zl, y)
      by (simp add: goal1(3)[simplified ge-game-def[of y z], rule-format, of
zl, simplified zl])
    ultimately have False by auto
  }
  note zl = this
  show ?thesis
    by (auto simp add: ge-game-def[of x z] intro: xr zl)
  qed
qed
qed
qed
}
note trans = this[of [x, y, z], simplified, rule-format]
with prems show ?thesis by blast
qed

```

lemma *eq-game-trans*: $eq\text{-game } a\ b \implies eq\text{-game } b\ c \implies eq\text{-game } a\ c$
 by (*auto simp add: eq-game-def intro: ge-game-trans*)

constdefs

```

zero-game :: game
zero-game  $\equiv$  Game zempty zempty

```

consts

```

plus-game :: game * game  $\Rightarrow$  game

```

recdef *plus-game* *gprod-2-2 option-of*

```

plus-game (G, H) = Game (zunion (zimage ( $\lambda$  g. plus-game (g, H)) (left-options
G))

```

```

      (zimage ( $\lambda$  h. plus-game (G, h)) (left-options H)))
      (zunion (zimage ( $\lambda$  g. plus-game (g, H)) (right-options G))
      (zimage ( $\lambda$  h. plus-game (G, h)) (right-options H)))

```

(**hints** *simp add: gprod-2-2-def*)

declare *plus-game.simps*[*simp del*]

lemma *plus-game-comm*: $plus\text{-game } (G, H) = plus\text{-game } (H, G)$

proof (*induct G H rule: plus-game.induct*)

case (*1 G H*)

show ?*case*

by (*auto simp add:*

```

  plus-game.simps[where G=G and H=H]

```

```

  plus-game.simps[where G=H and H=G]

```

```

      Game-ext zet-ext-eq zunion zimage-iff prems)
qed

lemma game-ext-eq: (G = H) = (left-options G = left-options H ∧ right-options
G = right-options H)
proof -
  have (G = H) = (Game (left-options G) (right-options G) = Game (left-options
H) (right-options H))
  by (simp add: game-split[symmetric])
  then show ?thesis by auto
qed

lemma left-zero-game[simp]: left-options (zero-game) = zempty
  by (simp add: zero-game-def)

lemma right-zero-game[simp]: right-options (zero-game) = zempty
  by (simp add: zero-game-def)

lemma plus-game-zero-right[simp]: plus-game (G, zero-game) = G
proof -
  {
    fix G H
    have H = zero-game ⟶ plus-game (G, H) = G
    proof (induct G H rule: plus-game.induct, rule impI)
      case (goal1 G H)
      note induct-hyp = prems[simplified goal1, simplified] and prems
      show ?case
        apply (simp only: plus-game.simps[where G=G and H=H])
        apply (simp add: game-ext-eq prems)
        apply (auto simp add:
          zimage-cong[where f = λ g. plus-game (g, zero-game) and g = id]
          induct-hyp)
        done
    qed
  }
  then show ?thesis by auto
qed

lemma plus-game-zero-left: plus-game (zero-game, G) = G
  by (simp add: plus-game-comm)

lemma left-imp-options[simp]: zin opt (left-options g) ⟹ zin opt (options g)
  by (simp add: options-def zunion)

lemma right-imp-options[simp]: zin opt (right-options g) ⟹ zin opt (options g)
  by (simp add: options-def zunion)

