

# Hoare Logic for Parallel Programs

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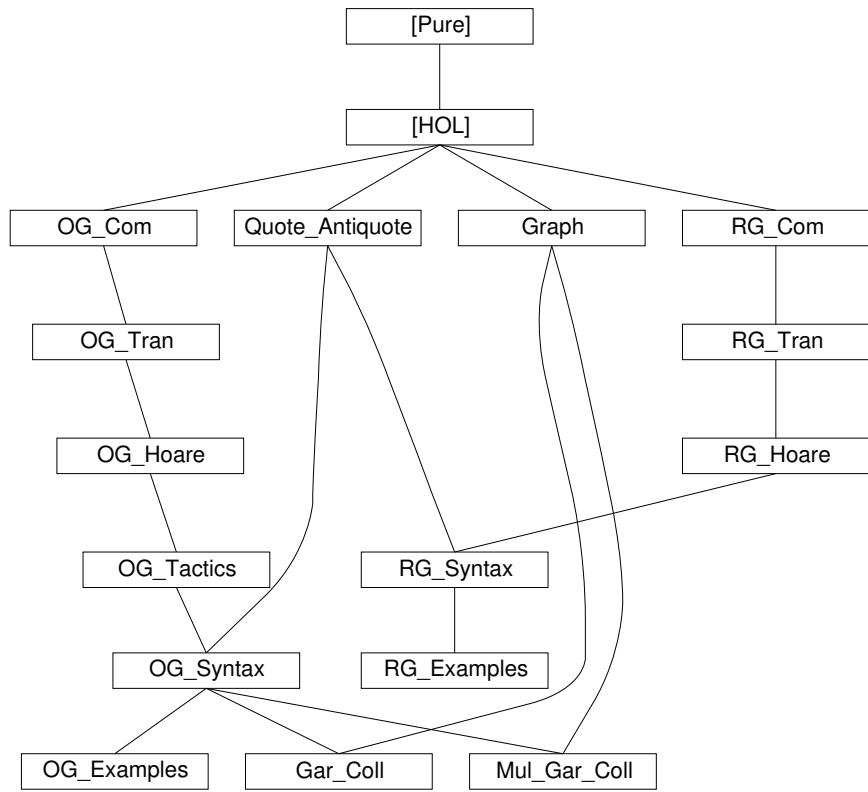
## **Abstract**

In the following theories a formalization of the Owicki-Gries and the rely-guarantee methods is presented. These methods are widely used for correctness proofs of parallel imperative programs with shared variables. We define syntax, semantics and proof rules in Isabelle/HOL. The proof rules also provide for programs parameterized in the number of parallel components. Their correctness w.r.t. the semantics is proven. Completeness proofs for both methods are extended to the new case of parameterized programs. (These proofs have not been formalized in Isabelle. They can be found in [?].) Using this formalizations we verify several non-trivial examples for parameterized and non-parameterized programs. For the automatic generation of verification conditions with the Owicki-Gries method we define a tactic based on the proof rules. The most involved examples are the verification of two garbage-collection algorithms, the second one parameterized in the number of mutators.

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# Chapter 1

## The Owicki-Gries Method

### 1.1 Abstract Syntax

**theory** *OG-Com* **imports** *Main* **begin**

Type abbreviations for boolean expressions and assertions:

**types**

$'a \text{ bexp} = 'a \text{ set}$   
 $'a \text{ assn} = 'a \text{ set}$

The syntax of commands is defined by two mutually recursive datatypes:  $'a \text{ ann-com}$  for annotated commands and  $'a \text{ com}$  for non-annotated commands.

**datatype**  $'a \text{ ann-com} =$

$\text{AnnBasic } ('a \text{ assn}) ('a \Rightarrow 'a)$   
 $\mid \text{AnnSeq } ('a \text{ ann-com}) ('a \text{ ann-com})$   
 $\mid \text{AnnCond1 } ('a \text{ assn}) ('a \text{ bexp}) ('a \text{ ann-com}) ('a \text{ ann-com})$   
 $\mid \text{AnnCond2 } ('a \text{ assn}) ('a \text{ bexp}) ('a \text{ ann-com})$   
 $\mid \text{AnnWhile } ('a \text{ assn}) ('a \text{ bexp}) ('a \text{ assn}) ('a \text{ ann-com})$   
 $\mid \text{AnnAwait } ('a \text{ assn}) ('a \text{ bexp}) ('a \text{ com})$

**and**  $'a \text{ com} =$

$\text{Parallel } ('a \text{ ann-com option} \times 'a \text{ assn}) \text{ list}$   
 $\mid \text{Basic } ('a \Rightarrow 'a)$   
 $\mid \text{Seq } ('a \text{ com}) ('a \text{ com})$   
 $\mid \text{Cond } ('a \text{ bexp}) ('a \text{ com}) ('a \text{ com})$   
 $\mid \text{While } ('a \text{ bexp}) ('a \text{ assn}) ('a \text{ com})$

The function *pre* extracts the precondition of an annotated command:

**consts**

$\text{pre} :: 'a \text{ ann-com} \Rightarrow 'a \text{ assn}$

**primrec**

$\text{pre } (\text{AnnBasic } r \ f) = r$   
 $\text{pre } (\text{AnnSeq } c1 \ c2) = \text{pre } c1$   
 $\text{pre } (\text{AnnCond1 } r \ b \ c1 \ c2) = r$   
 $\text{pre } (\text{AnnCond2 } r \ b \ c) = r$   
 $\text{pre } (\text{AnnWhile } r \ b \ i \ c) = r$

$pre\ (AnnAwait\ r\ b\ c) = r$

Well-formedness predicate for atomic programs:

```

consts atom-com :: 'a com  $\Rightarrow$  bool
primrec
  atom-com (Parallel Ts) = False
  atom-com (Basic f) = True
  atom-com (Seq c1 c2) = (atom-com c1  $\wedge$  atom-com c2)
  atom-com (Cond b c1 c2) = (atom-com c1  $\wedge$  atom-com c2)
  atom-com (While b i c) = atom-com c

end

```

## 1.2 Operational Semantics

**theory** *OG-Tran* **imports** *OG-Com* **begin**

```

types
  'a ann-com-op = ('a ann-com) option
  'a ann-triple-op = ('a ann-com-op  $\times$  'a assn)

consts com :: 'a ann-triple-op  $\Rightarrow$  'a ann-com-op
primrec com (c, q) = c

consts post :: 'a ann-triple-op  $\Rightarrow$  'a assn
primrec post (c, q) = q

constdefs
  All-None :: 'a ann-triple-op list  $\Rightarrow$  bool
  All-None Ts  $\equiv \forall (c, q) \in set\ Ts. c = None$ 

```

### 1.2.1 The Transition Relation

```

consts
  ann-transition :: (('a ann-com-op  $\times$  'a)  $\times$  ('a ann-com-op  $\times$  'a)) set
  transition :: (('a com  $\times$  'a)  $\times$  ('a com  $\times$  'a)) set

syntax
  -ann-transition :: ('a ann-com-op  $\times$  'a)  $\Rightarrow$  ('a ann-com-op  $\times$  'a)  $\Rightarrow$  bool
    (-  $\rightarrow$  -[81,81] 100)
  -ann-transition-n :: ('a ann-com-op  $\times$  'a)  $\Rightarrow$  nat  $\Rightarrow$  ('a ann-com-op  $\times$  'a)
     $\Rightarrow$  bool (-  $\rightarrow$  -[81,81] 100)
  -ann-transition-* :: ('a ann-com-op  $\times$  'a)  $\Rightarrow$  ('a ann-com-op  $\times$  'a)  $\Rightarrow$  bool
    (-  $\rightarrow$  -[81,81] 100)

  -transition :: ('a com  $\times$  'a)  $\Rightarrow$  ('a com  $\times$  'a)  $\Rightarrow$  bool (-  $\rightarrow$  -[81,81] 100)
  -transition-n :: ('a com  $\times$  'a)  $\Rightarrow$  nat  $\Rightarrow$  ('a com  $\times$  'a)  $\Rightarrow$  bool
    (-  $\rightarrow$  -[81,81,81] 100)
  -transition-* :: ('a com  $\times$  'a)  $\Rightarrow$  ('a com  $\times$  'a)  $\Rightarrow$  bool (-  $\rightarrow$  -[81,81] 100)

```

The corresponding syntax translations are:

**translations**

$$\begin{aligned} con-0 -1 \rightarrow con-1 &\hat{=} (con-0, con-1) \in ann-transition \\ con-0 -n \rightarrow con-1 &\hat{=} (con-0, con-1) \in ann-transition \hat{n} \\ con-0 -* \rightarrow con-1 &\hat{=} (con-0, con-1) \in ann-transition^* \end{aligned}$$

$$\begin{aligned} con-0 -P1 \rightarrow con-1 &\hat{=} (con-0, con-1) \in transition \\ con-0 -Pn \rightarrow con-1 &\hat{=} (con-0, con-1) \in transition \hat{n} \\ con-0 -P* \rightarrow con-1 &\hat{=} (con-0, con-1) \in transition^* \end{aligned}$$

**inductive** *ann-transition transition*

**intros**

$$AnnBasic: (Some (AnnBasic r f), s) -1 \rightarrow (None, f s)$$

$$\begin{aligned} AnnSeq1: (Some c0, s) -1 \rightarrow (None, t) &\implies \\ (Some (AnnSeq c0 c1), s) -1 \rightarrow (Some c1, t) & \end{aligned}$$

$$\begin{aligned} AnnSeq2: (Some c0, s) -1 \rightarrow (Some c2, t) &\implies \\ (Some (AnnSeq c0 c1), s) -1 \rightarrow (Some (AnnSeq c2 c1), t) & \end{aligned}$$

$$AnnCond1T: s \in b \implies (Some (AnnCond1 r b c1 c2), s) -1 \rightarrow (Some c1, s)$$

$$AnnCond1F: s \notin b \implies (Some (AnnCond1 r b c1 c2), s) -1 \rightarrow (Some c2, s)$$

$$AnnCond2T: s \in b \implies (Some (AnnCond2 r b c), s) -1 \rightarrow (Some c, s)$$

$$AnnCond2F: s \notin b \implies (Some (AnnCond2 r b c), s) -1 \rightarrow (None, s)$$

$$AnnWhileF: s \notin b \implies (Some (AnnWhile r b i c), s) -1 \rightarrow (None, s)$$

$$\begin{aligned} AnnWhileT: s \in b &\implies (Some (AnnWhile r b i c), s) -1 \rightarrow \\ (Some (AnnSeq c (AnnWhile i b i c)), s) & \end{aligned}$$

$$\begin{aligned} AnnAwait: \llbracket s \in b; atom-com c; (c, s) -P* \rightarrow (Parallel [], t) \rrbracket &\implies \\ (Some (AnnAwait r b c), s) -1 \rightarrow (None, t) & \end{aligned}$$

$$\begin{aligned} Parallel: \llbracket i < length Ts; Ts!i = (Some c, q); (Some c, s) -1 \rightarrow (r, t) \rrbracket & \\ \implies (Parallel Ts, s) -P1 \rightarrow (Parallel (Ts [i:=(r, q)]), t) & \end{aligned}$$

$$Basic: (Basic f, s) -P1 \rightarrow (Parallel [], f s)$$

$$Seq1: All-None Ts \implies (Seq (Parallel Ts) c, s) -P1 \rightarrow (c, s)$$

$$Seq2: (c0, s) -P1 \rightarrow (c2, t) \implies (Seq c0 c1, s) -P1 \rightarrow (Seq c2 c1, t)$$

$$CondT: s \in b \implies (Cond b c1 c2, s) -P1 \rightarrow (c1, s)$$

$$CondF: s \notin b \implies (Cond b c1 c2, s) -P1 \rightarrow (c2, s)$$

$$WhileF: s \notin b \implies (While b i c, s) -P1 \rightarrow (Parallel [], s)$$

$$WhileT: s \in b \implies (While b i c, s) -P1 \rightarrow (Seq c (While b i c), s)$$

**monos** *rtrancel-mono*



## 1.2.2 Definition of Semantics

### constdefs

$ann\text{-}sem :: 'a \text{ ann-com} \Rightarrow 'a \Rightarrow 'a \text{ set}$   
 $ann\text{-}sem \ c \equiv \lambda s. \{t. (Some \ c, \ s) \dashv\!\!\rightarrow (None, \ t)\}$

$ann\text{-}SEM :: 'a \text{ ann-com} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$   
 $ann\text{-}SEM \ c \ S \equiv \bigcup ann\text{-}sem \ c \ ` \ S$

$sem :: 'a \text{ com} \Rightarrow 'a \Rightarrow 'a \text{ set}$   
 $sem \ c \equiv \lambda s. \{t. \exists Ts. (c, \ s) \dashv\!\!\rightarrow (Parallel \ Ts, \ t) \wedge All\text{-}None \ Ts\}$

$SEM :: 'a \text{ com} \Rightarrow 'a \text{ set} \Rightarrow 'a \text{ set}$   
 $SEM \ c \ S \equiv \bigcup sem \ c \ ` \ S$

**syntax**  $\text{-}\Omega :: 'a \text{ com} \quad (\Omega \ 63)$

**translations**  $\Omega \Rightarrow While \ UNIV \ UNIV \ (Basic \ id)$

**consts**  $fw\!hile :: 'a \text{ bexp} \Rightarrow 'a \text{ com} \Rightarrow nat \Rightarrow 'a \text{ com}$

### primrec

$fw\!hile \ b \ c \ 0 = \Omega$   
 $fw\!hile \ b \ c \ (Suc \ n) = Cond \ b \ (Seq \ c \ (fw\!hile \ b \ c \ n)) \ (Basic \ id)$

## Proofs

**declare**  $ann\text{-}transition\text{-}transition.intros \ [intro]$

**inductive-cases**  $transition\text{-}cases$ :

$(Parallel \ T, s) \dashv\!\!\rightarrow P1 \rightarrow t$   
 $(Basic \ f, \ s) \dashv\!\!\rightarrow P1 \rightarrow t$   
 $(Seq \ c1 \ c2, \ s) \dashv\!\!\rightarrow P1 \rightarrow t$   
 $(Cond \ b \ c1 \ c2, \ s) \dashv\!\!\rightarrow P1 \rightarrow t$   
 $(While \ b \ i \ c, \ s) \dashv\!\!\rightarrow P1 \rightarrow t$

**lemma**  $Parallel\text{-}empty\text{-}lemma \ [rule\text{-}format \ (no\text{-}asm)]$ :

$(Parallel \ [], s) \dashv\!\!\rightarrow Pn \rightarrow (Parallel \ Ts, t) \longrightarrow Ts = [] \wedge n = 0 \wedge s = t$   
 $\langle proof \rangle$

**lemma**  $Parallel\text{-}AllNone\text{-}lemma \ [rule\text{-}format \ (no\text{-}asm)]$ :

$All\text{-}None \ Ss \longrightarrow (Parallel \ Ss, s) \dashv\!\!\rightarrow Pn \rightarrow (Parallel \ Ts, t) \longrightarrow Ts = Ss \wedge n = 0 \wedge s = t$   
 $\langle proof \rangle$

**lemma**  $Parallel\text{-}AllNone$ :  $All\text{-}None \ Ts \Longrightarrow (SEM \ (Parallel \ Ts) \ X) = X$

$\langle proof \rangle$

**lemma**  $Parallel\text{-}empty$ :  $Ts = [] \Longrightarrow (SEM \ (Parallel \ Ts) \ X) = X$

$\langle proof \rangle$

Set of lemmas from Apt and Olderog "Verification of sequential and concurrent programs", page 63.

**lemma**  $L3\text{-}5i$ :  $X \subseteq Y \Longrightarrow SEM \ c \ X \subseteq SEM \ c \ Y$

$\langle proof \rangle$

**lemma** *L3-5ii-lemma1*:

$\llbracket (c1, s1) -P* \rightarrow (Parallel\ Ts, s2); All-None\ Ts;$   
 $(c2, s2) -P* \rightarrow (Parallel\ Ss, s3); All-None\ Ss \rrbracket$   
 $\implies (Seq\ c1\ c2, s1) -P* \rightarrow (Parallel\ Ss, s3)$   
 $\langle proof \rangle$

**lemma** *L3-5ii-lemma2* [*rule-format* (*no-asm*)]:

$\forall c1\ c2\ s\ t. (Seq\ c1\ c2, s) -Pn \rightarrow (Parallel\ Ts, t) \longrightarrow$   
 $(All-None\ Ts) \longrightarrow (\exists y\ m\ Rs. (c1, s) -P* \rightarrow (Parallel\ Rs, y) \wedge$   
 $(All-None\ Rs) \wedge (c2, y) -Pm \rightarrow (Parallel\ Ts, t) \wedge m \leq n)$   
 $\langle proof \rangle$

**lemma** *L3-5ii-lemma3*:

$\llbracket (Seq\ c1\ c2, s) -P* \rightarrow (Parallel\ Ts, t); All-None\ Ts \rrbracket \implies$   
 $(\exists y\ Rs. (c1, s) -P* \rightarrow (Parallel\ Rs, y) \wedge All-None\ Rs$   
 $\wedge (c2, y) -P* \rightarrow (Parallel\ Ts, t))$   
 $\langle proof \rangle$

**lemma** *L3-5ii*:  $SEM\ (Seq\ c1\ c2)\ X = SEM\ c2\ (SEM\ c1\ X)$

$\langle proof \rangle$

**lemma** *L3-5iii*:  $SEM\ (Seq\ (Seq\ c1\ c2)\ c3)\ X = SEM\ (Seq\ c1\ (Seq\ c2\ c3))\ X$

$\langle proof \rangle$

**lemma** *L3-5iv*:

$SEM\ (Cond\ b\ c1\ c2)\ X = (SEM\ c1\ (X \cap b))\ Un\ (SEM\ c2\ (X \cap (-b)))$   
 $\langle proof \rangle$

**lemma** *L3-5v-lemma1* [*rule-format*]:

$(S, s) -Pn \rightarrow (T, t) \longrightarrow S = \Omega \longrightarrow (\neg(\exists Rs. T = (Parallel\ Rs) \wedge All-None\ Rs))$   
 $\langle proof \rangle$

**lemma** *L3-5v-lemma2*:  $\llbracket (\Omega, s) -P* \rightarrow (Parallel\ Ts, t); All-None\ Ts \rrbracket \implies False$

$\langle proof \rangle$

**lemma** *L3-5v-lemma3*:  $SEM\ (\Omega)\ S = \{\}$

$\langle proof \rangle$

**lemma** *L3-5v-lemma4* [*rule-format*]:

$\forall s. (While\ b\ i\ c, s) -Pn \rightarrow (Parallel\ Ts, t) \longrightarrow All-None\ Ts \longrightarrow$   
 $(\exists k. (fwhile\ b\ c\ k, s) -P* \rightarrow (Parallel\ Ts, t))$   
 $\langle proof \rangle$

**lemma** *L3-5v-lemma5* [*rule-format*]:

$\forall s. (fwhile\ b\ c\ k, s) -P* \rightarrow (Parallel\ Ts, t) \longrightarrow All-None\ Ts \longrightarrow$   
 $(While\ b\ i\ c, s) -P* \rightarrow (Parallel\ Ts, t)$

$\langle proof \rangle$

**lemma** *L3-5v*:  $SEM \ (While \ b \ i \ c) = (\lambda x. (\bigcup k. SEM \ (fwhile \ b \ c \ k) \ x))$   
 $\langle proof \rangle$

### 1.3 Validity of Correctness Formulas

**constdefs**

*com-validity* ::  $'a \ assn \Rightarrow 'a \ com \Rightarrow 'a \ assn \Rightarrow bool$   $((\exists || = -// -//-) \ [90,55,90]$   
 $50)$

$|| = p \ c \ q \equiv SEM \ c \ p \subseteq q$

*ann-com-validity* ::  $'a \ ann-com \Rightarrow 'a \ assn \Rightarrow bool$   $(| = - - \ [60,90] \ 45)$

$| = c \ q \equiv ann-SEM \ c \ (pre \ c) \subseteq q$

**end**

### 1.4 The Proof System

**theory** *OG-Hoare* **imports** *OG-Tran* **begin**

**consts** *assertions* ::  $'a \ ann-com \Rightarrow ('a \ assn) \ set$

**primrec**

*assertions*  $(AnnBasic \ r \ f) = \{r\}$

*assertions*  $(AnnSeq \ c1 \ c2) = assertions \ c1 \cup assertions \ c2$

*assertions*  $(AnnCond1 \ r \ b \ c1 \ c2) = \{r\} \cup assertions \ c1 \cup assertions \ c2$

*assertions*  $(AnnCond2 \ r \ b \ c) = \{r\} \cup assertions \ c$

*assertions*  $(AnnWhile \ r \ b \ i \ c) = \{r, i\} \cup assertions \ c$

*assertions*  $(AnnAwait \ r \ b \ c) = \{r\}$

**consts** *atomics* ::  $'a \ ann-com \Rightarrow ('a \ assn \times 'a \ com) \ set$

**primrec**

*atomics*  $(AnnBasic \ r \ f) = \{(r, Basic \ f)\}$

*atomics*  $(AnnSeq \ c1 \ c2) = atomics \ c1 \cup atomics \ c2$

*atomics*  $(AnnCond1 \ r \ b \ c1 \ c2) = atomics \ c1 \cup atomics \ c2$

*atomics*  $(AnnCond2 \ r \ b \ c) = atomics \ c$

*atomics*  $(AnnWhile \ r \ b \ i \ c) = atomics \ c$

*atomics*  $(AnnAwait \ r \ b \ c) = \{(r \cap b, c)\}$

**consts** *com* ::  $'a \ ann-triple-op \Rightarrow 'a \ ann-com-op$

**primrec** *com*  $(c, q) = c$

**consts** *post* ::  $'a \ ann-triple-op \Rightarrow 'a \ assn$

**primrec** *post*  $(c, q) = q$

**constdefs** *interfree-aux* ::  $('a \ ann-com-op \times 'a \ assn \times 'a \ ann-com-op) \Rightarrow bool$

*interfree-aux*  $\equiv \lambda (co, q, co'). \ co' = None \vee$

$(\forall (r, a) \in atomics \ (the \ co')). \ || = (q \cap r) \ a \ q \wedge$

$$(co = None \vee (\forall p \in \text{assertions } (the\ co). \models (p \cap r)\ a\ p)))$$

**constdefs** *interfree* ::  $(('a\ \text{ann-triple-op})\ list) \Rightarrow bool$   
*interfree* *Ts*  $\equiv \forall i\ j. i < \text{length}\ Ts \wedge j < \text{length}\ Ts \wedge i \neq j \longrightarrow$   
*interfree-aux* (*com* (*Ts*!i), *post* (*Ts*!i), *com* (*Ts*!j))

**consts** *ann-hoare* ::  $('a\ \text{ann-com} \times 'a\ \text{assn})\ set$   
**syntax** *-ann-hoare* ::  $'a\ \text{ann-com} \Rightarrow 'a\ \text{assn} \Rightarrow bool$   $((2\vdash\ -//\ -)\ [60,90]\ 45)$   
**translations**  $\vdash\ c\ q \rightleftharpoons (c, q) \in \text{ann-hoare}$

**consts** *oghoare* ::  $('a\ \text{assn} \times 'a\ \text{com} \times 'a\ \text{assn})\ set$   
**syntax** *-oghoare* ::  $'a\ \text{assn} \Rightarrow 'a\ \text{com} \Rightarrow 'a\ \text{assn} \Rightarrow bool$   $((3\|\!-\! -//\!-\! -)\ [90,55,90]\ 50)$   
**translations**  $\|\!-\! p\ c\ q \rightleftharpoons (p, c, q) \in \text{oghoare}$

**inductive** *oghoare ann-hoare*

**intros**

*AnnBasic*:  $r \subseteq \{s. f\ s \in q\} \Longrightarrow \vdash (AnnBasic\ r\ f)\ q$

*AnnSeq*:  $\llbracket \vdash\ c0\ pre\ c1; \vdash\ c1\ q \rrbracket \Longrightarrow \vdash (AnnSeq\ c0\ c1)\ q$

*AnnCond1*:  $\llbracket r \cap b \subseteq pre\ c1; \vdash\ c1\ q; r \cap -b \subseteq pre\ c2; \vdash\ c2\ q \rrbracket$   
 $\Longrightarrow \vdash (AnnCond1\ r\ b\ c1\ c2)\ q$

*AnnCond2*:  $\llbracket r \cap b \subseteq pre\ c; \vdash\ c\ q; r \cap -b \subseteq q \rrbracket \Longrightarrow \vdash (AnnCond2\ r\ b\ c)\ q$

*AnnWhile*:  $\llbracket r \subseteq i; i \cap b \subseteq pre\ c; \vdash\ c\ i; i \cap -b \subseteq q \rrbracket$   
 $\Longrightarrow \vdash (AnnWhile\ r\ b\ i\ c)\ q$

*AnnAwait*:  $\llbracket atom-com\ c; \|\!-\! (r \cap b)\ c\ q \rrbracket \Longrightarrow \vdash (AnnAwait\ r\ b\ c)\ q$

*AnnConseq*:  $\llbracket \vdash\ c\ q; q \subseteq q' \rrbracket \Longrightarrow \vdash\ c\ q'$

*Parallel*:  $\llbracket \forall i < \text{length}\ Ts. \exists c\ q. Ts!i = (Some\ c, q) \wedge \vdash\ c\ q; \text{interfree}\ Ts \rrbracket$   
 $\Longrightarrow \|\!-\! (\bigcap_{i \in \{i. i < \text{length}\ Ts\}}. pre(the(com(Ts!i))))$   
 $\quad Parallel\ Ts$   
 $\quad (\bigcap_{i \in \{i. i < \text{length}\ Ts\}}. post(Ts!i))$

*Basic*:  $\|\!-\! \{s. f\ s \in q\}\ (Basic\ f)\ q$

*Seq*:  $\llbracket \|\!-\! p\ c1\ r; \|\!-\! r\ c2\ q \rrbracket \Longrightarrow \|\!-\! p\ (Seq\ c1\ c2)\ q$

