

# Examples of Inductive and Coinductive Definitions in HOL

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## Abstract

This is a collection of small examples to demonstrate Isabelle/HOL's (co)inductive definitions package. Large examples appear on many other sessions, such as Lambda, IMP, and Auth.

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# 1 The Mutilated Chess Board Problem

**theory** *Mutil* **imports** *Main* **begin**

The Mutilated Chess Board Problem, formalized inductively.

Originator is Max Black, according to J A Robinson. Popularized as the Mutilated Checkerboard Problem by J McCarthy.

**consts** *tiling* :: 'a set set => 'a set set

**inductive** *tiling* *A*

**intros**

*empty* [*simp*, *intro*]:  $\{\} \in \text{tiling } A$

*Un* [*simp*, *intro*]:  $\llbracket a \in A; t \in \text{tiling } A; a \cap t = \{\} \rrbracket$   
 $\implies a \cup t \in \text{tiling } A$

**consts** *domino* :: (nat × nat) set set

**inductive** *domino*

**intros**

*horiz* [*simp*]:  $\{(i, j), (i, \text{Suc } j)\} \in \text{domino}$

*vertl* [*simp*]:  $\{(i, j), (\text{Suc } i, j)\} \in \text{domino}$

Sets of squares of the given colour

**constdefs**

*coloured* :: nat => (nat × nat) set

*coloured* *b* ==  $\{(i, j). (i + j) \bmod 2 = b\}$

**syntax** *whites* :: (nat × nat) set

*blacks* :: (nat × nat) set

**translations**

*whites* == *coloured* 0

*blacks* == *coloured* (Suc 0)

The union of two disjoint tilings is a tiling

**lemma** *tiling-UnI* [*intro*]:

$\llbracket t \in \text{tiling } A; u \in \text{tiling } A; t \cap u = \{\} \rrbracket \implies t \cup u \in \text{tiling } A$   
*<proof>*

Chess boards

**lemma** *Sigma-Suc1* [*simp*]:

$\text{lessThan } (\text{Suc } n) \times B = (\{n\} \times B) \cup ((\text{lessThan } n) \times B)$   
*<proof>*

**lemma** *Sigma-Suc2* [*simp*]:

$A \times \text{lessThan } (\text{Suc } n) = (A \times \{n\}) \cup (A \times (\text{lessThan } n))$   
*<proof>*

**lemma** *sing-Times-lemma*:  $(\{i\} \times \{n\}) \cup (\{i\} \times \{m\}) = \{(i, m), (i, n)\}$

$\langle \text{proof} \rangle$

**lemma** *dominoes-tile-row* [intro!]:  $\{i\} \times \text{lessThan } (2 * n) \in \text{tiling domino}$   
 $\langle \text{proof} \rangle$

**lemma** *dominoes-tile-matrix*:  $(\text{lessThan } m) \times \text{lessThan } (2 * n) \in \text{tiling domino}$   
 $\langle \text{proof} \rangle$

*coloured* and Dominoes

**lemma** *coloured-insert* [simp]:  
     $\text{coloured } b \cap (\text{insert } (i, j) \ t) =$   
     $(\text{if } (i + j) \bmod 2 = b \text{ then } \text{insert } (i, j) (\text{coloured } b \cap t)$   
     $\text{else } \text{coloured } b \cap t)$   
 $\langle \text{proof} \rangle$

**lemma** *domino-singletons*:  
     $d \in \text{domino} ==>$   
     $(\exists i \ j. \text{whites} \cap d = \{(i, j)\}) \wedge$   
     $(\exists m \ n. \text{blacks} \cap d = \{(m, n)\})$   
 $\langle \text{proof} \rangle$

**lemma** *domino-finite* [simp]:  $d \in \text{domino} ==> \text{finite } d$   
 $\langle \text{proof} \rangle$

Tilings of dominoes

**lemma** *tiling-domino-finite* [simp]:  $t \in \text{tiling domino} ==> \text{finite } t$   
 $\langle \text{proof} \rangle$

**declare**

*Int-Un-distrib* [simp]  
    *Diff-Int-distrib* [simp]

**lemma** *tiling-domino-0-1*:  
     $t \in \text{tiling domino} ==> \text{card}(\text{whites} \cap t) = \text{card}(\text{blacks} \cap t)$   
 $\langle \text{proof} \rangle$

Final argument is surprisingly complex

**theorem** *gen-mutil-not-tiling*:  
     $t \in \text{tiling domino} ==>$   
     $(i + j) \bmod 2 = 0 ==> (m + n) \bmod 2 = 0 ==>$   
     $\{(i, j), (m, n)\} \subseteq t$   
     $==> (t - \{(i, j)\} - \{(m, n)\}) \notin \text{tiling domino}$   
 $\langle \text{proof} \rangle$

Apply the general theorem to the well-known case

**theorem** *mutil-not-tiling*:  
     $t = \text{lessThan } (2 * \text{Suc } m) \times \text{lessThan } (2 * \text{Suc } n)$

```

==> t - {(0, 0)} - {(Suc (2 * m), Suc (2 * n))} ∉ tiling domino
⟨proof⟩

```

end

## 2 Defining an Initial Algebra by Quotienting a Free Algebra

**theory** *QuoDataType* **imports** *Main* **begin**

### 2.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

**datatype**

```

  freemsg = NONCE nat
           | MPAIR freemsg freemsg
           | CRYPT nat freemsg
           | DECRYPT nat freemsg

```

The equivalence relation, which makes encryption and decryption inverses provided the keys are the same.

**consts** *msgrel* :: (freemsg \* freemsg) set

**syntax**

```

  -msgrel :: [freemsg, freemsg] => bool (infixl ~~ 50)

```

**syntax** (*xsymbols*)

```

  -msgrel :: [freemsg, freemsg] => bool (infixl ~ 50)

```

**syntax** (*HTML output*)

```

  -msgrel :: [freemsg, freemsg] => bool (infixl ~ 50)

```

**translations**

```

  X ~ Y == (X, Y) ∈ msgrel

```

The first two rules are the desired equations. The next four rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

**inductive** *msgrel*

**intros**

```

  CD:  CRYPT K (DECRYPT K X) ~ X
  DC:  DECRYPT K (CRYPT K X) ~ X
  NONCE: NONCE N ~ NONCE N
  MPAIR: [X ~ X'; Y ~ Y'] ==> MPAIR X Y ~ MPAIR X' Y'
  CRYPT: X ~ X' ==> CRYPT K X ~ CRYPT K X'
  DECRYPT: X ~ X' ==> DECRYPT K X ~ DECRYPT K X'
  SYM:  X ~ Y ==> Y ~ X
  TRANS: [X ~ Y; Y ~ Z] ==> X ~ Z

```

Proving that it is an equivalence relation

**lemma** *msgrel-refl*:  $X \sim X$

*<proof>*

**theorem** *equiv-msgrel*: *equiv UNIV msgrel*

*<proof>*

## 2.2 Some Functions on the Free Algebra

### 2.2.1 The Set of Nonces

A function to return the set of nonces present in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts**

*freenonces* :: *freemsg*  $\Rightarrow$  *nat set*

**primrec**

*freenonces* (*NONCE* *N*) = {*N*}

*freenonces* (*MPAIR* *X Y*) = *freenonces* *X*  $\cup$  *freenonces* *Y*

*freenonces* (*CRYPT* *K X*) = *freenonces* *X*

*freenonces* (*DECRYPT* *K X*) = *freenonces* *X*

This theorem lets us prove that the nonces function respects the equivalence relation. It also helps us prove that Nonce (the abstract constructor) is injective

**theorem** *msgrel-imp-eq-freenonces*:  $U \sim V \implies \text{freenonces } U = \text{freenonces } V$

*<proof>*

### 2.2.2 The Left Projection

A function to return the left part of the top pair in a message. It will be lifted to the initial algebra, to serve as an example of that process.

**consts** *freeleft* :: *freemsg*  $\Rightarrow$  *freemsg*

**primrec**

*freeleft* (*NONCE* *N*) = *NONCE* *N*

*freeleft* (*MPAIR* *X Y*) = *X*

*freeleft* (*CRYPT* *K X*) = *freeleft* *X*

*freeleft* (*DECRYPT* *K X*) = *freeleft* *X*

This theorem lets us prove that the left function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

**theorem** *msgrel-imp-eqv-freeleft*:

$U \sim V \implies \text{freeleft } U \sim \text{freeleft } V$

*<proof>*



### 2.2.3 The Right Projection

A function to return the right part of the top pair in a message.

```
consts freeright :: freemsg  $\Rightarrow$  freemsg
primrec
  freeright (NONCE N) = NONCE N
  freeright (MPAIR X Y) = Y
  freeright (CRYPT K X) = freeright X
  freeright (DECRYPT K X) = freeright X
```

This theorem lets us prove that the right function respects the equivalence relation. It also helps us prove that MPair (the abstract constructor) is injective

```
theorem msgrel-imp-eqv-freeright:
  U  $\sim$  V  $\implies$  freeright U  $\sim$  freeright V
  <proof>
```

### 2.2.4 The Discriminator for Constructors

A function to distinguish nonces, mpairs and encryptions

```
consts freediscrim :: freemsg  $\Rightarrow$  int
primrec
  freediscrim (NONCE N) = 0
  freediscrim (MPAIR X Y) = 1
  freediscrim (CRYPT K X) = freediscrim X + 2
  freediscrim (DECRYPT K X) = freediscrim X - 2
```

This theorem helps us prove  $\text{Nonce } N \neq \text{MPair } X \ Y$

```
theorem msgrel-imp-eq-freediscrim:
  U  $\sim$  V  $\implies$  freediscrim U = freediscrim V
  <proof>
```

## 2.3 The Initial Algebra: A Quotiented Message Type

```
typedef (Msg) msg = UNIV // msgrel
  <proof>
```

The abstract message constructors

```
constdefs
  Nonce :: nat  $\Rightarrow$  msg
  Nonce N == Abs-Msg(msgrel``{NONCE N})

  MPair :: [msg,msg]  $\Rightarrow$  msg
  MPair X Y ==
    Abs-Msg ( $\bigcup U \in \text{Rep-Msg } X. \bigcup V \in \text{Rep-Msg } Y. \text{msgrel}''\{\text{MPAIR } U \ V\}$ )

  Crypt :: [nat,msg]  $\Rightarrow$  msg
  Crypt K X ==
```

$Abs-Msg (\bigcup U \in Rep-Msg X. msgrel''\{CRYPT K U\})$

$Decrypt :: [nat, msg] \Rightarrow msg$

$Decrypt K X ==$

$Abs-Msg (\bigcup U \in Rep-Msg X. msgrel''\{DECRYPT K U\})$

Reduces equality of equivalence classes to the *msgrel* relation:  $(msgrel''\{x\} = msgrel''\{y\}) = (x \sim y)$

**lemmas** *equiv-msgrel-iff* = *eq-equiv-class-iff* [*OF equiv-msgrel UNIV-I UNIV-I*]

**declare** *equiv-msgrel-iff* [*simp*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]:  $msgrel''\{U\} \in Msg$

*<proof>*

**lemma** *inj-on-Abs-Msg*: *inj-on Abs-Msg Msg*

*<proof>*

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Msg* [*THEN inj-on-iff, simp*]

**declare** *Abs-Msg-inverse* [*simp*]

### 2.3.1 Characteristic Equations for the Abstract Constructors

**lemma** *MPair*:  $MPair (Abs-Msg(msgrel''\{U\})) (Abs-Msg(msgrel''\{V\})) = Abs-Msg (msgrel''\{MPAIR U V\})$

*<proof>*

**lemma** *Crypt*:  $Crypt K (Abs-Msg(msgrel''\{U\})) = Abs-Msg (msgrel''\{CRYPT K U\})$

*<proof>*

**lemma** *Decrypt*:

$Decrypt K (Abs-Msg(msgrel''\{U\})) = Abs-Msg (msgrel''\{DECRYPT K U\})$

*<proof>*

Case analysis on the representation of a msg as an equivalence class.

**lemma** *eq-Abs-Msg* [*case-names Abs-Msg, cases type: msg*]:

$(!!U. z = Abs-Msg(msgrel''\{U\}) ==> P) ==> P$

*<proof>*

Establishing these two equations is the point of the whole exercise

**theorem** *CD-eq* [*simp*]:  $Crypt K (Decrypt K X) = X$

*<proof>*

**theorem** *DC-eq* [*simp*]:  $Decrypt K (Crypt K X) = X$

*<proof>*

## 2.4 The Abstract Function to Return the Set of Nonces

**constdefs**

$nonces :: msg \Rightarrow nat\ set$   
 $nonces\ X == \bigcup U \in Rep\text{-}Msg\ X. freenonces\ U$

**lemma** *nonces-congruent*: *freenonces respects msgrel*  
 $\langle proof \rangle$

Now prove the four equations for *nonces*

**lemma** *nonces-Nonce* [simp]:  $nonces\ (Nonce\ N) = \{N\}$   
 $\langle proof \rangle$

**lemma** *nonces-MPair* [simp]:  $nonces\ (MPair\ X\ Y) = nonces\ X \cup nonces\ Y$   
 $\langle proof \rangle$

**lemma** *nonces-Crypt* [simp]:  $nonces\ (Crypt\ K\ X) = nonces\ X$   
 $\langle proof \rangle$

**lemma** *nonces-Decrypt* [simp]:  $nonces\ (Decrypt\ K\ X) = nonces\ X$   
 $\langle proof \rangle$

## 2.5 The Abstract Function to Return the Left Part

**constdefs**

$left :: msg \Rightarrow msg$   
 $left\ X == Abs\text{-}Msg\ (\bigcup U \in Rep\text{-}Msg\ X. msgrel\ \{\text{freeleft}\ U\})$

**lemma** *left-congruent*:  $(\lambda U. msgrel\ \{\text{freeleft}\ U\})$  *respects msgrel*  
 $\langle proof \rangle$

Now prove the four equations for *left*

**lemma** *left-Nonce* [simp]:  $left\ (Nonce\ N) = Nonce\ N$   
 $\langle proof \rangle$

**lemma** *left-MPair* [simp]:  $left\ (MPair\ X\ Y) = X$   
 $\langle proof \rangle$

**lemma** *left-Crypt* [simp]:  $left\ (Crypt\ K\ X) = left\ X$   
 $\langle proof \rangle$

**lemma** *left-Decrypt* [simp]:  $left\ (Decrypt\ K\ X) = left\ X$   
 $\langle proof \rangle$

## 2.6 The Abstract Function to Return the Right Part

**constdefs**

$right :: msg \Rightarrow msg$   
 $right\ X == Abs\text{-}Msg\ (\bigcup U \in Rep\text{-}Msg\ X. msgrel\ \{\text{freeright}\ U\})$

**lemma** *right-congruent*:  $(\lambda U. \text{msgrel } \{ \text{freeright } U \}) \text{ respects msgrel}$   
 $\langle \text{proof} \rangle$

Now prove the four equations for *right*

**lemma** *right-Nonce* [simp]:  $\text{right } (\text{Nonce } N) = \text{Nonce } N$   
 $\langle \text{proof} \rangle$

**lemma** *right-MPair* [simp]:  $\text{right } (\text{MPair } X \ Y) = Y$   
 $\langle \text{proof} \rangle$

**lemma** *right-Crypt* [simp]:  $\text{right } (\text{Crypt } K \ X) = \text{right } X$   
 $\langle \text{proof} \rangle$

**lemma** *right-Decrypt* [simp]:  $\text{right } (\text{Decrypt } K \ X) = \text{right } X$   
 $\langle \text{proof} \rangle$

## 2.7 Injectivity Properties of Some Constructors

**lemma** *NONCE-imp-eq*:  $\text{NONCE } m \sim \text{NONCE } n \implies m = n$   
 $\langle \text{proof} \rangle$

Can also be proved using the function *nonces*

**lemma** *Nonce-Nonce-eq* [iff]:  $(\text{Nonce } m = \text{Nonce } n) = (m = n)$   
 $\langle \text{proof} \rangle$

**lemma** *MPAIR-imp-eqv-left*:  $\text{MPAIR } X \ Y \sim \text{MPAIR } X' \ Y' \implies X \sim X'$   
 $\langle \text{proof} \rangle$

**lemma** *MPair-imp-eq-left*:  
**assumes** *eq*:  $\text{MPair } X \ Y = \text{MPair } X' \ Y'$  **shows**  $X = X'$   
 $\langle \text{proof} \rangle$

**lemma** *MPAIR-imp-eqv-right*:  $\text{MPAIR } X \ Y \sim \text{MPAIR } X' \ Y' \implies Y \sim Y'$   
 $\langle \text{proof} \rangle$

**lemma** *MPair-imp-eq-right*:  $\text{MPair } X \ Y = \text{MPair } X' \ Y' \implies Y = Y'$   
 $\langle \text{proof} \rangle$

**theorem** *MPair-MPair-eq* [iff]:  $(\text{MPair } X \ Y = \text{MPair } X' \ Y') = (X = X' \ \& \ Y = Y')$   
 $\langle \text{proof} \rangle$

**lemma** *NONCE-neqv-MPAIR*:  $\text{NONCE } m \sim \text{MPAIR } X \ Y \implies \text{False}$   
 $\langle \text{proof} \rangle$

**theorem** *Nonce-neq-MPair* [iff]:  $\text{Nonce } N \neq \text{MPair } X \ Y$   
 $\langle \text{proof} \rangle$

Example suggested by a referee

**theorem** *Crypt-Nonce-neq-Nonce*:  $\text{Crypt } K \ (\text{Nonce } M) \neq \text{Nonce } N$   
 $\langle \text{proof} \rangle$

...and many similar results

**theorem** *Crypt2-Nonce-neq-Nonce*:  $\text{Crypt } K \ (\text{Crypt } K' \ (\text{Nonce } M)) \neq \text{Nonce } N$   
 $\langle \text{proof} \rangle$

**theorem** *Crypt-Crypt-eq* [iff]:  $(\text{Crypt } K \ X = \text{Crypt } K \ X') = (X=X')$   
 $\langle \text{proof} \rangle$

**theorem** *Decrypt-Decrypt-eq* [iff]:  $(\text{Decrypt } K \ X = \text{Decrypt } K \ X') = (X=X')$   
 $\langle \text{proof} \rangle$

**lemma** *msg-induct* [case-names *Nonce MPair Crypt Decrypt*, cases type: *msg*]:  
 assumes  $N: \bigwedge N. P \ (\text{Nonce } N)$   
 and  $M: \bigwedge X \ Y. \llbracket P \ X; P \ Y \rrbracket \implies P \ (\text{MPair } X \ Y)$   
 and  $C: \bigwedge K \ X. P \ X \implies P \ (\text{Crypt } K \ X)$   
 and  $D: \bigwedge K \ X. P \ X \implies P \ (\text{Decrypt } K \ X)$   
 shows  $P \ \text{msg}$   
 $\langle \text{proof} \rangle$

## 2.8 The Abstract Discriminator

However, as *Crypt-Nonce-neq-Nonce* above illustrates, we don't need this function in order to prove discrimination theorems.