lemma left-options-plus:
  left-options (plus-game (u, v)) = zunion (zimage (λg. plus-game (g, v)) (left-options

```

$u)$ ($\text{zimage } (\lambda h. \text{plus-game } (u, h)) (\text{left-options } v)$)
by ($\text{subst plus-game.simps, simp}$)

lemma *right-options-plus*:

$\text{right-options } (\text{plus-game } (u, v)) = \text{zunion } (\text{zimage } (\lambda g. \text{plus-game } (g, v))$
 $(\text{right-options } u)) (\text{zimage } (\lambda h. \text{plus-game } (u, h)) (\text{right-options } v))$
by ($\text{subst plus-game.simps, simp}$)

lemma *left-options-neg*: $\text{left-options } (\text{neg-game } u) = \text{zimage } \text{neg-game } (\text{right-options } u)$
by ($\text{subst neg-game.simps, simp}$)

lemma *right-options-neg*: $\text{right-options } (\text{neg-game } u) = \text{zimage } \text{neg-game } (\text{left-options } u)$
by ($\text{subst neg-game.simps, simp}$)

lemma *plus-game-assoc*: $\text{plus-game } (\text{plus-game } (F, G), H) = \text{plus-game } (F, \text{plus-game } (G, H))$

proof –

{
fix a
have $\forall F G H. a = [F, G, H] \longrightarrow \text{plus-game } (\text{plus-game } (F, G), H) =$
 $\text{plus-game } (F, \text{plus-game } (G, H))$
proof ($\text{induct } a \text{ rule: induct-game, (rule impI | rule allI)+}$)
case ($\text{goal1 } x F G H$)
let $?L = \text{plus-game } (\text{plus-game } (F, G), H)$
let $?R = \text{plus-game } (F, \text{plus-game } (G, H))$
note $\text{options-plus} = \text{left-options-plus } \text{right-options-plus}$
{
fix opt
note $\text{hyp} = \text{goal1 } (1)[\text{simplified } \text{goal1 } (2), \text{rule-format}]$
have $F: \text{zin } \text{opt } (\text{options } F) \Longrightarrow \text{plus-game } (\text{plus-game } (\text{opt}, G), H) =$
 $\text{plus-game } (\text{opt}, \text{plus-game } (G, H))$
by ($\text{blast intro: hyp lprod-3-3}$)
have $G: \text{zin } \text{opt } (\text{options } G) \Longrightarrow \text{plus-game } (\text{plus-game } (F, \text{opt}), H) =$
 $\text{plus-game } (F, \text{plus-game } (\text{opt}, H))$
by ($\text{blast intro: hyp lprod-3-4}$)
have $H: \text{zin } \text{opt } (\text{options } H) \Longrightarrow \text{plus-game } (\text{plus-game } (F, G), \text{opt}) =$
 $\text{plus-game } (F, \text{plus-game } (G, \text{opt}))$
by ($\text{blast intro: hyp lprod-3-5}$)
note F **and** G **and** H
}
note $\text{induct-hyp} = \text{this}$
have $\text{left-options } ?L = \text{left-options } ?R \wedge \text{right-options } ?L = \text{right-options } ?R$
by (auto simp add:
 $\text{plus-game.simps}[\text{where } G=\text{plus-game } (F,G) \text{ and } H=H]$
 $\text{plus-game.simps}[\text{where } G=F \text{ and } H=\text{plus-game } (G,H)]$
 $\text{zet-ext-eq } \text{zunion } \text{zimage-iff } \text{options-plus}$
 $\text{induct-hyp } \text{left-imp-options } \text{right-imp-options}$)

```

    then show ?case
      by (simp add: game-ext-eq)
    qed
  }
  then show ?thesis by auto
qed

```

lemma *neg-plus-game*: $neg\text{-game} (plus\text{-game} (G, H)) = plus\text{-game}(neg\text{-game} G, neg\text{-game} H)$

```

proof (induct G H rule: plus-game.induct)
  case (1 G H)
  note opt-ops =
    left-options-plus right-options-plus
    left-options-neg right-options-neg
  show ?case
    by (auto simp add: opt-ops
      neg-game.simps[of plus-game (G,H)]
      plus-game.simps[of neg-game G neg-game H]
      Game-ext zet-ext-eq zunion zimage-iff prems)
qed

```

lemma *eq-game-plus-inverse*: $eq\text{-game} (plus\text{-game} (x, neg\text{-game} x)) zero\text{-game}$

```

proof (induct x rule: wf-induct[OF wf-option-of])
  case (goal1 x)
  { fix y
    assume zin y (options x)
    then have eq-game (plus-game (y, neg-game y)) zero-game
      by (auto simp add: prems)
  }
  note ihyp = this
  {
    fix y
    assume y: zin y (right-options x)
    have  $\neg$  (ge-game (zero-game, plus-game (y, neg-game x)))
      apply (subst ge-game.simps, simp)
      apply (rule exI[where x=plus-game (y, neg-game y)])
      apply (auto simp add: ihyp[of y, simplified y right-imp-options eq-game-def])
      apply (auto simp add: left-options-plus left-options-neg zunion zimage-iff intro:
prems)
    done
  }
  note case1 = this
  {
    fix y
    assume y: zin y (left-options x)
    have  $\neg$  (ge-game (zero-game, plus-game (x, neg-game y)))
      apply (subst ge-game.simps, simp)
      apply (rule exI[where x=plus-game (y, neg-game y)])
      apply (auto simp add: ihyp[of y, simplified y left-imp-options eq-game-def])
  }

```