*Cond*:  $\llbracket \|\!-\! (p \cap b)\ c1\ q; \|\!-\! (p \cap -b)\ c2\ q \rrbracket \Longrightarrow \|\!-\! p\ (Cond\ b\ c1\ c2)\ q$

*While*:  $\llbracket \|\!-\! (p \cap b)\ c\ p \rrbracket \Longrightarrow \|\!-\! p\ (While\ b\ i\ c)\ (p \cap -b)$

*Conseq*:  $\llbracket p' \subseteq p; \|\!-\! p\ c\ q; q \subseteq q' \rrbracket \Longrightarrow \|\!-\! p'\ c\ q'$

## 1.5 Soundness

**lemmas**  $[cong\ del] = if\text{-}weak\text{-}cong$

**lemmas**  $ann\text{-}hoare\text{-}induct = oghoare\text{-}ann\text{-}hoare.induct\ [THEN\ conjunct2]$

**lemmas**  $oghoare\text{-}induct = oghoare\text{-}ann\text{-}hoare.induct\ [THEN\ conjunct1]$

**lemmas**  $AnnBasic = oghoare\text{-}ann\text{-}hoare.AnnBasic$

**lemmas**  $AnnSeq = oghoare\text{-}ann\text{-}hoare.AnnSeq$

**lemmas**  $AnnCond1 = oghoare\text{-}ann\text{-}hoare.AnnCond1$

**lemmas**  $AnnCond2 = oghoare\text{-}ann\text{-}hoare.AnnCond2$

**lemmas**  $AnnWhile = oghoare\text{-}ann\text{-}hoare.AnnWhile$

**lemmas**  $AnnAwait = oghoare\text{-}ann\text{-}hoare.AnnAwait$

**lemmas**  $AnnConseq = oghoare\text{-}ann\text{-}hoare.AnnConseq$

**lemmas**  $Parallel = oghoare\text{-}ann\text{-}hoare.Parallel$

**lemmas**  $Basic = oghoare\text{-}ann\text{-}hoare.Basic$

**lemmas**  $Seq = oghoare\text{-}ann\text{-}hoare.Seq$

**lemmas**  $Cond = oghoare\text{-}ann\text{-}hoare.Cond$

**lemmas**  $While = oghoare\text{-}ann\text{-}hoare.While$

**lemmas**  $Conseq = oghoare\text{-}ann\text{-}hoare.Conseq$

### 1.5.1 Soundness of the System for Atomic Programs

**lemma**  $Basic\text{-}ntran\ [rule\text{-}format]:$

$(Basic\ f,\ s) - Pn \rightarrow (Parallel\ Ts,\ t) \longrightarrow All\ None\ Ts \longrightarrow t = f\ s$   
 $\langle proof \rangle$

**lemma**  $SEM\text{-}fwhile: SEM\ S\ (p \cap b) \subseteq p \implies SEM\ (fwhile\ b\ S\ k)\ p \subseteq (p \cap -b)$

$\langle proof \rangle$

**lemma**  $atom\text{-}hoare\text{-}sound\ [rule\text{-}format]:$

$\| -\ p\ c\ q \longrightarrow atom\text{-}com(c) \longrightarrow \|= p\ c\ q$   
 $\langle proof \rangle$

### 1.5.2 Soundness of the System for Component Programs

**inductive-cases**  $ann\text{-}transition\text{-}cases:$

$(None, s) - 1 \rightarrow t$   
 $(Some\ (AnnBasic\ r\ f), s) - 1 \rightarrow t$   
 $(Some\ (AnnSeq\ c1\ c2), s) - 1 \rightarrow t$   
 $(Some\ (AnnCond1\ r\ b\ c1\ c2), s) - 1 \rightarrow t$   
 $(Some\ (AnnCond2\ r\ b\ c), s) - 1 \rightarrow t$   
 $(Some\ (AnnWhile\ r\ b\ I\ c), s) - 1 \rightarrow t$   
 $(Some\ (AnnAwait\ r\ b\ c), s) - 1 \rightarrow t$

Strong Soundness for Component Programs:

**lemma**  $ann\text{-}hoare\text{-}case\text{-}analysis\ [rule\text{-}format]: \vdash C\ q' \longrightarrow$

$((\forall r\ f.\ C = AnnBasic\ r\ f \longrightarrow (\exists q.\ r \subseteq \{s.\ f\ s \in q\} \wedge q \subseteq q')) \wedge$   
 $(\forall c0\ c1.\ C = AnnSeq\ c0\ c1 \longrightarrow (\exists q.\ q \subseteq q' \wedge \vdash c0\ pre\ c1 \wedge \vdash c1\ q))) \wedge$

$(\forall r \ b \ c1 \ c2. \ C = \text{AnnCond1} \ r \ b \ c1 \ c2 \longrightarrow (\exists q. \ q \subseteq q' \wedge$   
 $r \cap b \subseteq \text{pre } c1 \wedge \vdash c1 \ q \wedge r \cap -b \subseteq \text{pre } c2 \wedge \vdash c2 \ q)) \wedge$   
 $(\forall r \ b \ c. \ C = \text{AnnCond2} \ r \ b \ c \longrightarrow$   
 $(\exists q. \ q \subseteq q' \wedge r \cap b \subseteq \text{pre } c \wedge \vdash c \ q \wedge r \cap -b \subseteq q)) \wedge$   
 $(\forall r \ i \ b \ c. \ C = \text{AnnWhile} \ r \ b \ i \ c \longrightarrow$   
 $(\exists q. \ q \subseteq q' \wedge r \subseteq i \wedge i \cap b \subseteq \text{pre } c \wedge \vdash c \ i \wedge i \cap -b \subseteq q)) \wedge$   
 $(\forall r \ b \ c. \ C = \text{AnnAwait} \ r \ b \ c \longrightarrow (\exists q. \ q \subseteq q' \wedge \Vdash (r \cap b) \ c \ q)))$   
 $\langle \text{proof} \rangle$

**lemma** *Help*:  $(\text{transition} \cap \{(v,v,u). \ \text{True}\}) = (\text{transition})$   
 $\langle \text{proof} \rangle$

**lemma** *Strong-Soundness-aux-aux* [rule-format]:  
 $(co, s) -1 \rightarrow (co', t) \longrightarrow (\forall c. \ co = \text{Some } c \longrightarrow s \in \text{pre } c \longrightarrow$   
 $(\forall q. \ \vdash c \ q \longrightarrow (\text{if } co' = \text{None} \text{ then } t \in q \text{ else } t \in \text{pre}(\text{the } co') \wedge \vdash (\text{the } co') \ q)))$   
 $\langle \text{proof} \rangle$

**lemma** *Strong-Soundness-aux*:  $\llbracket (\text{Some } c, s) -*\rightarrow (co, t); s \in \text{pre } c; \vdash c \ q \rrbracket$   
 $\implies \text{if } co = \text{None} \text{ then } t \in q \text{ else } t \in \text{pre}(\text{the } co) \wedge \vdash (\text{the } co) \ q$   
 $\langle \text{proof} \rangle$

**lemma** *Strong-Soundness*:  $\llbracket (\text{Some } c, s) -*\rightarrow (co, t); s \in \text{pre } c; \vdash c \ q \rrbracket$   
 $\implies \text{if } co = \text{None} \text{ then } t \in q \text{ else } t \in \text{pre}(\text{the } co)$   
 $\langle \text{proof} \rangle$

**lemma** *ann-hoare-sound*:  $\vdash c \ q \implies \models c \ q$   
 $\langle \text{proof} \rangle$

### 1.5.3 Soundness of the System for Parallel Programs

**lemma** *Parallel-length-post-P1*:  $(\text{Parallel } Ts, s) -P1 \rightarrow (R', t) \implies$   
 $(\exists Rs. \ R' = (\text{Parallel } Rs) \wedge (\text{length } Rs) = (\text{length } Ts) \wedge$   
 $(\forall i. \ i < \text{length } Ts \longrightarrow \text{post}(Rs \ ! \ i) = \text{post}(Ts \ ! \ i)))$   
 $\langle \text{proof} \rangle$

**lemma** *Parallel-length-post-PStar*:  $(\text{Parallel } Ts, s) -P*\rightarrow (R', t) \implies$   
 $(\exists Rs. \ R' = (\text{Parallel } Rs) \wedge (\text{length } Rs) = (\text{length } Ts) \wedge$   
 $(\forall i. \ i < \text{length } Ts \longrightarrow \text{post}(Ts \ ! \ i) = \text{post}(Rs \ ! \ i)))$   
 $\langle \text{proof} \rangle$

**lemma** *assertions-lemma*:  $\text{pre } c \in \text{assertions } c$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-aux1* [rule-format]:  
 $(c, s) -1 \rightarrow (r, t) \longrightarrow (\text{interfree-aux}(c1, q1, c) \longrightarrow \text{interfree-aux}(c1, q1, r))$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-aux2* [rule-format]:  
 $(c, s) -1 \rightarrow (r, t) \longrightarrow (\text{interfree-aux}(c, q, a) \longrightarrow \text{interfree-aux}(r, q, a))$

$\langle \text{proof} \rangle$

**lemma** *interfree-lemma*:  $\llbracket (\text{Some } c, s) -1 \rightarrow (r, t); \text{interfree } Ts ; i < \text{length } Ts ;$   
 $Ts!i = (\text{Some } c, q) \rrbracket \implies \text{interfree } (Ts[i := (r, q)])$

$\langle \text{proof} \rangle$

Strong Soundness Theorem for Parallel Programs:

**lemma** *Parallel-Strong-Soundness-Seq-aux*:

$\llbracket \text{interfree } Ts ; i < \text{length } Ts ; \text{com}(Ts ! i) = \text{Some}(\text{AnnSeq } c0 \ c1) \rrbracket$   
 $\implies \text{interfree } (Ts[i := (\text{Some } c0, \text{pre } c1)])$

$\langle \text{proof} \rangle$

**lemma** *Parallel-Strong-Soundness-Seq [rule-format (no-asm)]*:

$\llbracket \forall i < \text{length } Ts. (\text{if } \text{com}(Ts!i) = \text{None} \text{ then } b \in \text{post}(Ts!i)$   
 $\text{else } b \in \text{pre}(\text{the}(\text{com}(Ts!i))) \wedge \vdash \text{the}(\text{com}(Ts!i)) \text{ post}(Ts!i);$   
 $\text{com}(Ts ! i) = \text{Some}(\text{AnnSeq } c0 \ c1); i < \text{length } Ts ; \text{interfree } Ts \rrbracket \implies$   
 $(\forall ia < \text{length } Ts. (\text{if } \text{com}(Ts[i := (\text{Some } c0, \text{pre } c1)]! ia) = \text{None}$   
 $\text{then } b \in \text{post}(Ts[i := (\text{Some } c0, \text{pre } c1)]! ia)$   
 $\text{else } b \in \text{pre}(\text{the}(\text{com}(Ts[i := (\text{Some } c0, \text{pre } c1)]! ia))) \wedge$   
 $\vdash \text{the}(\text{com}(Ts[i := (\text{Some } c0, \text{pre } c1)]! ia)) \text{ post}(Ts[i := (\text{Some } c0, \text{pre } c1)]! ia)))$   
 $\wedge \text{interfree } (Ts[i := (\text{Some } c0, \text{pre } c1)])$

$\langle \text{proof} \rangle$

**lemma** *Parallel-Strong-Soundness-aux-aux [rule-format]*:

$(\text{Some } c, b) -1 \rightarrow (co, t) \longrightarrow$   
 $(\forall Ts. i < \text{length } Ts \longrightarrow \text{com}(Ts ! i) = \text{Some } c \longrightarrow$   
 $(\forall i < \text{length } Ts. (\text{if } \text{com}(Ts ! i) = \text{None} \text{ then } b \in \text{post}(Ts!i)$   
 $\text{else } b \in \text{pre}(\text{the}(\text{com}(Ts!i))) \wedge \vdash \text{the}(\text{com}(Ts!i)) \text{ post}(Ts!i))) \longrightarrow$   
 $\text{interfree } Ts \longrightarrow$   
 $(\forall j. j < \text{length } Ts \wedge i \neq j \longrightarrow (\text{if } \text{com}(Ts!j) = \text{None} \text{ then } t \in \text{post}(Ts!j)$   
 $\text{else } t \in \text{pre}(\text{the}(\text{com}(Ts!j))) \wedge \vdash \text{the}(\text{com}(Ts!j)) \text{ post}(Ts!j)))$

$\langle \text{proof} \rangle$

**lemma** *Parallel-Strong-Soundness-aux [rule-format]*:

$\llbracket (Ts', s) -P* \rightarrow (Rs', t); Ts' = (\text{Parallel } Ts); \text{interfree } Ts;$   
 $\forall i. i < \text{length } Ts \longrightarrow (\exists c \ q. (Ts ! i) = (\text{Some } c, q) \wedge s \in \text{pre } c \wedge \vdash c \ q) \rrbracket \implies$   
 $\forall Rs. Rs' = (\text{Parallel } Rs) \longrightarrow (\forall j. j < \text{length } Rs \longrightarrow$   
 $(\text{if } \text{com}(Rs ! j) = \text{None} \text{ then } t \in \text{post}(Ts ! j)$   
 $\text{else } t \in \text{pre}(\text{the}(\text{com}(Rs ! j))) \wedge \vdash \text{the}(\text{com}(Rs ! j)) \text{ post}(Ts ! j))) \wedge \text{interfree } Rs$

$\langle \text{proof} \rangle$

**lemma** *Parallel-Strong-Soundness*:

$\llbracket (\text{Parallel } Ts, s) -P* \rightarrow (\text{Parallel } Rs, t); \text{interfree } Ts ; j < \text{length } Rs;$   
 $\forall i. i < \text{length } Ts \longrightarrow (\exists c \ q. Ts ! i = (\text{Some } c, q) \wedge s \in \text{pre } c \wedge \vdash c \ q) \rrbracket \implies$   
 $\text{if } \text{com}(Rs ! j) = \text{None} \text{ then } t \in \text{post}(Ts ! j) \text{ else } t \in \text{pre}(\text{the}(\text{com}(Rs ! j)))$

$\langle \text{proof} \rangle$

**lemma** *oghore-sound [rule-format]*:  $\llbracket - p \ c \ q \longrightarrow \rrbracket = p \ c \ q$

$\langle \text{proof} \rangle$

end

## 1.6 Generation of Verification Conditions

**theory** *OG-Tactics* **imports** *OG-Hoare*  
**begin**

**lemmas** *ann-hoare-intros*=*AnnBasic AnnSeq AnnCond1 AnnCond2 AnnWhile AnnAwait AnnConseq*

**lemmas** *oghoare-intros*=*Parallel Basic Seq Cond While Conseq*

**lemma** *ParallelConseqRule*:

$$\begin{aligned} & \llbracket p \subseteq (\bigcap i \in \{i. i < \text{length } Ts\}. \text{pre}(\text{the}(\text{com}(Ts ! i)))) \rrbracket; \\ & \llbracket - (\bigcap i \in \{i. i < \text{length } Ts\}. \text{pre}(\text{the}(\text{com}(Ts ! i)))) \\ & \quad (\text{Parallel } Ts) \\ & \quad (\bigcap i \in \{i. i < \text{length } Ts\}. \text{post}(Ts ! i)); \\ & \quad (\bigcap i \in \{i. i < \text{length } Ts\}. \text{post}(Ts ! i)) \subseteq q \rrbracket \\ & \implies \llbracket - p (\text{Parallel } Ts) q \rrbracket \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *SkipRule*:  $p \subseteq q \implies \llbracket - p (\text{Basic id}) q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *BasicRule*:  $p \subseteq \{s. (f s) \in q\} \implies \llbracket - p (\text{Basic } f) q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *SeqRule*:  $\llbracket \llbracket - p \text{ c1 } r; \llbracket - r \text{ c2 } q \rrbracket \rrbracket \implies \llbracket - p (\text{Seq } \text{c1 } \text{c2}) q \rrbracket$   
 $\langle \text{proof} \rangle$

**lemma** *CondRule*:

$$\begin{aligned} & \llbracket p \subseteq \{s. (s \in b \longrightarrow s \in w) \wedge (s \notin b \longrightarrow s \in w')\}; \llbracket - w \text{ c1 } q; \llbracket - w' \text{ c2 } q \rrbracket \rrbracket \\ & \implies \llbracket - p (\text{Cond } b \text{ c1 } \text{c2}) q \rrbracket \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma** *WhileRule*:  $\llbracket p \subseteq i; \llbracket - (i \cap b) \text{ c } i; (i \cap (-b)) \subseteq q \rrbracket \implies \llbracket - p (\text{While } b \text{ i } c) q \rrbracket$   
 $\langle \text{proof} \rangle$

Three new proof rules for special instances of the *AnnBasic* and the *AnnAwait* commands when the transformation performed on the state is the identity, and for an *AnnAwait* command where the boolean condition is  $\{s. \text{True}\}$ :

**lemma** *AnnatomRule*:

$$\llbracket \text{atom-com}(c); \llbracket - r \text{ c } q \rrbracket \rrbracket \implies \vdash (\text{AnnAwait } r \{s. \text{True}\} c) q$$
  
 $\langle \text{proof} \rangle$

**lemma** *AnnskipRule*:



$r \subseteq q \implies \vdash (\text{AnnBasic } r \text{ id}) \ q$   
 $\langle \text{proof} \rangle$

**lemma** *AnnwaitRule*:

$\llbracket (r \cap b) \subseteq q \rrbracket \implies \vdash (\text{AnnAwait } r \ b \ (\text{Basic id})) \ q$   
 $\langle \text{proof} \rangle$

Lemmata to avoid using the definition of *map-ann-hoare*, *interfree-aux*, *interfree-swap* and *interfree* by splitting it into different cases:

**lemma** *interfree-aux-rule1*: *interfree-aux*(*co*, *q*, *None*)  
 $\langle \text{proof} \rangle$

**lemma** *interfree-aux-rule2*:

$\forall (R, r) \in (\text{atomics } a). \ \llbracket - \ (q \cap R) \ r \ q \implies \text{interfree-aux}(\text{None}, q, \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-aux-rule3*:

$(\forall (R, r) \in (\text{atomics } a). \ \llbracket - \ (q \cap R) \ r \ q \wedge (\forall p \in (\text{assertions } c). \ \llbracket - \ (p \cap R) \ r \ p) \implies \text{interfree-aux}(\text{Some } c, q, \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma** *AnnBasic-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnBasic } r \ f), q, \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma** *AnnSeq-assertions*:

$\llbracket \text{interfree-aux}(\text{Some } c1, q, \text{Some } a); \text{interfree-aux}(\text{Some } c2, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnSeq } c1 \ c2), q, \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma** *AnnCond1-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{Some } c1, q, \text{Some } a);$   
 $\text{interfree-aux}(\text{Some } c2, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnCond1 } r \ b \ c1 \ c2), q, \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma** *AnnCond2-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{Some } c, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnCond2 } r \ b \ c), q, \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma** *AnnWhile-assertions*:

$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, i, \text{Some } a);$   
 $\text{interfree-aux}(\text{Some } c, q, \text{Some } a) \rrbracket \implies$   
 $\text{interfree-aux}(\text{Some } (\text{AnnWhile } r \ b \ i \ c), q, \text{Some } a)$   
 $\langle \text{proof} \rangle$

**lemma** *AnnAwait-assertions*:

$$\llbracket \text{interfree-aux}(\text{None}, r, \text{Some } a); \text{interfree-aux}(\text{None}, q, \text{Some } a) \rrbracket \implies$$

$$\text{interfree-aux}(\text{Some } (\text{AnnAwait } r \text{ } b \text{ } c), q, \text{Some } a)$$

$$\langle \text{proof} \rangle$$

**lemma** *AnnBasic-atomics*:  

$$\llbracket - \ (q \cap r) \ (\text{Basic } f) \ q \implies \text{interfree-aux}(\text{None}, q, \text{Some } (\text{AnnBasic } r \text{ } f))$$

$$\langle \text{proof} \rangle$$

**lemma** *AnnSeq-atomics*:  

$$\llbracket \text{interfree-aux}(\text{Any}, q, \text{Some } a1); \text{interfree-aux}(\text{Any}, q, \text{Some } a2) \rrbracket \implies$$

$$\text{interfree-aux}(\text{Any}, q, \text{Some } (\text{AnnSeq } a1 \text{ } a2))$$

$$\langle \text{proof} \rangle$$

**lemma** *AnnCond1-atomics*:  

$$\llbracket \text{interfree-aux}(\text{Any}, q, \text{Some } a1); \text{interfree-aux}(\text{Any}, q, \text{Some } a2) \rrbracket \implies$$

$$\text{interfree-aux}(\text{Any}, q, \text{Some } (\text{AnnCond1 } r \text{ } b \text{ } a1 \text{ } a2))$$

$$\langle \text{proof} \rangle$$

**lemma** *AnnCond2-atomics*:  

$$\text{interfree-aux } (\text{Any}, q, \text{Some } a) \implies \text{interfree-aux}(\text{Any}, q, \text{Some } (\text{AnnCond2 } r \text{ } b$$

$$a))$$

$$\langle \text{proof} \rangle$$

**lemma** *AnnWhile-atomics*:  $\text{interfree-aux } (\text{Any}, q, \text{Some } a)$   

$$\implies \text{interfree-aux}(\text{Any}, q, \text{Some } (\text{AnnWhile } r \text{ } b \text{ } i \text{ } a))$$

$$\langle \text{proof} \rangle$$

**lemma** *Annatom-atomics*:  

$$\llbracket - \ (q \cap r) \ a \ q \implies \text{interfree-aux } (\text{None}, q, \text{Some } (\text{AnnAwait } r \text{ } \{x. \text{True}\} \text{ } a))$$

$$\langle \text{proof} \rangle$$

**lemma** *AnnAwait-atomics*:  

$$\llbracket - \ (q \cap (r \cap b)) \ a \ q \implies \text{interfree-aux } (\text{None}, q, \text{Some } (\text{AnnAwait } r \text{ } b \text{ } a))$$

$$\langle \text{proof} \rangle$$

**constdefs**  

$$\text{interfree-swap} :: ('a \text{ ann-triple-op} * ('a \text{ ann-triple-op}) \text{ list}) \Rightarrow \text{bool}$$

$$\text{interfree-swap} == \lambda(x, xs). \forall y \in \text{set } xs. \text{interfree-aux } (\text{com } x, \text{post } x, \text{com } y)$$

$$\wedge \text{interfree-aux}(\text{com } y, \text{post } y, \text{com } x)$$

**lemma** *interfree-swap-Empty*:  $\text{interfree-swap } (x, [])$   

$$\langle \text{proof} \rangle$$

**lemma** *interfree-swap-List*:  

$$\llbracket \text{interfree-aux } (\text{com } x, \text{post } x, \text{com } y);$$

$$\text{interfree-aux } (\text{com } y, \text{post } y, \text{com } x); \text{interfree-swap } (x, xs) \rrbracket$$

$$\implies \text{interfree-swap } (x, y \# xs)$$

$$\langle \text{proof} \rangle$$

**lemma** *interfree-swap-Map*:  $\forall k. i \leq k \wedge k < j \longrightarrow \text{interfree-aux } (\text{com } x, \text{post } x, c \ k)$   
 $\wedge \text{interfree-aux } (c \ k, Q \ k, \text{com } x)$   
 $\implies \text{interfree-swap } (x, \text{map } (\lambda k. (c \ k, Q \ k)) \ [i..<j])$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-Empty*: *interfree* []  
 $\langle \text{proof} \rangle$

**lemma** *interfree-List*:  
 $\llbracket \text{interfree-swap}(x, xs); \text{interfree } xs \rrbracket \implies \text{interfree } (x \# xs)$   
 $\langle \text{proof} \rangle$

**lemma** *interfree-Map*:  
 $(\forall i \ j. a \leq i \wedge i < b \wedge a \leq j \wedge j < b \wedge i \neq j \longrightarrow \text{interfree-aux } (c \ i, Q \ i, c \ j))$   
 $\implies \text{interfree } (\text{map } (\lambda k. (c \ k, Q \ k)) \ [a..<b])$   
 $\langle \text{proof} \rangle$

**constdefs** *map-ann-hoare* ::  $((\text{'a ann-com-op} * \text{'a assn}) \text{ list}) \Rightarrow \text{bool}$   $([\vdash] - [0] \ 45)$   
 $[\vdash] \ Ts == (\forall i < \text{length } Ts. \exists c \ q. Ts!i = (\text{Some } c, q) \wedge \vdash c \ q)$

**lemma** *MapAnnEmpty*:  $[\vdash] \ []$   
 $\langle \text{proof} \rangle$

**lemma** *MapAnnList*:  $\llbracket \vdash c \ q ; [\vdash] \ xs \rrbracket \implies [\vdash] \ (\text{Some } c, q) \# xs$   
 $\langle \text{proof} \rangle$

**lemma** *MapAnnMap*:  
 $\forall k. i \leq k \wedge k < j \longrightarrow \vdash (c \ k) \ (Q \ k) \implies [\vdash] \ \text{map } (\lambda k. (\text{Some } (c \ k), Q \ k)) \ [i..<j]$   
 $\langle \text{proof} \rangle$

**lemma** *ParallelRule*:  $\llbracket [\vdash] \ Ts ; \text{interfree } Ts \rrbracket$   
 $\implies \llbracket - \ (\bigcap i \in \{i. i < \text{length } Ts\}. \text{pre}(\text{the}(\text{com}(Ts!i))))$   
 $\text{Parallel } Ts$   
 $(\bigcap i \in \{i. i < \text{length } Ts\}. \text{post}(Ts!i))$   
 $\langle \text{proof} \rangle$

The following are some useful lemmas and simplification tactics to control which theorems are used to simplify at each moment, so that the original input does not suffer any unexpected transformation.