**constdefs**

$\text{discrim} :: \text{msg} \Rightarrow \text{int}$   
 $\text{discrim } X == \text{contents } (\bigcup U \in \text{Rep-Msg } X. \{\text{freediscrim } U\})$

**lemma** *discrim-congruent*:  $(\lambda U. \{\text{freediscrim } U\})$  respects *msgrel*  
 $\langle \text{proof} \rangle$

Now prove the four equations for *discrim*

**lemma** *discrim-Nonce* [simp]:  $\text{discrim } (\text{Nonce } N) = 0$   
 $\langle \text{proof} \rangle$

**lemma** *discrim-MPair* [simp]:  $\text{discrim } (\text{MPair } X \ Y) = 1$   
 $\langle \text{proof} \rangle$

**lemma** *discrim-Crypt* [simp]:  $\text{discrim } (\text{Crypt } K \ X) = \text{discrim } X + 2$   
 $\langle \text{proof} \rangle$

**lemma** *discrim-Decrypt* [simp]:  $\text{discrim } (\text{Decrypt } K \ X) = \text{discrim } X - 2$   
 $\langle \text{proof} \rangle$

**end**

### 3 Quotienting a Free Algebra Involving Nested Recursion

**theory** *QuoNestedDataType* **imports** *Main* **begin**

#### 3.1 Defining the Free Algebra

Messages with encryption and decryption as free constructors.

```
datatype
  freeExp = VAR nat
          | PLUS freeExp freeExp
          | FNCALL nat freeExp list
```

The equivalence relation, which makes PLUS associative.

```
consts exprel :: (freeExp * freeExp) set

syntax
  -exprel :: [freeExp, freeExp] => bool (infixl ~~ 50)
syntax (xsymbols)
  -exprel :: [freeExp, freeExp] => bool (infixl ~ 50)
syntax (HTML output)
  -exprel :: [freeExp, freeExp] => bool (infixl ~ 50)
translations
  X ~ Y == (X, Y) ∈ exprel
```

The first rule is the desired equation. The next three rules make the equations applicable to subterms. The last two rules are symmetry and transitivity.

```
inductive exprel
intros
  ASSOC: PLUS X (PLUS Y Z) ~ PLUS (PLUS X Y) Z
  VAR: VAR N ~ VAR N
  PLUS:  $\llbracket X \sim X'; Y \sim Y' \rrbracket \implies PLUS X Y \sim PLUS X' Y'$ 
  FNCALL:  $(Xs, Xs') \in listrel\ exprel \implies FNCALL F Xs \sim FNCALL F Xs'$ 
  SYM:  $X \sim Y \implies Y \sim X$ 
  TRANS:  $\llbracket X \sim Y; Y \sim Z \rrbracket \implies X \sim Z$ 
monos listrel-mono
```

Proving that it is an equivalence relation

```
lemma exprel-refl-conj:  $X \sim X \ \& \ (Xs, Xs') \in listrel(exprel)$ 
  <proof>
```

```
lemmas exprel-refl = exprel-refl-conj [THEN conjunct1]
lemmas list-exprel-refl = exprel-refl-conj [THEN conjunct2]
```

```
theorem equiv-exprel: equiv UNIV exprel
  <proof>
```

**theorem** *equiv-list-exprel*: *equiv UNIV (listrel exprel)*  
 $\langle proof \rangle$

**lemma** *FNCALL-Nil*:  $FNCALL\ F\ [] \sim FNCALL\ F\ []$   
 $\langle proof \rangle$

**lemma** *FNCALL-Cons*:  

$$\llbracket X \sim X'; (Xs, Xs') \in listrel(exprel) \rrbracket$$

$$\implies FNCALL\ F\ (X \# Xs) \sim FNCALL\ F\ (X' \# Xs')$$
 $\langle proof \rangle$

## 3.2 Some Functions on the Free Algebra

### 3.2.1 The Set of Variables

A function to return the set of variables present in a message. It will be lifted to the initial algebra, to serve as an example of that process. Note that the "free" refers to the free datatype rather than to the concept of a free variable.

**consts**  
 $freevars :: freeExp \Rightarrow nat\ set$   
 $freevars-list :: freeExp\ list \Rightarrow nat\ set$

**primrec**  
 $freevars\ (VAR\ N) = \{N\}$   
 $freevars\ (PLUS\ X\ Y) = freevars\ X \cup freevars\ Y$   
 $freevars\ (FNCALL\ F\ Xs) = freevars-list\ Xs$   
 $freevars-list\ [] = \{\}$   
 $freevars-list\ (X \# Xs) = freevars\ X \cup freevars-list\ Xs$

This theorem lets us prove that the vars function respects the equivalence relation. It also helps us prove that Variable (the abstract constructor) is injective

**theorem** *exprel-imp-eq-freevars*:  $U \sim V \implies freevars\ U = freevars\ V$   
 $\langle proof \rangle$

### 3.2.2 Functions for Freeness

A discriminator function to distinguish vars, sums and function calls

**consts** *freediscrim* ::  $freeExp \Rightarrow int$   
**primrec**  
 $freediscrim\ (VAR\ N) = 0$   
 $freediscrim\ (PLUS\ X\ Y) = 1$   
 $freediscrim\ (FNCALL\ F\ Xs) = 2$

**theorem** *exprel-imp-eq-freediscrim:*

$U \sim V \implies \text{freediscrim } U = \text{freediscrim } V$   
 $\langle \text{proof} \rangle$

This function, which returns the function name, is used to prove part of the injectivity property for FnCall.

**consts** *freefun* :: *freeExp*  $\Rightarrow$  *nat*

**primrec**

*freefun* (VAR *N*) = 0  
*freefun* (PLUS *X Y*) = 0  
*freefun* (FNCALL *F Xs*) = *F*

**theorem** *exprel-imp-eq-freefun:*

$U \sim V \implies \text{freefun } U = \text{freefun } V$   
 $\langle \text{proof} \rangle$

This function, which returns the list of function arguments, is used to prove part of the injectivity property for FnCall.

**consts** *freeargs* :: *freeExp*  $\Rightarrow$  *freeExp list*

**primrec**

*freeargs* (VAR *N*) = []  
*freeargs* (PLUS *X Y*) = []  
*freeargs* (FNCALL *F Xs*) = *Xs*

**theorem** *exprel-imp-eqv-freeargs:*

$U \sim V \implies (\text{freeargs } U, \text{freeargs } V) \in \text{listrel } \text{exprel}$   
 $\langle \text{proof} \rangle$

### 3.3 The Initial Algebra: A Quotiented Message Type

**typedef** (*Exp*) *exp* = *UNIV* // *exprel*  
 $\langle \text{proof} \rangle$

The abstract message constructors

**constdefs**

*Var* :: *nat*  $\Rightarrow$  *exp*  
*Var* *N* == *Abs-Exp*(*exprel* “ {VAR *N*} )

*Plus* :: [*exp*, *exp*]  $\Rightarrow$  *exp*  
*Plus* *X Y* ==  
*Abs-Exp* ( $\bigcup U \in \text{Rep-Exp } X. \bigcup V \in \text{Rep-Exp } Y. \text{exprel} “ \{ \text{PLUS } U V \}$ )

*Fncall* :: [*nat*, *exp list*]  $\Rightarrow$  *exp*  
*Fncall* *F Xs* ==  
*Abs-Exp* ( $\bigcup Us \in \text{listset } (\text{map } \text{Rep-Exp } Xs). \text{exprel} “ \{ \text{FNCALL } F Us \}$ )

Reduces equality of equivalence classes to the *exprel* relation: (*exprel* “ {*x*} = *exprel* “ {*y*}) = (*x*  $\sim$  *y*)



**lemmas** *equiv-exprel-iff* = *eq-equiv-class-iff* [*OF equiv-exprel UNIV-I UNIV-I*]

**declare** *equiv-exprel-iff* [*simp*]

All equivalence classes belong to set of representatives

**lemma** [*simp*]: *exprel*“{*U*} ∈ *Exp*  
 ⟨*proof*⟩

**lemma** *inj-on-Abs-Exp*: *inj-on Abs-Exp Exp*  
 ⟨*proof*⟩

Reduces equality on abstractions to equality on representatives

**declare** *inj-on-Abs-Exp* [*THEN inj-on-iff, simp*]

**declare** *Abs-Exp-inverse* [*simp*]

Case analysis on the representation of a exp as an equivalence class.

**lemma** *eq-Abs-Exp* [*case-names Abs-Exp, cases type: exp*]:  
 (!!*U. z = Abs-Exp(exprel*“{*U*}) ==> *P*) ==> *P*  
 ⟨*proof*⟩

### 3.4 Every list of abstract expressions can be expressed in terms of a list of concrete expressions

**constdefs** *Abs-ExpList* :: *freeExp list => exp list*  
*Abs-ExpList* *Xs* == *map* (%*U. Abs-Exp(exprel*“{*U*})) *Xs*

**lemma** *Abs-ExpList-Nil* [*simp*]: *Abs-ExpList* [] == []  
 ⟨*proof*⟩

**lemma** *Abs-ExpList-Cons* [*simp*]:  
*Abs-ExpList* (*X* # *Xs*) == *Abs-Exp* (*exprel*“{*X*}) # *Abs-ExpList* *Xs*  
 ⟨*proof*⟩

**lemma** *ExpList-rep*: ∃ *Us. z = Abs-ExpList Us*  
 ⟨*proof*⟩

**lemma** *eq-Abs-ExpList* [*case-names Abs-ExpList*]:  
 (!!*Us. z = Abs-ExpList Us* ==> *P*) ==> *P*  
 ⟨*proof*⟩

#### 3.4.1 Characteristic Equations for the Abstract Constructors

**lemma** *Plus*: *Plus* (*Abs-Exp(exprel*“{*U*})) (*Abs-Exp(exprel*“{*V*})) =  
*Abs-Exp* (*exprel*“{*PLUS U V*})  
 ⟨*proof*⟩

It is not clear what to do with *FnCall*: it's argument is an abstraction of an *exp list*. Is it just *Nil* or *Cons*? What seems to work best is to regard an *exp list* as a *listrel exprel* equivalence class

This theorem is easily proved but never used. There's no obvious way even to state the analogous result, *FnCall-Cons*.

**lemma** *FnCall-Nil*:  $\text{FnCall } F [] = \text{Abs-Exp } (\text{exprel}''\{\text{FNCALL } F []\})$   
 $\langle \text{proof} \rangle$

**lemma** *FnCall-respects*:  
 $(\lambda Us. \text{exprel}''\{\text{FNCALL } F Us\}) \text{ respects } (\text{listrel } \text{exprel})$   
 $\langle \text{proof} \rangle$

**lemma** *FnCall-sing*:  
 $\text{FnCall } F [\text{Abs-Exp}(\text{exprel}''\{U\})] = \text{Abs-Exp } (\text{exprel}''\{\text{FNCALL } F [U]\})$   
 $\langle \text{proof} \rangle$

**lemma** *listset-Rep-Exp-Abs-Exp*:  
 $\text{listset } (\text{map } \text{Rep-Exp } (\text{Abs-ExpList } Us)) = \text{listrel } \text{exprel}''\{Us\}$   
 $\langle \text{proof} \rangle$

**lemma** *FnCall*:  
 $\text{FnCall } F (\text{Abs-ExpList } Us) = \text{Abs-Exp } (\text{exprel}''\{\text{FNCALL } F Us\})$   
 $\langle \text{proof} \rangle$

Establishing this equation is the point of the whole exercise

**theorem** *Plus-assoc*:  $\text{Plus } X (\text{Plus } Y Z) = \text{Plus } (\text{Plus } X Y) Z$   
 $\langle \text{proof} \rangle$

### 3.5 The Abstract Function to Return the Set of Variables

**constdefs**  
 $\text{vars} :: \text{exp} \Rightarrow \text{nat set}$   
 $\text{vars } X == \bigcup U \in \text{Rep-Exp } X. \text{freevars } U$

**lemma** *vars-respects*:  $\text{freevars } \text{ respects } \text{exprel}$   
 $\langle \text{proof} \rangle$

The extension of the function *vars* to lists

**consts**  $\text{vars-list} :: \text{exp list} \Rightarrow \text{nat set}$   
**primrec**  
 $\text{vars-list } [] = \{\}$   
 $\text{vars-list}(E \# Es) = \text{vars } E \cup \text{vars-list } Es$

Now prove the three equations for *vars*

**lemma** *vars-Variable* [simp]:  $\text{vars } (\text{Var } N) = \{N\}$   
 $\langle \text{proof} \rangle$

**lemma** *vars-Plus* [simp]:  $\text{vars } (\text{Plus } X Y) = \text{vars } X \cup \text{vars } Y$   
 $\langle \text{proof} \rangle$

**lemma** *vars-FnCall* [simp]:  $\text{vars } (\text{FnCall } F Xs) = \text{vars-list } Xs$

$\langle proof \rangle$

**lemma** *vars-FnCall-Nil*:  $vars (FnCall F Nil) = \{\}$   
 $\langle proof \rangle$

**lemma** *vars-FnCall-Cons*:  $vars (FnCall F (X \# Xs)) = vars X \cup vars-list Xs$   
 $\langle proof \rangle$

### 3.6 Injectivity Properties of Some Constructors

**lemma** *VAR-imp-eq*:  $VAR m \sim VAR n \implies m = n$   
 $\langle proof \rangle$

Can also be proved using the function *vars*

**lemma** *Var-Var-eq [iff]*:  $(Var m = Var n) = (m = n)$   
 $\langle proof \rangle$

**lemma** *VAR-neqv-PLUS*:  $VAR m \sim PLUS X Y \implies False$   
 $\langle proof \rangle$

**theorem** *Var-neq-Plus [iff]*:  $Var N \neq Plus X Y$   
 $\langle proof \rangle$

**theorem** *Var-neq-FnCall [iff]*:  $Var N \neq FnCall F Xs$   
 $\langle proof \rangle$

### 3.7 Injectivity of *FnCall*

**constdefs**  
 $fun :: exp \Rightarrow nat$   
 $fun X == contents (\bigcup U \in Rep-Exp X. \{freefun U\})$

**lemma** *fun-respects*:  $(\%U. \{freefun U\}) respects exprel$   
 $\langle proof \rangle$

**lemma** *fun-FnCall [simp]*:  $fun (FnCall F Xs) = F$   
 $\langle proof \rangle$

**constdefs**  
 $args :: exp \Rightarrow exp list$   
 $args X == contents (\bigcup U \in Rep-Exp X. \{Abs-ExpList (freeargs U)\})$

This result can probably be generalized to arbitrary equivalence relations, but with little benefit here.

**lemma** *Abs-ExpList-eq*:  
 $(y, z) \in listrel exprel \implies Abs-ExpList (y) = Abs-ExpList (z)$   
 $\langle proof \rangle$

**lemma** *args-respects*:  $(\%U. \{Abs-ExpList (freeargs U)\}) respects exprel$

$\langle proof \rangle$

**lemma** *args-FnCall* [simp]:  $args (FnCall F Xs) = Xs$   
 $\langle proof \rangle$

**lemma** *FnCall-FnCall-eq* [iff]:  
 $(FnCall F Xs = FnCall F' Xs') = (F = F' \ \& \ Xs = Xs')$   
 $\langle proof \rangle$

### 3.8 The Abstract Discriminator

However, as *FnCall-Var-neg-Var* illustrates, we don't need this function in order to prove discrimination theorems.