```

    apply (auto simp add: left-options-plus zunion zimage-iff intro: prems)
  done
}
note case2 = this
{
  fix y
  assume y: zin y (left-options x)
  have ¬ (ge-game (plus-game (y, neg-game x), zero-game))
  apply (subst ge-game.simps, simp)
  apply (rule exI[where x=plus-game (y, neg-game y)])
  apply (auto simp add: ihyp[of y, simplified y left-imp-options eq-game-def])
  apply (auto simp add: right-options-plus right-options-neg zunion zimage-iff
intro: prems)
  done
}
note case3 = this
{
  fix y
  assume y: zin y (right-options x)
  have ¬ (ge-game (plus-game (x, neg-game y), zero-game))
  apply (subst ge-game.simps, simp)
  apply (rule exI[where x=plus-game (y, neg-game y)])
  apply (auto simp add: ihyp[of y, simplified y right-imp-options eq-game-def])
  apply (auto simp add: right-options-plus zunion zimage-iff intro: prems)
  done
}
note case4 = this
show ?case
  apply (simp add: eq-game-def)
  apply (simp add: ge-game.simps[of plus-game (x, neg-game x) zero-game])
  apply (simp add: ge-game.simps[of zero-game plus-game (x, neg-game x)])
  apply (simp add: right-options-plus left-options-plus right-options-neg left-options-neg
zunion zimage-iff)
  apply (auto simp add: case1 case2 case3 case4)
  done
qed

```

lemma *ge-plus-game-left*: $ge\text{-game}(y, z) = ge\text{-game}(plus\text{-game}(x, y), plus\text{-game}(x, z))$

proof –

```

{ fix a
  have ∀ x y z. a = [x,y,z] ⟶ ge-game (y,z) = ge-game(plus-game (x, y),
plus-game (x, z))
proof (induct a rule: induct-game, (rule impI | rule allI)+)
  case (goal1 a x y z)
  note induct-hyp = goal1(1)[rule-format, simplified goal1(2)]
  {
    assume hyp: ge-game(plus-game (x, y), plus-game (x, z))
    have ge-game (y, z)

```

```

proof –
  { fix yr
    assume yr: zin yr (right-options y)
    from hyp have  $\neg$  (ge-game (plus-game (x, z), plus-game (x, yr)))
      by (auto simp add: ge-game-def[of plus-game (x,y) plus-game(x,z)]
        right-options-plus zunion zimage-iff intro: yr)
    then have  $\neg$  (ge-game (z, yr))
      apply (subst induct-hyp[where y=[x, z, yr], of x z yr])
      apply (simp-all add: yr lprod-3-6)
    done
  }
note yr = this
  { fix zl
    assume zl: zin zl (left-options z)
    from hyp have  $\neg$  (ge-game (plus-game (x, zl), plus-game (x, y)))
      by (auto simp add: ge-game-def[of plus-game (x,y) plus-game(x,z)]
        left-options-plus zunion zimage-iff intro: zl)
    then have  $\neg$  (ge-game (zl, y))
      apply (subst goal1(1)[rule-format, where y=[x, zl, y], of x zl y])
      apply (simp-all add: goal1(2) zl lprod-3-7)
    done
  }
note zl = this
show ge-game (y, z)
  apply (subst ge-game-def)
  apply (auto simp add: yr zl)
done
qed
}
note right-imp-left = this
{
  assume yz: ge-game (y, z)
  {
    fix x'
    assume x': zin x' (right-options x)
    assume hyp: ge-game (plus-game (x, z), plus-game (x', y))
    then have n:  $\neg$  (ge-game (plus-game (x', y), plus-game (x', z)))
      by (auto simp add: ge-game-def[of plus-game (x,z) plus-game (x', y)]
        right-options-plus zunion zimage-iff intro: x')
    have t: ge-game (plus-game (x', y), plus-game (x', z))
      apply (subst induct-hyp[symmetric])
      apply (auto intro: lprod-3-3 x' yz)
    done
    from n t have False by blast
  }
note case1 = this
  {
    fix x'
    assume x': zin x' (left-options x)

```