**lemma** *Compl-Collect*:  $\neg(\text{Collect } b) = \{x. \neg(b \ x)\}$   
 $\langle \text{proof} \rangle$

**lemma** *list-length*:  $\text{length } [] = 0 \wedge \text{length } (x \# xs) = \text{Suc}(\text{length } xs)$   
 $\langle \text{proof} \rangle$

**lemma** *list-lemmas*:  $\text{length } [] = 0 \wedge \text{length } (x \# xs) = \text{Suc}(\text{length } xs)$   
 $\wedge (x \# xs) ! 0 = x \wedge (x \# xs) ! \text{Suc } n = xs ! n$   
 $\langle \text{proof} \rangle$

**lemma** *le-Suc-eq-insert*:  $\{i. i < \text{Suc } n\} = \text{insert } n \ \{i. i < n\}$   
 $\langle \text{proof} \rangle$

**lemmas** *primrecdef-list* = *pre.simps assertions.simps atomics.simps atom-com.simps*  
**lemmas** *my-simp-list* = *list-lemmas fst-conv snd-conv*  
*not-less0 refl le-Suc-eq-insert Suc-not-Zero Zero-not-Suc Suc-Suc-eq*  
*Collect-mem-eq ball-simps option.simps primrecdef-list*  
**lemmas** *ParallelConseq-list* = *INTER-def Collect-conj-eq length-map length-upt*  
*length-append list-length*

⟨ML⟩

The following tactic applies *tac* to each conjunct in a subgoal of the form  $A \implies a1 \wedge a2 \wedge \dots \wedge an$  returning  $n$  subgoals, one for each conjunct:

⟨ML⟩

### Tactic for the generation of the verification conditions

The tactic basically uses two subtactics:

**HoareRuleTac** is called at the level of parallel programs, it uses the *ParallelTac* to solve parallel composition of programs. This verification has two parts, namely, (1) all component programs are correct and (2) they are interference free. *HoareRuleTac* is also called at the level of atomic regions, i.e.  $\langle \rangle$  and *AWAIT b THEN - END*, and at each interference freedom test.

**AnnHoareRuleTac** is for component programs which are annotated programs and so, there are not unknown assertions (no need to use the parameter *precond*, see NOTE).

NOTE: *precond (::bool)* informs if the subgoal has the form  $\| - ?p \ c \ q$ , in this case we have *precond*=False and the generated verification condition would have the form  $?p \subseteq \dots$  which can be solved by *rtac subset-refl*, if True we proceed to simplify it using the simplification tactics above.

⟨ML⟩

The final tactic is given the name *oghoare*:

⟨ML⟩

Notice that the tactic for parallel programs *oghoare-tac* is initially invoked with the value *true* for the parameter *precond*.

Parts of the tactic can be also individually used to generate the verification conditions for annotated sequential programs and to generate verification conditions out of interference freedom tests:

⟨ML⟩

The so defined ML tactics are then “exported” to be used in Isabelle proofs.

⟨ML⟩

Tactics useful for dealing with the generated verification conditions:

⟨ML⟩

end

## 1.7 Concrete Syntax

**theory** *Quote-Antiquote* **imports** *Main* **begin**

**syntax**

-quote :: 'b ⇒ ('a ⇒ 'b) ((«-» [0] 1000)  
 -antiquote :: ('a ⇒ 'b) ⇒ 'b ('- [1000] 1000)  
 -Assert :: 'a ⇒ 'a set (({.-}) [0] 1000)

**syntax** (*xsymbols*)

-Assert :: 'a ⇒ 'a set (({.-}) [0] 1000)

**translations**

.{b}. ↦ Collect «b»

⟨ML⟩

end

**theory** *OG-Syntax*

**imports** *OG-Tactics* *Quote-Antiquote*

**begin**

Syntax for commands and for assertions and boolean expressions in commands *com* and annotated commands *ann-com*.

**syntax**

-Assign :: idt ⇒ 'b ⇒ 'a com (('- :=/-) [70, 65] 61)  
 -AnnAssign :: 'a assn ⇒ idt ⇒ 'b ⇒ 'a com ((- '- :=/-) [90, 70, 65] 61)

**translations**

' x := a ↦ Basic «' (-update-name x a)»  
 r ' x := a ↦ AnnBasic r «' (-update-name x a)»

**syntax**

-AnnSkip :: 'a assn ⇒ 'a ann-com (-//SKIP [90] 63)  
 -AnnSeq :: 'a ann-com ⇒ 'a ann-com ⇒ 'a ann-com (-;;/- [60, 61] 60)  
 -AnnCond1 :: 'a assn ⇒ 'a bexp ⇒ 'a ann-com ⇒ 'a ann-com ⇒ 'a ann-com  
 (- //IF - /THEN - /ELSE - /FI [90, 0, 0, 0] 61)  
 -AnnCond2 :: 'a assn ⇒ 'a bexp ⇒ 'a ann-com ⇒ 'a ann-com  
 (- //IF - /THEN - /FI [90, 0, 0] 61)  
 -AnnWhile :: 'a assn ⇒ 'a bexp ⇒ 'a assn ⇒ 'a ann-com ⇒ 'a ann-com

$(- // \text{WHILE} - / \text{INV} - // \text{DO} - // \text{OD} \ [90,0,0,0] \ 61)$   
 $\text{-AnnAwait} :: 'a \text{ assn} \Rightarrow 'a \text{ bexp} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ ann-com}$   
 $(- // \text{AWAIT} - / \text{THEN} - / \text{END} \ [90,0,0] \ 61)$   
 $\text{-AnnAtom} :: 'a \text{ assn} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ ann-com} \ (- // \langle - \rangle \ [90,0] \ 61)$   
 $\text{-AnnWait} :: 'a \text{ assn} \Rightarrow 'a \text{ bexp} \Rightarrow 'a \text{ ann-com} \ (- // \text{WAIT} - \text{END} \ [90,0] \ 61)$   
  
 $\text{-Skip} :: 'a \text{ com} \quad (\text{SKIP} \ 63)$   
 $\text{-Seq} :: 'a \text{ com} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com} \ (-, / - \ [55, 56] \ 55)$   
 $\text{-Cond} :: 'a \text{ bexp} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com}$   
 $((\text{IF} - / \text{THEN} - / \text{ELSE} - / \text{FI}) \ [0, 0, 0] \ 61)$   
 $\text{-Cond2} :: 'a \text{ bexp} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com} \quad (\text{IF} - \text{THEN} - \text{FI} \ [0,0] \ 56)$   
 $\text{-While-inv} :: 'a \text{ bexp} \Rightarrow 'a \text{ assn} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com}$   
 $((\text{WHILE} - / \text{INV} - // \text{DO} - / \text{OD}) \ [0, 0, 0] \ 61)$   
 $\text{-While} :: 'a \text{ bexp} \Rightarrow 'a \text{ com} \Rightarrow 'a \text{ com}$   
 $((\text{WHILE} - // \text{DO} - / \text{OD}) \ [0, 0] \ 61)$

### translations

$\text{SKIP} \rightleftharpoons \text{Basic id}$

$c\text{-1}, c\text{-2} \rightleftharpoons \text{Seq } c\text{-1 } c\text{-2}$

$\text{IF } b \text{ THEN } c1 \text{ ELSE } c2 \text{ FI} \rightarrow \text{Cond } \{b\}. c1 \ c2$

$\text{IF } b \text{ THEN } c \text{ FI} \rightleftharpoons \text{IF } b \text{ THEN } c \text{ ELSE SKIP FI}$

$\text{WHILE } b \text{ INV } i \text{ DO } c \text{ OD} \rightarrow \text{While } \{b\}. i \ c$

$\text{WHILE } b \text{ DO } c \text{ OD} \rightleftharpoons \text{WHILE } b \text{ INV arbitrary DO } c \text{ OD}$

$r \text{ SKIP} \rightleftharpoons \text{AnnBasic } r \text{ id}$

$c\text{-1}; c\text{-2} \rightleftharpoons \text{AnnSeq } c\text{-1 } c\text{-2}$

$r \text{ IF } b \text{ THEN } c1 \text{ ELSE } c2 \text{ FI} \rightarrow \text{AnnCond1 } r \ \{b\}. c1 \ c2$

$r \text{ IF } b \text{ THEN } c \text{ FI} \rightarrow \text{AnnCond2 } r \ \{b\}. c$

$r \text{ WHILE } b \text{ INV } i \text{ DO } c \text{ OD} \rightarrow \text{AnnWhile } r \ \{b\}. i \ c$

$r \text{ AWAIT } b \text{ THEN } c \text{ END} \rightarrow \text{AnnAwait } r \ \{b\}. c$

$r \langle c \rangle \rightleftharpoons r \text{ AWAIT True THEN } c \text{ END}$

$r \text{ WAIT } b \text{ END} \rightleftharpoons r \text{ AWAIT } b \text{ THEN SKIP END}$

### nonterminals

$\text{prgs}$

### syntax

$\text{-PAR} :: \text{prgs} \Rightarrow 'a \quad (\text{COBEGIN} // - // \text{COEND} \ [57] \ 56)$

$\text{-prg} :: ['a, 'a] \Rightarrow \text{prgs} \quad (- // - \ [60, 90] \ 57)$

$\text{-prgs} :: ['a, 'a, \text{prgs}] \Rightarrow \text{prgs} \quad (- // - // - // - \ [60,90,57] \ 57)$

$\text{-prg-scheme} :: ['a, 'a, 'a, 'a, 'a] \Rightarrow \text{prgs}$

$(\text{SCHEME } [- \leq - < -] - // - \ [0,0,0,60, 90] \ 57)$

### translations

$\text{-prg } c \ q \rightleftharpoons [(Some \ c, \ q)]$

$\text{-prgs } c \ q \ ps \rightleftharpoons (Some \ c, \ q) \# \ ps$

$\text{-PAR } ps \rightleftharpoons \text{Parallel } ps$

-prg-scheme  $j \ i \ k \ c \ q \Rightarrow \text{map } (\lambda i. (\text{Some } c, q)) \ [j..<k]$

$\langle ML \rangle$

end

## 1.8 Examples

**theory** *OG-Examples* **imports** *OG-Syntax* **begin**

### 1.8.1 Mutual Exclusion

#### Peterson's Algorithm I

Eike Best. "Semantics of Sequential and Parallel Programs", page 217.

**record** *Petersons-mutex-1* =

*pr1* :: nat  
*pr2* :: nat  
*in1* :: bool  
*in2* :: bool  
*hold* :: nat

**lemma** *Petersons-mutex-1*:

$\parallel - .\{ 'pr1=0 \wedge \neg 'in1 \wedge 'pr2=0 \wedge \neg 'in2 \}.$   
 $COBEGIN .\{ 'pr1=0 \wedge \neg 'in1 \}.$   
 $WHILE \text{ True } INV .\{ 'pr1=0 \wedge \neg 'in1 \}.$   
 $DO$   
 $.\{ 'pr1=0 \wedge \neg 'in1 \}. \langle 'in1:=\text{True}, 'pr1:=1 \rangle;;$   
 $.\{ 'pr1=1 \wedge 'in1 \}. \langle 'hold:=1, 'pr1:=2 \rangle;;$   
 $.\{ 'pr1=2 \wedge 'in1 \wedge ('hold=1 \vee 'hold=2 \wedge 'pr2=2) \}.$   
 $AWAIT (\neg 'in2 \vee \neg ('hold=1)) THEN 'pr1:=3 END;;$   
 $.\{ 'pr1=3 \wedge 'in1 \wedge ('hold=1 \vee 'hold=2 \wedge 'pr2=2) \}.$   
 $\langle 'in1:=\text{False}, 'pr1:=0 \rangle$   
 $OD .\{ 'pr1=0 \wedge \neg 'in1 \}.$   
 $\parallel$   
 $.\{ 'pr2=0 \wedge \neg 'in2 \}.$   
 $WHILE \text{ True } INV .\{ 'pr2=0 \wedge \neg 'in2 \}.$   
 $DO$   
 $.\{ 'pr2=0 \wedge \neg 'in2 \}. \langle 'in2:=\text{True}, 'pr2:=1 \rangle;;$   
 $.\{ 'pr2=1 \wedge 'in2 \}. \langle 'hold:=2, 'pr2:=2 \rangle;;$   
 $.\{ 'pr2=2 \wedge 'in2 \wedge ('hold=2 \vee ('hold=1 \wedge 'pr1=2)) \}.$   
 $AWAIT (\neg 'in1 \vee \neg ('hold=2)) THEN 'pr2:=3 END;;$   
 $.\{ 'pr2=3 \wedge 'in2 \wedge ('hold=2 \vee ('hold=1 \wedge 'pr1=2)) \}.$   
 $\langle 'in2:=\text{False}, 'pr2:=0 \rangle$   
 $OD .\{ 'pr2=0 \wedge \neg 'in2 \}.$   
 $COEND$   
 $.\{ 'pr1=0 \wedge \neg 'in1 \wedge 'pr2=0 \wedge \neg 'in2 \}.$   
 $\langle \text{proof} \rangle$

## Peterson's Algorithm II: A Busy Wait Solution

Apt and Olderog. "Verification of sequential and concurrent Programs", page 282.

**record** *Busy-wait-mutex* =

*flag1* :: bool

*flag2* :: bool

*turn* :: nat

*after1* :: bool

*after2* :: bool

**lemma** *Busy-wait-mutex*:

```

||- .{True}.
  'flag1:=False,, 'flag2:=False,,
  COBEGIN .{¬'flag1}.
    WHILE True
    INV .{¬'flag1}.
    DO .{¬'flag1}. { 'flag1:=True,, 'after1:=False };;
      .{ 'flag1 ∧ ¬'after1 }. { 'turn:=1,, 'after1:=True };;
      .{ 'flag1 ∧ 'after1 ∧ ('turn=1 ∨ 'turn=2) }.
        WHILE ¬('flag2 → 'turn=2)
        INV .{ 'flag1 ∧ 'after1 ∧ ('turn=1 ∨ 'turn=2) }.
        DO .{ 'flag1 ∧ 'after1 ∧ ('turn=1 ∨ 'turn=2) }. SKIP OD;;
      .{ 'flag1 ∧ 'after1 ∧ ('flag2 ∧ 'after2 → 'turn=2) }.
      'flag1:=False
    OD
  .{False}.
||
  .{¬'flag2}.
  WHILE True
  INV .{¬'flag2}.
  DO .{¬'flag2}. { 'flag2:=True,, 'after2:=False };;
    .{ 'flag2 ∧ ¬'after2 }. { 'turn:=2,, 'after2:=True };;
    .{ 'flag2 ∧ 'after2 ∧ ('turn=1 ∨ 'turn=2) }.
      WHILE ¬('flag1 → 'turn=1)
      INV .{ 'flag2 ∧ 'after2 ∧ ('turn=1 ∨ 'turn=2) }.
      DO .{ 'flag2 ∧ 'after2 ∧ ('turn=1 ∨ 'turn=2) }. SKIP OD;;
    .{ 'flag2 ∧ 'after2 ∧ ('flag1 ∧ 'after1 → 'turn=1) }.
    'flag2:=False
  OD
  .{False}.
COEND
.{False}.
⟨proof⟩

```

## Peterson's Algorithm III: A Solution using Semaphores

**record** *Semaphores-mutex* =

*out* :: bool



*who* :: *nat*

**lemma** *Semaphores-mutex*:

```

||- .{i≠j}.
  'out:=True ,,
  COBEGIN .{i≠j}.
    WHILE True INV .{i≠j}.
    DO .{i≠j}. AWAIT 'out THEN 'out:=False,, 'who:=i END;;
    .{¬'out ∧ 'who=i ∧ i≠j}. 'out:=True OD
    .{False}.
  ||
  .{i≠j}.
  WHILE True INV .{i≠j}.
  DO .{i≠j}. AWAIT 'out THEN 'out:=False,, 'who:=j END;;
  .{¬'out ∧ 'who=j ∧ i≠j}. 'out:=True OD
  .{False}.
COEND
.{False}.
⟨proof⟩

```

**Peterson's Algorithm III: Parameterized version:**

**lemma** *Semaphores-parameterized-mutex*:

```

0 < n ==> ||- .{True}.
  'out:=True ,,
  COBEGIN
    SCHEME [0 ≤ i < n]
    .{True}.
    WHILE True INV .{True}.
    DO .{True}. AWAIT 'out THEN 'out:=False,, 'who:=i END;;
    .{¬'out ∧ 'who=i}. 'out:=True OD
    .{False}.
  COEND
  .{False}.
⟨proof⟩

```

**The Ticket Algorithm**

**record** *Ticket-mutex* =

```

num :: nat
nextv :: nat
turn :: nat list
index :: nat

```

**lemma** *Ticket-mutex*:

```

[[ 0 < n; I = <<n=length 'turn ∧ 0 < 'nextv ∧ (∀ k l. k < n ∧ l < n ∧ k ≠ l
  → 'turn!k < 'num ∧ ('turn!k = 0 ∨ 'turn!k ≠ 'turn!l))>> ]]
==> ||- .{n=length 'turn}.
  'index:= 0,,
  WHILE 'index < n INV .{n=length 'turn ∧ (∀ i < 'index. 'turn!i=0)}.

```

```

DO 'turn:= 'turn['index:=0],, 'index:='index +1 OD,,
'num:=1 ,, 'nextv:=1 ,,
COBEGIN
SCHEME [0 ≤ i < n]
.{ 'I}.
WHILE True INV .{ 'I}.
DO .{ 'I}. < 'turn := 'turn[i:= 'num],, 'num:= 'num+1 >;
.{ 'I}. WAIT 'turn!= 'nextv END;;
.{ 'I ∧ 'turn!= 'nextv}. 'nextv:= 'nextv+1
OD
.{ False}.
COEND
.{ False}.
<proof>

```

## 1.8.2 Parallel Zero Search

Synchronized Zero Search. Zero-6

Apt and Olderog. "Verification of sequential and concurrent Programs"  
page 294:

**record** Zero-search =

```

turn :: nat
found :: bool
x :: nat
y :: nat

```

**lemma** Zero-search:

```

[[I1 = < a ≤ 'x ∧ ('found → (a < 'x ∧ f('x)=0) ∨ ('y ≤ a ∧ f('y)=0))
  ∧ (¬ 'found ∧ a < 'x → f('x) ≠ 0) > ;
I2 = < 'y ≤ a+1 ∧ ('found → (a < 'x ∧ f('x)=0) ∨ ('y ≤ a ∧ f('y)=0))
  ∧ (¬ 'found ∧ 'y ≤ a → f('y) ≠ 0) > ]] ⇒
||- .{ ∃ u. f(u)=0 }.
'turn:=1,, 'found:= False,,
'x:=a,, 'y:=a+1 ,,
COBEGIN .{ 'I1}.
WHILE ¬ 'found
INV .{ 'I1}.
DO .{ a ≤ 'x ∧ ('found → 'y ≤ a ∧ f('y)=0) ∧ (a < 'x → f('x) ≠ 0) }.
WAIT 'turn=1 END;;
.{ a ≤ 'x ∧ ('found → 'y ≤ a ∧ f('y)=0) ∧ (a < 'x → f('x) ≠ 0) }.
'turn:=2;;
.{ a ≤ 'x ∧ ('found → 'y ≤ a ∧ f('y)=0) ∧ (a < 'x → f('x) ≠ 0) }.
< 'x:= 'x+1,,
IF f('x)=0 THEN 'found:=True ELSE SKIP FI>
OD;;
.{ 'I1 ∧ 'found }.
'turn:=2
.{ 'I1 ∧ 'found }.

```

```

||
.{ 'I2}.
  WHILE  $\neg$ 'found
  INV .{'I2}.
  DO .{'y ≤ a+1 ∧ ('found → a < 'x ∧ f('x)=0) ∧ ('y ≤ a → f('y) ≠ 0)}.
    WAIT 'turn=2 END;;
    .{'y ≤ a+1 ∧ ('found → a < 'x ∧ f('x)=0) ∧ ('y ≤ a → f('y) ≠ 0)}.
    'turn:=1;;
    .{'y ≤ a+1 ∧ ('found → a < 'x ∧ f('x)=0) ∧ ('y ≤ a → f('y) ≠ 0)}.
    ⟨ 'y:=( 'y - 1),,
      IF f('y)=0 THEN 'found:=True ELSE SKIP FI⟩
    OD;;
    .{'I2 ∧ 'found}.
    'turn:=1
    .{'I2 ∧ 'found}.
  COEND
  .{f('x)=0 ∨ f('y)=0}.
⟨proof⟩

```

Easier Version: without AWAIT. Apt and Olderog. page 256:

**lemma** Zero-Search-2:

```

[[I1 = << a ≤ 'x ∧ ('found → (a < 'x ∧ f('x)=0) ∨ ('y ≤ a ∧ f('y)=0))
  ∧ (¬'found ∧ a < 'x → f('x) ≠ 0)>>;
I2 = << 'y ≤ a+1 ∧ ('found → (a < 'x ∧ f('x)=0) ∨ ('y ≤ a ∧ f('y)=0))
  ∧ (¬'found ∧ 'y ≤ a → f('y) ≠ 0)>>]] ⇒
|| - .{∃ u. f(u)=0}.
  'found:= False,,
  'x:=a,, 'y:=a+1,,
  COBEGIN .{'I1}.
    WHILE  $\neg$ 'found
    INV .{'I1}.
    DO .{a ≤ 'x ∧ ('found → 'y ≤ a ∧ f('y)=0) ∧ (a < 'x → f('x) ≠ 0)}.
      ⟨ 'x:='x+1,, IF f('x)=0 THEN 'found:=True ELSE SKIP FI⟩
    OD
    .{'I1 ∧ 'found}.
  ||
  .{'I2}.
  WHILE  $\neg$ 'found
  INV .{'I2}.
  DO .{'y ≤ a+1 ∧ ('found → a < 'x ∧ f('x)=0) ∧ ('y ≤ a → f('y) ≠ 0)}.
    ⟨ 'y:=( 'y - 1),, IF f('y)=0 THEN 'found:=True ELSE SKIP FI⟩
  OD
  .{'I2 ∧ 'found}.
  COEND
  .{f('x)=0 ∨ f('y)=0}.
⟨proof⟩

```

### 1.8.3 Producer/Consumer

#### Previous lemmas

**lemma** *nat-lemma2*:  $\llbracket b = m*(n::nat) + t; a = s*n + u; t=u; b-a < n \rrbracket \implies m \leq s$   
 $\langle proof \rangle$

**lemma** *mod-lemma*:  $\llbracket (c::nat) \leq a; a < b; b - c < n \rrbracket \implies b \bmod n \neq a \bmod n$   
 $\langle proof \rangle$

#### Producer/Consumer Algorithm

**record** *Producer-consumer* =  
*ins* :: nat  
*outs* :: nat  
*li* :: nat  
*lj* :: nat  
*vx* :: nat  
*vy* :: nat  
*buffer* :: nat list  
*b* :: nat list

The whole proof takes aprox. 4 minutes.

**lemma** *Producer-consumer*:

$\llbracket INIT = \llbracket 0 < length\ a \wedge 0 < length\ 'buffer \wedge length\ 'b = length\ a \rrbracket ;$   
 $I = \llbracket (\forall k < 'ins. 'outs \leq k \longrightarrow (a ! k) = 'buffer ! (k \bmod (length\ 'buffer))) \wedge$   
 $'outs \leq 'ins \wedge 'ins - 'outs \leq length\ 'buffer \rrbracket ;$   
 $I1 = \llbracket I \wedge 'li \leq length\ a \rrbracket ;$   
 $p1 = \llbracket I1 \wedge 'li = 'ins \rrbracket ;$   
 $I2 = \llbracket I \wedge (\forall k < 'lj. (a ! k) = ('b ! k)) \wedge 'lj \leq length\ a \rrbracket ;$   
 $p2 = \llbracket I2 \wedge 'lj = 'outs \rrbracket \rrbracket \implies$   
 $\llbracket - .\{ 'INIT \}.$   
 $'ins := 0,, 'outs := 0,, 'li := 0,, 'lj := 0,,$   
 $COBEGIN .\{ p1 \wedge 'INIT \}.$   
 $WHILE 'li < length\ a$   
 $INV .\{ p1 \wedge 'INIT \}.$   
 $DO .\{ p1 \wedge 'INIT \wedge 'li < length\ a \}.$   
 $'vx := (a ! 'li);;$   
 $.\{ p1 \wedge 'INIT \wedge 'li < length\ a \wedge 'vx = (a ! 'li) \}.$   
 $WAIT 'ins - 'outs < length\ 'buffer\ END;;$   
 $.\{ p1 \wedge 'INIT \wedge 'li < length\ a \wedge 'vx = (a ! 'li)$   
 $\wedge 'ins - 'outs < length\ 'buffer \}.$   
 $'buffer := (list-update\ 'buffer\ ('ins \bmod (length\ 'buffer))\ 'vx);;$   
 $.\{ p1 \wedge 'INIT \wedge 'li < length\ a$   
 $\wedge (a ! 'li) = ('buffer ! ('ins \bmod (length\ 'buffer)))$   
 $\wedge 'ins - 'outs < length\ 'buffer \}.$   
 $'ins := 'ins + 1;;$   
 $.\{ I1 \wedge 'INIT \wedge ('li + 1) = 'ins \wedge 'li < length\ a \}.$   
 $'li := 'li + 1$

```

OD
.{ 'p1 ∧ 'INIT ∧ 'li=length a }.
||
.{ 'p2 ∧ 'INIT }.
WHILE 'lj < length a
  INV .{ 'p2 ∧ 'INIT }.
DO .{ 'p2 ∧ 'lj < length a ∧ 'INIT }.
  WAIT 'outs < 'ins END;;
  .{ 'p2 ∧ 'lj < length a ∧ 'outs < 'ins ∧ 'INIT }.
  'vy := ('buffer ! ('outs mod (length 'buffer)));;
  .{ 'p2 ∧ 'lj < length a ∧ 'outs < 'ins ∧ 'vy = (a ! 'lj) ∧ 'INIT }.
  'outs := 'outs + 1;;
  .{ 'I2 ∧ ('lj + 1) = 'outs ∧ 'lj < length a ∧ 'vy = (a ! 'lj) ∧ 'INIT }.
  'b := (list-update 'b 'lj 'vy);;
  .{ 'I2 ∧ ('lj + 1) = 'outs ∧ 'lj < length a ∧ (a ! 'lj) = ('b ! 'lj) ∧ 'INIT }.
  'lj := 'lj + 1
OD
.{ 'p2 ∧ 'lj = length a ∧ 'INIT }.
COEND
.{ ∀ k < length a. (a ! k) = ('b ! k) }.
⟨proof⟩

```

#### 1.8.4 Parameterized Examples

##### Set Elements of an Array to Zero

```

record Example1 =
  a :: nat ⇒ nat

```

**lemma** Example1:

```

||- .{ True }.
COBEGIN SCHEME [0 ≤ i < n] .{ True }. 'a := 'a (i := 0) .{ 'a i = 0 }. COEND
.{ ∀ i < n. 'a i = 0 }.
⟨proof⟩

```

Same example with lists as auxiliary variables.

```

record Example1-list =
  A :: nat list

```

**lemma** Example1-list:

```

||- .{ n < length 'A }.
COBEGIN
  SCHEME [0 ≤ i < n] .{ n < length 'A }. 'A := 'A[i := 0] .{ 'A i = 0 }.
COEND
.{ ∀ i < n. 'A i = 0 }.
⟨proof⟩

```

##### Increment a Variable in Parallel

First some lemmas about summation properties.