**constdefs**  
 $discrim :: exp \Rightarrow int$   
 $discrim X == contents (\bigcup U \in Rep-Exp X. \{freediscrim U\})$

**lemma** *discrim-respects*:  $(\lambda U. \{freediscrim U\})$  respects *exprel*  
 $\langle proof \rangle$

Now prove the four equations for *discrim*

**lemma** *discrim-Var* [simp]:  $discrim (Var N) = 0$   
 $\langle proof \rangle$

**lemma** *discrim-Plus* [simp]:  $discrim (Plus X Y) = 1$   
 $\langle proof \rangle$

**lemma** *discrim-FnCall* [simp]:  $discrim (FnCall F Xs) = 2$   
 $\langle proof \rangle$

The structural induction rule for the abstract type

**theorem** *exp-induct*:  
**assumes**  $V: \bigwedge nat. P1 (Var nat)$   
**and**  $P: \bigwedge exp1 exp2. \llbracket P1 exp1; P1 exp2 \rrbracket \Longrightarrow P1 (Plus exp1 exp2)$   
**and**  $F: \bigwedge nat list. P2 list \Longrightarrow P1 (FnCall nat list)$   
**and**  $Nil: P2 []$   
**and**  $Cons: \bigwedge exp list. \llbracket P1 exp; P2 list \rrbracket \Longrightarrow P2 (exp \# list)$   
**shows**  $P1 exp \ \& \ P2 list$   
 $\langle proof \rangle$   
**end**

## 4 Terms over a given alphabet

**theory** *Term* **imports** *Main* **begin**

```

datatype ('a, 'b) term =
  Var 'a
| App 'b ('a, 'b) term list

```

Substitution function on terms

```

consts
  subst-term :: ('a => ('a, 'b) term) => ('a, 'b) term => ('a, 'b) term
  subst-term-list ::
    ('a => ('a, 'b) term) => ('a, 'b) term list => ('a, 'b) term list

```

```

primrec
  subst-term f (Var a) = f a
  subst-term f (App b ts) = App b (subst-term-list f ts)

  subst-term-list f [] = []
  subst-term-list f (t # ts) =
    subst-term f t # subst-term-list f ts

```

A simple theorem about composition of substitutions

```

lemma subst-comp:
  subst-term (subst-term f1 o f2) t =
    subst-term f1 (subst-term f2 t)
and subst-term-list (subst-term f1 o f2) ts =
  subst-term-list f1 (subst-term-list f2 ts)
  <proof>

```

Alternative induction rule

```

lemma
  assumes var: !!v. P (Var v)
  and app: !!f ts. list-all P ts ==> P (App f ts)
  shows term-induct2: P t
and list-all P ts
  <proof>

end

```

## 5 Arithmetic and boolean expressions

```

theory ABexp imports Main begin

```

```

datatype 'a aexp =
  IF 'a bexp 'a aexp 'a aexp
| Sum 'a aexp 'a aexp
| Diff 'a aexp 'a aexp
| Var 'a

```

| *Num nat*  
**and** *'a bexp =*  
     *Less 'a aexp 'a aexp*  
     | *And 'a bexp 'a bexp*  
     | *Neg 'a bexp*

Evaluation of arithmetic and boolean expressions

**consts**

*evala* :: (*'a => nat*) => *'a aexp => nat*  
*evalb* :: (*'a => nat*) => *'a bexp => bool*

**primrec**

*evala env (IF b a1 a2) = (if evalb env b then evala env a1 else evala env a2)*  
*evala env (Sum a1 a2) = evala env a1 + evala env a2*  
*evala env (Diff a1 a2) = evala env a1 - evala env a2*  
*evala env (Var v) = env v*  
*evala env (Num n) = n*  
  
*evalb env (Less a1 a2) = (evala env a1 < evala env a2)*  
*evalb env (And b1 b2) = (evalb env b1 ∧ evalb env b2)*  
*evalb env (Neg b) = (¬ evalb env b)*

Substitution on arithmetic and boolean expressions

**consts**

*subst* :: (*'a => 'b aexp*) => *'a aexp => 'b aexp*  
*substb* :: (*'a => 'b aexp*) => *'a bexp => 'b bexp*

**primrec**

*subst f (IF b a1 a2) = IF (substb f b) (subst f a1) (subst f a2)*  
*subst f (Sum a1 a2) = Sum (subst f a1) (subst f a2)*  
*subst f (Diff a1 a2) = Diff (subst f a1) (subst f a2)*  
*subst f (Var v) = f v*  
*subst f (Num n) = Num n*  
  
*substb f (Less a1 a2) = Less (subst f a1) (subst f a2)*  
*substb f (And b1 b2) = And (substb f b1) (substb f b2)*  
*substb f (Neg b) = Neg (substb f b)*

**lemma** *subst1-aexp*:

*evala env (subst (Var (v := a')) a) = evala (env (v := evala env a')) a*

**and** *subst1-bexp*:

*evalb env (substb (Var (v := a')) b) = evalb (env (v := evala env a')) b*

— one variable

*<proof>*

**lemma** *subst-all-aexp*:

*evala env (subst s a) = evala (λx. evala env (s x)) a*

**and** *subst-all-bexp*:

```

    evalb env (substb s b) = evalb (λx. evala env (s x)) b
  <proof>

end

```

## 6 Infinitely branching trees

**theory** *Tree* imports *Main* begin

**datatype** 'a tree =  
   Atom 'a  
   | Branch nat => 'a tree

**consts**  
 map-tree :: ('a => 'b) => 'a tree => 'b tree

**primrec**  
 map-tree f (Atom a) = Atom (f a)  
 map-tree f (Branch ts) = Branch (λx. map-tree f (ts x))

**lemma** tree-map-compose: map-tree g (map-tree f t) = map-tree (g ∘ f) t  
 <proof>

**consts**  
 exists-tree :: ('a => bool) => 'a tree => bool

**primrec**  
 exists-tree P (Atom a) = P a  
 exists-tree P (Branch ts) = (∃ x. exists-tree P (ts x))

**lemma** exists-map:  
 (!!x. P x ==> Q (f x)) ==>  
 exists-tree P ts ==> exists-tree Q (map-tree f ts)  
 <proof>

### 6.1 The Brouwer ordinals, as in ZF/Induct/Brouwer.thy.

**datatype** brouwer = Zero | Succ brouwer | Lim nat => brouwer

Addition of ordinals

**consts**  
 add :: [brouwer, brouwer] => brouwer

**primrec**  
 add i Zero = i  
 add i (Succ j) = Succ (add i j)  
 add i (Lim f) = Lim (%n. add i (f n))

**lemma** add-assoc: add (add i j) k = add i (add j k)  
 <proof>

Multiplication of ordinals

**consts**

*mult* :: [brouwer,brouwer] => brouwer

**primrec**

*mult* *i* *Zero* = *Zero*

*mult* *i* (*Succ* *j*) = *add* (*mult* *i* *j*) *i*

*mult* *i* (*Lim* *f*) = *Lim* (%*n*. *mult* *i* (*f* *n*))

**lemma** *add-mult-distrib*: *mult* *i* (*add* *j* *k*) = *add* (*mult* *i* *j*) (*mult* *i* *k*)

*<proof>*

**lemma** *mult-assoc*: *mult* (*mult* *i* *j*) *k* = *mult* *i* (*mult* *j* *k*)

*<proof>*

We could probably instantiate some axiomatic type classes and use the standard infix operators.

## 6.2 A WF Ordering for The Brouwer ordinals (Michael Compton)

To define recdef style functions we need an ordering on the Brouwer ordinals. Start with a predecessor relation and form its transitive closure.

**constdefs**

*brouwer-pred* :: (brouwer \* brouwer) set

*brouwer-pred* ==  $\bigcup i. \{(m,n). n = \text{Succ } m \vee (\exists x f. n = \text{Lim } f \ \& \ m = f \ i)\}$

*brouwer-order* :: (brouwer \* brouwer) set

*brouwer-order* == *brouwer-pred* <sup>+</sup>

**lemma** *wf-brouwer-pred*: *wf* *brouwer-pred*

*<proof>*

**lemma** *wf-brouwer-order*: *wf* *brouwer-order*

*<proof>*

**lemma** [*simp*]: (*j*, *Succ* *j*) : *brouwer-order*

*<proof>*

**lemma** [*simp*]: (*f* *n*, *Lim* *f*) : *brouwer-order*

*<proof>*

Example of a recdef

**consts**

*add2* :: (brouwer\*brouwer) => brouwer

**recdef** *add2* *inv-image* *brouwer-order* ( $\lambda (x,y). y$ )

*add2* (*i*, *Zero*) = *i*

*add2* (*i*, (*Succ* *j*)) = *Succ* (*add2* (*i*, *j*))



```

    add2 (i, (Lim f)) = Lim (λ n. add2 (i, (f n)))
    (hints recdef-wf: wf-brouwer-order)

lemma add2-assoc: add2 (add2 (i, j), k) = add2 (i, add2 (j, k))
  ⟨proof⟩

end

```

## 7 Ordinals

**theory** *Ordinals* **imports** *Main* **begin**

Some basic definitions of ordinal numbers. Draws an Agda development (in Martin-Löf type theory) by Peter Hancock (see <http://www.dcs.ed.ac.uk/home/pgh/chat.html>).

```

datatype ordinal =
  Zero
  | Succ ordinal
  | Limit nat => ordinal

consts
  pred :: ordinal => nat => ordinal option

primrec
  pred Zero n = None
  pred (Succ a) n = Some a
  pred (Limit f) n = Some (f n)

consts
  iter :: ('a => 'a) => nat => ('a => 'a)

primrec
  iter f 0 = id
  iter f (Suc n) = f ∘ (iter f n)

constdefs
  OpLim :: (nat => (ordinal => ordinal)) => (ordinal => ordinal)
  OpLim F a == Limit (λn. F n a)
  OpItw :: (ordinal => ordinal) => (ordinal => ordinal)  (⊔)
  ⊔f == OpLim (iter f)

consts
  cantor :: ordinal => ordinal => ordinal

primrec
  cantor a Zero = Succ a
  cantor a (Succ b) = ⊔ (λx. cantor x b) a
  cantor a (Limit f) = Limit (λn. cantor a (f n))

```

```

consts
  Nabla :: (ordinal => ordinal) => (ordinal => ordinal)    (∇)
primrec
  ∇f Zero = f Zero
  ∇f (Succ a) = f (Succ (∇f a))
  ∇f (Limit h) = Limit (λn. ∇f (h n))

constdefs
  deriv :: (ordinal => ordinal) => (ordinal => ordinal)
  deriv f == ∇(⋒ f)

consts
  veblen :: ordinal => ordinal => ordinal
primrec
  veblen Zero = ∇(OpLim (iter (cantor Zero)))
  veblen (Succ a) = ∇(OpLim (iter (veblen a)))
  veblen (Limit f) = ∇(OpLim (λn. veblen (f n)))

constdefs
  veb a == veblen a Zero
  ε0 == veb Zero
  Γ0 == Limit (λn. iter veb n Zero)

end

```

## 8 Sigma algebras

**theory** *Sigma-Algebra* **imports** *Main* **begin**

This is just a tiny example demonstrating the use of inductive definitions in classical mathematics. We define the least  $\sigma$ -algebra over a given set of sets.

```

consts
  σ-algebra :: 'a set set => 'a set set

inductive σ-algebra A
intros
  basic: a ∈ A ==> a ∈ σ-algebra A
  UNIV: UNIV ∈ σ-algebra A
  complement: a ∈ σ-algebra A ==> -a ∈ σ-algebra A
  Union: (!i::nat. a i ∈ σ-algebra A) ==> (⋒ i. a i) ∈ σ-algebra A

```

The following basic facts are consequences of the closure properties of any  $\sigma$ -algebra, merely using the introduction rules, but no induction nor cases.

**theorem** *sigma-algebra-empty*:  $\{\} \in \sigma\text{-algebra } A$   
*<proof>*

**theorem** *sigma-algebra-Inter*:

```
(!!i::nat. a i ∈ σ-algebra A) ==> (∏ i. a i) ∈ σ-algebra A
⟨proof⟩
```

**end**

## 9 Combinatory Logic example: the Church-Rosser Theorem

**theory** *Comb* **imports** *Main* **begin**

Curiously, combinators do not include free variables.

Example taken from [?].

HOL system proofs may be found in the HOL distribution at .../contrib/rule-induction/cl.ml

### 9.1 Definitions

Datatype definition of combinators  $S$  and  $K$ .

```
datatype comb = K
              | S
              | ## comb comb (infixl 90)
```

Inductive definition of contractions,  $-1->$  and (multi-step) reductions,  $---->$ .

```
consts
  contract :: (comb*comb) set
   $-1->$       :: [comb,comb] => bool   (infixl 50)
   $---->$      :: [comb,comb] => bool   (infixl 50)
```

**translations**

```
 $x -1-> y == (x,y) \in contract$ 
 $x ----> y == (x,y) \in contract^*$ 
```

**syntax** (*xsymbols*)

```
op ## :: [comb,comb] => comb (infixl . 90)
```

**inductive** *contract*

**intros**

```
K:     $K ## x ## y -1-> x$ 
S:     $S ## x ## y ## z -1-> (x ## z) ## (y ## z)$ 
Ap1:  $x -1-> y ==> x ## z -1-> y ## z$ 
Ap2:  $x -1-> y ==> z ## x -1-> z ## y$ 
```

Inductive definition of parallel contractions,  $=1=>$  and (multi-step) parallel reductions,  $===>$ .

### consts

$parcontract :: (comb * comb) \text{ set}$   
 $=1=> \quad :: [comb, comb] => bool \quad (\text{infixl } 50)$   
 $===> \quad :: [comb, comb] => bool \quad (\text{infixl } 50)$

### translations

$x =1=> y == (x,y) \in parcontract$   
 $x ===> y == (x,y) \in parcontract^*$

### inductive parcontract

#### intros

$refl: x =1=> x$   
 $K: K \#\#\#\#\#y =1=> x$   
 $S: S \#\#\#\#\#y \#\#\#z =1=> (x \#\#\#z) \#\#\#(y \#\#\#z)$   
 $Ap: [| x=1=>y; z=1=>w |] ==> x \#\#\#z =1=> y \#\#\#w$

Misc definitions.

### constdefs

$I :: comb$   
 $I == S \#\#\#K \#\#\#K$

$diamond :: ('a * 'a) \text{ set} => bool$   
 — confluence; Lambda/Commutation treats this more abstractly  
 $diamond(r) == \forall x y. (x,y) \in r \dashrightarrow$   
 $(\forall y'. (x,y') \in r \dashrightarrow$   
 $(\exists z. (y,z) \in r \ \& \ (y',z) \in r))$

## 9.2 Reflexive/Transitive closure preserves Church-Rosser property

So does the Transitive closure, with a similar proof

Strip lemma. The induction hypothesis covers all but the last diamond of the strip.

**lemma** *diamond-strip-lemmaE* [rule-format]:

$[| diamond(r); (x,y) \in r^* |] ==>$   
 $\forall y'. (x,y') \in r \dashrightarrow (\exists z. (y',z) \in r^* \ \& \ (y,z) \in r)$   
 $\langle proof \rangle$

**lemma** *diamond-rtranc1*:  $diamond(r) ==> diamond(r^*)$   
 $\langle proof \rangle$

## 9.3 Non-contraction results

Derive a case for each combinator constructor.

### inductive-cases

$K\text{-contractE} [elim!]: K -1-> r$   
**and**  $S\text{-contractE} [elim!]: S -1-> r$

**and** *Ap-contractE* [elim!]:  $p \# \# q \rightarrow 1 \rightarrow r$   
**declare** *contract.K* [intro!] *contract.S* [intro!]  
**declare** *contract.Ap1* [intro] *contract.Ap2* [intro]  
**lemma** *I-contract-E* [elim!]:  $I \rightarrow 1 \rightarrow z \implies P$   
 $\langle \text{proof} \rangle$   
**lemma** *K1-contractD* [elim!]:  $K \# \# x \rightarrow 1 \rightarrow z \implies (\exists x'. z = K \# \# x' \ \& \ x \rightarrow 1 \rightarrow x')$   
 $\langle \text{proof} \rangle$   
**lemma** *Ap-reduce1* [intro]:  $x \dashrightarrow y \implies x \# \# z \dashrightarrow y \# \# z$   
 $\langle \text{proof} \rangle$   
**lemma** *Ap-reduce2* [intro]:  $x \dashrightarrow y \implies z \# \# x \dashrightarrow z \# \# y$   
 $\langle \text{proof} \rangle$   
  
**lemma** *KIII-contract1*:  $K \# \# I \# \# (I \# \# I) \rightarrow 1 \rightarrow I$   
 $\langle \text{proof} \rangle$   
**lemma** *KIII-contract2*:  $K \# \# I \# \# (I \# \# I) \rightarrow 1 \rightarrow K \# \# I \# \# ((K \# \# I) \# \# (K \# \# I))$   
 $\langle \text{proof} \rangle$   
**lemma** *KIII-contract3*:  $K \# \# I \# \# ((K \# \# I) \# \# (K \# \# I)) \rightarrow 1 \rightarrow I$   
 $\langle \text{proof} \rangle$   
**lemma** *not-diamond-contract*:  $\sim \text{diamond}(\text{contract})$   
 $\langle \text{proof} \rangle$

## 9.4 Results about Parallel Contraction

Derive a case for each combinator constructor.

### inductive-cases

*K-parcontractE* [elim!]:  $K = 1 \Rightarrow r$   
**and** *S-parcontractE* [elim!]:  $S = 1 \Rightarrow r$   
**and** *Ap-parcontractE* [elim!]:  $p \# \# q = 1 \Rightarrow r$

**declare** *parcontract.intros* [intro]

## 9.5 Basic properties of parallel contraction

**lemma** *K1-parcontractD* [dest!]:  $K \# \# x = 1 \Rightarrow z \implies (\exists x'. z = K \# \# x' \ \& \ x = 1 \Rightarrow x')$   
 $\langle \text{proof} \rangle$

**lemma** *S1-parcontractD* [dest!]:  $S\#\#x = 1 \Rightarrow z \Rightarrow (\exists x'. z = S\#\#x' \ \& \ x = 1 \Rightarrow x')$   
 <proof>

**lemma** *S2-parcontractD* [dest!]:  
 $S\#\#x\#\#y = 1 \Rightarrow z \Rightarrow (\exists x' y'. z = S\#\#x'\#\#y' \ \& \ x = 1 \Rightarrow x' \ \& \ y = 1 \Rightarrow y')$   
 <proof>

The rules above are not essential but make proofs much faster

Church-Rosser property for parallel contraction

**lemma** *diamond-parcontract*: *diamond parcontract*  
 <proof>

Equivalence of  $p \dashrightarrow q$  and  $p \Rightarrow q$ .

**lemma** *contract-subset-parcontract*: *contract*  $\leq$  *parcontract*  
 <proof>

Reductions: simply throw together reflexivity, transitivity and the one-step reductions

**declare** *r-into-rtrancl* [intro] *rtrancl-trans* [intro]

**lemma** *reduce-I*:  $I\#\#x \dashrightarrow x$   
 <proof>

**lemma** *parcontract-subset-reduce*: *parcontract*  $\leq$  *contract*<sup>\*</sup>  
 <proof>

**lemma** *reduce-eq-parreduce*: *contract*<sup>\*</sup> = *parcontract*<sup>\*</sup>  
 <proof>

**lemma** *diamond-reduce*: *diamond*(*contract*<sup>\*</sup>)  
 <proof>

**end**

## 10 Meta-theory of propositional logic

**theory** *PropLog* **imports** *Main* **begin**

Datatype definition of propositional logic formulae and inductive definition of the propositional tautologies.