```

assume hyp: ge-game (plus-game (x', z), plus-game (x, y))
then have n:  $\neg$  (ge-game (plus-game (x', y), plus-game (x', z)))
  by (auto simp add: ge-game-def[of plus-game (x',z) plus-game (x, y)]
    left-options-plus zunion zimage-iff intro: x')
have t: ge-game (plus-game (x', y), plus-game (x', z))
  apply (subst induct-hyp[symmetric])
  apply (auto intro: lprod-3-3 x' yz)
  done
from n t have False by blast
}
note case3 = this
{
  fix y'
  assume y': zin y' (right-options y)
  assume hyp: ge-game (plus-game(x, z), plus-game (x, y'))
  then have ge-game(z, y')
    apply (subst induct-hyp[of [x, z, y'] x z y'])
    apply (auto simp add: hyp lprod-3-6 y')
    done
  with yz have ge-game (y, y')
    by (blast intro: ge-game-trans)
  with y' have False by (auto simp add: ge-game-leftright-refl)
}
note case2 = this
{
  fix z'
  assume z': zin z' (left-options z)
  assume hyp: ge-game (plus-game(x, z'), plus-game (x, y))
  then have ge-game(z', y)
    apply (subst induct-hyp[of [x, z', y] x z' y])
    apply (auto simp add: hyp lprod-3-7 z')
    done
  with yz have ge-game (z', z)
    by (blast intro: ge-game-trans)
  with z' have False by (auto simp add: ge-game-leftright-refl)
}
note case4 = this
have ge-game(plus-game (x, y), plus-game (x, z))
  apply (subst ge-game-def)
apply (auto simp add: right-options-plus left-options-plus zunion zimage-iff)
apply (auto intro: case1 case2 case3 case4)
done
}
note left-imp-right = this
show ?case by (auto intro: right-imp-left left-imp-right)
qed
}
note a = this[of [x, y, z]]
then show ?thesis by blast

```

qed

lemma *ge-plus-game-right*: $ge\text{-game } (y,z) = ge\text{-game}(plus\text{-game } (y, x), plus\text{-game } (z, x))$

by (*simp add: ge-plus-game-left plus-game-comm*)

lemma *ge-neg-game*: $ge\text{-game } (neg\text{-game } x, neg\text{-game } y) = ge\text{-game } (y, x)$

proof –

{ **fix** *a*

have $\forall x y. a = [x, y] \longrightarrow ge\text{-game } (neg\text{-game } x, neg\text{-game } y) = ge\text{-game } (y, x)$

proof (*induct a rule: induct-game, (rule impI | rule allI)+*)

case (*goal1 a x y*)

note *ihyp* = *goal1(1)[rule-format, simplified goal1(2)]*

{ **fix** *xl*

assume *xl*: *zin xl (left-options x)*

have $ge\text{-game } (neg\text{-game } y, neg\text{-game } xl) = ge\text{-game } (xl, y)$

apply (*subst ihyp*)

apply (*auto simp add: lprod-2-1 xl*)

done

}

note *xl* = *this*

{ **fix** *yr*

assume *yr*: *zin yr (right-options y)*

have $ge\text{-game } (neg\text{-game } yr, neg\text{-game } x) = ge\text{-game } (x, yr)$

apply (*subst ihyp*)

apply (*auto simp add: lprod-2-2 yr*)

done

}

note *yr* = *this*

show *?case*

by (*auto simp add: ge-game-def[of neg-game x neg-game y] ge-game-def[of y x] right-options-neg left-options-neg zimage-iff xl yr*)

qed

}

note *a* = *this[of [x,y]]*

then show *?thesis* **by** *blast*

qed

constdefs

eq-game-rel :: $(game * game) set$

$eq\text{-game-rel} \equiv \{ (p, q) . eq\text{-game } p q \}$

typedef *Pg* = *UNIV // eq-game-rel*

by (*auto simp add: quotient-def*)

lemma *equiv-eq-game[simp]*: *equiv UNIV eq-game-rel*

by (*auto simp add: equiv-def refl-def sym-def trans-def eq-game-rel-def*)

eq-game-sym intro: eq-game-refl eq-game-trans)

instance $Pg :: \{ord, zero, plus, minus\} ..$

defs (overloaded)

Pg-zero-def: $0 \equiv Abs-Pg (eq-game-rel \text{ `` } \{zero-game\})$

Pg-le-def: $G \leq H \equiv \exists g h. g \in Rep-Pg G \wedge h \in Rep-Pg H \wedge ge-game (h, g)$

Pg-less-def: $G < H \equiv G \leq H \wedge G \neq (H::Pg)$

Pg-minus-def: $- G \equiv contents (\bigcup g \in Rep-Pg G. \{Abs-Pg (eq-game-rel \text{ `` } \{neg-game\} g)\})$

Pg-plus-def: $G + H \equiv contents (\bigcup g \in Rep-Pg G. \bigcup h \in Rep-Pg H. \{Abs-Pg (eq-game-rel \text{ `` } \{plus-game (g,h)\})\})$

Pg-diff-def: $G - H \equiv G + (- (H::Pg))$

lemma *Rep-Abs-eq-Pg[simp]*: $Rep-Pg (Abs-Pg (eq-game-rel \text{ `` } \{g\})) = eq-game-rel \text{ `` } \{g\}$

apply (*subst Abs-Pg-inverse*)

apply (*auto simp add: Pg-def quotient-def*)

done

lemma *char-Pg-le[simp]*: $(Abs-Pg (eq-game-rel \text{ `` } \{g\}) \leq Abs-Pg (eq-game-rel \text{ `` } \{h\})) = (ge-game (h, g))$

apply (*simp add: Pg-le-def*)

apply (*auto simp add: eq-game-rel-def eq-game-def intro: ge-game-trans ge-game-refl*)

done

lemma *char-Pg-eq[simp]*: $(Abs-Pg (eq-game-rel \text{ `` } \{g\}) = Abs-Pg (eq-game-rel \text{ `` } \{h\})) = (eq-game g h)$

apply (*simp add: Rep-Pg-inject [symmetric]*)

apply (*subst eq-equiv-class-iff[of UNIV]*)

apply (*simp-all*)

apply (*simp add: eq-game-rel-def*)

done

lemma *char-Pg-plus[simp]*: $Abs-Pg (eq-game-rel \text{ `` } \{g\}) + Abs-Pg (eq-game-rel \text{ `` } \{h\}) = Abs-Pg (eq-game-rel \text{ `` } \{plus-game (g, h)\})$

proof –

have $(\lambda g h. \{Abs-Pg (eq-game-rel \text{ `` } \{plus-game (g, h)\})\})$ *respects2* *eq-game-rel*

apply (*simp add: congruent2-def*)

apply (*auto simp add: eq-game-rel-def eq-game-def*)

apply (*rule-tac y=plus-game (y1, z2) in ge-game-trans*)

apply (*simp add: ge-plus-game-left[symmetric] ge-plus-game-right[symmetric]*) +

apply (*rule-tac y=plus-game (z1, y2) in ge-game-trans*)

apply (*simp add: ge-plus-game-left[symmetric] ge-plus-game-right[symmetric]*) +

done

then show *?thesis*

by (*simp add: Pg-plus-def UN-equiv-class2[OF equiv-eq-game equiv-eq-game]*)

qed