**lemma** *Example2-lemma2-aux*:  $!!b. j < n \implies$   
 $(\sum_{i=0..<n.} (b \ i :: nat)) =$   
 $(\sum_{i=0..<j.} b \ i) + b \ j + (\sum_{i=0..<n-(Suc \ j).} b \ (Suc \ j + i))$   
 $\langle proof \rangle$

**lemma** *Example2-lemma2-aux2*:  
 $!!b. j \leq s \implies (\sum_{i::nat=0..<j.} (b \ (s:=t)) \ i) = (\sum_{i=0..<j.} b \ i)$   
 $\langle proof \rangle$

**lemma** *Example2-lemma2*:  
 $!!b. \llbracket j < n; \ b \ j = 0 \rrbracket \implies Suc \ (\sum_{i::nat=0..<n.} b \ i) = (\sum_{i=0..<n.} (b \ (j := Suc \ 0)) \ i)$   
 $\langle proof \rangle$

**record** *Example2* =  
 $c :: nat \Rightarrow nat$   
 $x :: nat$

**lemma** *Example-2*:  $0 < n \implies$   
 $\| - . \{ 'x = 0 \wedge (\sum_{i=0..<n.} 'c \ i) = 0 \}.$   
 $COBEGIN$   
 $\quad SCHEME \ [0 \leq i < n]$   
 $\quad . \{ 'x = (\sum_{i=0..<n.} 'c \ i) \wedge 'c \ i = 0 \}.$   
 $\quad \langle 'x := 'x + (Suc \ 0),, 'c := 'c \ (i := (Suc \ 0)) \rangle$   
 $\quad . \{ 'x = (\sum_{i=0..<n.} 'c \ i) \wedge 'c \ i = (Suc \ 0) \}.$   
 $COEND$   
 $\quad . \{ 'x = n \}.$   
 $\langle proof \rangle$

**end**

## Chapter 2

# Case Study: Single and Multi-Mutator Garbage Collection Algorithms

### 2.1 Formalization of the Memory

**theory** *Graph* **imports** *Main* **begin**

**datatype** *node* = *Black* | *White*

**types**

*nodes* = *node list*

*edge* =  $\text{nat} \times \text{nat}$

*edges* = *edge list*

**consts** *Roots* :: *nat set*

**constdefs**

*Proper-Roots* :: *nodes*  $\Rightarrow$  *bool*

*Proper-Roots* *M*  $\equiv$  *Roots*  $\neq \{\}$   $\wedge$  *Roots*  $\subseteq \{i. i < \text{length } M\}$

*Proper-Edges* :: (*nodes*  $\times$  *edges*)  $\Rightarrow$  *bool*

*Proper-Edges*  $\equiv (\lambda(M, E). \forall i < \text{length } E. \text{fst}(E!i) < \text{length } M \wedge \text{snd}(E!i) < \text{length } M)$

*BtoW* :: (*edge*  $\times$  *nodes*)  $\Rightarrow$  *bool*

*BtoW*  $\equiv (\lambda(e, M). (M! \text{fst } e) = \text{Black} \wedge (M! \text{snd } e) \neq \text{Black})$

*Blacks* :: *nodes*  $\Rightarrow$  *nat set*

*Blacks* *M*  $\equiv \{i. i < \text{length } M \wedge M!i = \text{Black}\}$

*Reach* :: *edges*  $\Rightarrow$  *nat set*

*Reach* *E*  $\equiv \{x. (\exists \text{path}. 1 < \text{length } \text{path} \wedge \text{path}!(\text{length } \text{path} - 1) \in \text{Roots} \wedge x = \text{path}!0)$

$$\wedge (\forall i < \text{length } \text{path} - 1. (\exists j < \text{length } E. E!j = (\text{path}!(i+1), \text{path}!i))) \\ \vee x \in \text{Roots}\}$$

Reach: the set of reachable nodes is the set of Roots together with the nodes reachable from some Root by a path represented by a list of nodes (at least two since we traverse at least one edge), where two consecutive nodes correspond to an edge in E.

### 2.1.1 Proofs about Graphs

**lemmas** *Graph-defs* = *Blacks-def Proper-Roots-def Proper-Edges-def BtoW-def*  
**declare** *Graph-defs* [*simp*]

#### Graph 1

**lemma** *Graph1-aux* [*rule-format*]:

$$\llbracket \text{Roots} \subseteq \text{Blacks } M; \forall i < \text{length } E. \neg \text{BtoW}(E!i, M) \rrbracket \\ \implies 1 < \text{length } \text{path} \longrightarrow (\text{path}!(\text{length } \text{path} - 1)) \in \text{Roots} \longrightarrow \\ (\forall i < \text{length } \text{path} - 1. (\exists j. j < \text{length } E \wedge E!j = (\text{path}!(\text{Suc } i), \text{path}!i))) \\ \longrightarrow M!(\text{path}!0) = \text{Black} \\ \langle \text{proof} \rangle$$

**lemma** *Graph1*:

$$\llbracket \text{Roots} \subseteq \text{Blacks } M; \text{Proper-Edges}(M, E); \forall i < \text{length } E. \neg \text{BtoW}(E!i, M) \rrbracket \\ \implies \text{Reach } E \subseteq \text{Blacks } M \\ \langle \text{proof} \rangle$$

#### Graph 2

**lemma** *Ex-first-occurrence* [*rule-format*]:

$$P (n :: \text{nat}) \longrightarrow (\exists m. P m \wedge (\forall i. i < m \longrightarrow \neg P i)) \\ \langle \text{proof} \rangle$$

**lemma** *Compl-lemma*:  $(n :: \text{nat}) \leq l \implies (\exists m. m \leq l \wedge n = l - m)$   
 $\langle \text{proof} \rangle$

**lemma** *Ex-last-occurrence*:

$$\llbracket P (n :: \text{nat}); n \leq l \rrbracket \implies (\exists m. P (l - m) \wedge (\forall i. i < m \longrightarrow \neg P (l - i))) \\ \langle \text{proof} \rangle$$

**lemma** *Graph2*:

$$\llbracket T \in \text{Reach } E; R < \text{length } E \rrbracket \implies T \in \text{Reach } (E[R := (\text{fst}(E!R), T)]) \\ \langle \text{proof} \rangle$$

#### Graph 3

**lemma** *Graph3*:

$$\llbracket T \in \text{Reach } E; R < \text{length } E \rrbracket \implies \text{Reach}(E[R := (\text{fst}(E!R), T)]) \subseteq \text{Reach } E \\ \langle \text{proof} \rangle$$



## Graph 4

**lemma** *Graph4*:

$$\begin{aligned} & \llbracket T \in \text{Reach } E; \text{Roots} \subseteq \text{Blacks } M; I \leq \text{length } E; T < \text{length } M; R < \text{length } E; \\ & \forall i < I. \neg \text{BtoW}(E!i, M); R < I; M!fst(E!R) = \text{Black}; M!T \neq \text{Black} \rrbracket \implies \\ & (\exists r. I \leq r \wedge r < \text{length } E \wedge \text{BtoW}(E[R := (fst(E!R), T)]!r, M)) \\ & \langle \text{proof} \rangle \end{aligned}$$

## Graph 5

**lemma** *Graph5*:

$$\begin{aligned} & \llbracket T \in \text{Reach } E; \text{Roots} \subseteq \text{Blacks } M; \forall i < R. \neg \text{BtoW}(E!i, M); T < \text{length } M; \\ & R < \text{length } E; M!fst(E!R) = \text{Black}; M!snd(E!R) = \text{Black}; M!T \neq \text{Black} \rrbracket \\ & \implies (\exists r. R < r \wedge r < \text{length } E \wedge \text{BtoW}(E[R := (fst(E!R), T)]!r, M)) \\ & \langle \text{proof} \rangle \end{aligned}$$

## Other lemmas about graphs

**lemma** *Graph6*:

$$\llbracket \text{Proper-Edges}(M, E); R < \text{length } E; T < \text{length } M \rrbracket \implies \text{Proper-Edges}(M, E[R := (fst(E!R), T)])$$
  
 $\langle \text{proof} \rangle$

**lemma** *Graph7*:

$$\llbracket \text{Proper-Edges}(M, E) \rrbracket \implies \text{Proper-Edges}(M[T := a], E)$$
  
 $\langle \text{proof} \rangle$

**lemma** *Graph8*:

$$\llbracket \text{Proper-Roots}(M) \rrbracket \implies \text{Proper-Roots}(M[T := a])$$
  
 $\langle \text{proof} \rangle$

Some specific lemmata for the verification of garbage collection algorithms.

**lemma** *Graph9*:  $j < \text{length } M \implies \text{Blacks } M \subseteq \text{Blacks } (M[j := \text{Black}])$   
 $\langle \text{proof} \rangle$

**lemma** *Graph10* [rule-format (no-asm)]:  $\forall i. M!i = a \longrightarrow M[i := a] = M$   
 $\langle \text{proof} \rangle$

**lemma** *Graph11* [rule-format (no-asm)]:

$$\llbracket M!j \neq \text{Black}; j < \text{length } M \rrbracket \implies \text{Blacks } M \subset \text{Blacks } (M[j := \text{Black}])$$
  
 $\langle \text{proof} \rangle$

**lemma** *Graph12*:  $\llbracket a \subseteq \text{Blacks } M; j < \text{length } M \rrbracket \implies a \subseteq \text{Blacks } (M[j := \text{Black}])$   
 $\langle \text{proof} \rangle$

**lemma** *Graph13*:  $\llbracket a \subset \text{Blacks } M; j < \text{length } M \rrbracket \implies a \subset \text{Blacks } (M[j := \text{Black}])$   
 $\langle \text{proof} \rangle$

**declare** *Graph-defs* [simp del]

**end**

## 2.2 The Single Mutator Case

**theory** *Gar-Coll* **imports** *Graph OG-Syntax* **begin**

**declare** *psubsetE* [*rule del*]

Declaration of variables:

**record** *gar-coll-state* =  
   *M* :: *nodes*  
   *E* :: *edges*  
   *bc* :: *nat set*  
   *obc* :: *nat set*  
   *Ma* :: *nodes*  
   *ind* :: *nat*  
   *k* :: *nat*  
   *z* :: *bool*

### 2.2.1 The Mutator

The mutator first redirects an arbitrary edge  $R$  from an arbitrary accessible node towards an arbitrary accessible node  $T$ . It then colors the new target  $T$  black.

We declare the arbitrarily selected node and edge as constants:

**consts**  $R :: \text{nat}$   $T :: \text{nat}$

The following predicate states, given a list of nodes  $m$  and a list of edges  $e$ , the conditions under which the selected edge  $R$  and node  $T$  are valid:

**constdefs**

$\text{Mut-init} :: \text{gar-coll-state} \Rightarrow \text{bool}$   
 $\text{Mut-init} \equiv \ll T \in \text{Reach } 'E \wedge R < \text{length } 'E \wedge T < \text{length } 'M \gg$

For the mutator we consider two modules, one for each action. An auxiliary variable  $'z$  is set to false if the mutator has already redirected an edge but has not yet colored the new target.

**constdefs**

$\text{Redirect-Edge} :: \text{gar-coll-state} \text{ ann-com}$   
 $\text{Redirect-Edge} \equiv \{ 'Mut-init \wedge 'z \}. \langle 'E := 'E[R := (\text{fst}('E!R), T)],, 'z := (\neg 'z) \rangle$

$\text{Color-Target} :: \text{gar-coll-state} \text{ ann-com}$   
 $\text{Color-Target} \equiv \{ 'Mut-init \wedge \neg 'z \}. \langle 'M := 'M[T := \text{Black}],, 'z := (\neg 'z) \rangle$

$\text{Mutator} :: \text{gar-coll-state} \text{ ann-com}$   
 $\text{Mutator} \equiv$   
 $\{ 'Mut-init \wedge 'z \}.$

$WHILE \text{ True } INV .\{ 'Mut-init \wedge 'z \}.$   
 $DO \text{ Redirect-Edge } ;; \text{ Color-Target } OD$

### Correctness of the mutator

**lemmas** *mutator-defs* = *Mut-init-def Redirect-Edge-def Color-Target-def*

**lemma** *Redirect-Edge*:  
 $\vdash \text{Redirect-Edge } pre(\text{Color-Target})$   
 $\langle proof \rangle$

**lemma** *Color-Target*:  
 $\vdash \text{Color-Target} .\{ 'Mut-init \wedge 'z \}.$   
 $\langle proof \rangle$

**lemma** *Mutator*:  
 $\vdash \text{Mutator} .\{ False \}.$   
 $\langle proof \rangle$

### 2.2.2 The Collector

A constant *M-init* is used to give *'Ma* a suitable first value, defined as a list of nodes where only the *Roots* are black.

**consts** *M-init* :: *nodes*

**constdefs**

$\text{Proper-M-init} :: \text{gar-coll-state} \Rightarrow \text{bool}$   
 $\text{Proper-M-init} \equiv \ll \text{Blacks } M\text{-init} = \text{Roots} \wedge \text{length } M\text{-init} = \text{length } 'M \gg$

$\text{Proper} :: \text{gar-coll-state} \Rightarrow \text{bool}$   
 $\text{Proper} \equiv \ll \text{Proper-Roots } 'M \wedge \text{Proper-Edges}('M, 'E) \wedge 'Proper\text{-M-init} \gg$

$\text{Safe} :: \text{gar-coll-state} \Rightarrow \text{bool}$   
 $\text{Safe} \equiv \ll \text{Reach } 'E \subseteq \text{Blacks } 'M \gg$

**lemmas** *collector-defs* = *Proper-M-init-def Proper-def Safe-def*

### Blackening the roots

**constdefs**

$\text{Blacken-Roots} :: \text{gar-coll-state} \text{ ann-com}$   
 $\text{Blacken-Roots} \equiv$   
 $.\{ 'Proper \}.$   
 $'ind := 0;;$   
 $.\{ 'Proper \wedge 'ind = 0 \}.$   
 $WHILE 'ind < \text{length } 'M$   
 $INV .\{ 'Proper \wedge (\forall i < 'ind. i \in \text{Roots} \longrightarrow 'M[i] = \text{Black}) \wedge 'ind \leq \text{length } 'M \}.$   
 $DO .\{ 'Proper \wedge (\forall i < 'ind. i \in \text{Roots} \longrightarrow 'M[i] = \text{Black}) \wedge 'ind < \text{length } 'M \}.$   
 $IF 'ind \in \text{Roots} THEN$

$\{ \text{'} Proper \wedge (\forall i < \text{'} ind. i \in Roots \longrightarrow \text{'} M!i = Black) \wedge \text{'} ind < length \text{' } M \wedge \text{' } ind \in Roots \}.$   
 $\text{' } M := \text{' } M[\text{' } ind := Black] \text{ FI};;$   
 $\{ \text{' } Proper \wedge (\forall i < \text{' } ind + 1. i \in Roots \longrightarrow \text{' } M!i = Black) \wedge \text{' } ind < length \text{' } M \}.$   
 $\text{' } ind := \text{' } ind + 1$   
*OD*

**lemma** *Blacken-Roots*:

$\vdash \text{Blacken-Roots } \{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \}.$   
 $\langle proof \rangle$

## Propagating black

**constdefs**

$PBInv :: \text{gar-coll-state} \Rightarrow \text{nat} \Rightarrow \text{bool}$   
 $PBInv \equiv \ll \lambda ind. \text{' } obc < Blacks \text{' } M \vee (\forall i < ind. \neg BtoW (\text{' } E!i, \text{' } M) \vee$   
 $(\neg z \wedge i = R \wedge (snd(\text{' } E!R)) = T \wedge (\exists r. ind \leq r \wedge r < length \text{' } E \wedge BtoW(\text{' } E!r, \text{' } M)))) \gg$

**constdefs**

$Propagate-Black-aux :: \text{gar-coll-state} \text{ ann-com}$   
 $Propagate-Black-aux \equiv$   
 $\{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \wedge \text{' } obc \subseteq Blacks \text{' } M \wedge \text{' } bc \subseteq Blacks \text{' } M \}.$   
 $\text{' } ind := 0;;$   
 $\{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \wedge \text{' } obc \subseteq Blacks \text{' } M \wedge \text{' } bc \subseteq Blacks \text{' } M \wedge \text{' } ind = 0 \}.$

$WHILE \text{' } ind < length \text{' } E$   
 $INV \{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \wedge \text{' } obc \subseteq Blacks \text{' } M \wedge \text{' } bc \subseteq Blacks \text{' } M$   
 $\wedge \text{' } PBInv \text{' } ind \wedge \text{' } ind \leq length \text{' } E \}.$   
 $DO \{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \wedge \text{' } obc \subseteq Blacks \text{' } M \wedge \text{' } bc \subseteq Blacks \text{' } M$   
 $\wedge \text{' } PBInv \text{' } ind \wedge \text{' } ind < length \text{' } E \}.$   
 $IF \text{' } M!(fst(\text{' } E!\text{' } ind)) = Black \text{ THEN}$   
 $\{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \wedge \text{' } obc \subseteq Blacks \text{' } M \wedge \text{' } bc \subseteq Blacks \text{' } M$   
 $\wedge \text{' } PBInv \text{' } ind \wedge \text{' } ind < length \text{' } E \wedge \text{' } M!fst(\text{' } E!\text{' } ind) = Black \}.$   
 $\text{' } M := \text{' } M[snd(\text{' } E!\text{' } ind) := Black];;$   
 $\{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \wedge \text{' } obc \subseteq Blacks \text{' } M \wedge \text{' } bc \subseteq Blacks \text{' } M$   
 $\wedge \text{' } PBInv (\text{' } ind + 1) \wedge \text{' } ind < length \text{' } E \}.$   
 $\text{' } ind := \text{' } ind + 1$   
*FI*  
*OD*

**lemma** *Propagate-Black-aux*:

$\vdash \text{Propagate-Black-aux}$   
 $\{ \text{' } Proper \wedge Roots \subseteq Blacks \text{' } M \wedge \text{' } obc \subseteq Blacks \text{' } M \wedge \text{' } bc \subseteq Blacks \text{' } M$   
 $\wedge (\text{' } obc < Blacks \text{' } M \vee \text{' } Safe) \}.$   
 $\langle proof \rangle$

## Refining propagating black

**constdefs**

$Auxk :: \text{gar-coll-state} \Rightarrow \text{bool}$

$$Auxk \equiv \ll 'k < \text{length } 'M \wedge ('M! 'k \neq \text{Black} \vee \neg \text{BtoW}('E! 'ind, 'M) \vee \\ 'obc < \text{Blacks } 'M \vee (\neg 'z \wedge 'ind = R \wedge \text{snd}('E! R) = T \\ \wedge (\exists r. 'ind < r \wedge r < \text{length } 'E \wedge \text{BtoW}('E! r, 'M))) \gg$$

#### constdefs

*Propagate-Black* :: *gar-coll-state ann-com*

*Propagate-Black*  $\equiv$

$\{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \}.$   
 $'ind := 0;;$

$\{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \wedge 'ind = 0 \}.$   
 $\text{WHILE } 'ind < \text{length } 'E$

$\text{INV } \{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\ \wedge 'PBIInv 'ind \wedge 'ind \leq \text{length } 'E \}.$

$\text{DO } \{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\ \wedge 'PBIInv 'ind \wedge 'ind < \text{length } 'E \}.$

$\text{IF } ('M!(fst('E! 'ind))) = \text{Black} \text{ THEN}$

$\{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\ \wedge 'PBIInv 'ind \wedge 'ind < \text{length } 'E \wedge ('M!fst('E! 'ind)) = \text{Black} \}.$   
 $'k := (\text{snd}('E! 'ind));;$

$\{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\ \wedge 'PBIInv 'ind \wedge 'ind < \text{length } 'E \wedge ('M!fst('E! 'ind)) = \text{Black} \\ \wedge 'Auxk \}.$

$\langle 'M := 'M[ 'k := \text{Black}], 'ind := 'ind + 1 \rangle$

$\text{ELSE } \{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\ \wedge 'PBIInv 'ind \wedge 'ind < \text{length } 'E \}.$

$\langle \text{IF } ('M!(fst('E! 'ind))) \neq \text{Black} \text{ THEN } 'ind := 'ind + 1 \text{ FI} \rangle$

$\text{FI}$

$\text{OD}$

#### lemma *Propagate-Black*:

$\vdash \text{Propagate-Black}$

$\{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\ \wedge ('obc < \text{Blacks } 'M \vee 'Safe) \}.$

$\langle \text{proof} \rangle$

### Counting black nodes

#### constdefs

*CountInv* :: *gar-coll-state  $\Rightarrow$  nat  $\Rightarrow$  bool*

*CountInv*  $\equiv \ll \lambda ind. \{ i. i < ind \wedge 'Ma!i = \text{Black} \} \subseteq 'bc \gg$

#### constdefs

*Count* :: *gar-coll-state ann-com*

*Count*  $\equiv$

$\{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M \\ \wedge 'obc \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M \\ \wedge \text{length } 'Ma = \text{length } 'M \wedge ('obc < \text{Blacks } 'Ma \vee 'Safe) \wedge 'bc = \{ \} \}.$

$'ind := 0;;$

$\{ 'Proper \wedge \text{Roots} \subseteq \text{Blacks } 'M$

$\wedge 'obc \subseteq Blacks \ 'Ma \wedge Blacks \ 'Ma \subseteq Blacks \ 'M \wedge 'bc \subseteq Blacks \ 'M$   
 $\wedge length \ 'Ma = length \ 'M \wedge ('obc < Blacks \ 'Ma \vee 'Safe) \wedge 'bc = \{\}$   
 $\wedge 'ind = 0\}$ .  
**WHILE**  $'ind < length \ 'M$   
  **INV**  $\{ 'Proper \wedge Roots \subseteq Blacks \ 'M$   
     $\wedge 'obc \subseteq Blacks \ 'Ma \wedge Blacks \ 'Ma \subseteq Blacks \ 'M \wedge 'bc \subseteq Blacks \ 'M$   
     $\wedge length \ 'Ma = length \ 'M \wedge 'CountInv \ 'ind$   
     $\wedge ('obc < Blacks \ 'Ma \vee 'Safe) \wedge 'ind \leq length \ 'M\}$ .  
  **DO**  $\{ 'Proper \wedge Roots \subseteq Blacks \ 'M$   
     $\wedge 'obc \subseteq Blacks \ 'Ma \wedge Blacks \ 'Ma \subseteq Blacks \ 'M \wedge 'bc \subseteq Blacks \ 'M$   
     $\wedge length \ 'Ma = length \ 'M \wedge 'CountInv \ 'ind$   
     $\wedge ('obc < Blacks \ 'Ma \vee 'Safe) \wedge 'ind < length \ 'M\}$ .  
  **IF**  $'M! 'ind = Black$   
    **THEN**  $\{ 'Proper \wedge Roots \subseteq Blacks \ 'M$   
       $\wedge 'obc \subseteq Blacks \ 'Ma \wedge Blacks \ 'Ma \subseteq Blacks \ 'M \wedge 'bc \subseteq Blacks \ 'M$   
       $\wedge length \ 'Ma = length \ 'M \wedge 'CountInv \ 'ind$   
       $\wedge ('obc < Blacks \ 'Ma \vee 'Safe) \wedge 'ind < length \ 'M \wedge 'M! 'ind = Black\}$ .  
       $'bc := insert \ 'ind \ 'bc$   
    **FI**;;  
   $\{ 'Proper \wedge Roots \subseteq Blacks \ 'M$   
     $\wedge 'obc \subseteq Blacks \ 'Ma \wedge Blacks \ 'Ma \subseteq Blacks \ 'M \wedge 'bc \subseteq Blacks \ 'M$   
     $\wedge length \ 'Ma = length \ 'M \wedge 'CountInv \ ('ind + 1)$   
     $\wedge ('obc < Blacks \ 'Ma \vee 'Safe) \wedge 'ind < length \ 'M\}$ .  
   $'ind := 'ind + 1$   
**OD**

**lemma** *Count*:

$\vdash Count$   
 $\{ 'Proper \wedge Roots \subseteq Blacks \ 'M$   
 $\wedge 'obc \subseteq Blacks \ 'Ma \wedge Blacks \ 'Ma \subseteq 'bc \wedge 'bc \subseteq Blacks \ 'M \wedge length \ 'Ma = length$   
 $\ 'M$   
 $\wedge ('obc < Blacks \ 'Ma \vee 'Safe)\}$ .  
 $\langle proof \rangle$

## Appending garbage nodes to the free list

**consts** *Append-to-free* ::  $nat \times edges \Rightarrow edges$

**axioms**

*Append-to-free0*:  $length (Append-to-free (i, e)) = length e$   
*Append-to-free1*:  $Proper-Edges (m, e)$   
 $\implies Proper-Edges (m, Append-to-free(i, e))$   
*Append-to-free2*:  $i \notin Reach e$   
 $\implies n \in Reach (Append-to-free(i, e)) = (n = i \vee n \in Reach e)$

**constdefs**

*AppendInv* ::  $gar-coll-state \Rightarrow nat \Rightarrow bool$   
*AppendInv*  $\equiv \ll \lambda ind. \forall i < length \ 'M. ind \leq i \longrightarrow i \in Reach \ 'E \longrightarrow 'M!i = Black \gg$

**constdefs**

```

Append :: gar-coll-state ann-com
Append ≡
.{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'Safe}.
'ind := 0;;
.{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'Safe ∧ 'ind = 0}.
WHILE 'ind < length 'M
  INV .{ 'Proper ∧ 'AppendInv 'ind ∧ 'ind ≤ length 'M}.
DO .{ 'Proper ∧ 'AppendInv 'ind ∧ 'ind < length 'M}.
  IF 'M! 'ind = Black THEN
    .{ 'Proper ∧ 'AppendInv 'ind ∧ 'ind < length 'M ∧ 'M! 'ind = Black}.
    'M := 'M[ 'ind := White]
  ELSE .{ 'Proper ∧ 'AppendInv 'ind ∧ 'ind < length 'M ∧ 'ind ∉ Reach 'E}.
    'E := Append-to-free('ind, 'E)
  FI;;
.{ 'Proper ∧ 'AppendInv ('ind + 1) ∧ 'ind < length 'M}.
'ind := 'ind + 1
OD

```

**lemma Append:**

⊢ Append .{ 'Proper }.