Inductive definition of propositional logic. Soundness and completeness w.r.t. truth-tables.

Prove: If  $H \models p$  then  $G \models p$  where  $G \in \text{Fin}(H)$

## 10.1 The datatype of propositions

**datatype**

$'a \text{ pl} = \text{false} \mid \text{var } 'a \ (\#- \ [1000]) \mid -> 'a \text{ pl } 'a \text{ pl} \ (\text{infixr } 90)$

## 10.2 The proof system

**consts**

$\text{thms} :: 'a \text{ pl set} \Rightarrow 'a \text{ pl set}$   
 $\mid- :: ['a \text{ pl set}, 'a \text{ pl}] \Rightarrow \text{bool} \quad (\text{infixl } 50)$

**translations**

$H \mid- p \iff p \in \text{thms}(H)$

**inductive**  $\text{thms}(H)$

**intros**

$H \text{ [intro]: } p \in H \implies H \mid- p$   
 $K: \quad H \mid- p \rightarrow q \rightarrow p$   
 $S: \quad H \mid- (p \rightarrow q \rightarrow r) \rightarrow (p \rightarrow q) \rightarrow p \rightarrow r$   
 $DN: \quad H \mid- ((p \rightarrow \text{false}) \rightarrow \text{false}) \rightarrow p$   
 $MP: \quad [H \mid- p \rightarrow q; H \mid- p] \implies H \mid- q$

## 10.3 The semantics

### 10.3.1 Semantics of propositional logic.

**consts**

$\text{eval} :: ['a \text{ set}, 'a \text{ pl}] \Rightarrow \text{bool} \quad (-[[\cdot]] \ [100,0] \ 100)$

**primrec**

$\text{tt}[[\text{false}]] = \text{False}$   
 $\text{tt}[[\#v]] = (v \in \text{tt})$   
 $\text{eval-imp: } \text{tt}[[p \rightarrow q]] = (\text{tt}[[p]] \longrightarrow \text{tt}[[q]])$

A finite set of hypotheses from  $t$  and the  $\text{Vars}$  in  $p$ .

**consts**

$\text{hyps} :: ['a \text{ pl}, 'a \text{ set}] \Rightarrow 'a \text{ pl set}$

**primrec**

$\text{hyps false } \text{tt} = \{\}$   
 $\text{hyps } (\#v) \ \text{tt} = \{\text{if } v \in \text{tt} \text{ then } \#v \text{ else } \#v \rightarrow \text{false}\}$   
 $\text{hyps } (p \rightarrow q) \ \text{tt} = \text{hyps } p \ \text{tt} \cup \text{hyps } q \ \text{tt}$

### 10.3.2 Logical consequence

For every valuation, if all elements of  $H$  are true then so is  $p$ .

**constdefs**

$\text{sat} :: ['a \text{ pl set}, 'a \text{ pl}] \Rightarrow \text{bool} \quad (\text{infixl } \models \ 50)$

$$H \models p \iff (\forall tt. (\forall q \in H. tt[[q]]) \implies tt[[p]])$$

## 10.4 Proof theory of propositional logic

**lemma** *thms-mono*:  $G \leq H \implies thms(G) \leq thms(H)$   
 $\langle proof \rangle$

**lemma** *thms-I*:  $H \vdash p \rightarrow p$   
 — Called *I* for Identity Combinator, not for Introduction.  
 $\langle proof \rangle$

### 10.4.1 Weakening, left and right

**lemma** *weaken-left*:  $[G \subseteq H; G \vdash p] \implies H \vdash p$   
 — Order of premises is convenient with *THEN*  
 $\langle proof \rangle$

**lemmas** *weaken-left-insert* = *subset-insertI* [*THEN* *weaken-left*]

**lemmas** *weaken-left-Un1* = *Un-upper1* [*THEN* *weaken-left*]

**lemmas** *weaken-left-Un2* = *Un-upper2* [*THEN* *weaken-left*]

**lemma** *weaken-right*:  $H \vdash q \implies H \vdash p \rightarrow q$   
 $\langle proof \rangle$

### 10.4.2 The deduction theorem

**theorem** *deduction*:  $insert\ p\ H \vdash q \implies H \vdash p \rightarrow q$   
 $\langle proof \rangle$

### 10.4.3 The cut rule

**lemmas** *cut* = *deduction* [*THEN* *thms.MP*]

**lemmas** *thms-falseE* = *weaken-right* [*THEN* *thms.DN* [*THEN* *thms.MP*]]

**lemmas** *thms-notE* = *thms.MP* [*THEN* *thms-falseE*, *standard*]

### 10.4.4 Soundness of the rules wrt truth-table semantics

**theorem** *soundness*:  $H \vdash p \implies H \models p$   
 $\langle proof \rangle$

## 10.5 Completeness

### 10.5.1 Towards the completeness proof

**lemma** *false-imp*:  $H \vdash p \rightarrow false \implies H \vdash p \rightarrow q$   
 $\langle proof \rangle$

**lemma** *imp-false*:



$\llbracket H \mid - p; H \mid - q \rightarrow \text{false} \rrbracket \implies H \mid - (p \rightarrow q) \rightarrow \text{false}$   
 $\langle \text{proof} \rangle$

**lemma** *hyps-thms-if*:  $\text{hyps } p \text{ tt} \mid - (\text{if } \text{tt}[[p]] \text{ then } p \text{ else } p \rightarrow \text{false})$   
 — Typical example of strengthening the induction statement.  
 $\langle \text{proof} \rangle$

**lemma** *sat-thms-p*:  $\{\} \models p \implies \text{hyps } p \text{ tt} \mid - p$   
 — Key lemma for completeness; yields a set of assumptions satisfying  $p$   
 $\langle \text{proof} \rangle$

For proving certain theorems in our new propositional logic.

**declare** *deduction* [*intro!*]  
**declare** *thms.H* [*THEN thms.MP, intro*]

The excluded middle in the form of an elimination rule.

**lemma** *thms-excluded-middle*:  $H \mid - (p \rightarrow q) \rightarrow ((p \rightarrow \text{false}) \rightarrow q) \rightarrow q$   
 $\langle \text{proof} \rangle$

**lemma** *thms-excluded-middle-rule*:  
 $\llbracket \text{insert } p \text{ } H \mid - q; \text{insert } (p \rightarrow \text{false}) \text{ } H \mid - q \rrbracket \implies H \mid - q$   
 — Hard to prove directly because it requires cuts  
 $\langle \text{proof} \rangle$

## 10.6 Completeness – lemmas for reducing the set of assumptions

For the case  $\text{hyps } p \text{ } t - \text{insert } \#v \text{ } Y \mid - p$  we also have  $\text{hyps } p \text{ } t - \{\#v\} \subseteq \text{hyps } p \text{ } (t - \{v\})$ .

**lemma** *hyps-Diff*:  $\text{hyps } p \text{ } (t - \{v\}) \leq \text{insert } (\#v \rightarrow \text{false}) \text{ } ((\text{hyps } p \text{ } t) - \{\#v\})$   
 $\langle \text{proof} \rangle$

For the case  $\text{hyps } p \text{ } t - \text{insert } (\#v \rightarrow \text{Fls}) \text{ } Y \mid - p$  we also have  $\text{hyps } p \text{ } t - \{\#v \rightarrow \text{Fls}\} \subseteq \text{hyps } p \text{ } (\text{insert } v \text{ } t)$ .

**lemma** *hyps-insert*:  $\text{hyps } p \text{ } (\text{insert } v \text{ } t) \leq \text{insert } (\#v) \text{ } (\text{hyps } p \text{ } t - \{\#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

Two lemmas for use with *weaken-left*

**lemma** *insert-Diff-same*:  $B - C \leq \text{insert } a \text{ } (B - \text{insert } a \text{ } C)$   
 $\langle \text{proof} \rangle$

**lemma** *insert-Diff-subset2*:  $\text{insert } a \text{ } (B - \{c\}) - D \leq \text{insert } a \text{ } (B - \text{insert } c \text{ } D)$   
 $\langle \text{proof} \rangle$

The set  $\text{hyps } p \text{ } t$  is finite, and elements have the form  $\#v$  or  $\#v \rightarrow \text{Fls}$ .

**lemma** *hyps-finite*:  $\text{finite}(\text{hyps } p \text{ } t)$   
 $\langle \text{proof} \rangle$

**lemma** *hyps-subset*:  $\text{hyps } p \ t \leq (UN \ v. \{\#v, \#v \rightarrow \text{false}\})$   
 $\langle \text{proof} \rangle$

**lemmas** *Diff-weaken-left* = *Diff-mono* [*OF* - *subset-refl*, *THEN* *weaken-left*]

### 10.6.1 Completeness theorem

Induction on the finite set of assumptions *hyps* *p* *t0*. We may repeatedly subtract assumptions until none are left!

**lemma** *completeness-0-lemma*:  
 $\{\} \models p \implies \forall t. \text{hyps } p \ t - \text{hyps } p \ t0 \vdash p$   
 $\langle \text{proof} \rangle$

The base case for completeness

**lemma** *completeness-0*:  $\{\} \models p \implies \{\} \vdash p$   
 $\langle \text{proof} \rangle$

A semantic analogue of the Deduction Theorem

**lemma** *sat-imp*:  $\text{insert } p \ H \models q \implies H \models p \rightarrow q$   
 $\langle \text{proof} \rangle$

**theorem** *completeness* [*rule-format*]:  $\text{finite } H \implies \forall p. H \models p \dashv\dashv H \vdash p$   
 $\langle \text{proof} \rangle$

**theorem** *syntax-iff-semantics*:  $\text{finite } H \implies (H \vdash p) = (H \models p)$   
 $\langle \text{proof} \rangle$

**end**

**theory** *Sexp* **imports** *Datatype-Universe Inductive* **begin**

**consts**

*sexp*  $:: 'a \text{ item set}$

**inductive** *sexp*

**intros**

*LeafI*:  $\text{Leaf}(a) \in \text{sexp}$

*NumbI*:  $\text{Numb}(i) \in \text{sexp}$

*SconsI*:  $[\mid M \in \text{sexp}; \ N \in \text{sexp} \mid] \implies \text{Scons } M \ N \in \text{sexp}$

**constdefs**

*sexp-case*  $:: ['a \Rightarrow 'b, \text{nat} \Rightarrow 'b, ['a \text{ item}, 'a \text{ item}] \Rightarrow 'b,$   
 $\quad 'a \text{ item}] \Rightarrow 'b$

*sexp-case*  $c \ d \ e \ M == \text{THE } z. (\text{EX } x. \ M = \text{Leaf}(x) \ \& \ z = c(x))$

| (EX k. M=Numb(k) & z=d(k))  
 | (EX N1 N2. M = Scons N1 N2 & z=e N1 N2)

*pred-serp* :: ('a item \* 'a item)set  
*pred-serp* ==  $\bigcup M \in \text{serp}. \bigcup N \in \text{serp}. \{(M, \text{Scons } M N), (N, \text{Scons } M N)\}$

*serp-rec* :: ['a item, 'a=>'b, nat=>'b,  
 ['a item, 'a item, 'b, 'b]=>'b] => 'b  
*serp-rec* M c d e == wfrec *pred-serp*  
 (%g. *serp-case* c d (%N1 N2. e N1 N2 (g N1) (g N2))) M

**lemma** *serp-case-Leaf* [simp]: *serp-case* c d e (Leaf a) = c(a)  
 <proof>

**lemma** *serp-case-Numb* [simp]: *serp-case* c d e (Numb k) = d(k)  
 <proof>

**lemma** *serp-case-Scons* [simp]: *serp-case* c d e (Scons M N) = e M N  
 <proof>

**lemma** *serp-In0I*:  $M \in \text{serp} ==> \text{In0}(M) \in \text{serp}$   
 <proof>

**lemma** *serp-In1I*:  $M \in \text{serp} ==> \text{In1}(M) \in \text{serp}$   
 <proof>

**declare** *serp.intros* [intro,simp]

**lemma** *range-Leaf-subset-serp*: *range*(Leaf) <= *serp*  
 <proof>

**lemma** *Scons-D*:  $\text{Scons } M N \in \text{serp} ==> M \in \text{serp} \ \& \ N \in \text{serp}$   
 <proof>

**lemma** *pred-serp-subset-Sigma*: *pred-serp* <= *serp* <\*> *serp*  
 <proof>

**lemmas** *trancl-pred-serpD1* =  
*pred-serp-subset-Sigma*

$[THEN\ tranc1-subset-Sigma,\ THEN\ subsetD,\ THEN\ SigmaD1]$   
**and**  $tranc1-pred-sexpD2 =$   
 $pred-sexp-subset-Sigma$   
 $[THEN\ tranc1-subset-Sigma,\ THEN\ subsetD,\ THEN\ SigmaD2]$

**lemma**  $pred-sexpI1$ :

$[M \in sexp;\ N \in sexp] ==> (M,\ Scons\ M\ N) \in pred-sexp$   
 $\langle proof \rangle$

**lemma**  $pred-sexpI2$ :

$[M \in sexp;\ N \in sexp] ==> (N,\ Scons\ M\ N) \in pred-sexp$   
 $\langle proof \rangle$

**lemmas**  $pred-sexp-t1\ [simp] = pred-sexpI1\ [THEN\ r-into-tranc1]$   
**and**  $pred-sexp-t2\ [simp] = pred-sexpI2\ [THEN\ r-into-tranc1]$

**lemmas**  $pred-sexp-trans1\ [simp] = trans-tranc1\ [THEN\ transD,\ OF\ -pred-sexp-t1]$   
**and**  $pred-sexp-trans2\ [simp] = trans-tranc1\ [THEN\ transD,\ OF\ -pred-sexp-t2]$

**declare**  $cut-apply\ [simp]$

**lemma**  $pred-sexpE$ :

$[p \in pred-sexp;$   
 $!!M\ N.\ [p = (M,\ Scons\ M\ N);\ M \in sexp;\ N \in sexp] ==> R;$   
 $!!M\ N.\ [p = (N,\ Scons\ M\ N);\ M \in sexp;\ N \in sexp] ==> R$   
 $] ==> R$   
 $\langle proof \rangle$

**lemma**  $wf-pred-sexp$ :  $wf(pred-sexp)$

$\langle proof \rangle$

**lemma**  $sexp-rec-unfold-lemma$ :

$(\%M.\ sexp-rec\ M\ c\ d\ e) ==$   
 $wfrec\ pred-sexp\ (\%g.\ sexp-case\ c\ d\ (\%N1\ N2.\ e\ N1\ N2\ (g\ N1)\ (g\ N2)))$   
 $\langle proof \rangle$

**lemmas**  $sexp-rec-unfold = def-wfrec\ [OF\ sexp-rec-unfold-lemma\ wf-pred-sexp]$

**lemma**  $sexp-rec-Leaf$ :  $sexp-rec\ (Leaf\ a)\ c\ d\ h = c(a)$

$\langle proof \rangle$

```

lemma sexp-rec-Numb: sexp-rec (Numb k) c d h = d(k)
<proof>

lemma sexp-rec-Scons: [| M ∈ sexp; N ∈ sexp |] ==>
  sexp-rec (Scons M N) c d h = h M N (sexp-rec M c d h) (sexp-rec N c d h)
<proof>

end

```

```

theory SList imports NatArith Sexp Hilbert-Choice begin

```

```

constdefs
  NIL :: 'a item
  NIL == In0(Numb(0))

  CONS :: ['a item, 'a item] => 'a item
  CONS M N == In1(Scons M N)

consts
  list :: 'a item set => 'a item set
inductive list(A)
intros
  NIL-I: NIL: list A
  CONS-I: [| a: A; M: list A |] ==> CONS a M : list A

```

**typedef** (*List*)

*'a list* = *list(range Leaf) :: 'a item set*  
*<proof>*

**constdefs**

*List-case* :: [*'b, ['a item, 'a item]=>'b, 'a item*] => *'b*  
*List-case* *c d* == *Case(%x. c)(Split(d))*

*List-rec* :: [*'a item, 'b, ['a item, 'a item, 'b]=>'b*] => *'b*  
*List-rec* *M c d* == *wfrec (trancl pred-sexp)*  
*(%g. List-case c (%x y. d x y (g y))) M*

**constdefs**

*Nil* :: *'a list*  
*Nil* == *Abs-List(NIL)*

*Cons* :: [*'a, 'a list*] => *'a list* (**infixr** # 65)  
*x#xs* == *Abs-List(CONS (Leaf x)(Rep-List xs))*

*list-rec* :: [*'a list, 'b, ['a, 'a list, 'b]=>'b*] => *'b*  
*list-rec* *l c d* ==  
*List-rec(Rep-List l) c (%x y r. d(inv Leaf x)(Abs-List y) r)*

*list-case* :: [*'b, ['a, 'a list]=>'b, 'a list*] => *'b*  
*list-case* *a f xs* == *list-rec xs a (%x xs r. f x xs)*

**consts**

*[]* :: *'a list* (*[]*)

**syntax**

*@list* :: *args => 'a list* (*[(*-*)]*)

**translations**

*[x, xs]* == *x#[xs]*  
*[x]* == *x#[]*  
*[]* == *Nil*

*case xs of Nil => a | y#ys => b* == *list-case(a, %y ys. b, xs)*

### constdefs

*Rep-map* :: ('b => 'a item) => ('b list => 'a item)  
*Rep-map* f xs == list-rec xs NIL(%x l r. CONS(f x) r)

*Abs-map* :: ('a item => 'b) => 'a item => 'b list  
*Abs-map* g M == List-rec M Nil (%N L r. g(N)#r)

### constdefs

*null* :: 'a list => bool  
*null* xs == list-rec xs True (%x xs r. False)

*hd* :: 'a list => 'a  
*hd* xs == list-rec xs (@x. True) (%x xs r. x)

*tl* :: 'a list => 'a list  
*tl* xs == list-rec xs (@xs. True) (%x xs r. xs)

*ttl* :: 'a list => 'a list  
*ttl* xs == list-rec xs [] (%x xs r. xs)