```

lemma char-Pg-minus[simp]: - Abs-Pg (eq-game-rel “ {g}) = Abs-Pg (eq-game-rel
“ {neg-game g})
proof -
  have ( $\lambda g. \{Abs-Pg (eq-game-rel “ \{neg-game g})\}$ ) respects eq-game-rel
    apply (simp add: congruent-def)
    apply (auto simp add: eq-game-rel-def eq-game-def ge-neg-game)
    done
  then show ?thesis
    by (simp add: Pg-minus-def UN-equiv-class[OF equiv-eq-game])
qed

```

```

lemma eq-Abs-Pg[rule-format, cases type: Pg]: ( $\forall g. z = Abs-Pg (eq-game-rel “ \{g}) \longrightarrow P$ )  $\longrightarrow P$ 
  apply (cases z, simp)
  apply (simp add: Rep-Pg-inject[symmetric])
  apply (subst Abs-Pg-inverse, simp)
  apply (auto simp add: Pg-def quotient-def)
  done

```

instance Pg :: pordered-ab-group-add

```

proof
  fix a b c :: Pg
  show (a < b) = (a ≤ b ∧ a ≠ b) by (simp add: Pg-less-def)
  show a - b = a + (- b) by (simp add: Pg-diff-def)
  {
    assume ab: a ≤ b
    assume ba: b ≤ a
    from ab ba show a = b
    apply (cases a, cases b)
    apply (simp add: eq-game-def)
    done
  }
  show a + b = b + a
    apply (cases a, cases b)
    apply (simp add: eq-game-def plus-game-comm)
    done
  show a + b + c = a + (b + c)
    apply (cases a, cases b, cases c)
    apply (simp add: eq-game-def plus-game-assoc)
    done
  show 0 + a = a
    apply (cases a)
    apply (simp add: Pg-zero-def plus-game-zero-left)
    done
  show - a + a = 0
    apply (cases a)
    apply (simp add: Pg-zero-def eq-game-plus-inverse plus-game-comm)
    done

```

```

show  $a \leq a$ 
  apply (cases a)
  apply (simp add: ge-game-refl)
done
{
  assume  $ab: a \leq b$ 
  assume  $bc: b \leq c$ 
  from  $ab\ bc$  show  $a \leq c$ 
    apply (cases a, cases b, cases c)
    apply (auto intro: ge-game-trans)
  done
}
{
  assume  $ab: a \leq b$ 
  from  $ab$  show  $c + a \leq c + b$ 
    apply (cases a, cases b, cases c)
    apply (simp add: ge-plus-game-left[symmetric])
  done
}
qed
end

```