⟨proof⟩

**Correctness of the Collector****constdefs**

```

Collector :: gar-coll-state ann-com
Collector ≡
.{ 'Proper }.
WHILE True INV .{ 'Proper }.
DO
  Blacken-Roots;;
  .{ 'Proper ∧ Roots ⊆ Blacks 'M }.
  'obc := {};
  .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} }.
  'bc := Roots;;
  .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} ∧ 'bc = Roots }.
  'Ma := M-init;;
  .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc = {} ∧ 'bc = Roots ∧ 'Ma = M-init }.
  WHILE 'obc ≠ 'bc
    INV .{ 'Proper ∧ Roots ⊆ Blacks 'M
      ∧ 'obc ⊆ Blacks 'Ma ∧ Blacks 'Ma ⊆ 'bc ∧ 'bc ⊆ Blacks 'M
      ∧ length 'Ma = length 'M ∧ ('obc < Blacks 'Ma ∨ 'Safe) }.
    DO .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M }.
      'obc := 'bc;;
      Propagate-Black;;
      .{ 'Proper ∧ Roots ⊆ Blacks 'M ∧ 'obc ⊆ Blacks 'M ∧ 'bc ⊆ Blacks 'M
        ∧ ('obc < Blacks 'M ∨ 'Safe) }.
      'Ma := 'M;;

```

```

    .{ ' Proper  $\wedge$  Roots $\subseteq$ Blacks ' M  $\wedge$  ' obc $\subseteq$ Blacks ' Ma
       $\wedge$  Blacks ' Ma $\subseteq$ Blacks ' M  $\wedge$  ' bc $\subseteq$ Blacks ' M  $\wedge$  length ' Ma=length ' M
       $\wedge$  ( ' obc < Blacks ' Ma  $\vee$  ' Safe)}.
    ' bc:={};;
    Count
  OD;;
  Append
  OD

```

**lemma** *Collector*:  
 $\vdash$  *Collector* .{False}.  
 <proof>

### 2.2.3 Interference Freedom

**lemmas** *modules* = *Redirect-Edge-def Color-Target-def Blacken-Roots-def*  
                   *Propagate-Black-def Count-def Append-def*  
**lemmas** *Invariants* = *PBInv-def Auxk-def CountInv-def AppendInv-def*  
**lemmas** *abbrev* = *collector-defs mutator-defs Invariants*

**lemma** *interfree-Blacken-Roots-Redirect-Edge*:  
*interfree-aux* (Some *Blacken-Roots*, {}, Some *Redirect-Edge*)  
 <proof>

**lemma** *interfree-Redirect-Edge-Blacken-Roots*:  
*interfree-aux* (Some *Redirect-Edge*, {}, Some *Blacken-Roots*)  
 <proof>

**lemma** *interfree-Blacken-Roots-Color-Target*:  
*interfree-aux* (Some *Blacken-Roots*, {}, Some *Color-Target*)  
 <proof>

**lemma** *interfree-Color-Target-Blacken-Roots*:  
*interfree-aux* (Some *Color-Target*, {}, Some *Blacken-Roots*)  
 <proof>

**lemma** *interfree-Propagate-Black-Redirect-Edge*:  
*interfree-aux* (Some *Propagate-Black*, {}, Some *Redirect-Edge*)  
 <proof>

**lemma** *interfree-Redirect-Edge-Propagate-Black*:  
*interfree-aux* (Some *Redirect-Edge*, {}, Some *Propagate-Black*)  
 <proof>

**lemma** *interfree-Propagate-Black-Color-Target*:  
*interfree-aux* (Some *Propagate-Black*, {}, Some *Color-Target*)  
 <proof>

**lemma** *interfree-Color-Target-Propagate-Black*:



*interfree-aux* (Some Color-Target, {}, Some Propagate-Black)  
 ⟨proof⟩

**lemma** *interfree-Count-Redirect-Edge*:  
*interfree-aux* (Some Count, {}, Some Redirect-Edge)  
 ⟨proof⟩

**lemma** *interfree-Redirect-Edge-Count*:  
*interfree-aux* (Some Redirect-Edge, {}, Some Count)  
 ⟨proof⟩

**lemma** *interfree-Count-Color-Target*:  
*interfree-aux* (Some Count, {}, Some Color-Target)  
 ⟨proof⟩

**lemma** *interfree-Color-Target-Count*:  
*interfree-aux* (Some Color-Target, {}, Some Count)  
 ⟨proof⟩

**lemma** *interfree-Append-Redirect-Edge*:  
*interfree-aux* (Some Append, {}, Some Redirect-Edge)  
 ⟨proof⟩

**lemma** *interfree-Redirect-Edge-Append*:  
*interfree-aux* (Some Redirect-Edge, {}, Some Append)  
 ⟨proof⟩

**lemma** *interfree-Append-Color-Target*:  
*interfree-aux* (Some Append, {}, Some Color-Target)  
 ⟨proof⟩

**lemma** *interfree-Color-Target-Append*:  
*interfree-aux* (Some Color-Target, {}, Some Append)  
 ⟨proof⟩

**lemmas** *collector-mutator-interfree* =  
*interfree-Blacken-Roots-Redirect-Edge* *interfree-Blacken-Roots-Color-Target*  
*interfree-Propagate-Black-Redirect-Edge* *interfree-Propagate-Black-Color-Target*  
*interfree-Count-Redirect-Edge* *interfree-Count-Color-Target*  
*interfree-Append-Redirect-Edge* *interfree-Append-Color-Target*  
*interfree-Redirect-Edge-Blacken-Roots* *interfree-Color-Target-Blacken-Roots*  
*interfree-Redirect-Edge-Propagate-Black* *interfree-Color-Target-Propagate-Black*  
*interfree-Redirect-Edge-Count* *interfree-Color-Target-Count*  
*interfree-Redirect-Edge-Append* *interfree-Color-Target-Append*

## Interference freedom Collector-Mutator

**lemma** *interfree-Collector-Mutator*:  
*interfree-aux* (Some Collector, {}, Some Mutator)

$\langle proof \rangle$

### Interference freedom Mutator-Collector

**lemma** *interfree-Mutator-Collector*:  
*interfree-aux* (Some Mutator, {}, Some Collector)  
 $\langle proof \rangle$

### The Garbage Collection algorithm

In total there are 289 verification conditions.

**lemma** *Gar-Coll*:  
||  $\vdash \{ \text{'Proper} \wedge \text{'Mut-init} \wedge \text{'z} \}.$   
COBEGIN  
Collector  
. $\{False\}.$   
||  
Mutator  
. $\{False\}.$   
COEND  
. $\{False\}.$   
 $\langle proof \rangle$   
**end**

## 2.3 The Multi-Mutator Case

**theory** *Mul-Gar-Coll* **imports** *Graph OG-Syntax* **begin**

The full theory takes aprox. 18 minutes.

**record** *mut* =  
Z :: bool  
R :: nat  
T :: nat

Declaration of variables:

**record** *mul-gar-coll-state* =  
M :: nodes  
E :: edges  
bc :: nat set  
obc :: nat set  
Ma :: nodes  
ind :: nat  
k :: nat  
q :: nat  
l :: nat  
Muts :: mut list

### 2.3.1 The Mutators

**constdefs**

*Mul-mut-init* :: *mul-gar-coll-state*  $\Rightarrow$  *nat*  $\Rightarrow$  *bool*  
*Mul-mut-init*  $\equiv \ll \lambda n. n = \text{length } 'Muts \wedge (\forall i < n. R ('Muts!i) < \text{length } 'E$   
 $\wedge T ('Muts!i) < \text{length } 'M) \gg$

*Mul-Redirect-Edge* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *mul-gar-coll-state ann-com*

*Mul-Redirect-Edge* *j n*  $\equiv$

$\{ 'Mul-mut-init\ n \wedge Z ('Muts!j) \}$ .

$\langle IF\ T ('Muts!j) \in Reach\ 'E\ THEN$

$'E := 'E[R ('Muts!j) := (fst ('E!R ('Muts!j)), T ('Muts!j))] FI,,$

$'Muts := 'Muts[j := ('Muts!j) (Z := False)] \rangle$

*Mul-Color-Target* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *mul-gar-coll-state ann-com*

*Mul-Color-Target* *j n*  $\equiv$

$\{ 'Mul-mut-init\ n \wedge \neg Z ('Muts!j) \}$ .

$\langle 'M := 'M[T ('Muts!j) := Black],, 'Muts := 'Muts[j := ('Muts!j) (Z := True)] \rangle$

*Mul-Mutator* :: *nat*  $\Rightarrow$  *nat*  $\Rightarrow$  *mul-gar-coll-state ann-com*

*Mul-Mutator* *j n*  $\equiv$

$\{ 'Mul-mut-init\ n \wedge Z ('Muts!j) \}$ .

WHILE True

    INV  $\{ 'Mul-mut-init\ n \wedge Z ('Muts!j) \}$ .

DO *Mul-Redirect-Edge* *j n* ;;

*Mul-Color-Target* *j n*

OD

**lemmas** *mul-mutator-defs* = *Mul-mut-init-def Mul-Redirect-Edge-def Mul-Color-Target-def*

#### Correctness of the proof outline of one mutator

**lemma** *Mul-Redirect-Edge*:  $0 \leq j \wedge j < n \implies$

$\vdash \text{Mul-Redirect-Edge } j\ n$

$\text{pre}(\text{Mul-Color-Target } j\ n)$

$\langle \text{proof} \rangle$

**lemma** *Mul-Color-Target*:  $0 \leq j \wedge j < n \implies$

$\vdash \text{Mul-Color-Target } j\ n$

$\{ 'Mul-mut-init\ n \wedge Z ('Muts!j) \}$ .

$\langle \text{proof} \rangle$

**lemma** *Mul-Mutator*:  $0 \leq j \wedge j < n \implies$

$\vdash \text{Mul-Mutator } j\ n.\{False\}$ .

$\langle \text{proof} \rangle$

#### Interference freedom between mutators

**lemma** *Mul-interfree-Redirect-Edge-Redirect-Edge*:

$\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$

*interfree-aux* (Some (Mul-Redirect-Edge *i n*), {}, Some (Mul-Redirect-Edge *j n*))  
 <proof>

**lemma** *Mul-interfree-Redirect-Edge-Color-Target*:  
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$   
*interfree-aux* (Some (Mul-Redirect-Edge *i n*), {}, Some (Mul-Color-Target *j n*))  
 <proof>

**lemma** *Mul-interfree-Color-Target-Redirect-Edge*:  
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$   
*interfree-aux* (Some (Mul-Color-Target *i n*), {}, Some (Mul-Redirect-Edge *j n*))  
 <proof>

**lemma** *Mul-interfree-Color-Target-Color-Target*:  
 $\llbracket 0 \leq i; i < n; 0 \leq j; j < n; i \neq j \rrbracket \implies$   
*interfree-aux* (Some (Mul-Color-Target *i n*), {}, Some (Mul-Color-Target *j n*))  
 <proof>

**lemmas** *mul-mutator-interfree* =  
*Mul-interfree-Redirect-Edge-Redirect-Edge* *Mul-interfree-Redirect-Edge-Color-Target*  
*Mul-interfree-Color-Target-Redirect-Edge* *Mul-interfree-Color-Target-Color-Target*

**lemma** *Mul-interfree-Mutator-Mutator*:  $\llbracket i < n; j < n; i \neq j \rrbracket \implies$   
*interfree-aux* (Some (Mul-Mutator *i n*), {}, Some (Mul-Mutator *j n*))  
 <proof>

## Modular Parameterized Mutators

**lemma** *Mul-Parameterized-Mutators*:  $0 < n \implies$   
 $\llbracket - \cdot \{ 'Mul-mut-init\ n \wedge (\forall i < n. Z\ ('Muts!i)) \} \rrbracket$ .  
 COBEGIN  
 SCHEME  $[0 \leq j < n]$   
*Mul-Mutator* *j n*  
 $\cdot \{ False \}$ .  
 COEND  
 $\cdot \{ False \}$ .  
 <proof>

### 2.3.2 The Collector

**constdefs**  
*Queue* :: *mul-gar-coll-state*  $\Rightarrow$  *nat*  
*Queue*  $\equiv \ll length\ (filter\ (\lambda i. \neg Z\ i \wedge 'M!(T\ i) \neq Black)\ 'Muts) \gg$

**consts** *M-init* :: *nodes*

**constdefs**  
*Proper-M-init* :: *mul-gar-coll-state*  $\Rightarrow$  *bool*  
*Proper-M-init*  $\equiv \ll Blacks\ M-init = Roots \wedge length\ M-init = length\ 'M \gg$

$Mul-Prop\text{er} :: mul\text{-}gar\text{-}coll\text{-}state \Rightarrow nat \Rightarrow bool$   
 $Mul-Prop\text{er} \equiv \ll \lambda n. Proper\text{-}Roots\ 'M \wedge Proper\text{-}Edges\ ('M, 'E) \wedge 'Proper\text{-}M\text{-}init$   
 $\wedge n=length\ 'Muts \gg$

$Safe :: mul\text{-}gar\text{-}coll\text{-}state \Rightarrow bool$   
 $Safe \equiv \ll Reach\ 'E \subseteq Blacks\ 'M \gg$

**lemmas**  $mul\text{-}collector\text{-}defs = Proper\text{-}M\text{-}init\text{-}def\ Mul\text{-}Prop\text{er}\text{-}def\ Safe\text{-}def$

## Blackening Roots

### constdefs

$Mul\text{-}Blacken\text{-}Roots :: nat \Rightarrow mul\text{-}gar\text{-}coll\text{-}state\ ann\text{-}com$   
 $Mul\text{-}Blacken\text{-}Roots\ n \equiv$   
 $\{ 'Mul\text{-}Prop\text{er}\ n \}.$   
 $'ind:=0;;$   
 $\{ 'Mul\text{-}Prop\text{er}\ n \wedge 'ind=0 \}.$   
 $WHILE\ 'ind < length\ 'M$   
 $INV\ \{ 'Mul\text{-}Prop\text{er}\ n \wedge (\forall i < 'ind. i \in Roots \longrightarrow 'M!i=Black) \wedge 'ind \leq length$   
 $'M \}.$   
 $DO\ \{ 'Mul\text{-}Prop\text{er}\ n \wedge (\forall i < 'ind. i \in Roots \longrightarrow 'M!i=Black) \wedge 'ind < length$   
 $'M \}.$   
 $IF\ 'ind \in Roots\ THEN$   
 $\{ 'Mul\text{-}Prop\text{er}\ n \wedge (\forall i < 'ind. i \in Roots \longrightarrow 'M!i=Black) \wedge 'ind < length\ 'M$   
 $\wedge 'ind \in Roots \}.$   
 $'M := 'M[ 'ind := Black ]\ FI;;$   
 $\{ 'Mul\text{-}Prop\text{er}\ n \wedge (\forall i < 'ind+1. i \in Roots \longrightarrow 'M!i=Black) \wedge 'ind < length$   
 $'M \}.$   
 $'ind := 'ind+1$   
 $OD$

**lemma**  $Mul\text{-}Blacken\text{-}Roots:$

$\vdash Mul\text{-}Blacken\text{-}Roots\ n$   
 $\{ 'Mul\text{-}Prop\text{er}\ n \wedge Roots \subseteq Blacks\ 'M \}.$   
 $\langle proof \rangle$

## Propagating Black

### constdefs

$Mul\text{-}PBInv :: mul\text{-}gar\text{-}coll\text{-}state \Rightarrow bool$   
 $Mul\text{-}PBInv \equiv \ll 'Safe \vee 'obc \subseteq Blacks\ 'M \vee 'l < 'Queue$   
 $\vee (\forall i < 'ind. \neg BtoW('E!i, 'M)) \wedge 'l \leq 'Queue \gg$   
  
 $Mul\text{-}Auxk :: mul\text{-}gar\text{-}coll\text{-}state \Rightarrow bool$   
 $Mul\text{-}Auxk \equiv \ll 'l < 'Queue \vee 'M! 'k \neq Black \vee \neg BtoW('E! 'ind, 'M) \vee 'obc \subseteq Blacks$   
 $'M \gg$

### constdefs

$Mul\text{-}Propagate\text{-}Black :: nat \Rightarrow mul\text{-}gar\text{-}coll\text{-}state\ ann\text{-}com$   
 $Mul\text{-}Propagate\text{-}Black\ n \equiv$

```

.{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge ('Safe \vee 'l \leq 'Queue \vee 'obc \subseteq \text{Blacks } 'M)$  }.
'ind := 0;;
.{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M$ 
 $\wedge 'obc \subseteq \text{Blacks } 'M \wedge \text{Blacks } 'M \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge ('Safe \vee 'l \leq 'Queue \vee 'obc \subseteq \text{Blacks } 'M) \wedge 'ind = 0$  }.
WHILE 'ind < length 'E
INV .{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M$ 
 $\wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge 'Mul-PBInv \wedge 'ind \leq \text{length } 'E$  }.
DO .{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M$ 
 $\wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge 'Mul-PBInv \wedge 'ind < \text{length } 'E$  }.
IF 'M!(fst ('E!'ind)) = Black THEN
.{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M$ 
 $\wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge 'Mul-PBInv \wedge ('M!\text{fst}('E!'ind)) = \text{Black} \wedge 'ind < \text{length } 'E$  }.
'k := snd ('E!'ind);;
.{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M$ 
 $\wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'M \vee 'l < 'Queue \vee (\forall i < 'ind. \neg BtoW('E!i, 'M))$ 
 $\wedge 'l \leq 'Queue \wedge 'Mul-Auxk) \wedge 'k < \text{length } 'M \wedge 'M!\text{fst}('E!'ind) = \text{Black}$ 
 $\wedge 'ind < \text{length } 'E$  }.
<'M := 'M['k := Black], 'ind := 'ind + 1>
ELSE .{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M$ 
 $\wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge 'Mul-PBInv \wedge 'ind < \text{length } 'E$  }.
<IF 'M!(fst ('E!'ind)) ≠ Black THEN 'ind := 'ind + 1 FI> FI
OD

```

**lemma** *Mul-Propagate-Black*:

```

⊢ Mul-Propagate-Black  $n$ 
.{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M \wedge 'obc \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'M \vee 'l < 'Queue \wedge ('l \leq 'Queue \vee 'obc \subseteq \text{Blacks } 'M))$  }.
<proof>

```

## Counting Black Nodes

**constdefs**

```

Mul-CountInv :: mul-gar-coll-state  $\Rightarrow$  nat  $\Rightarrow$  bool
Mul-CountInv  $\equiv \ll \lambda ind. \{i. i < ind \wedge 'Ma!i = \text{Black}\} \subseteq 'bc \gg$ 

```

```

Mul-Count :: nat  $\Rightarrow$  mul-gar-coll-state ann-com
Mul-Count  $n \equiv$ 
.{ 'Mul-Prop  $n \wedge \text{Roots} \subseteq \text{Blacks } 'M$ 
 $\wedge 'obc \subseteq \text{Blacks } 'Ma \wedge \text{Blacks } 'Ma \subseteq \text{Blacks } 'M \wedge 'bc \subseteq \text{Blacks } 'M$ 
 $\wedge \text{length } 'Ma = \text{length } 'M$ 
 $\wedge ('Safe \vee 'obc \subseteq \text{Blacks } 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq \text{Blacks } 'M))$  }

```

$\wedge 'q < n+1 \wedge 'bc = \{\}$ .  
 $'ind := 0;$   
 $\{ 'Mul\text{-}Proper\ n \wedge Roots \subseteq Blacks\ 'M$   
 $\wedge 'obc \subseteq Blacks\ 'Ma \wedge Blacks\ 'Ma \subseteq Blacks\ 'M \wedge 'bc \subseteq Blacks\ 'M$   
 $\wedge length\ 'Ma = length\ 'M$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks\ 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks\ 'M))$   
 $\wedge 'q < n+1 \wedge 'bc = \{\} \wedge 'ind = 0 \}$ .  
**WHILE**  $'ind < length\ 'M$   
 $\text{INV } \{ 'Mul\text{-}Proper\ n \wedge Roots \subseteq Blacks\ 'M$   
 $\wedge 'obc \subseteq Blacks\ 'Ma \wedge Blacks\ 'Ma \subseteq Blacks\ 'M \wedge 'bc \subseteq Blacks\ 'M$   
 $\wedge length\ 'Ma = length\ 'M \wedge 'Mul\text{-}CountInv\ 'ind$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks\ 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks\ 'M))$   
 $'M))$   
 $\wedge 'q < n+1 \wedge 'ind \leq length\ 'M \}$ .  
**DO**  $\{ 'Mul\text{-}Proper\ n \wedge Roots \subseteq Blacks\ 'M$   
 $\wedge 'obc \subseteq Blacks\ 'Ma \wedge Blacks\ 'Ma \subseteq Blacks\ 'M \wedge 'bc \subseteq Blacks\ 'M$   
 $\wedge length\ 'Ma = length\ 'M \wedge 'Mul\text{-}CountInv\ 'ind$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks\ 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks\ 'M))$   
 $\wedge 'q < n+1 \wedge 'ind < length\ 'M \}$ .  
**IF**  $'M! 'ind = Black$   
**THEN**  $\{ 'Mul\text{-}Proper\ n \wedge Roots \subseteq Blacks\ 'M$   
 $\wedge 'obc \subseteq Blacks\ 'Ma \wedge Blacks\ 'Ma \subseteq Blacks\ 'M \wedge 'bc \subseteq Blacks\ 'M$   
 $\wedge length\ 'Ma = length\ 'M \wedge 'Mul\text{-}CountInv\ 'ind$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks\ 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks\ 'M))$   
 $'M))$   
 $\wedge 'q < n+1 \wedge 'ind < length\ 'M \wedge 'M! 'ind = Black \}$ .  
 $'bc := insert\ 'ind\ 'bc$   
**FI**;  
 $\{ 'Mul\text{-}Proper\ n \wedge Roots \subseteq Blacks\ 'M$   
 $\wedge 'obc \subseteq Blacks\ 'Ma \wedge Blacks\ 'Ma \subseteq Blacks\ 'M \wedge 'bc \subseteq Blacks\ 'M$   
 $\wedge length\ 'Ma = length\ 'M \wedge 'Mul\text{-}CountInv\ ('ind+1)$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks\ 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks\ 'M))$   
 $\wedge 'q < n+1 \wedge 'ind < length\ 'M \}$ .  
 $'ind := 'ind + 1$   
**OD**

**lemma** *Mul-Count*:

$\vdash Mul\text{-}Count\ n$   
 $\{ 'Mul\text{-}Proper\ n \wedge Roots \subseteq Blacks\ 'M$   
 $\wedge 'obc \subseteq Blacks\ 'Ma \wedge Blacks\ 'Ma \subseteq Blacks\ 'M \wedge 'bc \subseteq Blacks\ 'M$   
 $\wedge length\ 'Ma = length\ 'M \wedge Blacks\ 'Ma \subseteq 'bc$   
 $\wedge ('Safe \vee 'obc \subseteq Blacks\ 'Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks\ 'M))$   
 $\wedge 'q < n+1 \}$ .  
 $\langle proof \rangle$

**Appending garbage nodes to the free list**

**consts** *Append-to-free* ::  $nat \times edges \Rightarrow edges$

### axioms

$Append\text{-}to\text{-}free0: length (Append\text{-}to\text{-}free (i, e)) = length e$   
 $Append\text{-}to\text{-}free1: Proper\text{-}Edges (m, e)$   
 $\implies Proper\text{-}Edges (m, Append\text{-}to\text{-}free(i, e))$   
 $Append\text{-}to\text{-}free2: i \notin Reach e$   
 $\implies n \in Reach (Append\text{-}to\text{-}free(i, e)) = (n = i \vee n \in Reach e)$

### constdefs

$Mul\text{-}AppendInv :: mul\text{-}gar\text{-}coll\text{-}state \Rightarrow nat \Rightarrow bool$   
 $Mul\text{-}AppendInv \equiv \ll \lambda ind. (\forall i. ind \leq i \longrightarrow i < length \text{'M} \longrightarrow i \in Reach \text{'E} \longrightarrow \text{'M}!i = Black) \gg$