*member* :: ['a, 'a list] => bool (infixl mem 55)  
*x mem* xs == list-rec xs False (%y ys r. if y=x then True else r)

*list-all* :: ('a => bool) => ('a list => bool)  
*list-all* P xs == list-rec xs True(%x l r. P(x) & r)

*map* :: ('a=>'b) => ('a list => 'b list)  
*map* f xs == list-rec xs [] (%x l r. f(x)#r)

### constdefs

*append* :: ['a list, 'a list] => 'a list (infixr @ 65)  
*xs@ys* == list-rec xs ys (%x l r. x#r)

$filter :: ['a \Rightarrow bool, 'a list] \Rightarrow 'a list$   
 $filter\ P\ xs == list-rec\ xs\ []\ (\%x\ xs\ r.\ if\ P(x)\ then\ x\#r\ else\ r)$

$foldl :: [['b, 'a] \Rightarrow 'b, 'b, 'a list] \Rightarrow 'b$   
 $foldl\ f\ a\ xs == list-rec\ xs\ (\%a.\ a)(\%x\ xs\ r.\ \%a.\ r(f\ a\ x))(a)$

$foldr :: [['a, 'b] \Rightarrow 'b, 'b, 'a list] \Rightarrow 'b$   
 $foldr\ f\ a\ xs == list-rec\ xs\ a\ (\%x\ xs\ r.\ (f\ x\ r))$

$length :: 'a list \Rightarrow nat$   
 $length\ xs == list-rec\ xs\ 0\ (\%x\ xs\ r.\ Suc\ r)$

$drop :: ['a list, nat] \Rightarrow 'a list$   
 $drop\ t\ n == (nat-rec(\%x.\ x)(\%m\ r\ xs.\ r(ttl\ xs)))(n)(t)$

$copy :: ['a, nat] \Rightarrow 'a list$   
 $copy\ t == nat-rec\ []\ (\%m\ xs.\ t\ \# \ xs)$

$flat :: 'a list list \Rightarrow 'a list$   
 $flat == foldr\ (op\ @)\ []$

$nth :: [nat, 'a list] \Rightarrow 'a$   
 $nth == nat-rec\ hd\ (\%m\ r\ xs.\ r(tl\ xs))$

$rev :: 'a list \Rightarrow 'a list$   
 $rev\ xs == list-rec\ xs\ []\ (\%x\ xs\ xsa.\ xsa\ @\ [x])$

$zipWith :: ['a * 'b \Rightarrow 'c, 'a list * 'b list] \Rightarrow 'c list$   
 $zipWith\ f\ S == (list-rec\ (fst\ S)\ (\%T.\ []))$   
 $(\%x\ xs\ r.\ \%T.\ if\ null\ T\ then\ []$   
 $else\ f(x,hd\ T)\ \# \ r(tl\ T)))(snd(S))$

$zip :: 'a list * 'b list \Rightarrow ('a * 'b) list$   
 $zip == zipWith\ (\%s.\ s)$

$unzip :: ('a * 'b) list \Rightarrow ('a list * 'b list)$   
 $unzip == foldr(\% (a,b)(c,d).(a\#c,b\#d))([],[])$

**consts**  $take :: ['a list, nat] \Rightarrow 'a list$   
**primrec**  
 $take-0:$   $take\ xs\ 0 = []$   
 $take-Suc:$   $take\ xs\ (Suc\ n) = list-case\ []\ (\%x\ l.\ x\ \# \ take\ l\ n)\ xs$

**consts**  $enum :: [nat, nat] \Rightarrow nat list$   
**primrec**  
 $enum-0:$   $enum\ i\ 0 = []$



*enum-Suc*:  $\text{enum } i \text{ (Suc } j) = (\text{if } i \leq j \text{ then enum } i \ j \ @ \ [j] \text{ else } [])$

### **syntax**

$@Alls \quad :: [idt, 'a \text{ list}, bool] \Rightarrow bool \quad ((2Alls \text{ } :- \cdot / \text{ } -) \ 10)$   
 $@filter \quad :: [idt, 'a \text{ list}, bool] \Rightarrow 'a \text{ list} \quad ((1[- \cdot / -])$

### **translations**

$[x:xs. P] \quad == \text{filter}(\%x. P) \ xs$   
 $Alls \ x:xs. P == \text{list-all}(\%x. P)xs$

**lemma** *ListI*:  $x : \text{list } (\text{range Leaf}) \Rightarrow x : \text{List}$   
 $\langle \text{proof} \rangle$

**lemma** *ListD*:  $x : \text{List} \Rightarrow x : \text{list } (\text{range Leaf})$   
 $\langle \text{proof} \rangle$

**lemma** *list-unfold*:  $\text{list}(A) = \text{usum } \{\text{Numb}(0)\} \ (\text{uprod } A \ (\text{list}(A)))$   
 $\langle \text{proof} \rangle$

**lemma** *list-mono*:  $A \leq B \Rightarrow \text{list}(A) \leq \text{list}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *list-serp*:  $\text{list}(\text{serp}) \leq \text{serp}$   
 $\langle \text{proof} \rangle$

**lemmas** *list-subset-serp* = *subset-trans* [*OF list-mono list-serp*]

**lemma** *list-induct*:  
 $[ [ P(\text{Nil});$   
 $\quad !!x \ xs. P(xs) \Rightarrow P(x \ \# \ xs) ] ] \Rightarrow P(l)$   
 $\langle \text{proof} \rangle$

**lemma** *inj-on-Abs-list*:  $\text{inj-on Abs-List } (\text{list}(\text{range Leaf}))$   
 $\langle \text{proof} \rangle$

**lemma** *CONS-not-NIL* [*iff*]:  $\text{CONS } M \ N \ \sim = \text{NIL}$

$\langle proof \rangle$

**lemmas**  $NIL-not-CONS$  [iff] =  $CONS-not-NIL$  [THEN not-sym]  
**lemmas**  $CONS-neq-NIL$  =  $CONS-not-NIL$  [THEN notE, standard]  
**lemmas**  $NIL-neq-CONS$  =  $sym$  [THEN  $CONS-neq-NIL$ ]

**lemma**  $Cons-not-Nil$  [iff]:  $x \# xs \sim Nil$   
 $\langle proof \rangle$

**lemmas**  $Nil-not-Cons$  [iff] =  $Cons-not-Nil$  [THEN not-sym, standard]  
**lemmas**  $Cons-neq-Nil$  =  $Cons-not-Nil$  [THEN notE, standard]  
**lemmas**  $Nil-neq-Cons$  =  $sym$  [THEN  $Cons-neq-Nil$ ]

**lemma**  $CONS-CONS-eq$  [iff]:  $(CONS\ K\ M) = (CONS\ L\ N) = (K=L \ \& \ M=N)$   
 $\langle proof \rangle$

**declare**  $Rep-List$  [THEN  $ListD$ , intro]  $ListI$  [intro]  
**declare**  $list.intros$  [intro, simp]  
**declare**  $Leaf-inject$  [dest!]

**lemma**  $Cons-Cons-eq$  [iff]:  $(x \# xs = y \# ys) = (x=y \ \& \ xs=ys)$   
 $\langle proof \rangle$

**lemmas**  $Cons-inject2$  =  $Cons-Cons-eq$  [THEN iffD1, THEN conjE, standard]

**lemma**  $CONS-D$ :  $CONS\ M\ N: list(A) ==> M: A \ \& \ N: list(A)$   
 $\langle proof \rangle$

**lemma**  $sexp-CONS-D$ :  $CONS\ M\ N: sexp ==> M: sexp \ \& \ N: sexp$   
 $\langle proof \rangle$

**lemma**  $not-CONS-self$ :  $N: list(A) ==> !M. N \sim CONS\ M\ N$   
 $\langle proof \rangle$

**lemma**  $not-Cons-self2$ :  $\forall x. l \sim x \# l$   
 $\langle proof \rangle$

**lemma**  $neq-Nil-conv2$ :  $(xs \sim []) = (\exists y\ ys. xs = y \# ys)$   
 $\langle proof \rangle$

**lemma** *List-case-NIL* [simp]: *List-case c h NIL = c*  
 <proof>

**lemma** *List-case-CONS* [simp]: *List-case c h (CONS M N) = h M N*  
 <proof>

**lemma** *List-rec-unfold-lemma*:  
 (%M. *List-rec M c d*) ==  
 wfrec (tranc1 pred-sexp) (%g. *List-case c* (%x y. d x y (g y)))  
 <proof>

**lemmas** *List-rec-unfold* =  
 def-wfrec [OF *List-rec-unfold-lemma wf-pred-sexp [THEN wf-tranc1]*,  
 standard]

**lemma** *pred-sexp-CONS-I1*:  
 [| M: sexp; N: sexp |] ==> (M, CONS M N) : pred-sexp^+  
 <proof>

**lemma** *pred-sexp-CONS-I2*:  
 [| M: sexp; N: sexp |] ==> (N, CONS M N) : pred-sexp^+  
 <proof>

**lemma** *pred-sexp-CONS-D*:  
 (CONS M1 M2, N) : pred-sexp^+ ==>  
 (M1,N) : pred-sexp^+ & (M2,N) : pred-sexp^+  
 <proof>

**lemma** *List-rec-NIL* [simp]: *List-rec NIL c h = c*  
 <proof>

**lemma** *List-rec-CONS* [simp]:  
 [| M: sexp; N: sexp |]  
 ==> *List-rec (CONS M N) c h = h M N (List-rec N c h)*  
 <proof>

**lemmas** *Rep-List-in-sexp* =  
     *subsetD* [*OF range-Leaf-subset-sexp* [*THEN list-subset-sexp*]  
               *Rep-List* [*THEN ListD*]]

**lemma** *list-rec-Nil* [*simp*]: *list-rec Nil c h = c*  
 ⟨*proof*⟩

**lemma** *list-rec-Cons* [*simp*]: *list-rec (a#l) c h = h a l (list-rec l c h)*  
 ⟨*proof*⟩

**lemma** *List-rec-type*:  
     [| *M*: *list*(*A*);  
       *A* ≤ *sexp*;  
       *c*: *C*(*NIL*);  
       !!*x y r*. [| *x*: *A*; *y*: *list*(*A*); *r*: *C*(*y*) |] ==> *h x y r*: *C*(*CONS x y*)  
     |] ==> *List-rec M c h* : *C*(*M* :: '*a item*)  
 ⟨*proof*⟩

**lemma** *Rep-map-Nil* [*simp*]: *Rep-map f Nil = NIL*  
 ⟨*proof*⟩

**lemma** *Rep-map-Cons* [*simp*]:  
     *Rep-map f (x#xs) = CONS(f x)(Rep-map f xs)*  
 ⟨*proof*⟩

**lemma** *Rep-map-type*: (!!*x*. *f*(*x*): *A*) ==> *Rep-map f xs*: *list*(*A*)  
 ⟨*proof*⟩

**lemma** *Abs-map-NIL* [*simp*]: *Abs-map g NIL = Nil*  
 ⟨*proof*⟩

**lemma** *Abs-map-CONS* [*simp*]:  
     [| *M*: *sexp*; *N*: *sexp* |] ==> *Abs-map g (CONS M N) = g(M) # Abs-map g N*  
 ⟨*proof*⟩

**lemma** *def-list-rec-NilCons*:  
     [| !!*xs*. *f*(*xs*) == *list-rec xs c h* |]  
     ==> *f [] = c & f(x#xs) = h x xs (f xs)*

$\langle proof \rangle$

**lemma** *Abs-map-inverse*:

$[ [ M : list(A); A \leq_{sexp}; !!z. z : A ==> f(g(z)) = z ] ]$   
 $==> Rep-map f (Abs-map g M) = M$

$\langle proof \rangle$

Better to have a single theorem with a conjunctive conclusion.

**declare** *def-list-rec-NilCons* [*OF list-case-def, simp*]

**lemma** *expand-list-case*:

$P(list-case\ a\ f\ xs) = ((xs=[] \longrightarrow P\ a) \ \&\ (!y\ ys. xs=y\#\!ys \longrightarrow P(f\ y\ ys)))$   
 $\langle proof \rangle$

**declare** *def-list-rec-NilCons* [*OF null-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF hd-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF tl-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF ttl-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF append-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF member-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF map-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF filter-def, simp*]  
**declare** *def-list-rec-NilCons* [*OF list-all-def, simp*]

**lemma** *def-nat-rec-0-eta*:

$[ [ !!n. f == nat-rec\ c\ h ] ] ==> f(0) = c$   
 $\langle proof \rangle$

**lemma** *def-nat-rec-Suc-eta*:

$[ [ !!n. f == nat-rec\ c\ h ] ] ==> f(Suc(n)) = h\ n\ (f\ n)$   
 $\langle proof \rangle$

**declare** *def-nat-rec-0-eta* [*OF nth-def, simp*]  
**declare** *def-nat-rec-Suc-eta* [*OF nth-def, simp*]

**lemma** *length-Nil* [*simp*]:  $length([]) = 0$

$\langle proof \rangle$

**lemma** *length-Cons* [simp]:  $length(a\#xs) = Suc(length(xs))$   
 $\langle proof \rangle$

**lemma** *append-assoc* [simp]:  $(xs@ys)@zs = xs@(ys@zs)$   
 $\langle proof \rangle$

**lemma** *append-Nil2* [simp]:  $xs @ [] = xs$   
 $\langle proof \rangle$

**lemma** *mem-append* [simp]:  $x \text{ mem } (xs@ys) = (x \text{ mem } xs \mid x \text{ mem } ys)$   
 $\langle proof \rangle$

**lemma** *mem-filter* [simp]:  $x \text{ mem } [x:xs. P\ x] = (x \text{ mem } xs \ \& \ P(x))$   
 $\langle proof \rangle$

**lemma** *list-all-True* [simp]:  $(\text{Alls } x:xs. \text{True}) = \text{True}$   
 $\langle proof \rangle$

**lemma** *list-all-conj* [simp]:  
 $\text{list-all } p \ (xs@ys) = ((\text{list-all } p \ xs) \ \& \ (\text{list-all } p \ ys))$   
 $\langle proof \rangle$

**lemma** *list-all-mem-conv*:  $(\text{Alls } x:xs. P(x)) = (!x. x \text{ mem } xs \longrightarrow P(x))$   
 $\langle proof \rangle$

**lemma** *nat-case-dist* :  $(!n. P\ n) = (P\ 0 \ \& \ (!n. P\ (Suc\ n)))$   
 $\langle proof \rangle$

**lemma** *alls-P-eq-P-nth*:  $(\text{Alls } u:A. P\ u) = (!n. n < length\ A \longrightarrow P(nth\ n\ A))$   
 $\langle proof \rangle$

**lemma** *list-all-imp*:  
 $[!x. P\ x \longrightarrow Q\ x; \ (\text{Alls } x:xs. P(x)) \ \parallel] \Longrightarrow (\text{Alls } x:xs. Q(x))$   
 $\langle proof \rangle$

**lemma** *Abs-Rep-map*:

$(!!x. f(x): \text{sexp}) ==>$   
 $\text{Abs-map } g \ (\text{Rep-map } f \ xs) = \text{map } (\%t. g(f(t))) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *map-ident* [simp]:  $\text{map } (\%x. x) (xs) = xs$

$\langle \text{proof} \rangle$

**lemma** *map-append* [simp]:  $\text{map } f \ (xs @ ys) = \text{map } f \ xs \ @ \ \text{map } f \ ys$

$\langle \text{proof} \rangle$

**lemma** *map-compose*:  $\text{map } (f \ o \ g) (xs) = \text{map } f \ (\text{map } g \ xs)$

$\langle \text{proof} \rangle$

**lemma** *mem-map-aux1* [rule-format]:

$x \ \text{mem} \ (\text{map } f \ q) \ --> (\exists y. y \ \text{mem} \ q \ \& \ x = f \ y)$   
 $\langle \text{proof} \rangle$

**lemma** *mem-map-aux2* [rule-format]:

$(\exists y. y \ \text{mem} \ q \ \& \ x = f \ y) \ --> x \ \text{mem} \ (\text{map } f \ q)$   
 $\langle \text{proof} \rangle$

**lemma** *mem-map*:  $x \ \text{mem} \ (\text{map } f \ q) = (\exists y. y \ \text{mem} \ q \ \& \ x = f \ y)$

$\langle \text{proof} \rangle$

**lemma** *hd-append* [rule-format]:  $A \ \sim = [] \ --> \text{hd}(A @ B) = \text{hd}(A)$

$\langle \text{proof} \rangle$

**lemma** *tl-append* [rule-format]:  $A \ \sim = [] \ --> \text{tl}(A @ B) = \text{tl}(A) @ B$

$\langle \text{proof} \rangle$

**lemma** *take-Suc1* [simp]:  $\text{take } [] \ (\text{Suc } x) = []$

$\langle \text{proof} \rangle$

**lemma** *take-Suc2* [simp]:  $\text{take}(a \# xs) (\text{Suc } x) = a \# \text{take } xs \ x$

$\langle \text{proof} \rangle$

**lemma** *drop-0* [simp]:  $\text{drop } xs \ 0 = xs$

$\langle \text{proof} \rangle$

**lemma** *drop-Suc1* [*simp*]:  $\text{drop } [] (\text{Suc } x) = []$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Suc2* [*simp*]:  $\text{drop } (a \# xs) (\text{Suc } x) = \text{drop } xs \ x$   
 $\langle \text{proof} \rangle$

**lemma** *copy-0* [*simp*]:  $\text{copy } x \ 0 = []$   
 $\langle \text{proof} \rangle$

**lemma** *copy-Suc* [*simp*]:  $\text{copy } x (\text{Suc } y) = x \# \text{copy } x \ y$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-Nil* [*simp*]:  $\text{foldl } f \ a \ [] = a$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-Cons* [*simp*]:  $\text{foldl } f \ a \ (x \# xs) = \text{foldl } f \ (f \ a \ x) \ xs$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-Nil* [*simp*]:  $\text{foldr } f \ a \ [] = a$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-Cons* [*simp*]:  $\text{foldr } f \ z \ (x \# xs) = f \ x \ (\text{foldr } f \ z \ xs)$   
 $\langle \text{proof} \rangle$

**lemma** *flat-Nil* [*simp*]:  $\text{flat } [] = []$   
 $\langle \text{proof} \rangle$

**lemma** *flat-Cons* [*simp*]:  $\text{flat } (x \# xs) = x \ @ \ \text{flat } xs$   
 $\langle \text{proof} \rangle$

**lemma** *rev-Nil* [*simp*]:  $\text{rev } [] = []$   
 $\langle \text{proof} \rangle$

**lemma** *rev-Cons* [*simp*]:  $\text{rev } (x \# xs) = \text{rev } xs \ @ \ [x]$   
 $\langle \text{proof} \rangle$



**lemma** *zipWith-Cons-Cons* [simp]:  
 $\text{zipWith } f \ (a \# as, b \# bs) = f(a, b) \# \text{zipWith } f \ (as, bs)$   
 <proof>

**lemma** *zipWith-Nil-Nil* [simp]:  $\text{zipWith } f \ (\[], \[]) = []$   
 <proof>

**lemma** *zipWith-Cons-Nil* [simp]:  $\text{zipWith } f \ (x, \[]) = []$   
 <proof>

**lemma** *zipWith-Nil-Cons* [simp]:  $\text{zipWith } f \ (\[], x) = []$   
 <proof>

**lemma** *unzip-Nil* [simp]:  $\text{unzip } [] = (\[], \[])$   
 <proof>

**lemma** *map-compose-ext*:  $\text{map}(f \circ g) = ((\text{map } f) \circ (\text{map } g))$   
 <proof>

**lemma** *map-flat*:  $\text{map } f \ (\text{flat } S) = \text{flat}(\text{map } (\text{map } f) \ S)$   
 <proof>

**lemma** *list-all-map-eq*:  $(\text{Alls } u:xs. f(u) = g(u)) \longrightarrow \text{map } f \ xs = \text{map } g \ xs$   
 <proof>

**lemma** *filter-map-d*:  $\text{filter } p \ (\text{map } f \ xs) = \text{map } f \ (\text{filter}(p \circ f)(xs))$   
 <proof>

**lemma** *filter-compose*:  $\text{filter } p \ (\text{filter } q \ xs) = \text{filter}(\%x. p \ x \ \& \ q \ x) \ xs$   
 <proof>

**lemma** *filter-append* [rule-format, simp]:  
 $\forall B. \text{filter } p \ (A \ @ \ B) = (\text{filter } p \ A \ @ \ \text{filter } p \ B)$   
 <proof>

**lemma** *length-append*:  $\text{length}(xs @ ys) = \text{length}(xs) + \text{length}(ys)$   
 $\langle \text{proof} \rangle$

**lemma** *length-map*:  $\text{length}(\text{map } f \text{ } xs) = \text{length}(xs)$   
 $\langle \text{proof} \rangle$

**lemma** *take-Nil* [simp]:  $\text{take } [] \text{ } n = []$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-eq* [simp]:  $\forall n. \text{take } (\text{take } xs \text{ } n) \text{ } n = \text{take } xs \text{ } n$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-Suc-eq1* [rule-format]:  
 $\forall n. \text{take } (\text{take } xs (\text{Suc}(n+m))) \text{ } n = \text{take } xs \text{ } n$   
 $\langle \text{proof} \rangle$

**declare** *take-Suc* [simp del]

**lemma** *take-take-1*:  $\text{take } (\text{take } xs \text{ } (n+m)) \text{ } n = \text{take } xs \text{ } n$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-Suc-eq2* [rule-format]:  
 $\forall n. \text{take } (\text{take } xs \text{ } n) (\text{Suc}(n+m)) = \text{take } xs \text{ } n$   
 $\langle \text{proof} \rangle$

**lemma** *take-take-2*:  $\text{take}(\text{take } xs \text{ } n)(n+m) = \text{take } xs \text{ } n$   
 $\langle \text{proof} \rangle$

**lemma** *drop-Nil* [simp]:  $\text{drop } [] \text{ } n = []$   
 $\langle \text{proof} \rangle$

**lemma** *drop-drop* [rule-format]:  $\forall xs. \text{drop } (\text{drop } xs \text{ } m) \text{ } n = \text{drop } xs(m+n)$   
 $\langle \text{proof} \rangle$

**lemma** *take-drop* [rule-format]:  $\forall xs. (\text{take } xs \text{ } n) @ (\text{drop } xs \text{ } n) = xs$   
 $\langle \text{proof} \rangle$

**lemma** *copy-copy*:  $\text{copy } x \text{ } n @ \text{copy } x \text{ } m = \text{copy } x \text{ } (n+m)$   
 $\langle \text{proof} \rangle$

**lemma** *length-copy*:  $\text{length}(\text{copy } x \text{ } n) = n$   
 $\langle \text{proof} \rangle$

**lemma** *length-take* [rule-format, simp]:

$\forall xs. \text{length}(\text{take } xs \ n) = \min (\text{length } xs) \ n$   
 $\langle \text{proof} \rangle$

**lemma** *length-take-drop*:  $\text{length}(\text{take } A \ k) + \text{length}(\text{drop } A \ k) = \text{length}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *take-append* [rule-format]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{take}(A @ B) \ n = A$   
 $\langle \text{proof} \rangle$

**lemma** *take-append2* [rule-format]:  
 $\forall A. \text{length}(A) = n \dashrightarrow \text{take}(A @ B) \ (n+k) = A @ \text{take } B \ k$   
 $\langle \text{proof} \rangle$

**lemma** *take-map* [rule-format]:  $\forall n. \text{take } (\text{map } f \ A) \ n = \text{map } f \ (\text{take } A \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *drop-append* [rule-format]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{drop}(A @ B) \ n = B$   
 $\langle \text{proof} \rangle$

**lemma** *drop-append2* [rule-format]:  
 $\forall A. \text{length}(A) = n \dashrightarrow \text{drop}(A @ B) \ (n+k) = \text{drop } B \ k$   
 $\langle \text{proof} \rangle$

**lemma** *drop-all* [rule-format]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{drop } A \ n = []$   
 $\langle \text{proof} \rangle$

**lemma** *drop-map* [rule-format]:  $\forall n. \text{drop } (\text{map } f \ A) \ n = \text{map } f \ (\text{drop } A \ n)$   
 $\langle \text{proof} \rangle$

**lemma** *take-all* [rule-format]:  $\forall A. \text{length}(A) = n \dashrightarrow \text{take } A \ n = A$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-single*:  $\text{foldl } f \ a \ [b] = f \ a \ b$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-append* [rule-format, simp]:  
 $\forall a. \text{foldl } f \ a \ (A @ B) = \text{foldl } f \ (\text{foldl } f \ a \ A) \ B$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-map* [rule-format]:  
 $\forall e. \text{foldl } f \ e \ (\text{map } g \ S) = \text{foldl } (\%x \ y. f \ x \ (g \ y)) \ e \ S$   
 $\langle \text{proof} \rangle$

**lemma** *foldl-neutr-distr* [rule-format]:  
**assumes** *r-neutr*:  $\forall a. f \ a \ e = a$   
**and** *r-neutl*:  $\forall a. f \ e \ a = a$   
**and** *assoc*:  $\forall a \ b \ c. f \ a \ (f \ b \ c) = f \ (f \ a \ b) \ c$   
**shows**  $\forall y. f \ y \ (\text{foldl } f \ e \ A) = \text{foldl } f \ y \ A$

$\langle \text{proof} \rangle$

**lemma** *foldl-append-sym*:

$[[ !a. f\ a\ e = a; !a. f\ e\ a = a;$   
     $!a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c\ ]]$   
     $\implies foldl\ f\ e\ (A\ @\ B) = f(foldl\ f\ e\ A)(foldl\ f\ e\ B)$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-append* [rule-format, simp]:

$\forall a. foldr\ f\ a\ (A\ @\ B) = foldr\ f\ (foldr\ f\ a\ B)\ A$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-map* [rule-format]:  $\forall e. foldr\ f\ e\ (map\ g\ S) = foldr\ (f\ o\ g)\ e\ S$

$\langle \text{proof} \rangle$

**lemma** *foldr-Un-eq-UN*:  $foldr\ op\ Un\ \{\} S = (UN\ X: \{t. t\ mem\ S\}. X)$

$\langle \text{proof} \rangle$

**lemma** *foldr-neutr-distr*:

$[[ !a. f\ e\ a = a; !a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c\ ]]$   
     $\implies foldr\ f\ y\ S = f\ (foldr\ f\ e\ S)\ y$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-append2*:

$[[ !a. f\ e\ a = a; !a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c\ ]]$   
     $\implies foldr\ f\ e\ (A\ @\ B) = f\ (foldr\ f\ e\ A)\ (foldr\ f\ e\ B)$   
 $\langle \text{proof} \rangle$

**lemma** *foldr-flat*:

$[[ !a. f\ e\ a = a; !a\ b\ c. f\ a\ (f\ b\ c) = f(f\ a\ b)\ c\ ]]$   $\implies$   
     $foldr\ f\ e\ (flat\ S) = (foldr\ f\ e)(map\ (foldr\ f\ e)\ S)$   
 $\langle \text{proof} \rangle$

**lemma** *list-all-map*:  $(Alls\ x:map\ f\ xs.\ P(x)) = (Alls\ x:xs.(P\ o\ f)(x))$

$\langle \text{proof} \rangle$

**lemma** *list-all-and*:

$(Alls\ x:xs.\ P(x) \& Q(x)) = ((Alls\ x:xs.\ P(x)) \& (Alls\ x:xs.\ Q(x)))$   
 $\langle \text{proof} \rangle$

**lemma** *nth-map* [rule-format]:

$\forall i. i < length(A) \implies nth\ i\ (map\ f\ A) = f(nth\ i\ A)$   
 $\langle \text{proof} \rangle$

**lemma** *nth-app-cancel-right* [rule-format]:

$\forall i. i < length(A) \implies nth\ i\ (A @ B) = nth\ i\ A$

$\langle proof \rangle$

**lemma** *nth-app-cancel-left* [rule-format]:  
 $\forall n. n = \text{length}(A) \dashv\vdash \text{nth}(n+i)(A @ B) = \text{nth } i B$   
 $\langle proof \rangle$

**lemma** *flat-append* [simp]:  $\text{flat}(xs @ ys) = \text{flat}(xs) @ \text{flat}(ys)$   
 $\langle proof \rangle$

**lemma** *filter-flat*:  $\text{filter } p (\text{flat } S) = \text{flat}(\text{map } (\text{filter } p) S)$   
 $\langle proof \rangle$

**lemma** *rev-append* [simp]:  $\text{rev}(xs @ ys) = \text{rev}(ys) @ \text{rev}(xs)$   
 $\langle proof \rangle$

**lemma** *rev-rev-ident* [simp]:  $\text{rev}(\text{rev } l) = l$   
 $\langle proof \rangle$

**lemma** *rev-flat*:  $\text{rev}(\text{flat } ls) = \text{flat } (\text{map } \text{rev } (\text{rev } ls))$   
 $\langle proof \rangle$

**lemma** *rev-map-distrib*:  $\text{rev}(\text{map } f l) = \text{map } f (\text{rev } l)$   
 $\langle proof \rangle$

**lemma** *foldl-rev*:  $\text{foldl } f b (\text{rev } l) = \text{foldr } (\%x y. f y x) b l$   
 $\langle proof \rangle$

**lemma** *foldr-rev*:  $\text{foldr } f b (\text{rev } l) = \text{foldl } (\%x y. f y x) b l$   
 $\langle proof \rangle$

**end**

## 11 Definition of type llist by a greatest fixed point

**theory** *LList* **imports** *Main SList* **begin**

**consts**

*llist* ::  $'a \text{ item set} \Rightarrow 'a \text{ item set}$   
*LListD* ::  $('a \text{ item} * 'a \text{ item}) \text{ set} \Rightarrow ('a \text{ item} * 'a \text{ item}) \text{ set}$

**coinductive** *llist*(*A*)

**intros**

*NIL-I*:  $NIL \in llist(A)$

*CONS-I*:  $[| a \in A; M \in llist(A) |] \implies CONS\ a\ M \in llist(A)$

**coinductive** *LListD*(*r*)

**intros**

*NIL-I*:  $(NIL, NIL) \in LListD(r)$

*CONS-I*:  $[| (a,b) \in r; (M,N) \in LListD(r) |] \implies (CONS\ a\ M, CONS\ b\ N) \in LListD(r)$

**typedef** (*LList*)

*'a llist* = *llist*(*range Leaf*) :: *'a item set*

*<proof>*

**constdefs**

*list-Fun* :: [*'a item set*, *'a item set*] => *'a item set*

— Now used exclusively for abbreviating the coinduction rule

*list-Fun* *A X* == {*z*. *z* = *NIL* | ( $\exists M\ a.$  *z* = *CONS* *a M* & *a* ∈ *A* & *M* ∈ *X*)}

*LListD-Fun* ::

$[('a\ item * 'a\ item)\ set, ('a\ item * 'a\ item)\ set] \implies$   
 $('a\ item * 'a\ item)\ set$

*LListD-Fun* *r X* ==

{*z*. *z* = (*NIL*, *NIL*) |  
( $\exists M\ N\ a\ b.$  *z* = (*CONS* *a M*, *CONS* *b N*) & (*a*, *b*) ∈ *r* & (*M*, *N*) ∈ *X*)}

*LNil* :: *'a llist*

— abstract constructor

*LNil* == *Abs-LList* *NIL*

*LCons* :: [*'a*, *'a llist*] => *'a llist*

— abstract constructor

*LCons* *x xs* == *Abs-LList*(*CONS* (*Leaf* *x*) (*Rep-LList* *xs*))

*llist-case* :: [*'b*, [*'a*, *'a llist*]=>*'b*, *'a llist*] => *'b*

*llist-case* *c d l* ==

*List-case* *c* (%*x y*. *d* (*inv Leaf* *x*) (*Abs-LList* *y*)) (*Rep-LList* *l*)

*LList-corec-fun* :: [*nat*, *'a*=> (*'b item* \* *'a*) *option*, *'a*] => *'b item*

*LList-corec-fun* *k f* ==

*nat-rec* (%*x*. {})

(%*j r x*. *case f x of None* => *NIL*

| *Some*(*z,w*) => *CONS* *z* (*r w*))

*k*

*LList-corec* :: [*'a*, *'a* => (*'b item* \* *'a*) *option*] => *'b item*

*LList-corec* *a f* ==  $\bigcup k.$  *LList-corec-fun* *k f a*

```

llist-corec    :: ['a, 'a => ('b * 'a) option] => 'b llist
llist-corec a f ==
  Abs-LList(LLlist-corec a
    (%z. case f z of None    => None
      | Some(v,w) => Some(Leaf(v), w)))

llistD-Fun :: ('a llist * 'a llist)set => ('a llist * 'a llist)set
llistD-Fun(r) ==
  prod-fun Abs-LList Abs-LList '
    LLlistD-Fun (diag(range Leaf))
    (prod-fun Rep-LList Rep-LList ' r)

```

The case syntax for type 'a l<sub>list</sub>

#### translations

```

case p of LNil => a | LCons x l => b == llist-case a (%x l. b) p

```

### 11.0.2 Sample function definitions. Item-based ones start with L

#### constdefs

```

Lmap      :: ('a item => 'b item) => ('a item => 'b item)
Lmap f M == LLlist-corec M (List-case None (%x M'. Some((f(x), M'))))

lmap      :: ('a=>'b) => ('a llist => 'b llist)
lmap f l == llist-corec l (%z. case z of LNil => None
  | LCons y z => Some(f(y), z))

iterates  :: ['a => 'a, 'a] => 'a llist
iterates f a == llist-corec a (%x. Some((x, f(x))))

Lconst    :: 'a item => 'a item
Lconst(M) == lfp(%N. CONS M N)

Lappend   :: ['a item, 'a item] => 'a item
Lappend M N == LLlist-corec (M,N)
  (split(List-case (List-case None (%N1 N2. Some((N1, (NIL,N2)))))
    (%M1 M2 N. Some((M1, (M2,N)))))

lappend   :: ['a llist, 'a llist] => 'a llist
lappend l n == llist-corec (l,n)
  (split(llist-case (llist-case None (%n1 n2. Some((n1, (LNil,n2)))))
    (%l1 l2 n. Some((l1, (l2,n)))))

```

Append generates its result by applying f, where f((NIL,NIL)) = None  
 f((NIL, CONS N1 N2)) = Some((N1, (NIL,N2))) f((CONS M1 M2, N)) =  
 Some((M1, (M2,N)))

SHOULD LL<sub>list</sub>D-Fun-CONS-I, etc., be equations (for rewriting)?

**lemmas** UN1-I = UNIV-I [THEN UN-I, standard]

### 11.0.3 Simplification

**declare** *option.split* [*split*]

This justifies using *llist* in other recursive type definitions

**lemma** *llist-mono*:  $A \leq B \implies \text{llist}(A) \leq \text{llist}(B)$   
 $\langle \text{proof} \rangle$

**lemma** *llist-unfold*:  $\text{llist}(A) = \text{usum } \{\text{Numb}(0)\} (\text{uprod } A (\text{llist } A))$   
 $\langle \text{proof} \rangle$

## 11.1 Type checking by coinduction

... using *list-Fun* THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

**lemma** *llist-coinduct*:  
 $\llbracket M \in X; X \leq \text{list-Fun } A (X \text{ Un } \text{llist}(A)) \rrbracket \implies M \in \text{llist}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *list-Fun-NIL-I* [*iff*]:  $\text{NIL} \in \text{list-Fun } A X$   
 $\langle \text{proof} \rangle$

**lemma** *list-Fun-CONS-I* [*intro!, simp*]:  
 $\llbracket M \in A; N \in X \rrbracket \implies \text{CONS } M N \in \text{list-Fun } A X$   
 $\langle \text{proof} \rangle$

Utilise the “strong” part, i.e.  $\text{gfp}(f)$

**lemma** *list-Fun-llist-I*:  $M \in \text{llist}(A) \implies M \in \text{list-Fun } A (X \text{ Un } \text{llist}(A))$   
 $\langle \text{proof} \rangle$

## 11.2 *LList-corec* satisfies the desired recursion equation

A continuity result?