$Mul\text{-}Append :: nat \Rightarrow mul\text{-}gar\text{-}coll\text{-}state \text{ ann-com}$   
 $Mul\text{-}Append n \equiv$   
 $\{ \text{'Mul-}Proper\ n \wedge Roots \subseteq Blacks \text{'M} \wedge \text{'Safe} \}.$   
 $\text{'ind} := 0;;$   
 $\{ \text{'Mul-}Proper\ n \wedge Roots \subseteq Blacks \text{'M} \wedge \text{'Safe} \wedge \text{'ind} = 0 \}.$   
 $WHILE \text{'ind} < length \text{'M}$   
 $INV \{ \text{'Mul-}Proper\ n \wedge \text{'Mul-}AppendInv \text{'ind} \wedge \text{'ind} \leq length \text{'M} \}.$   
 $DO \{ \text{'Mul-}Proper\ n \wedge \text{'Mul-}AppendInv \text{'ind} \wedge \text{'ind} < length \text{'M} \}.$   
 $IF \text{'M}! \text{'ind} = Black THEN$   
 $\{ \text{'Mul-}Proper\ n \wedge \text{'Mul-}AppendInv \text{'ind} \wedge \text{'ind} < length \text{'M} \wedge \text{'M}! \text{'ind} = Black \}.$   
 $\text{'M} := \text{'M}[\text{'ind} := White]$   
 $ELSE$   
 $\{ \text{'Mul-}Proper\ n \wedge \text{'Mul-}AppendInv \text{'ind} \wedge \text{'ind} < length \text{'M} \wedge \text{'ind} \notin Reach$   
 $\text{'E} \}.$   
 $\text{'E} := Append\text{-}to\text{-}free(\text{'ind}, \text{'E})$   
 $FI;;$   
 $\{ \text{'Mul-}Proper\ n \wedge \text{'Mul-}AppendInv (\text{'ind} + 1) \wedge \text{'ind} < length \text{'M} \}.$   
 $\text{'ind} := \text{'ind} + 1$   
 $OD$

### lemma *Mul-Append*:

$\vdash Mul\text{-}Append\ n$   
 $\{ \text{'Mul-}Proper\ n \}.$   
 $\langle proof \rangle$

## Collector

### constdefs

$Mul\text{-}Collector :: nat \Rightarrow mul\text{-}gar\text{-}coll\text{-}state \text{ ann-com}$   
 $Mul\text{-}Collector n \equiv$   
 $\{ \text{'Mul-}Proper\ n \}.$   
 $WHILE True INV \{ \text{'Mul-}Proper\ n \}.$   
 $DO$   
 $Mul\text{-}Blacken\text{-}Roots\ n ;;$   
 $\{ \text{'Mul-}Proper\ n \wedge Roots \subseteq Blacks \text{'M} \}.$   
 $\text{'obc} := \{ \};;$



```

. $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M \wedge 'obc = \{\} \}.$ 
 $'bc := Roots;;$ 
. $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M \wedge 'obc = \{\} \wedge 'bc = Roots \}.$ 
 $'l := 0;;$ 
. $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M \wedge 'obc = \{\} \wedge 'bc = Roots \wedge 'l = 0 \}.$ 
WHILE  $'l < n + 1$ 
  INV  $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M \wedge 'bc \subseteq Blacks \text{ ' } M \wedge$ 
     $( 'Safe \vee ('l \leq 'Queue \vee 'bc \subseteq Blacks \text{ ' } M) \wedge 'l < n + 1) \}.$ 
  DO  $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M \wedge 'bc \subseteq Blacks \text{ ' } M$ 
     $\wedge ( 'Safe \vee 'l \leq 'Queue \vee 'bc \subseteq Blacks \text{ ' } M) \}.$ 
     $'obc := 'bc;;$ 
    Mul-Propagate-Black  $n;;$ 
     $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M$ 
       $\wedge 'obc \subseteq Blacks \text{ ' } M \wedge 'bc \subseteq Blacks \text{ ' } M$ 
       $\wedge ( 'Safe \vee 'obc \subseteq Blacks \text{ ' } M \vee 'l < 'Queue$ 
       $\wedge ('l \leq 'Queue \vee 'obc \subseteq Blacks \text{ ' } M) \}.$ 
       $'bc := \{\};;$ 
       $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M$ 
         $\wedge 'obc \subseteq Blacks \text{ ' } M \wedge 'bc \subseteq Blacks \text{ ' } M$ 
         $\wedge ( 'Safe \vee 'obc \subseteq Blacks \text{ ' } M \vee 'l < 'Queue$ 
         $\wedge ('l \leq 'Queue \vee 'obc \subseteq Blacks \text{ ' } M) \wedge 'bc = \{\} \}.$ 
         $\langle 'Ma := 'M,, 'q := 'Queue \rangle;;$ 
        Mul-Count  $n;;$ 
         $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M$ 
           $\wedge 'obc \subseteq Blacks \text{ ' } Ma \wedge Blacks \text{ ' } Ma \subseteq Blacks \text{ ' } M \wedge 'bc \subseteq Blacks \text{ ' } M$ 
           $\wedge length \text{ ' } Ma = length \text{ ' } M \wedge Blacks \text{ ' } Ma \subseteq 'bc$ 
           $\wedge ( 'Safe \vee 'obc \subseteq Blacks \text{ ' } Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks \text{ ' } M) )$ 
           $\wedge 'q < n + 1 \}.$ 
        IF  $'obc = 'bc$  THEN
           $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M$ 
             $\wedge 'obc \subseteq Blacks \text{ ' } Ma \wedge Blacks \text{ ' } Ma \subseteq Blacks \text{ ' } M \wedge 'bc \subseteq Blacks \text{ ' } M$ 
             $\wedge length \text{ ' } Ma = length \text{ ' } M \wedge Blacks \text{ ' } Ma \subseteq 'bc$ 
             $\wedge ( 'Safe \vee 'obc \subseteq Blacks \text{ ' } Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks \text{ ' } M) )$ 
             $\wedge 'q < n + 1 \wedge 'obc = 'bc \}.$ 
             $'l := 'l + 1$ 
          ELSE  $\{ 'Mul-Prop\textit{er } n \wedge Roots \subseteq Blacks \text{ ' } M$ 
             $\wedge 'obc \subseteq Blacks \text{ ' } Ma \wedge Blacks \text{ ' } Ma \subseteq Blacks \text{ ' } M \wedge 'bc \subseteq Blacks \text{ ' } M$ 
             $\wedge length \text{ ' } Ma = length \text{ ' } M \wedge Blacks \text{ ' } Ma \subseteq 'bc$ 
             $\wedge ( 'Safe \vee 'obc \subseteq Blacks \text{ ' } Ma \vee 'l < 'q \wedge ('q \leq 'Queue \vee 'obc \subseteq Blacks$ 
             $\text{ ' } M) )$ 
             $\wedge 'q < n + 1 \wedge 'obc \neq 'bc \}.$ 
             $'l := 0$  FI
          OD;;
          Mul-Append  $n$ 
        OD

```

**lemmas** *mul-modules* = *Mul-Redirect-Edge-def* *Mul-Color-Target-def*  
*Mul-Blacken-Roots-def* *Mul-Propagate-Black-def*  
*Mul-Count-def* *Mul-Append-def*

**lemma** *Mul-Collector*:

$\vdash \text{Mul-Collector } n$   
 $\cdot \{False\}.$   
 $\langle \text{proof} \rangle$

### 2.3.3 Interference Freedom

**lemma** *le-length-filter-update*[*rule-format*]:

$\forall i. (\neg P \text{ (list!}i) \vee P \text{ } j) \wedge i < \text{length list}$   
 $\longrightarrow \text{length}(\text{filter } P \text{ list}) \leq \text{length}(\text{filter } P \text{ (list[i:=j])})$   
 $\langle \text{proof} \rangle$

**lemma** *less-length-filter-update* [*rule-format*]:

$\forall i. P \text{ } j \wedge \neg(P \text{ (list!}i)) \wedge i < \text{length list}$   
 $\longrightarrow \text{length}(\text{filter } P \text{ list}) < \text{length}(\text{filter } P \text{ (list[i:=j])})$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Blacken-Roots-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Blacken-Roots } n), \{\}, \text{Some}(\text{Mul-Redirect-Edge } j \text{ } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Redirect-Edge-Blacken-Roots*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Redirect-Edge } j \text{ } n), \{\}, \text{Some } (\text{Mul-Blacken-Roots } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Blacken-Roots-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Blacken-Roots } n), \{\}, \text{Some } (\text{Mul-Color-Target } j \text{ } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Color-Target-Blacken-Roots*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Color-Target } j \text{ } n), \{\}, \text{Some } (\text{Mul-Blacken-Roots } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Propagate-Black-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Propagate-Black } n), \{\}, \text{Some } (\text{Mul-Redirect-Edge } j \text{ } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Redirect-Edge-Propagate-Black*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Redirect-Edge } j \text{ } n), \{\}, \text{Some } (\text{Mul-Propagate-Black } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Propagate-Black-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Propagate-Black } n), \{\}, \text{Some } (\text{Mul-Color-Target } j \text{ } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Color-Target-Propagate-Black*:  $\llbracket 0 \leq j; j < n \rrbracket \Longrightarrow$

$\text{interfree-aux } (\text{Some}(\text{Mul-Color-Target } j \text{ } n), \{\}, \text{Some}(\text{Mul-Propagate-Black } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Count-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Count } n), \{\}, \text{Some}(\text{Mul-Redirect-Edge } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Redirect-Edge-Count*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Redirect-Edge } j \ n), \{\}, \text{Some}(\text{Mul-Count } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Count-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Count } n), \{\}, \text{Some}(\text{Mul-Color-Target } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Color-Target-Count*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Color-Target } j \ n), \{\}, \text{Some}(\text{Mul-Count } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Append-Redirect-Edge*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Append } n), \{\}, \text{Some}(\text{Mul-Redirect-Edge } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Redirect-Edge-Append*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Redirect-Edge } j \ n), \{\}, \text{Some}(\text{Mul-Append } n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Append-Color-Target*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Append } n), \{\}, \text{Some}(\text{Mul-Color-Target } j \ n))$   
 $\langle \text{proof} \rangle$

**lemma** *Mul-interfree-Color-Target-Append*:  $\llbracket 0 \leq j; j < n \rrbracket \implies$   
 $\text{interfree-aux } (\text{Some}(\text{Mul-Color-Target } j \ n), \{\}, \text{Some}(\text{Mul-Append } n))$   
 $\langle \text{proof} \rangle$

## Interference freedom Collector-Mutator

**lemmas** *mul-collector-mutator-interfree* =  
 $\text{Mul-interfree-Blacken-Roots-Redirect-Edge } \text{Mul-interfree-Blacken-Roots-Color-Target}$   
 $\text{Mul-interfree-Propagate-Black-Redirect-Edge } \text{Mul-interfree-Propagate-Black-Color-Target}$   
 $\text{Mul-interfree-Count-Redirect-Edge } \text{Mul-interfree-Count-Color-Target}$   
 $\text{Mul-interfree-Append-Redirect-Edge } \text{Mul-interfree-Append-Color-Target}$   
 $\text{Mul-interfree-Redirect-Edge-Blacken-Roots } \text{Mul-interfree-Color-Target-Blacken-Roots}$   
 $\text{Mul-interfree-Redirect-Edge-Propagate-Black } \text{Mul-interfree-Color-Target-Propagate-Black}$   
 $\text{Mul-interfree-Redirect-Edge-Count } \text{Mul-interfree-Color-Target-Count}$   
 $\text{Mul-interfree-Redirect-Edge-Append } \text{Mul-interfree-Color-Target-Append}$

**lemma** *Mul-interfree-Collector-Mutator*:  $j < n \implies$   
*interfree-aux* (*Some* (*Mul-Collector*  $n$ ), {}, *Some* (*Mul-Mutator*  $j$   $n$ ))  
 $\langle \text{proof} \rangle$

### Interference freedom Mutator-Collector

**lemma** *Mul-interfree-Mutator-Collector*:  $j < n \implies$   
*interfree-aux* (*Some* (*Mul-Mutator*  $j$   $n$ ), {}, *Some* (*Mul-Collector*  $n$ ))  
 $\langle \text{proof} \rangle$

### The Multi-Mutator Garbage Collection Algorithm

The total number of verification conditions is 328

**lemma** *Mul-Gar-Coll*:  
 $\| - .\{ 'Mul-Proper\ n \wedge 'Mul-mut-init\ n \wedge (\forall i < n. Z\ ('Muts!i)) \}.$   
*COBEGIN*  
*Mul-Collector*  $n$   
 $.\{ False \}.$   
 $\|$   
*SCHEME*  $[0 \leq j < n]$   
*Mul-Mutator*  $j\ n$   
 $.\{ False \}.$   
*COEND*  
 $.\{ False \}.$   
 $\langle \text{proof} \rangle$   
**end**

## Chapter 3

# The Rely-Guarantee Method

### 3.1 Abstract Syntax

**theory** *RG-Com* **imports** *Main* **begin**

Semantics of assertions and boolean expressions (*bexp*) as sets of states.  
Syntax of commands *com* and parallel commands *par-com*.

**types**

*'a bexp* = *'a set*

**datatype** *'a com* =

*Basic 'a*  $\Rightarrow$  *'a*  
| *Seq 'a com 'a com*  
| *Cond 'a bexp 'a com 'a com*  
| *While 'a bexp 'a com*  
| *Await 'a bexp 'a com*

**types** *'a par-com* = ((*'a com*) *option*) *list*

**end**

### 3.2 Operational Semantics

**theory** *RG-Tran*

**imports** *RG-Com*

**begin**

#### 3.2.1 Semantics of Component Programs

**Environment transitions**

**types** *'a conf* = ((*'a com*) *option*)  $\times$  *'a*

**consts** *etran* :: (*'a conf*  $\times$  *'a conf*) *set*

**syntax** *-etran* :: *'a conf*  $\Rightarrow$  *'a conf*  $\Rightarrow$  *bool* (*-* *-e*  $\rightarrow$  *-* [81,81] 80)

**translations**  $P \rightarrow_e Q \iff (P, Q) \in etran$

**inductive** *etran*

**intros**

*Env*:  $(P, s) \rightarrow_e (P, t)$

### Component transitions

**consts** *ctran* ::  $('a \text{ conf} \times 'a \text{ conf}) \text{ set}$

**syntax**

*-ctran* ::  $'a \text{ conf} \Rightarrow 'a \text{ conf} \Rightarrow \text{bool}$   $(- \rightarrow_c - [81, 81] 80)$

*-ctran-\** ::  $'a \text{ conf} \Rightarrow 'a \text{ conf} \Rightarrow \text{bool}$   $(- \rightarrow_{c*} - [81, 81] 80)$

**translations**

$P \rightarrow_c Q \iff (P, Q) \in ctran$

$P \rightarrow_{c*} Q \iff (P, Q) \in ctran^*$

**inductive** *ctran*

**intros**

*Basic*:  $(\text{Some}(\text{Basic } f), s) \rightarrow_c (\text{None}, f s)$

*Seq1*:  $(\text{Some } P0, s) \rightarrow_c (\text{None}, t) \implies (\text{Some}(\text{Seq } P0 P1), s) \rightarrow_c (\text{Some } P1, t)$

*Seq2*:  $(\text{Some } P0, s) \rightarrow_c (\text{Some } P2, t) \implies (\text{Some}(\text{Seq } P0 P1), s) \rightarrow_c (\text{Some}(\text{Seq } P2 P1), t)$

*CondT*:  $s \in b \implies (\text{Some}(\text{Cond } b P1 P2), s) \rightarrow_c (\text{Some } P1, s)$

*CondF*:  $s \notin b \implies (\text{Some}(\text{Cond } b P1 P2), s) \rightarrow_c (\text{Some } P2, s)$

*WhileF*:  $s \notin b \implies (\text{Some}(\text{While } b P), s) \rightarrow_c (\text{None}, s)$

*WhileT*:  $s \in b \implies (\text{Some}(\text{While } b P), s) \rightarrow_c (\text{Some}(\text{Seq } P (\text{While } b P)), s)$

*Await*:  $\llbracket s \in b; (\text{Some } P, s) \rightarrow_{c*} (\text{None}, t) \rrbracket \implies (\text{Some}(\text{Await } b P), s) \rightarrow_c (\text{None}, t)$

**monos** *rtrancl-mono*

### 3.2.2 Semantics of Parallel Programs

**types**  $'a \text{ par-conf} = ('a \text{ par-com}) \times 'a$

**consts**

*par-etran* ::  $('a \text{ par-conf} \times 'a \text{ par-conf}) \text{ set}$

*par-ctran* ::  $('a \text{ par-conf} \times 'a \text{ par-conf}) \text{ set}$

**syntax**

*-par-etran* ::  $[ 'a \text{ par-conf}, 'a \text{ par-conf} ] \Rightarrow \text{bool}$   $(- \rightarrow_{pe} - [81, 81] 80)$

*-par-ctran* ::  $[ 'a \text{ par-conf}, 'a \text{ par-conf} ] \Rightarrow \text{bool}$   $(- \rightarrow_{pc} - [81, 81] 80)$

**translations**

$P \rightarrow_{pe} Q \iff (P, Q) \in \text{par-etran}$

$P \rightarrow_{pc} Q \iff (P, Q) \in \text{par-ctran}$

**inductive** *par-etran*

**intros**

*ParEnv*:  $(Ps, s) -pe \rightarrow (Ps, t)$

**inductive** *par-ctran*

**intros**

*ParComp*:  $\llbracket i < \text{length } Ps; (Ps!i, s) -c \rightarrow (r, t) \rrbracket \implies (Ps, s) -pc \rightarrow (Ps[i:=r], t)$

### 3.2.3 Computations

#### Sequential computations

**types** *'a confs* = (*'a conf*) *list*

**consts** *cptn* :: (*'a confs*) *set*

**inductive** *cptn*

**intros**

*CptnOne*:  $[(P, s)] \in \text{cptn}$

*CptnEnv*:  $(P, t) \# xs \in \text{cptn} \implies (P, s) \# (P, t) \# xs \in \text{cptn}$

*CptnComp*:  $\llbracket (P, s) -c \rightarrow (Q, t); (Q, t) \# xs \in \text{cptn} \rrbracket \implies (P, s) \# (Q, t) \# xs \in \text{cptn}$

**constdefs**

*cp* :: (*'a com*) *option*  $\Rightarrow$  *'a*  $\Rightarrow$  (*'a confs*) *set*

*cp* *P s*  $\equiv \{l. l!0 = (P, s) \wedge l \in \text{cptn}\}$

#### Parallel computations

**types** *'a par-confs* = (*'a par-conf*) *list*

**consts** *par-cptn* :: (*'a par-confs*) *set*

**inductive** *par-cptn*

**intros**

*ParCptnOne*:  $[(P, s)] \in \text{par-cptn}$

*ParCptnEnv*:  $(P, t) \# xs \in \text{par-cptn} \implies (P, s) \# (P, t) \# xs \in \text{par-cptn}$

*ParCptnComp*:  $\llbracket (P, s) -pc \rightarrow (Q, t); (Q, t) \# xs \in \text{par-cptn} \rrbracket \implies (P, s) \# (Q, t) \# xs \in \text{par-cptn}$

**constdefs**

*par-cp* :: (*'a par-com*)  $\Rightarrow$  *'a*  $\Rightarrow$  (*'a par-confs*) *set*

*par-cp* *P s*  $\equiv \{l. l!0 = (P, s) \wedge l \in \text{par-cptn}\}$

### 3.2.4 Modular Definition of Computation

**constdefs**

*lift* :: (*'a com*)  $\Rightarrow$  *'a conf*  $\Rightarrow$  *'a conf*

*lift* *Q*  $\equiv \lambda(P, s). (\text{if } P = \text{None} \text{ then } (\text{Some } Q, s) \text{ else } (\text{Some } (\text{Seq } (\text{the } P) \ Q), s))$

**consts** *cptn-mod* :: (*'a confs*) *set*

**inductive** *cptn-mod*

**intros**

*CptnModOne*:  $[(P, s)] \in \text{cptn-mod}$

*CptnModEnv*:  $(P, t) \# xs \in \text{cptn-mod} \implies (P, s) \# (P, t) \# xs \in \text{cptn-mod}$

$CptnModNone: \llbracket (Some\ P, s) -c\rightarrow (None, t); (None, t)\#xs \in cptn-mod \rrbracket \implies (Some\ P, s)\#(None, t)\#xs \in cptn-mod$   
 $CptnModCondT: \llbracket (Some\ P0, s)\#ys \in cptn-mod; s \in b \rrbracket \implies (Some(Cond\ b\ P0\ P1), s)\#(Some\ P0, s)\#ys \in cptn-mod$   
 $CptnModCondF: \llbracket (Some\ P1, s)\#ys \in cptn-mod; s \notin b \rrbracket \implies (Some(Cond\ b\ P0\ P1), s)\#(Some\ P1, s)\#ys \in cptn-mod$   
 $CptnModSeq1: \llbracket (Some\ P0, s)\#xs \in cptn-mod; zs=map\ (lift\ P1)\ xs \rrbracket \implies (Some(Seq\ P0\ P1), s)\#zs \in cptn-mod$   
 $CptnModSeq2:$   
 $\llbracket (Some\ P0, s)\#xs \in cptn-mod; fst(last\ ((Some\ P0, s)\#xs)) = None;$   
 $(Some\ P1, snd(last\ ((Some\ P0, s)\#xs)))\#ys \in cptn-mod;$   
 $zs=(map\ (lift\ P1)\ xs)@ys \rrbracket \implies (Some(Seq\ P0\ P1), s)\#zs \in cptn-mod$   
 $CptnModWhile1:$   
 $\llbracket (Some\ P, s)\#xs \in cptn-mod; s \in b; zs=map\ (lift\ (While\ b\ P))\ xs \rrbracket \implies (Some(While\ b\ P), s)\#(Some(Seq\ P\ (While\ b\ P)), s)\#zs \in cptn-mod$   
 $CptnModWhile2:$   
 $\llbracket (Some\ P, s)\#xs \in cptn-mod; fst(last\ ((Some\ P, s)\#xs))=None; s \in b;$   
 $zs=(map\ (lift\ (While\ b\ P))\ xs)@ys;$   
 $(Some(While\ b\ P), snd(last\ ((Some\ P, s)\#xs)))\#ys \in cptn-mod \rrbracket \implies (Some(While\ b\ P), s)\#(Some(Seq\ P\ (While\ b\ P)), s)\#zs \in cptn-mod$

### 3.2.5 Equivalence of Both Definitions.

**lemma** *last-length*:  $((a\#xs)!(length\ xs))=last\ (a\#xs)$   
 $\langle proof \rangle$

**lemma** *div-seq [rule-format]*:  $list \in cptn-mod \implies$   
 $(\forall s\ P\ Q\ zs. list=(Some\ (Seq\ P\ Q), s)\#zs \longrightarrow$   
 $(\exists xs. (Some\ P, s)\#xs \in cptn-mod \wedge (zs=(map\ (lift\ Q)\ xs) \vee$   
 $(fst(((Some\ P, s)\#xs)!length\ xs)=None \wedge$   
 $(\exists ys. (Some\ Q, snd(((Some\ P, s)\#xs)!length\ xs))\#ys \in cptn-mod$   
 $\wedge zs=(map\ (lift\ (Q))\ xs)@ys))))$   
 $\langle proof \rangle$

**lemma** *cptn-onlyif-cptn-mod-aux [rule-format]*:  
 $\forall s\ Q\ t\ xs. ((Some\ a, s), Q, t) \in ctran \longrightarrow (Q, t) \# xs \in cptn-mod$   
 $\longrightarrow (Some\ a, s) \# (Q, t) \# xs \in cptn-mod$   
 $\langle proof \rangle$

**lemma** *cptn-onlyif-cptn-mod [rule-format]*:  $c \in cptn \implies c \in cptn-mod$   
 $\langle proof \rangle$

**lemma** *lift-is-cptn*:  $c \in cptn \implies map\ (lift\ P)\ c \in cptn$   
 $\langle proof \rangle$

**lemma** *cptn-append-is-cptn [rule-format]*:  
 $\forall b\ a. b\#c1 \in cptn \longrightarrow a\#c2 \in cptn \longrightarrow (b\#c1)!length\ c1=a \longrightarrow b\#c1@c2 \in cptn$   
 $\langle proof \rangle$



**lemma** *last-lift*:  $\llbracket xs \neq [] \rrbracket; fst(xs!(length\ xs - (Suc\ 0))) = None \rrbracket$   
 $\implies fst((map\ (lift\ P)\ xs)!(length\ (map\ (lift\ P)\ xs) - (Suc\ 0))) = (Some\ P)$   
 $\langle proof \rangle$

**lemma** *last-fst* [rule-format]:  $P((a \# x) ! length\ x) \longrightarrow \neg P\ a \longrightarrow P\ (x!(length\ x - (Suc\ 0)))$   
 $\langle proof \rangle$

**lemma** *last-fst-esp*:  
 $fst(((Some\ a, s) \# xs)!(length\ xs)) = None \implies fst(xs!(length\ xs - (Suc\ 0))) = None$   
 $\langle proof \rangle$

**lemma** *last-snd*:  $xs \neq [] \implies$   
 $snd(((map\ (lift\ P)\ xs)!(length\ (map\ (lift\ P)\ xs) - (Suc\ 0)))) = snd(xs!(length\ xs - (Suc\ 0)))$   
 $\langle proof \rangle$

**lemma** *Cons-lift*:  $(Some\ (Seq\ P\ Q), s) \# (map\ (lift\ Q)\ xs) = map\ (lift\ Q)\ ((Some\ P, s) \# xs)$   
 $\langle proof \rangle$

**lemma** *Cons-lift-append*:  
 $(Some\ (Seq\ P\ Q), s) \# (map\ (lift\ Q)\ xs) @ ys = map\ (lift\ Q)\ ((Some\ P, s) \# xs) @ ys$   
 $\langle proof \rangle$

**lemma** *lift-nth*:  $i < length\ xs \implies map\ (lift\ Q)\ xs ! i = lift\ Q\ (xs ! i)$   
 $\langle proof \rangle$

**lemma** *snd-lift*:  $i < length\ xs \implies snd(lift\ Q\ (xs ! i)) = snd\ (xs ! i)$   
 $\langle proof \rangle$

**lemma** *cptn-if-cptn-mod*:  $c \in cptn\text{-}mod \implies c \in cptn$   
 $\langle proof \rangle$

**theorem** *cptn-iff-cptn-mod*:  $(c \in cptn) = (c \in cptn\text{-}mod)$   
 $\langle proof \rangle$

### 3.3 Validity of Correctness Formulas

#### 3.3.1 Validity for Component Programs.

**types**  $'a\ rgformula = 'a\ com \times 'a\ set \times ('a \times 'a)\ set \times ('a \times 'a)\ set \times 'a\ set$

**constdefs**

$assum :: ('a\ set \times ('a \times 'a)\ set) \Rightarrow ('a\ confs)\ set$   
 $assum \equiv \lambda(pre, rely). \{c. snd(c!0) \in pre \wedge (\forall i. Suc\ i < length\ c \longrightarrow c!i \rightarrow e \rightarrow c!(Suc\ i) \longrightarrow (snd(c!i), snd(c!Suc\ i)) \in rely)\}$

$comm :: (('a \times 'a) \text{ set} \times 'a \text{ set}) \Rightarrow ('a \text{ confs}) \text{ set}$   
 $comm \equiv \lambda(guar, post). \{c. (\forall i. Suc\ i < length\ c \longrightarrow$   
 $\quad c!i - c \rightarrow c!(Suc\ i) \longrightarrow (snd(c!i), snd(c!Suc\ i)) \in guar) \wedge$   
 $\quad (fst\ (last\ c) = None \longrightarrow snd\ (last\ c) \in post)\}$   
 $com\text{-}validity :: 'a\ com \Rightarrow 'a\ set \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow 'a\ set \Rightarrow bool$   
 $(\models - \text{ sat } [-, -, -, -] [60, 0, 0, 0, 0] \ 45)$   
 $\models P \text{ sat } [pre, rely, guar, post] \equiv$   
 $\forall s. cp\ (Some\ P)\ s \cap assum(pre, rely) \subseteq comm(guar, post)$

### 3.3.2 Validity for Parallel Programs.

#### constdefs

$All\text{-}None :: (('a\ com)\ option)\ list \Rightarrow bool$   
 $All\text{-}None\ xs \equiv \forall c \in set\ xs. c = None$   
 $par\text{-}assum :: ('a\ set \times ('a \times 'a) \text{ set}) \Rightarrow ('a\ par\text{-}confs)\ set$   
 $par\text{-}assum \equiv \lambda(pre, rely). \{c. snd(c!0) \in pre \wedge (\forall i. Suc\ i < length\ c \longrightarrow$   
 $\quad c!i - pc \rightarrow c!Suc\ i \longrightarrow (snd(c!i), snd(c!Suc\ i)) \in rely)\}$   
 $par\text{-}comm :: (('a \times 'a) \text{ set} \times 'a \text{ set}) \Rightarrow ('a\ par\text{-}confs)\ set$   
 $par\text{-}comm \equiv \lambda(guar, post). \{c. (\forall i. Suc\ i < length\ c \longrightarrow$   
 $\quad c!i - pc \rightarrow c!Suc\ i \longrightarrow (snd(c!i), snd(c!Suc\ i)) \in guar) \wedge$   
 $\quad (All\text{-}None\ (fst\ (last\ c)) \longrightarrow snd\ (last\ c) \in post)\}$   
 $par\text{-}com\text{-}validity :: 'a\ par\text{-}com \Rightarrow 'a\ set \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set}$   
 $\Rightarrow 'a\ set \Rightarrow bool\ (\models - \text{ SAT } [-, -, -, -] [60, 0, 0, 0, 0] \ 45)$   
 $\models Ps \text{ SAT } [pre, rely, guar, post] \equiv$   
 $\forall s. par\text{-}cp\ Ps\ s \cap par\text{-}assum(pre, rely) \subseteq par\text{-}comm(guar, post)$

### 3.3.3 Compositionality of the Semantics

#### Definition of the conjoin operator

#### constdefs

$same\text{-}length :: 'a\ par\text{-}confs \Rightarrow ('a\ confs)\ list \Rightarrow bool$   
 $same\text{-}length\ c\ clist \equiv (\forall i < length\ clist. length(clist!i) = length\ c)$   
 $same\text{-}state :: 'a\ par\text{-}confs \Rightarrow ('a\ confs)\ list \Rightarrow bool$   
 $same\text{-}state\ c\ clist \equiv (\forall i < length\ clist. \forall j < length\ c. snd(c!j) = snd((clist!i)!j))$   
 $same\text{-}program :: 'a\ par\text{-}confs \Rightarrow ('a\ confs)\ list \Rightarrow bool$   
 $same\text{-}program\ c\ clist \equiv (\forall j < length\ c. fst(c!j) = map\ (\lambda x. fst(nth\ x\ j))\ clist)$   
 $compat\text{-}label :: 'a\ par\text{-}confs \Rightarrow ('a\ confs)\ list \Rightarrow bool$   
 $compat\text{-}label\ c\ clist \equiv (\forall j. Suc\ j < length\ c \longrightarrow$   
 $\quad (c!j - pc \rightarrow c!Suc\ j \wedge (\exists i < length\ clist. (clist!i)!j - c \rightarrow (clist!i)!Suc\ j \wedge$   
 $\quad (\forall l < length\ clist. l \neq i \longrightarrow (clist!l)!j - e \rightarrow (clist!l)!Suc\ j))) \vee$

$$(c!j -pe \rightarrow c!Suc\ j \wedge (\forall i < length\ clist. (clist!i)!j -e \rightarrow (clist!i)! Suc\ j)))$$

$conjoin :: 'a\ par-confs \Rightarrow ('a\ confs)\ list \Rightarrow bool\ (- \propto - [65,65]\ 64)$   
 $c \propto clist \equiv (same-length\ c\ clist) \wedge (same-state\ c\ clist) \wedge (same-program\ c\ clist)$   
 $\wedge (compat-label\ c\ clist)$

### Some previous lemmas

**lemma** *list-eq-if* [rule-format]:

$\forall ys. xs=ys \longrightarrow (length\ xs = length\ ys) \longrightarrow (\forall i < length\ xs. xs!i=ys!i)$   
 <proof>

**lemma** *list-eq*:  $(length\ xs = length\ ys \wedge (\forall i < length\ xs. xs!i=ys!i)) = (xs=ys)$   
 <proof>

**lemma** *nth-tl*:  $\llbracket ys!0=a; ys \neq [] \rrbracket \Longrightarrow ys=(a\#(tl\ ys))$   
 <proof>

**lemma** *nth-tl-if* [rule-format]:  $ys \neq [] \longrightarrow ys!0=a \longrightarrow P\ ys \longrightarrow P\ (a\#(tl\ ys))$   
 <proof>

**lemma** *nth-tl-onlyif* [rule-format]:  $ys \neq [] \longrightarrow ys!0=a \longrightarrow P\ (a\#(tl\ ys)) \longrightarrow P\ ys$   
 <proof>

**lemma** *seq-not-eq1*:  $Seq\ c1\ c2 \neq c1$   
 <proof>

**lemma** *seq-not-eq2*:  $Seq\ c1\ c2 \neq c2$   
 <proof>

**lemma** *if-not-eq1*:  $Cond\ b\ c1\ c2 \neq c1$   
 <proof>

**lemma** *if-not-eq2*:  $Cond\ b\ c1\ c2 \neq c2$   
 <proof>

**lemmas** *seq-and-if-not-eq* [simp] = *seq-not-eq1 seq-not-eq2*  
*seq-not-eq1* [THEN not-sym] *seq-not-eq2* [THEN not-sym]  
*if-not-eq1 if-not-eq2 if-not-eq1* [THEN not-sym] *if-not-eq2* [THEN not-sym]

**lemma** *prog-not-eq-in-ctran-aux* [rule-format]:  $(P,s) -c \rightarrow (Q,t) \Longrightarrow (P \neq Q)$   
 <proof>

**lemma** *prog-not-eq-in-ctran* [simp]:  $\neg (P,s) -c \rightarrow (P,t)$   
 <proof>

**lemma** *prog-not-eq-in-par-ctran-aux* [rule-format]:  $(P,s) -pc \rightarrow (Q,t) \Longrightarrow (P \neq Q)$   
 <proof>

**lemma** *prog-not-eq-in-par-ctran* [simp]:  $\neg (P, s) -pc \rightarrow (P, t)$   
 $\langle proof \rangle$

**lemma** *tl-in-cptn*:  $\llbracket a \# xs \in cptn; xs \neq [] \rrbracket \implies xs \in cptn$   
 $\langle proof \rangle$

**lemma** *tl-zero*[rule-format]:  
 $P (ys!Suc\ j) \longrightarrow Suc\ j < length\ ys \longrightarrow ys \neq [] \longrightarrow P (tl(ys)!j)$   
 $\langle proof \rangle$

### 3.3.4 The Semantics is Compositional

**lemma** *aux-if* [rule-format]:  
 $\forall xs\ s\ clist. (length\ clist = length\ xs \wedge (\forall i < length\ xs. (xs!i, s) \# clist!i \in cptn))$   
 $\wedge ((xs, s) \# ys \propto map\ (\lambda i. (fst\ i, s) \# snd\ i))\ (zip\ xs\ clist))$   
 $\longrightarrow (xs, s) \# ys \in par-cptn$   
 $\langle proof \rangle$

**lemma** *less-Suc-0* [iff]:  $(n < Suc\ 0) = (n = 0)$   
 $\langle proof \rangle$

**lemma** *aux-onlyif* [rule-format]:  $\forall xs\ s. (xs, s) \# ys \in par-cptn \longrightarrow$   
 $(\exists clist. (length\ clist = length\ xs) \wedge$   
 $(xs, s) \# ys \propto map\ (\lambda i. (fst\ i, s) \# (snd\ i))\ (zip\ xs\ clist)) \wedge$   
 $(\forall i < length\ xs. (xs!i, s) \# (clist!i) \in cptn))$   
 $\langle proof \rangle$

**lemma** *one-iff-aux*:  $xs \neq [] \implies (\forall ys. ((xs, s) \# ys \in par-cptn) =$   
 $(\exists clist. length\ clist = length\ xs \wedge$   
 $((xs, s) \# ys \propto map\ (\lambda i. (fst\ i, s) \# (snd\ i))\ (zip\ xs\ clist)) \wedge$   
 $(\forall i < length\ xs. (xs!i, s) \# (clist!i) \in cptn))) =$   
 $(par-cp\ (xs)\ s = \{c. \exists clist. (length\ clist) = (length\ xs) \wedge$   
 $(\forall i < length\ clist. (clist!i) \in cp(xs!i)\ s) \wedge c \propto clist\})$   
 $\langle proof \rangle$

**theorem** *one*:  $xs \neq [] \implies$   
 $par-cp\ xs\ s = \{c. \exists clist. (length\ clist) = (length\ xs) \wedge$   
 $(\forall i < length\ clist. (clist!i) \in cp(xs!i)\ s) \wedge c \propto clist\}$   
 $\langle proof \rangle$

**end**

## 3.4 The Proof System

**theory** *RG-Hoare* **imports** *RG-Tran* **begin**

### 3.4.1 Proof System for Component Programs

**declare** *Un-subset-iff* [*iff del*]

**constdefs**

*stable* :: 'a set  $\Rightarrow$  ('a  $\times$  'a) set  $\Rightarrow$  bool  
*stable*  $\equiv \lambda f g. (\forall x y. x \in f \longrightarrow (x, y) \in g \longrightarrow y \in f)$

**consts** *rghoare* :: ('a rgformula) set

**syntax**

*-rghoare* :: ['a com, 'a set, ('a  $\times$  'a) set, ('a  $\times$  'a) set, 'a set]  $\Rightarrow$  bool  
 $(\vdash - \text{sat } [-, -, -, -] [60, 0, 0, 0, 0] \ 45)$

**translations**

$\vdash P \text{ sat } [pre, rely, guar, post] \Leftrightarrow (P, pre, rely, guar, post) \in \text{rghoare}$

**inductive** *rghoare*

**intros**

*Basic*:  $\llbracket pre \subseteq \{s. f \ s \in post\}; \{(s, t). s \in pre \wedge (t = f \ s \vee t = s)\} \subseteq guar; \text{stable } pre \text{ rely}; \text{stable } post \text{ rely} \rrbracket$   
 $\Rightarrow \vdash \text{Basic } f \text{ sat } [pre, rely, guar, post]$

*Seq*:  $\llbracket \vdash P \text{ sat } [pre, rely, guar, mid]; \vdash Q \text{ sat } [mid, rely, guar, post] \rrbracket$   
 $\Rightarrow \vdash \text{Seq } P \ Q \text{ sat } [pre, rely, guar, post]$

*Cond*:  $\llbracket \text{stable } pre \text{ rely}; \vdash P1 \text{ sat } [pre \cap b, rely, guar, post]; \vdash P2 \text{ sat } [pre \cap \neg b, rely, guar, post]; \forall s. (s, s) \in guar \rrbracket$   
 $\Rightarrow \vdash \text{Cond } b \ P1 \ P2 \text{ sat } [pre, rely, guar, post]$

*While*:  $\llbracket \text{stable } pre \text{ rely}; (pre \cap \neg b) \subseteq post; \text{stable } post \text{ rely}; \vdash P \text{ sat } [pre \cap b, rely, guar, pre]; \forall s. (s, s) \in guar \rrbracket$   
 $\Rightarrow \vdash \text{While } b \ P \text{ sat } [pre, rely, guar, post]$

*Await*:  $\llbracket \text{stable } pre \text{ rely}; \text{stable } post \text{ rely}; \forall V. \vdash P \text{ sat } [pre \cap b \cap \{V\}, \{(s, t). s = t\}, UNIV, \{s. (V, s) \in guar\} \cap post] \rrbracket$   
 $\Rightarrow \vdash \text{Await } b \ P \text{ sat } [pre, rely, guar, post]$

*Conseq*:  $\llbracket pre \subseteq pre'; rely \subseteq rely'; guar' \subseteq guar; post' \subseteq post; \vdash P \text{ sat } [pre', rely', guar', post'] \rrbracket$   
 $\Rightarrow \vdash P \text{ sat } [pre, rely, guar, post]$

**constdefs**

*Pre* :: 'a rgformula  $\Rightarrow$  'a set  
*Pre*  $x \equiv \text{fst}(\text{snd } x)$   
*Post* :: 'a rgformula  $\Rightarrow$  'a set  
*Post*  $x \equiv \text{snd}(\text{snd}(\text{snd}(\text{snd } x)))$   
*Rely* :: 'a rgformula  $\Rightarrow$  ('a  $\times$  'a) set  
*Rely*  $x \equiv \text{fst}(\text{snd}(\text{snd } x))$   
*Guar* :: 'a rgformula  $\Rightarrow$  ('a  $\times$  'a) set  
*Guar*  $x \equiv \text{fst}(\text{snd}(\text{snd}(\text{snd } x)))$

$Com :: 'a \text{ rgformula} \Rightarrow 'a \text{ com}$   
 $Com \ x \equiv fst \ x$

### 3.4.2 Proof System for Parallel Programs

**types**  $'a \text{ par-rgformula} = ('a \text{ rgformula}) \text{ list} \times 'a \text{ set} \times ('a \times 'a) \text{ set} \times ('a \times 'a) \text{ set} \times 'a \text{ set}$

**consts**  $\text{par-rghoare} :: ('a \text{ par-rgformula}) \text{ set}$

**syntax**

$\text{-par-rghoare} :: ('a \text{ rgformula}) \text{ list} \Rightarrow 'a \text{ set} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow ('a \times 'a) \text{ set} \Rightarrow 'a \text{ set} \Rightarrow \text{bool}$   
 $(\vdash \text{- SAT } [-, -, -, -] [60, 0, 0, 0, 0] \ 45)$

**translations**

$\vdash Ps \text{ SAT } [pre, rely, guar, post] \Leftrightarrow (Ps, pre, rely, guar, post) \in \text{par-rghoare}$

**inductive**  $\text{par-rghoare}$

**intros**

*Parallel:*

$$\begin{aligned} & \llbracket \forall i < \text{length } xs. \text{ rely} \cup (\bigcup_{j \in \{j. j < \text{length } xs \wedge j \neq i\}}. \text{Guar}(xs!j)) \subseteq \text{Rely}(xs!i); \\ & \quad (\bigcup_{j \in \{j. j < \text{length } xs\}}. \text{Guar}(xs!j)) \subseteq \text{guar}; \\ & \quad pre \subseteq (\bigcap_{i \in \{i. i < \text{length } xs\}}. \text{Pre}(xs!i)); \\ & \quad (\bigcap_{i \in \{i. i < \text{length } xs\}}. \text{Post}(xs!i)) \subseteq \text{post}; \\ & \quad \forall i < \text{length } xs. \vdash Com(xs!i) \text{ sat } [\text{Pre}(xs!i), \text{Rely}(xs!i), \text{Guar}(xs!i), \text{Post}(xs!i)] \rrbracket \\ & \implies \vdash xs \text{ SAT } [pre, rely, guar, post] \end{aligned}$$

## 3.5 Soundness

**Some previous lemmas**

**lemma**  $tl\text{-of-assum-in-assum}$ :

$(P, s) \# (P, t) \# xs \in \text{assum } (pre, rely) \implies \text{stable } pre \text{ rely}$   
 $\implies (P, t) \# xs \in \text{assum } (pre, rely)$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{etran-in-comm}$ :

$(P, t) \# xs \in \text{comm}(\text{guar}, \text{post}) \implies (P, s) \# (P, t) \# xs \in \text{comm}(\text{guar}, \text{post})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{ctran-in-comm}$ :

$\llbracket (s, s) \in \text{guar}; (Q, s) \# xs \in \text{comm}(\text{guar}, \text{post}) \rrbracket$   
 $\implies (P, s) \# (Q, s) \# xs \in \text{comm}(\text{guar}, \text{post})$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{takecptn-is-cptn}$   $[\text{rule-format}, \text{elim!}]$ :

$\forall j. c \in \text{cptn} \longrightarrow \text{take } (Suc \ j) \ c \in \text{cptn}$   
 $\langle \text{proof} \rangle$

**lemma**  $\text{dropcptn-is-cptn}$   $[\text{rule-format}, \text{elim!}]$ :

$\forall j < \text{length } c. c \in \text{cptn} \longrightarrow \text{drop } j \ c \in \text{cptn}$

$\langle \text{proof} \rangle$

**lemma** *takepar-cptn-is-par-cptn* [rule-format,elim]:

$\forall j. c \in \text{par-cptn} \longrightarrow \text{take } (Suc\ j) \ c \in \text{par-cptn}$

$\langle \text{proof} \rangle$

**lemma** *droppar-cptn-is-par-cptn* [rule-format]:

$\forall j < \text{length } c. c \in \text{par-cptn} \longrightarrow \text{drop } j \ c \in \text{par-cptn}$

$\langle \text{proof} \rangle$

**lemma** *tl-of-cptn-is-cptn*:  $\llbracket x \# xs \in \text{cptn}; xs \neq [] \rrbracket \Longrightarrow xs \in \text{cptn}$

$\langle \text{proof} \rangle$

**lemma** *not-ctran-None* [rule-format]:

$\forall s. (None, s) \# xs \in \text{cptn} \longrightarrow (\forall i < \text{length } xs. ((None, s) \# xs)!i \text{ --} e \longrightarrow xs!i)$

$\langle \text{proof} \rangle$

**lemma** *cptn-not-empty* [simp]:  $[] \notin \text{cptn}$

$\langle \text{proof} \rangle$

**lemma** *etran-or-ctran* [rule-format]:

$\forall m\ i. x \in \text{cptn} \longrightarrow m \leq \text{length } x$

$\longrightarrow (\forall i. Suc\ i < m \longrightarrow \neg x!i \text{ --} c \longrightarrow x!Suc\ i) \longrightarrow Suc\ i < m$

$\longrightarrow x!i \text{ --} e \longrightarrow x!Suc\ i$

$\langle \text{proof} \rangle$

**lemma** *etran-or-ctran2* [rule-format]:

$\forall i. Suc\ i < \text{length } x \longrightarrow x \in \text{cptn} \longrightarrow (x!i \text{ --} c \longrightarrow x!Suc\ i \longrightarrow \neg x!i \text{ --} e \longrightarrow x!Suc\ i)$

$\vee (x!i \text{ --} e \longrightarrow x!Suc\ i \longrightarrow \neg x!i \text{ --} c \longrightarrow x!Suc\ i)$

$\langle \text{proof} \rangle$

**lemma** *etran-or-ctran2-disjI1*:

$\llbracket x \in \text{cptn}; Suc\ i < \text{length } x; x!i \text{ --} c \longrightarrow x!Suc\ i \rrbracket \Longrightarrow \neg x!i \text{ --} e \longrightarrow x!Suc\ i$

$\langle \text{proof} \rangle$

**lemma** *etran-or-ctran2-disjI2*:

$\llbracket x \in \text{cptn}; Suc\ i < \text{length } x; x!i \text{ --} e \longrightarrow x!Suc\ i \rrbracket \Longrightarrow \neg x!i \text{ --} c \longrightarrow x!Suc\ i$

$\langle \text{proof} \rangle$

**lemma** *not-ctran-None2* [rule-format]:

$\llbracket (None, s) \# xs \in \text{cptn}; i < \text{length } xs \rrbracket \Longrightarrow \neg ((None, s) \# xs)!i \text{ --} c \longrightarrow xs!i$

$\langle \text{proof} \rangle$

**lemma** *Ex-first-occurrence* [rule-format]:  $P\ (n::nat) \longrightarrow (\exists m. P\ m \wedge (\forall i < m. \neg P\ i))$

$\langle \text{proof} \rangle$

**lemma** *stability* [rule-format]:

$\forall j\ k. x \in \text{cptn} \longrightarrow \text{stable } p\ \text{rely} \longrightarrow j \leq k \longrightarrow k < \text{length } x \longrightarrow \text{snd}(x!j) \in p \longrightarrow$

$(\forall i. (Suc\ i) < length\ x \longrightarrow$   
 $(x!i -e\rightarrow x!(Suc\ i)) \longrightarrow (snd(x!i), snd(x!(Suc\ i))) \in rely) \longrightarrow$   
 $(\forall i. j \leq i \wedge i < k \longrightarrow x!i -e\rightarrow x!Suc\ i) \longrightarrow snd(x!k) \in p \wedge fst(x!j) = fst(x!k)$   
 $\langle proof \rangle$

### 3.5.1 Soundness of the System for Component Programs

#### Soundness of the Basic rule

**lemma** *unique-ctran-Basic* [rule-format]:

$\forall s\ i. x \in cptn \longrightarrow x!0 = (Some\ (Basic\ f), s) \longrightarrow$   
 $Suc\ i < length\ x \longrightarrow x!i -c\rightarrow x!Suc\ i \longrightarrow$   
 $(\forall j. Suc\ j < length\ x \longrightarrow i \neq j \longrightarrow x!j -e\rightarrow x!Suc\ j)$   
 $\langle proof \rangle$

**lemma** *exists-ctran-Basic-None* [rule-format]:

$\forall s\ i. x \in cptn \longrightarrow x!0 = (Some\ (Basic\ f), s)$   
 $\longrightarrow i < length\ x \longrightarrow fst(x!i) = None \longrightarrow (\exists j < i. x!j -c\rightarrow x!Suc\ j)$   
 $\langle proof \rangle$

**lemma** *Basic-sound*:

$\llbracket pre \subseteq \{s. f\ s \in post\}; \{(s, t). s \in pre \wedge t = f\ s\} \subseteq guar;$   
 $stable\ pre\ rely; stable\ post\ rely \rrbracket$   
 $\implies \models Basic\ f\ sat\ [pre, rely, guar, post]$   
 $\langle proof \rangle$

#### Soundness of the Await rule

**lemma** *unique-ctran-Await* [rule-format]:

$\forall s\ i. x \in cptn \longrightarrow x!0 = (Some\ (Await\ b\ c), s) \longrightarrow$   
 $Suc\ i < length\ x \longrightarrow x!i -c\rightarrow x!Suc\ i \longrightarrow$   
 $(\forall j. Suc\ j < length\ x \longrightarrow i \neq j \longrightarrow x!j -e\rightarrow x!Suc\ j)$   
 $\langle proof \rangle$

**lemma** *exists-ctran-Await-None* [rule-format]:

$\forall s\ i. x \in cptn \longrightarrow x!0 = (Some\ (Await\ b\ c), s)$   
 $\longrightarrow i < length\ x \longrightarrow fst(x!i) = None \longrightarrow (\exists j < i. x!j -c\rightarrow x!Suc\ j)$   
 $\langle proof \rangle$

**lemma** *Star-imp-cptn*:

$(P, s) -c*\rightarrow (R, t) \implies \exists l \in cp\ P\ s. (last\ l) = (R, t)$   
 $\wedge (\forall i. Suc\ i < length\ l \longrightarrow l!i -c\rightarrow l!Suc\ i)$   
 $\langle proof \rangle$

**lemma** *Await-sound*:

$\llbracket stable\ pre\ rely; stable\ post\ rely;$   
 $\forall V. \vdash P\ sat\ [pre \cap b \cap \{s. s = V\}, \{(s, t). s = t\},$   
 $UNIV, \{s. (V, s) \in guar\} \cap post] \wedge$   
 $\vdash P\ sat\ [pre \cap b \cap \{s. s = V\}, \{(s, t). s = t\},$   
 $UNIV, \{s. (V, s) \in guar\} \cap post] \rrbracket$