**lemma** *CONS-UN1*:  $\text{CONS } M (\bigcup x. f(x)) = (\bigcup x. \text{CONS } M (f x))$   
 $\langle \text{proof} \rangle$

**lemma** *CONS-mono*:  $\llbracket M \leq M'; N \leq N' \rrbracket \implies \text{CONS } M N \leq \text{CONS } M' N'$   
 $\langle \text{proof} \rangle$

**declare** *LList-corec-fun-def* [*THEN def-nat-rec-0, simp*]  
*LList-corec-fun-def* [*THEN def-nat-rec-Suc, simp*]

### 11.2.1 The directions of the equality are proved separately

**lemma** *LList-corec-subset1*:  
 $\text{LList-corec } a f \leq$



$(\text{case } f \text{ a of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ (} \text{LList-corec } w \text{ f)})$   
 $\langle \text{proof} \rangle$

**lemma** *LList-corec-subset2*:

$(\text{case } f \text{ a of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ (} \text{LList-corec } w \text{ f)}) \leq =$   
 $\text{LList-corec } a \text{ f}$   
 $\langle \text{proof} \rangle$

the recursion equation for *LList-corec* – NOT SUITABLE FOR REWRITING!

**lemma** *LList-corec*:

$\text{LList-corec } a \text{ f} =$   
 $(\text{case } f \text{ a of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ (} \text{LList-corec } w \text{ f)})$   
 $\langle \text{proof} \rangle$

definitional version of same

**lemma** *def-LList-corec*:

$[ \mid \text{!}x. h(x) == \text{LList-corec } x \text{ f} \mid ]$   
 $\Rightarrow h(a) = (\text{case } f \text{ a of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ (} h \text{ w)})$   
 $\langle \text{proof} \rangle$

A typical use of co-induction to show membership in the *gfp*. Bisimulation is  $\text{range}(\%x. \text{LList-corec } x \text{ f})$

**lemma** *LList-corec-type*:  $\text{LList-corec } a \text{ f} \in \text{lList UNIV}$

$\langle \text{proof} \rangle$

### 11.3 llist equality as a *gfp*; the bisimulation principle

This theorem is actually used, unlike the many similar ones in ZF

**lemma** *LListD-unfold*:  $\text{LListD } r = \text{dsum } (\text{diag } \{\text{Numb } 0\}) (\text{dprod } r \text{ (} \text{LListD } r))$   
 $\langle \text{proof} \rangle$

**lemma** *LListD-implies-ntrunc-equality* [rule-format]:

$\forall M \text{ N}. (M, N) \in \text{LListD}(\text{diag } A) \Rightarrow \text{ntrunc } k \text{ M} = \text{ntrunc } k \text{ N}$   
 $\langle \text{proof} \rangle$

The domain of the *LListD* relation

**lemma** *Domain-LListD*:

$\text{Domain } (\text{LListD}(\text{diag } A)) \leq = \text{lList}(A)$   
 $\langle \text{proof} \rangle$

This inclusion justifies the use of coinduction to show  $M = N$

**lemma** *LListD-subset-diag*:  $\text{LListD}(\text{diag } A) \leq = \text{diag}(\text{lList}(A))$   
 $\langle \text{proof} \rangle$

### 11.3.1 Coinduction, using *LListD-Fun*

THE COINDUCTIVE DEFINITION PACKAGE COULD DO THIS!

**lemma** *LListD-Fun-mono*:  $A \leq B \implies \text{LListD-Fun } r \ A \leq \text{LListD-Fun } r \ B$   
 $\langle \text{proof} \rangle$

**lemma** *LListD-coinduct*:  
 $\llbracket M \in X; \ X \leq \text{LListD-Fun } r \ (X \text{ Un } \text{LListD}(r)) \rrbracket \implies M \in \text{LListD}(r)$   
 $\langle \text{proof} \rangle$

**lemma** *LListD-Fun-NIL-I*:  $(\text{NIL}, \text{NIL}) \in \text{LListD-Fun } r \ s$   
 $\langle \text{proof} \rangle$

**lemma** *LListD-Fun-CONS-I*:  
 $\llbracket x \in A; \ (M, N) : s \rrbracket \implies (\text{CONS } x \ M, \text{CONS } x \ N) \in \text{LListD-Fun } (\text{diag } A) \ s$   
 $\langle \text{proof} \rangle$

Utilise the "strong" part, i.e.  $\text{gfp}(f)$

**lemma** *LListD-Fun-LListD-I*:  
 $M \in \text{LListD}(r) \implies M \in \text{LListD-Fun } r \ (X \text{ Un } \text{LListD}(r))$   
 $\langle \text{proof} \rangle$

This converse inclusion helps to strengthen *LList-equalityI*

**lemma** *diag-subset-LListD*:  $\text{diag}(\text{lList}(A)) \leq \text{LListD}(\text{diag } A)$   
 $\langle \text{proof} \rangle$

**lemma** *LListD-eq-diag*:  $\text{LListD}(\text{diag } A) = \text{diag}(\text{lList}(A))$   
 $\langle \text{proof} \rangle$

**lemma** *LListD-Fun-diag-I*:  $M \in \text{lList}(A) \implies (M, M) \in \text{LListD-Fun } (\text{diag } A) \ (X \text{ Un } \text{diag}(\text{lList}(A)))$   
 $\langle \text{proof} \rangle$

**11.3.2 To show two LLists are equal, exhibit a bisimulation! [also admits true equality] Replace  $A$  by some particular set, like  $\{x. \text{True}\}$ ???**

**lemma** *LList-equalityI*:  
 $\llbracket (M, N) \in r; \ r \leq \text{LListD-Fun } (\text{diag } A) \ (r \text{ Un } \text{diag}(\text{lList}(A))) \rrbracket \implies M = N$   
 $\langle \text{proof} \rangle$

## 11.4 Finality of $\text{lList}(A)$ : Uniqueness of functions defined by corecursion

We must remove *Pair-eq* because it may turn an instance of reflexivity ( $h1 \ b, h2 \ b) = (h1 \ ?x17, h2 \ ?x17)$ ) into a conjunction! (or strengthen the Solver?)

**declare** *Pair-eq* [*simp del*]

abstract proof using a bisimulation

**lemma** *LList-corec-unique*:

$[[ \text{!!}x. h1(x) = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ } (h1 \text{ } w));$   
 $\text{!!}x. h2(x) = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ } (h2 \text{ } w)) \text{ } ]]$   
 $\Rightarrow h1=h2$   
 $\langle \text{proof} \rangle$

**lemma** *equals-LList-corec*:

$[[ \text{!!}x. h(x) = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ } (h \text{ } w)) \text{ } ]]$   
 $\Rightarrow h = (\%x. \text{LList-corec } x \text{ } f)$   
 $\langle \text{proof} \rangle$

#### 11.4.1 Obsolete proof of *LList-corec-unique*: complete induction, not coinduction

**lemma** *ntrunc-one-CONS* [simp]:  $\text{ntrunc } (\text{Suc } 0) \text{ } (\text{CONS } M \text{ } N) = \{\}$   
 $\langle \text{proof} \rangle$

**lemma** *ntrunc-CONS* [simp]:  
 $\text{ntrunc } (\text{Suc}(\text{Suc}(k))) \text{ } (\text{CONS } M \text{ } N) = \text{CONS } (\text{ntrunc } k \text{ } M) \text{ } (\text{ntrunc } k \text{ } N)$   
 $\langle \text{proof} \rangle$

**lemma**

**assumes** *prem1*:

$\text{!!}x. h1 \text{ } x = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ } (h1 \text{ } w))$

**and** *prem2*:

$\text{!!}x. h2 \text{ } x = (\text{case } f \text{ } x \text{ of } \text{None} \Rightarrow \text{NIL} \mid \text{Some}(z,w) \Rightarrow \text{CONS } z \text{ } (h2 \text{ } w))$

**shows**  $h1=h2$

$\langle \text{proof} \rangle$

#### 11.5 Lconst: defined directly by lfp

But it could be defined by corecursion.

**lemma** *Lconst-fun-mono*:  $\text{mono}(\text{CONS}(M))$   
 $\langle \text{proof} \rangle$

$\text{Lconst}(M) = \text{CONS } M \text{ } (\text{Lconst } M)$

**lemmas**  $\text{Lconst} = \text{Lconst-fun-mono} \text{ } [\text{THEN } \text{Lconst-def} \text{ } [\text{THEN } \text{def-lfp-unfold}]]$

A typical use of co-induction to show membership in the gfp. The containing set is simply the singleton  $\{\text{Lconst}(M)\}$ .

**lemma** *Lconst-type*:  $M \in A \Rightarrow \text{Lconst}(M): \text{lList}(A)$   
 $\langle \text{proof} \rangle$

**lemma** *Lconst-eq-LList-corec*:  $\text{Lconst}(M) = \text{LList-corec } M \text{ } (\%x. \text{Some}(x,x))$   
 $\langle \text{proof} \rangle$

Thus we could have used `gfp` in the definition of `Lconst`

**lemma** *gfp-Lconst-eq-LList-corec*:  $\text{gfp}(\%N. \text{CONS } M \ N) = \text{LList-corec } M \ (\%x. \text{Some}(x, x))$   
 $\langle \text{proof} \rangle$

## 11.6 Isomorphisms

**lemma** *LListI*:  $x \in \text{llist } (\text{range } \text{Leaf}) \implies x \in \text{LList}$   
 $\langle \text{proof} \rangle$

**lemma** *LListD*:  $x \in \text{LList} \implies x \in \text{llist } (\text{range } \text{Leaf})$   
 $\langle \text{proof} \rangle$

### 11.6.1 Distinctness of constructors

**lemma** *LCons-not-LNil* [iff]:  $\sim \text{LCons } x \ xs = \text{LNil}$   
 $\langle \text{proof} \rangle$

**lemmas** *LNil-not-LCons* [iff] = *LCons-not-LNil* [THEN not-sym, standard]

### 11.6.2 llist constructors

**lemma** *Rep-LList-LNil*:  $\text{Rep-LList } \text{LNil} = \text{NIL}$   
 $\langle \text{proof} \rangle$

**lemma** *Rep-LList-LCons*:  $\text{Rep-LList}(\text{LCons } x \ l) = \text{CONS } (\text{Leaf } x) (\text{Rep-LList } l)$   
 $\langle \text{proof} \rangle$

### 11.6.3 Injectiveness of CONS and LCons

**lemma** *CONS-CONS-eq2*:  $(\text{CONS } M \ N = \text{CONS } M' \ N') = (M = M' \ \& \ N = N')$   
 $\langle \text{proof} \rangle$

**lemmas** *CONS-inject* = *CONS-CONS-eq* [THEN iffD1, THEN conjE, standard]

For reasoning about abstract llist constructors

**declare** *Rep-LList* [THEN LListD, intro] *LListI* [intro]  
**declare** *llist.intros* [intro]

**lemma** *LCons-LCons-eq* [iff]:  $(\text{LCons } x \ xs = \text{LCons } y \ ys) = (x = y \ \& \ xs = ys)$   
 $\langle \text{proof} \rangle$

**lemma** *CONS-D2*:  $\text{CONS } M \ N \in \text{llist}(A) \implies M \in A \ \& \ N \in \text{llist}(A)$   
 $\langle \text{proof} \rangle$

## 11.7 Reasoning about llist(A)

A special case of *list-equality* for functions over lazy lists

**lemma** *LList-fun-equalityI*:

$$\begin{aligned}
& [| M \in \text{llist}(A); g(\text{NIL}): \text{llist}(A); \\
& \quad f(\text{NIL}) = g(\text{NIL}); \\
& \quad !!x \ l. [| x \in A; \ l \in \text{llist}(A) |] ==> \\
& \quad \quad (f(\text{CONS } x \ l), g(\text{CONS } x \ l)) \in \\
& \quad \quad \quad \text{LListD-Fun } (\text{diag } A) \ ((\%u. (f(u), g(u))) \text{llist}(A) \text{Un} \\
& \quad \quad \quad \text{diag}(\text{llist}(A))) \\
& |] ==> f(M) = g(M) \\
\langle \text{proof} \rangle
\end{aligned}$$

## 11.8 The functional *Lmap*

**lemma** *Lmap-NIL* [simp]:  $Lmap \ f \ \text{NIL} = \text{NIL}$   
 $\langle \text{proof} \rangle$

**lemma** *Lmap-CONS* [simp]:  $Lmap \ f \ (\text{CONS } M \ N) = \text{CONS } (f \ M) \ (Lmap \ f \ N)$   
 $\langle \text{proof} \rangle$

Another type-checking proof by coinduction

**lemma** *Lmap-type*:  

$$[| M \in \text{llist}(A); \ !x. x \in A ==> f(x):B |] ==> Lmap \ f \ M \in \text{llist}(B)$$
 $\langle \text{proof} \rangle$

This type checking rule synthesises a sufficiently large set for *f*

**lemma** *Lmap-type2*:  $M \in \text{llist}(A) ==> Lmap \ f \ M \in \text{llist}(f^*A)$   
 $\langle \text{proof} \rangle$

### 11.8.1 Two easy results about *Lmap*

**lemma** *Lmap-compose*:  $M \in \text{llist}(A) ==> Lmap \ (f \ o \ g) \ M = Lmap \ f \ (Lmap \ g \ M)$   
 $\langle \text{proof} \rangle$

**lemma** *Lmap-ident*:  $M \in \text{llist}(A) ==> Lmap \ (\%x. x) \ M = M$   
 $\langle \text{proof} \rangle$

## 11.9 *Lappend* – its two arguments cause some complications!

**lemma** *Lappend-NIL-NIL* [simp]:  $Lappend \ \text{NIL} \ \text{NIL} = \text{NIL}$   
 $\langle \text{proof} \rangle$

**lemma** *Lappend-NIL-CONS* [simp]:  

$$Lappend \ \text{NIL} \ (\text{CONS } N \ N') = \text{CONS } N \ (Lappend \ \text{NIL} \ N')$$
 $\langle \text{proof} \rangle$

**lemma** *Lappend-CONS* [simp]:  

$$Lappend \ (\text{CONS } M \ M') \ N = \text{CONS } M \ (Lappend \ M' \ N)$$
 $\langle \text{proof} \rangle$

**declare** *llist.intros* [simp] *LListD-Fun-CONS-I* [simp]

*range-eqI [simp] image-eqI [simp]*

**lemma** *Lappend-NIL [simp]*:  $M \in \text{llist}(A) \implies \text{Lappend } \text{NIL } M = M$   
 $\langle \text{proof} \rangle$

**lemma** *Lappend-NIL2*:  $M \in \text{llist}(A) \implies \text{Lappend } M \text{ NIL} = M$   
 $\langle \text{proof} \rangle$

### 11.9.1 Alternative type-checking proofs for *Lappend*

weak co-induction: bisimulation and case analysis on both variables

**lemma** *Lappend-type*:  $[M \in \text{llist}(A); N \in \text{llist}(A)] \implies \text{Lappend } M N \in \text{llist}(A)$   
 $\langle \text{proof} \rangle$

strong co-induction: bisimulation and case analysis on one variable

**lemma** *Lappend-type'*:  $[M \in \text{llist}(A); N \in \text{llist}(A)] \implies \text{Lappend } M N \in \text{llist}(A)$   
 $\langle \text{proof} \rangle$

## 11.10 Lazy lists as the type *'a llist* – strongly typed versions of above

### 11.10.1 *llist-case*: case analysis for *'a llist*

**declare** *LListI [THEN Abs-LList-inverse, simp]*  
**declare** *Rep-LList-inverse [simp]*  
**declare** *Rep-LList [THEN LListD, simp]*  
**declare** *rangeI [simp] inj-Leaf [simp]*

**lemma** *llist-case-LNil [simp]*:  $\text{llist-case } c d \text{ LNil} = c$   
 $\langle \text{proof} \rangle$

**lemma** *llist-case-LCons [simp]*:  
 $\text{llist-case } c d (\text{LCons } M N) = d M N$   
 $\langle \text{proof} \rangle$

Elimination is case analysis, not induction.

**lemma** *llistE*:  $[l = \text{LNil} \implies P; \forall x l'. l = \text{LCons } x l' \implies P] \implies P$   
 $\langle \text{proof} \rangle$

### 11.10.2 *llist-corec*: corecursion for *'a llist*

Lemma for the proof of *llist-corec*

**lemma** *LList-corec-type2*:  
 $\text{LList-corec } a$   
 $(\%z. \text{case } f z \text{ of } \text{None} \implies \text{None} \mid \text{Some}(v, w) \implies \text{Some}(\text{Leaf}(v), w))$   
 $\in \text{llist}(\text{range } \text{Leaf})$

$\langle proof \rangle$

**lemma** *llist-corec*:

$llist-corec\ a\ f =$   
 $(case\ f\ a\ of\ None\ ==>\ LNil\ |\ Some(z,w)\ ==>\ LCons\ z\ (llist-corec\ w\ f))$   
 $\langle proof \rangle$

definitional version of same

**lemma** *def-llist-corec*:

$[| \ !x. h(x) == llist-corec\ x\ f \ |] ==>$   
 $h(a) = (case\ f\ a\ of\ None\ ==>\ LNil\ |\ Some(z,w)\ ==>\ LCons\ z\ (h\ w))$   
 $\langle proof \rangle$

### 11.11 Proofs about type 'a llist functions

### 11.12 Deriving *llist-equalityI* – llist equality is a bisimulation

**lemma** *LListD-Fun-subset-Times-llist*:

$r <= (llist\ A) <*> (llist\ A)$   
 $==>\ LListD-Fun\ (diag\ A)\ r <= (llist\ A) <*> (llist\ A)$   
 $\langle proof \rangle$

**lemma** *subset-Times-llist*:

$prod-fun\ Rep-LList\ Rep-LList\ 'r <=$   
 $(llist(range\ Leaf)) <*> (llist(range\ Leaf))$   
 $\langle proof \rangle$

**lemma** *prod-fun-lemma*:

$r <= (llist(range\ Leaf)) <*> (llist(range\ Leaf))$   
 $==>\ prod-fun\ (Rep-LList\ o\ Abs-LList)\ (Rep-LList\ o\ Abs-LList)\ 'r <= r$   
 $\langle proof \rangle$

**lemma** *prod-fun-range-eq-diag*:

$prod-fun\ Rep-LList\ Rep-LList\ 'range(\%x. (x, x)) =$   
 $diag(llist(range\ Leaf))$   
 $\langle proof \rangle$

Used with *lfilter*

**lemma** *llistD-Fun-mono*:

$A <= B ==>\ llistD-Fun\ A <= llistD-Fun\ B$   
 $\langle proof \rangle$

#### 11.12.1 To show two llists are equal, exhibit a bisimulation! [also admits true equality]

**lemma** *llist-equalityI*:

$[| (l1, l2) \in r; \ r <= llistD-Fun(r\ Un\ range(\%x. (x, x))) \ |] ==>\ l1 = l2$   
 $\langle proof \rangle$

### 11.12.2 Rules to prove the 2nd premise of *llist-equalityI*

**lemma** *llistD-Fun-LNil-I* [simp]:  $(LNil, LNil) \in \text{llistD-Fun}(r)$   
 $\langle \text{proof} \rangle$

**lemma** *llistD-Fun-LCons-I* [simp]:  
 $(l1, l2):r \implies (LCons\ x\ l1, LCons\ x\ l2) \in \text{llistD-Fun}(r)$   
 $\langle \text{proof} \rangle$

Utilise the "strong" part, i.e.  $\text{gfp}(f)$

**lemma** *llistD-Fun-range-I*:  $(l, l) \in \text{llistD-Fun}(r\ \text{Un}\ \text{range}(\%x.(x, x)))$   
 $\langle \text{proof} \rangle$

A special case of *llist-equality* for functions over lazy lists

**lemma** *llist-fun-equalityI*:  
 $[[\ f(LNil) = g(LNil);$   
 $\quad !!x\ l.\ (f(LCons\ x\ l), g(LCons\ x\ l))$   
 $\quad \quad \in \text{llistD-Fun}(\text{range}(\%u.\ (f(u), g(u)))\ \text{Un}\ \text{range}(\%v.\ (v, v)))$   
 $]] \implies f(l) = (g(l :: 'a\ \text{llist}) :: 'b\ \text{llist})$   
 $\langle \text{proof} \rangle$

### 11.13 The functional *lmap*

**lemma** *lmap-LNil* [simp]:  $\text{lmap}\ f\ LNil = LNil$   
 $\langle \text{proof} \rangle$

**lemma** *lmap-LCons* [simp]:  $\text{lmap}\ f\ (LCons\ M\ N) = LCons\ (f\ M)\ (\text{lmap}\ f\ N)$   
 $\langle \text{proof} \rangle$

#### 11.13.1 Two easy results about *lmap*

**lemma** *lmap-compose* [simp]:  $\text{lmap}\ (f\ o\ g)\ l = \text{lmap}\ f\ (\text{lmap}\ g\ l)$   
 $\langle \text{proof} \rangle$

**lemma** *lmap-ident* [simp]:  $\text{lmap}\ (\%x.\ x)\ l = l$   
 $\langle \text{proof} \rangle$

### 11.14 iterates – *llist-fun-equalityI* cannot be used!

**lemma** *iterates*:  $\text{iterates}\ f\ x = LCons\ x\ (\text{iterates}\ f\ (f\ x))$   
 $\langle \text{proof} \rangle$

**lemma** *lmap-iterates* [simp]:  $\text{lmap}\ f\ (\text{iterates}\ f\ x) = \text{iterates}\ f\ (f\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *iterates-lmap*:  $\text{iterates}\ f\ x = LCons\ x\ (\text{lmap}\ f\ (\text{iterates}\ f\ x))$   
 $\langle \text{proof} \rangle$



## 11.15 A rather complex proof about iterates – cf Andy Pitts

### 11.15.1 Two lemmas about $\text{nat-rec } n \ x \ (\%m. g)$ , which is essentially $(g \hat{\ }^n)(x)$

**lemma** *fun-power-lmap*:  $\text{nat-rec } (LCons \ b \ l) \ (\%m. \text{lmap}(f)) \ n =$   
 $LCons \ (\text{nat-rec } b \ (\%m. f) \ n) \ (\text{nat-rec } l \ (\%m. \text{lmap}(f)) \ n)$   
*<proof>*

**lemma** *fun-power-Suc*:  $\text{nat-rec } (g \ x) \ (\%m. g) \ n = \text{nat-rec } x \ (\%m. g) \ (Suc \ n)$   
*<proof>*

**lemmas** *Pair-cong* = *refl* [*THEN cong*, *THEN cong*, of **concl**: *Pair*]

The bisimulation consists of  $\{(\text{lmap}(f) \hat{\ }^n (h(u)), \text{lmap}(f) \hat{\ }^n (\text{iterates}(f, u)))\}$   
for all  $u$  and all  $n::\text{nat}$ .

**lemma** *iterates-equality*:  
 $(!!x. h(x) = LCons \ x \ (\text{lmap } f \ (h \ x))) ==> h = \text{iterates}(f)$   
*<proof>*

## 11.16 *lappend* – its two arguments cause some complications!

**lemma** *lappend-LNil-LNil* [*simp*]:  $\text{lappend } LNil \ LNil = LNil$   
*<proof>*

**lemma** *lappend-LNil-LCons* [*simp*]:  
 $\text{lappend } LNil \ (LCons \ l \ l') = LCons \ l \ (\text{lappend } LNil \ l')$   
*<proof>*

**lemma** *lappend-LCons* [*simp*]:  
 $\text{lappend } (LCons \ l \ l') \ N = LCons \ l \ (\text{lappend } l' \ N)$   
*<proof>*

**lemma** *lappend-LNil* [*simp*]:  $\text{lappend } LNil \ l = l$   
*<proof>*

**lemma** *lappend-LNil2* [*simp*]:  $\text{lappend } l \ LNil = l$   
*<proof>*

The infinite first argument blocks the second

**lemma** *lappend-iterates* [*simp*]:  $\text{lappend } (\text{iterates } f \ x) \ N = \text{iterates } f \ x$   
*<proof>*

### 11.16.1 Two proofs that *lmap* distributes over *lappend*

Long proof requiring case analysis on both both arguments

**lemma** *lmap-lappend-distrib*:  
 $\text{lmap } f \ (\text{lappend } l \ n) = \text{lappend } (\text{lmap } f \ l) \ (\text{lmap } f \ n)$   
*<proof>*

Shorter proof of theorem above using *llist-equalityI* as strong coinduction

**lemma** *lmap-lappend-distrib'*:

$$lmap\ f\ (lappend\ l\ n) = lappend\ (lmap\ f\ l)\ (lmap\ f\ n)$$

*<proof>*

Without strong coinduction, three case analyses might be needed

**lemma** *lappend-assoc'*:  $lappend\ (lappend\ l1\ l2)\ l3 = lappend\ l1\ (lappend\ l2\ l3)$

*<proof>*

**end**

## 12 The "filter" functional for coinductive lists – defined by a combination of induction and coinduction

**theory** *LFilter* **imports** *LList* **begin**

**consts**

$$findRel \quad :: ('a \Rightarrow bool) \Rightarrow ('a\ llist * 'a\ llist) set$$

**inductive** *findRel* *p*

**intros**

$$found: \quad p\ x \Rightarrow (LCons\ x\ l, LCons\ x\ l) \in findRel\ p$$

$$seek: \quad [ \sim_p x; (l, l') \in findRel\ p ] \Rightarrow (LCons\ x\ l, l') \in findRel\ p$$

**declare** *findRel.intros* [*intro*]

**constdefs**

$$find \quad :: ['a \Rightarrow bool, 'a\ llist] \Rightarrow 'a\ llist$$

$$find\ p\ l == @l'. (l, l'): findRel\ p \mid (l' = LNil \ \&\ l \sim: Domain(findRel\ p))$$

$$lfilter :: ['a \Rightarrow bool, 'a\ llist] \Rightarrow 'a\ llist$$

$$lfilter\ p\ l == llist-corec\ l\ (\%l. case\ find\ p\ l\ of \\ \quad LNil \Rightarrow None \\ \quad \mid LCons\ y\ z \Rightarrow Some(y, z))$$

### 12.1 *findRel*: basic laws

**inductive-cases**

$$findRel-LConsE\ [elim!]: (LCons\ x\ l, l'') \in findRel\ p$$

**lemma** *findRel-functional* [*rule-format*]:

$$(l, l'): findRel\ p \Rightarrow (l, l''): findRel\ p \dashrightarrow l'' = l'$$

*<proof>*

**lemma** *findRel-imp-LCons* [rule-format]:  
 $(l, l') : \text{findRel } p \implies \exists x l''. l' = LCons\ x\ l'' \ \& \ p\ x$   
 $\langle \text{proof} \rangle$

**lemma** *findRel-LNil* [elim!]:  $(LNil, l) : \text{findRel } p \implies R$   
 $\langle \text{proof} \rangle$

## 12.2 Properties of *Domain* (*findRel* *p*)

**lemma** *LCons-Domain-findRel* [simp]:  
 $LCons\ x\ l \in \text{Domain}(\text{findRel } p) = (p\ x \mid l \in \text{Domain}(\text{findRel } p))$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-findRel-iff*:  
 $(l \in \text{Domain}(\text{findRel } p)) = (\exists x l'. (l, LCons\ x\ l') \in \text{findRel } p \ \& \ p\ x)$   
 $\langle \text{proof} \rangle$

**lemma** *Domain-findRel-mono*:  
 $[\mid !x. p\ x \implies q\ x \mid] \implies \text{Domain}(\text{findRel } p) \leq \text{Domain}(\text{findRel } q)$   
 $\langle \text{proof} \rangle$

## 12.3 *find*: basic equations

**lemma** *find-LNil* [simp]:  $\text{find } p\ LNil = LNil$   
 $\langle \text{proof} \rangle$

**lemma** *findRel-imp-find* [simp]:  $(l, l') \in \text{findRel } p \implies \text{find } p\ l = l'$   
 $\langle \text{proof} \rangle$

**lemma** *find-LCons-found*:  $p\ x \implies \text{find } p\ (LCons\ x\ l) = LCons\ x\ l$   
 $\langle \text{proof} \rangle$

**lemma** *diverge-find-LNil* [simp]:  $l \sim : \text{Domain}(\text{findRel } p) \implies \text{find } p\ l = LNil$   
 $\langle \text{proof} \rangle$

**lemma** *find-LCons-seek*:  $\sim (p\ x) \implies \text{find } p\ (LCons\ x\ l) = \text{find } p\ l$   
 $\langle \text{proof} \rangle$

**lemma** *find-LCons* [simp]:  
 $\text{find } p\ (LCons\ x\ l) = (\text{if } p\ x \text{ then } LCons\ x\ l \text{ else } \text{find } p\ l)$   
 $\langle \text{proof} \rangle$

## 12.4 *lfilter*: basic equations

**lemma** *lfilter-LNil* [simp]:  $\text{lfilter } p\ LNil = LNil$   
 $\langle \text{proof} \rangle$

**lemma** *diverge-lfilter-LNil* [simp]:  
 $l \sim : \text{Domain}(\text{findRel } p) \implies \text{lfilter } p\ l = LNil$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-LCons-found*:

$p\ x \implies \text{lfilter } p\ (LCons\ x\ l) = LCons\ x\ (\text{lfilter } p\ l)$   
 $\langle \text{proof} \rangle$

**lemma** *findRel-imp-lfilter* [simp]:

$(l, LCons\ x\ l') \in \text{findRel } p \implies \text{lfilter } p\ l = LCons\ x\ (\text{lfilter } p\ l')$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-LCons-seek*:  $\sim (p\ x) \implies \text{lfilter } p\ (LCons\ x\ l) = \text{lfilter } p\ l$

$\langle \text{proof} \rangle$

**lemma** *lfilter-LCons* [simp]:

$\text{lfilter } p\ (LCons\ x\ l) =$   
 $(\text{if } p\ x \text{ then } LCons\ x\ (\text{lfilter } p\ l) \text{ else } \text{lfilter } p\ l)$   
 $\langle \text{proof} \rangle$

**declare** *lfilterD-Fun-LNil-I* [intro!] *lfilterD-Fun-LCons-I* [intro!]

**lemma** *lfilter-eq-LNil*:  $\text{lfilter } p\ l = LNil \implies l \sim: \text{Domain}(\text{findRel } p)$

$\langle \text{proof} \rangle$

**lemma** *lfilter-eq-LCons* [rule-format]:

$\text{lfilter } p\ l = LCons\ x\ l' \dashv\dashv$   
 $(\exists l''. l' = \text{lfilter } p\ l'' \ \& \ (l, LCons\ x\ l'') \in \text{findRel } p)$   
 $\langle \text{proof} \rangle$

**lemma** *lfilter-cases*:  $\text{lfilter } p\ l = LNil \mid$

$(\exists y\ l'. \text{lfilter } p\ l = LCons\ y\ (\text{lfilter } p\ l') \ \& \ p\ y)$   
 $\langle \text{proof} \rangle$

## 12.5 *lfilter*: simple facts by coinduction

**lemma** *lfilter-K-True*:  $\text{lfilter } (\%x. \text{True})\ l = l$

$\langle \text{proof} \rangle$

**lemma** *lfilter-idem*:  $\text{lfilter } p\ (\text{lfilter } p\ l) = \text{lfilter } p\ l$

$\langle \text{proof} \rangle$

## 12.6 Numerous lemmas required to prove *lfilter-conj*

**lemma** *findRel-conj-lemma* [rule-format]:

$(l, l') \in \text{findRel } q$   
 $\implies l' = LCons\ x\ l'' \dashv\dashv p\ x \dashv\dashv (l, l') \in \text{findRel } (\%x. p\ x \ \& \ q\ x)$   
 $\langle \text{proof} \rangle$

**lemmas** *findRel-conj* = *findRel-conj-lemma* [OF - refl]

**lemma** *findRel-not-conj-Domain* [rule-format]:  
 $(l, l'') \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$   
 $\implies (l, \text{LCons } x\ l') \in \text{findRel } q \dashv\dashv \sim p\ x \dashv\dashv$   
 $l' \in \text{Domain } (\text{findRel } (\%x. p\ x \ \&\ q\ x))$   
 <proof>

**lemma** *findRel-conj2* [rule-format]:  
 $(l, lxx) \in \text{findRel } q$   
 $\implies lxx = \text{LCons } x\ lx \dashv\dashv (lx, lz) \in \text{findRel } (\%x. p\ x \ \&\ q\ x) \dashv\dashv \sim p\ x$   
 $\dashv\dashv (l, lz) \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$   
 <proof>

**lemma** *findRel-lfilter-Domain-conj* [rule-format]:  
 $(lx, ly) \in \text{findRel } p$   
 $\implies \forall l. lx = \text{lfilter } q\ l \dashv\dashv l \in \text{Domain } (\text{findRel } (\%x. p\ x \ \&\ q\ x))$   
 <proof>

**lemma** *findRel-conj-lfilter* [rule-format]:  
 $(l, l'') \in \text{findRel } (\%x. p\ x \ \&\ q\ x)$   
 $\implies l'' = \text{LCons } y\ l' \dashv\dashv$   
 $(\text{lfilter } q\ l, \text{LCons } y\ (\text{lfilter } q\ l')) \in \text{findRel } p$   
 <proof>

**lemma** *lfilter-conj-lemma*:  
 $(\text{lfilter } p\ (\text{lfilter } q\ l), \text{lfilter } (\%x. p\ x \ \&\ q\ x)\ l)$   
 $\in \text{lListD-Fun } (\text{range } (\%u. (\text{lfilter } p\ (\text{lfilter } q\ u),$   
 $\text{lfilter } (\%x. p\ x \ \&\ q\ x)\ u)))$   
 <proof>

**lemma** *lfilter-conj*:  $\text{lfilter } p\ (\text{lfilter } q\ l) = \text{lfilter } (\%x. p\ x \ \&\ q\ x)\ l$   
 <proof>

## 12.7 Numerous lemmas required to prove ??: $\text{lfilter } p\ (\text{lmap } f\ l) = \text{lmap } f\ (\text{lfilter } (\%x. p(f\ x))\ l)$

**lemma** *findRel-lmap-Domain*:  
 $(l, l') \in \text{findRel } (\%x. p\ (f\ x)) \implies \text{lmap } f\ l \in \text{Domain } (\text{findRel } p)$   
 <proof>

**lemma** *lmap-eq-LCons* [rule-format]:  $\text{lmap } f\ l = \text{LCons } x\ l' \dashv\dashv$   
 $(\exists y\ l''. x = f\ y \ \&\ l' = \text{lmap } f\ l'' \ \&\ l = \text{LCons } y\ l'')$   
 <proof>

**lemma** *lmap-LCons-findRel-lemma* [rule-format]:  
 $(lx, ly) \in \text{findRel } p$   
 $\implies \forall l. \text{lmap } f\ l = lx \dashv\dashv ly = \text{LCons } x\ l' \dashv\dashv$

$(\exists y\ l''.\ x = f\ y\ \&\ l' = \text{lmap}\ f\ l''\ \&$   
 $(l,\ \text{LCons}\ y\ l'') \in \text{findRel}(\%x.\ p(f\ x)))$   
 $\langle \text{proof} \rangle$

**lemmas**  $\text{lmap-LCons-findRel} = \text{lmap-LCons-findRel-lemma}\ [\text{OF} - \text{refl refl}]$

**lemma**  $\text{lfilter-lmap: lfilter}\ p\ (\text{lmap}\ f\ l) = \text{lmap}\ f\ (\text{lfilter}\ (p\ o\ f)\ l)$   
 $\langle \text{proof} \rangle$

**end**