$\Rightarrow \models \text{Await } b \ P \text{ sat } [pre, rely, guar, post]$   
 $\langle \text{proof} \rangle$

### Soundness of the Conditional rule

**lemma** *Cond-sound*:

$\llbracket \text{stable } pre \text{ rely}; \models P1 \text{ sat } [pre \cap b, rely, guar, post];$   
 $\models P2 \text{ sat } [pre \cap \neg b, rely, guar, post]; \forall s. (s, s) \in guar \rrbracket$   
 $\Rightarrow \models (\text{Cond } b \ P1 \ P2) \text{ sat } [pre, rely, guar, post]$   
 $\langle \text{proof} \rangle$

### Soundness of the Sequential rule

**inductive-cases** *Seq-cases* [*elim!*]:  $(\text{Some } (\text{Seq } P \ Q), s) \rightarrow c \rightarrow t$

**lemma** *last-lift-not-None*:  $\text{fst } ((\text{lift } Q) ((x \# xs)!(\text{length } xs))) \neq \text{None}$   
 $\langle \text{proof} \rangle$

**declare** *map-eq-Cons-conv* [*simp del*] *Cons-eq-map-conv* [*simp del*]

**lemma** *Seq-sound1* [*rule-format*]:

$x \in \text{cptn-mod} \Rightarrow \forall s \ P. x \neq (\text{Some } (\text{Seq } P \ Q), s) \longrightarrow$   
 $(\forall i < \text{length } x. \text{fst}(x!i) \neq \text{Some } Q) \longrightarrow$   
 $(\exists xs \in \text{cp } (\text{Some } P) \ s. x = \text{map } (\text{lift } Q) \ xs)$   
 $\langle \text{proof} \rangle$

**declare** *map-eq-Cons-conv* [*simp del*] *Cons-eq-map-conv* [*simp del*]

**lemma** *Seq-sound2* [*rule-format*]:

$x \in \text{cptn} \Rightarrow \forall s \ P \ i. x \neq (\text{Some } (\text{Seq } P \ Q), s) \longrightarrow i < \text{length } x$   
 $\longrightarrow \text{fst}(x!i) = \text{Some } Q \longrightarrow$   
 $(\forall j < i. \text{fst}(x!j) \neq (\text{Some } Q)) \longrightarrow$   
 $(\exists xs \ ys. xs \in \text{cp } (\text{Some } P) \ s \wedge \text{length } xs = \text{Suc } i$   
 $\wedge ys \in \text{cp } (\text{Some } Q) \ (\text{snd}(xs \ !i)) \wedge x = (\text{map } (\text{lift } Q) \ xs) @ \text{tl } ys)$   
 $\langle \text{proof} \rangle$

**lemma** *last-lift-not-None2*:  $\text{fst } ((\text{lift } Q) (\text{last } (x \# xs))) \neq \text{None}$   
 $\langle \text{proof} \rangle$

**lemma** *Seq-sound*:

$\llbracket \models P \text{ sat } [pre, rely, guar, mid]; \models Q \text{ sat } [mid, rely, guar, post] \rrbracket$   
 $\Rightarrow \models \text{Seq } P \ Q \text{ sat } [pre, rely, guar, post]$   
 $\langle \text{proof} \rangle$

### Soundness of the While rule

**lemma** *last-append* [*rule-format*]:

$\forall xs. ys \neq [] \longrightarrow ((xs @ ys)!(\text{length } (xs @ ys) - (\text{Suc } 0))) = (ys!(\text{length } ys - (\text{Suc } 0)))$   
 $\langle \text{proof} \rangle$

**lemma** *assum-after-body*:

$\llbracket \models P \text{ sat } [pre \cap b, \text{ rely}, \text{ guar}, pre];$   
 $(\text{Some } P, s) \# xs \in \text{cptn-mod}; \text{fst } (\text{last } ((\text{Some } P, s) \# xs)) = \text{None}; s \in b;$   
 $(\text{Some } (\text{While } b P), s) \# (\text{Some } (\text{Seq } P (\text{While } b P)), s) \#$   
 $\text{map } (\text{lift } (\text{While } b P)) xs @ ys \in \text{assum } (pre, \text{ rely}) \rrbracket$   
 $\implies (\text{Some } (\text{While } b P), \text{snd } (\text{last } ((\text{Some } P, s) \# xs))) \# ys \in \text{assum } (pre, \text{ rely})$   
 $\langle \text{proof} \rangle$

**lemma** *While-sound-aux* [rule-format]:

$\llbracket pre \cap - b \subseteq post; \models P \text{ sat } [pre \cap b, \text{ rely}, \text{ guar}, pre]; \forall s. (s, s) \in \text{ guar};$   
 $\text{stable } pre \text{ rely}; \text{ stable } post \text{ rely}; x \in \text{cptn-mod} \rrbracket$   
 $\implies \forall s xs. x = (\text{Some } (\text{While } b P), s) \# xs \longrightarrow x \in \text{assum } (pre, \text{ rely}) \longrightarrow x \in \text{comm}$   
 $(\text{ guar}, \text{ post})$   
 $\langle \text{proof} \rangle$

**lemma** *While-sound*:

$\llbracket \text{stable } pre \text{ rely}; pre \cap - b \subseteq post; \text{ stable } post \text{ rely};$   
 $\models P \text{ sat } [pre \cap b, \text{ rely}, \text{ guar}, pre]; \forall s. (s, s) \in \text{ guar} \rrbracket$   
 $\implies \models \text{While } b P \text{ sat } [pre, \text{ rely}, \text{ guar}, post]$   
 $\langle \text{proof} \rangle$

## Soundness of the Rule of Consequence

**lemma** *Conseq-sound*:

$\llbracket pre \subseteq pre'; \text{ rely} \subseteq \text{ rely}'; \text{ guar}' \subseteq \text{ guar}; \text{ post}' \subseteq \text{ post};$   
 $\models P \text{ sat } [pre', \text{ rely}', \text{ guar}', \text{ post}'] \rrbracket$   
 $\implies \models P \text{ sat } [pre, \text{ rely}, \text{ guar}, \text{ post}]$   
 $\langle \text{proof} \rangle$

## Soundness of the system for sequential component programs

**theorem** *rgsound*:

$\vdash P \text{ sat } [pre, \text{ rely}, \text{ guar}, \text{ post}] \implies \models P \text{ sat } [pre, \text{ rely}, \text{ guar}, \text{ post}]$   
 $\langle \text{proof} \rangle$

### 3.5.2 Soundness of the System for Parallel Programs

**constdefs**

$\text{ParallelCom} :: ('a \text{ rgformula}) \text{ list} \Rightarrow 'a \text{ par-com}$   
 $\text{ParallelCom } Ps \equiv \text{map } (\text{Some} \circ \text{fst}) Ps$

**lemma** *two*:

$\llbracket \forall i < \text{length } xs. \text{ rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{ Guar } (xs ! j))$   
 $\subseteq \text{ Rely } (xs ! i);$   
 $pre \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{ Pre } (xs ! i));$   
 $\forall i < \text{length } xs.$   
 $\models \text{Com } (xs ! i) \text{ sat } [\text{Pre } (xs ! i), \text{ Rely } (xs ! i), \text{ Guar } (xs ! i), \text{ Post } (xs ! i)];$   
 $\text{length } xs = \text{length } \text{clist}; x \in \text{par-cp } (\text{ParallelCom } xs) s; x \in \text{par-assum } (pre, \text{ rely});$   
 $\forall i < \text{length } \text{clist}. \text{clist} ! i \in \text{cp } (\text{Some } (\text{Com } (xs ! i))) s; x \propto \text{clist} \rrbracket$   
 $\implies \forall j i. i < \text{length } \text{clist} \wedge \text{Suc } j < \text{length } x \longrightarrow (\text{clist} ! i ! j) -c \longrightarrow (\text{clist} ! i ! \text{Suc } j)$   
 $\longrightarrow (\text{snd } (\text{clist} ! i ! j), \text{snd } (\text{clist} ! i ! \text{Suc } j)) \in \text{ Guar } (xs ! i)$

$\langle \text{proof} \rangle$

**lemma three** [rule-format]:

$$\begin{aligned} & \llbracket xs \neq []; \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar } (xs ! j)) \\ & \subseteq \text{Rely } (xs ! i); \\ & \text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre } (xs ! i)); \\ & \forall i < \text{length } xs. \\ & \quad \models \text{Com } (xs ! i) \text{ sat } [\text{Pre } (xs ! i), \text{Rely } (xs ! i), \text{Guar } (xs ! i), \text{Post } (xs ! i)]; \\ & \quad \text{length } xs = \text{length } \text{clist}; x \in \text{par-cp } (\text{ParallelCom } xs) \text{ } s; x \in \text{par-assum } (\text{pre}, \text{rely}); \\ & \quad \forall i < \text{length } \text{clist}. \text{clist} ! i \in \text{cp } (\text{Some}(\text{Com}(xs ! i))) \text{ } s; x \propto \text{clist} \rrbracket \\ & \implies \forall j \text{ } i. i < \text{length } \text{clist} \wedge \text{Suc } j < \text{length } x \longrightarrow (\text{clist} ! i ! j) -e \rightarrow (\text{clist} ! i ! \text{Suc } j) \\ & \longrightarrow (\text{snd}(\text{clist} ! i ! j), \text{snd}(\text{clist} ! i ! \text{Suc } j)) \in \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \\ & \text{Guar } (xs ! j)) \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma four**:

$$\begin{aligned} & \llbracket xs \neq []; \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar } (xs ! j)) \\ & \subseteq \text{Rely } (xs ! i); \\ & (\bigcup j \in \{j. j < \text{length } xs\}. \text{Guar } (xs ! j)) \subseteq \text{guar}; \\ & \text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre } (xs ! i)); \\ & \forall i < \text{length } xs. \\ & \quad \models \text{Com } (xs ! i) \text{ sat } [\text{Pre } (xs ! i), \text{Rely } (xs ! i), \text{Guar } (xs ! i), \text{Post } (xs ! i)]; \\ & \quad x \in \text{par-cp } (\text{ParallelCom } xs) \text{ } s; x \in \text{par-assum } (\text{pre}, \text{rely}); \text{Suc } i < \text{length } x; \\ & \quad x ! i -pc \rightarrow x ! \text{Suc } i \rrbracket \\ & \implies (\text{snd } (x ! i), \text{snd } (x ! \text{Suc } i)) \in \text{guar} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma parcptn-not-empty** [simp]:  $[] \notin \text{par-cptn}$

$\langle \text{proof} \rangle$

**lemma five**:

$$\begin{aligned} & \llbracket xs \neq []; \forall i < \text{length } xs. \text{rely} \cup (\bigcup j \in \{j. j < \text{length } xs \wedge j \neq i\}. \text{Guar } (xs ! j)) \\ & \subseteq \text{Rely } (xs ! i); \\ & \text{pre} \subseteq (\bigcap i \in \{i. i < \text{length } xs\}. \text{Pre } (xs ! i)); \\ & (\bigcap i \in \{i. i < \text{length } xs\}. \text{Post } (xs ! i)) \subseteq \text{post}; \\ & \forall i < \text{length } xs. \\ & \quad \models \text{Com } (xs ! i) \text{ sat } [\text{Pre } (xs ! i), \text{Rely } (xs ! i), \text{Guar } (xs ! i), \text{Post } (xs ! i)]; \\ & \quad x \in \text{par-cp } (\text{ParallelCom } xs) \text{ } s; x \in \text{par-assum } (\text{pre}, \text{rely}); \\ & \quad \text{All-None } (\text{fst } (\text{last } x)) \rrbracket \implies \text{snd } (\text{last } x) \in \text{post} \\ & \langle \text{proof} \rangle \end{aligned}$$

**lemma ParallelEmpty** [rule-format]:

$$\begin{aligned} & \forall i \text{ } s. x \in \text{par-cp } (\text{ParallelCom } []) \text{ } s \longrightarrow \\ & \quad \text{Suc } i < \text{length } x \longrightarrow (x ! i, x ! \text{Suc } i) \notin \text{par-ctran} \\ & \langle \text{proof} \rangle \end{aligned}$$

**theorem par-rgsound**:

$$\vdash c \text{ SAT } [\text{pre}, \text{rely}, \text{guar}, \text{post}] \implies$$

end

```
theory RG-Syntax
imports RG-Hoare Quote-Antiquote
begin
```

$$\begin{array}{l} \text{-prg } a \rightarrow [a] \\ \text{-prgs } a \text{ ps} \rightarrow a \# \text{ps} \\ \text{-PAR ps} \rightarrow \text{ps} \end{array}$$

**syntax**

*-prg-scheme* :: [*'a*, *'a*, *'a*, *'a*]  $\Rightarrow$  *prgs* (*SCHEME* [-  $\leq$  - < -] - [0,0,0,60] 57)

**translations**

*-prg-scheme* *j i k c*  $\Rightarrow$  (*map* ( $\lambda i.$  *c*) [*j*..*k*])

Translations for variables before and after a transition:

**syntax**

*-before* :: *id*  $\Rightarrow$  *'a* ( $^{\circ}$ -)

*-after* :: *id*  $\Rightarrow$  *'a* ( $^{\mathbf{a}}$ -)

**translations**

$^{\circ}x \Rightarrow x$  *'fst*

$^{\mathbf{a}}x \Rightarrow x$  *'snd*

$\langle ML \rangle$

**end**

## 3.7 Examples

**theory** *RG-Examples* **imports** *RG-Syntax* **begin**

**lemmas** *definitions* [*simp*]= *stable-def Pre-def Rely-def Guar-def Post-def Com-def*

### 3.7.1 Set Elements of an Array to Zero

**lemma** *le-less-trans2*:  $\llbracket (j::nat) < k; i \leq j \rrbracket \Longrightarrow i < k$

$\langle proof \rangle$

**lemma** *add-le-less-mono*:  $\llbracket (a::nat) < c; b \leq d \rrbracket \Longrightarrow a + b < c + d$

$\langle proof \rangle$

**record** *Example1* =

*A* :: *nat list*

**lemma** *Example1*:

$\vdash$  *COBEGIN*

*SCHEME* [ $0 \leq i < n$ ]

(*'A* := *'A* [*i* := 0],

$\{\!\{ n < \text{length } 'A \}\!\}$ ,

$\{\!\{ \text{length } ^{\circ}A = \text{length } ^{\mathbf{a}}A \wedge ^{\circ}A ! i = ^{\mathbf{a}}A ! i \}\!\}$ ,

$\{\!\{ \text{length } ^{\circ}A = \text{length } ^{\mathbf{a}}A \wedge (\forall j < n. i \neq j \longrightarrow ^{\circ}A ! j = ^{\mathbf{a}}A ! j) \}\!\}$ ,

$\{\!\{ 'A ! i = 0 \}\!\}$ )

*COEND*

*SAT* [ $\{\!\{ n < \text{length } 'A \}\!\}$ ,  $\{\!\{ ^{\circ}A = ^{\mathbf{a}}A \}\!\}$ ,  $\{\!\{ \text{True} \}\!\}$ ,  $\{\!\{ \forall i < n. 'A ! i = 0 \}\!\}$ ]

$\langle proof \rangle$

**lemma** *Example1-parameterized:*

$k < t \implies$

$\vdash \text{COBEGIN}$

$\text{SCHEME } [k*n \leq i < (\text{Suc } k)*n] \text{ } (\text{' } A := \text{' } A[i := 0],$

$\{\{ t*n < \text{length } \text{' } A \},$

$\{\{ t*n < \text{length } {}^\circ A \wedge \text{length } {}^\circ A = \text{length } {}^a A \wedge {}^\circ A!i = {}^a A!i \},$

$\{\{ t*n < \text{length } {}^\circ A \wedge \text{length } {}^\circ A = \text{length } {}^a A \wedge (\forall j < \text{length } {}^\circ A . i \neq j \implies {}^\circ A!j = {}^a A!j) \},$

$\{\{ \text{' } A!i = 0 \} \}$

$\text{COEND}$

$\text{SAT } [\{\{ t*n < \text{length } \text{' } A \},$

$\{\{ t*n < \text{length } {}^\circ A \wedge \text{length } {}^\circ A = \text{length } {}^a A \wedge (\forall i < n . {}^\circ A!(k*n+i) = {}^a A!(k*n+i)) \},$

$\{\{ t*n < \text{length } {}^\circ A \wedge \text{length } {}^\circ A = \text{length } {}^a A \wedge$

$(\forall i < \text{length } {}^\circ A . (i < k*n \implies {}^\circ A!i = {}^a A!i) \wedge ((\text{Suc } k)*n \leq i \implies {}^\circ A!i = {}^a A!i)) \},$

$\{\{ \forall i < n . \text{' } A!(k*n+i) = 0 \} \}]$

$\langle \text{proof} \rangle$

### 3.7.2 Increment a Variable in Parallel

#### Two components

**record** *Example2* =

$x :: \text{nat}$

$c-0 :: \text{nat}$

$c-1 :: \text{nat}$

**lemma** *Example2:*

$\vdash \text{COBEGIN}$

$(\langle \text{' } x := \text{' } x + 1;; \text{' } c-0 := \text{' } c-0 + 1 \rangle,$

$\{\{ \text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-0 = 0 \},$

$\{\{ {}^\circ c-0 = {}^a c-0 \wedge$

$({}^\circ x = {}^\circ c-0 + {}^\circ c-1$

$\implies {}^a x = {}^a c-0 + {}^a c-1) \},$

$\{\{ {}^\circ c-1 = {}^a c-1 \wedge$

$({}^\circ x = {}^\circ c-0 + {}^\circ c-1$

$\implies {}^a x = {}^a c-0 + {}^a c-1) \},$

$\{\{ \text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-0 = 1 \} \})$

$\parallel$

$(\langle \text{' } x := \text{' } x + 1;; \text{' } c-1 := \text{' } c-1 + 1 \rangle,$

$\{\{ \text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-1 = 0 \},$

$\{\{ {}^\circ c-1 = {}^a c-1 \wedge$

$({}^\circ x = {}^\circ c-0 + {}^\circ c-1$

$\implies {}^a x = {}^a c-0 + {}^a c-1) \},$

$\{\{ {}^\circ c-0 = {}^a c-0 \wedge$

$({}^\circ x = {}^\circ c-0 + {}^\circ c-1$

$\implies {}^a x = {}^a c-0 + {}^a c-1) \},$

$\{\{ \text{' } x = \text{' } c-0 + \text{' } c-1 \wedge \text{' } c-1 = 1 \} \})$

$\text{COEND}$

$SAT \llbracket \{ 'x=0 \wedge 'c-0=0 \wedge 'c-1=0 \},$   
 $\{ {}^o x = {}^a x \wedge {}^o c-0 = {}^a c-0 \wedge {}^o c-1 = {}^a c-1 \},$   
 $\{ True \},$   
 $\{ 'x=2 \} \rrbracket$   
 $\langle proof \rangle$

## Parameterized

**lemma** *Example2-lemma2-aux*:  $j < n \implies$   
 $(\sum i=0..<n. (b \ i :: nat)) =$   
 $(\sum i=0..<j. b \ i) + b \ j + (\sum i=0..<n-(Suc \ j) . b \ (Suc \ j + i))$   
 $\langle proof \rangle$

**lemma** *Example2-lemma2-aux2*:  
 $j \leq s \implies (\sum i :: nat = 0..<j. (b \ (s:=t)) \ i) = (\sum i=0..<j. b \ i)$   
 $\langle proof \rangle$

**lemma** *Example2-lemma2*:  
 $\llbracket j < n; b \ j = 0 \rrbracket \implies Suc \ (\sum i :: nat = 0..<n. b \ i) = (\sum i=0..<n. (b \ (j := Suc \ 0)) \ i)$   
 $\langle proof \rangle$

**lemma** *Example2-lemma2-Suc0*:  $\llbracket j < n; b \ j = 0 \rrbracket \implies$   
 $Suc \ (\sum i :: nat = 0..<n. b \ i) = (\sum i=0..<n. (b \ (j := Suc \ 0)) \ i)$   
 $\langle proof \rangle$

**record** *Example2-parameterized* =  
 $C :: nat \Rightarrow nat$   
 $y :: nat$

**lemma** *Example2-parameterized*:  $0 < n \implies$   
 $\vdash COBEGIN \ SCHEME \ [0 \leq i < n]$   
 $(\langle 'y := 'y + 1;; 'C := 'C \ (i := 1) \rangle,$   
 $\{ 'y = (\sum i=0..<n. 'C \ i) \wedge 'C \ i = 0 \},$   
 $\{ {}^o C \ i = {}^a C \ i \wedge$   
 $({}^o y = (\sum i=0..<n. {}^o C \ i) \longrightarrow {}^a y = (\sum i=0..<n. {}^a C \ i)) \},$   
 $\{ (\forall j < n. i \neq j \longrightarrow {}^o C \ j = {}^a C \ j) \wedge$   
 $({}^o y = (\sum i=0..<n. {}^o C \ i) \longrightarrow {}^a y = (\sum i=0..<n. {}^a C \ i)) \},$   
 $\{ 'y = (\sum i=0..<n. 'C \ i) \wedge 'C \ i = 1 \} \rangle$   
 $COEND$   
 $SAT \llbracket \{ 'y=0 \wedge (\sum i=0..<n. 'C \ i)=0 \}, \{ {}^o C = {}^a C \wedge {}^o y = {}^a y \}, \{ True \}, \{ 'y=n \} \rrbracket$   
 $\langle proof \rangle$

### 3.7.3 Find Least Element

A previous lemma:

**lemma** *mod-aux*:  $\llbracket i < (n :: nat); a \bmod n = i; j < a + n; j \bmod n = i; a < j \rrbracket$   
 $\implies False$   
 $\langle proof \rangle$

**record** *Example3* =

$X :: \text{nat} \Rightarrow \text{nat}$

$Y :: \text{nat} \Rightarrow \text{nat}$

**lemma** *Example3*:  $m \bmod n = 0 \implies$

$\vdash \text{COBEGIN}$

*SCHEME*  $[0 \leq i < n]$

(*WHILE*  $(\forall j < n. 'X\ i < 'Y\ j)$  *DO*  
*IF*  $P(B!( 'X\ i))$  *THEN*  $'Y := 'Y\ (i := 'X\ i)$   
*ELSE*  $'X := 'X\ (i := ('X\ i) + n)$  *FI*

*OD*,

$\{ ('X\ i) \bmod n = i \wedge (\forall j < 'X\ i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge ('Y\ i < m \longrightarrow P(B!( 'Y\ i))) \wedge 'Y\ i \leq m+i \}$ ,

$\{ (\forall j < n. i \neq j \longrightarrow {}^a Y\ j \leq {}^o Y\ j) \wedge {}^o X\ i = {}^a X\ i \wedge$   
 ${}^o Y\ i = {}^a Y\ i \}$ ,

$\{ (\forall j < n. i \neq j \longrightarrow {}^o X\ j = {}^a X\ j \wedge {}^o Y\ j = {}^a Y\ j) \wedge$   
 ${}^a Y\ i \leq {}^o Y\ i \}$ ,

$\{ ('X\ i) \bmod n = i \wedge (\forall j < 'X\ i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge ('Y\ i < m \longrightarrow P(B!( 'Y\ i))) \wedge 'Y\ i \leq m+i \wedge (\exists j < n. 'Y\ j \leq 'X\ i) \}$

*COEND*

*SAT*  $\{ \{ \forall i < n. 'X\ i = i \wedge 'Y\ i = m+i \}, \{ {}^o X = {}^a X \wedge {}^o Y = {}^a Y \}, \{ \text{True} \},$

$\{ \forall i < n. ('X\ i) \bmod n = i \wedge (\forall j < 'X\ i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge$   
 $('Y\ i < m \longrightarrow P(B!( 'Y\ i))) \wedge 'Y\ i \leq m+i \wedge (\exists j < n. 'Y\ j \leq 'X\ i) \}$

$\langle \text{proof} \rangle$

Same but with a list as auxiliary variable:

**record** *Example3-list* =

$X :: \text{nat list}$

$Y :: \text{nat list}$

**lemma** *Example3-list*:  $m \bmod n = 0 \implies \vdash (\text{COBEGIN } \text{SCHEME } [0 \leq i < n])$

(*WHILE*  $(\forall j < n. 'X!i < 'Y!j)$  *DO*

*IF*  $P(B!( 'X!i))$  *THEN*  $'Y := 'Y[i := 'X!i]$  *ELSE*  $'X := 'X[i := ('X!i) + n]$  *FI*

*OD*,

$\{ n < \text{length } 'X \wedge n < \text{length } 'Y \wedge ('X!i) \bmod n = i \wedge (\forall j < 'X!i. j \bmod n = i \longrightarrow$   
 $\neg P(B!j)) \wedge ('Y!i < m \longrightarrow P(B!( 'Y!i))) \wedge 'Y!i \leq m+i \}$ ,

$\{ (\forall j < n. i \neq j \longrightarrow {}^a Y!j \leq {}^o Y!j) \wedge {}^o X!i = {}^a X!i \wedge$   
 ${}^o Y!i = {}^a Y!i \wedge \text{length } {}^o X = \text{length } {}^a X \wedge \text{length } {}^o Y = \text{length } {}^a Y \}$ ,

$\{ (\forall j < n. i \neq j \longrightarrow {}^o X!j = {}^a X!j \wedge {}^o Y!j = {}^a Y!j) \wedge$   
 ${}^a Y!i \leq {}^o Y!i \wedge \text{length } {}^o X = \text{length } {}^a X \wedge \text{length } {}^o Y = \text{length } {}^a Y \}$ ,

$\{ ('X!i) \bmod n = i \wedge (\forall j < 'X!i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge ('Y!i < m \longrightarrow P(B!( 'Y!i)))$   
 $\wedge 'Y!i \leq m+i \wedge (\exists j < n. 'Y!j \leq 'X!i) \}$  *COEND*

*SAT*  $\{ \{ n < \text{length } 'X \wedge n < \text{length } 'Y \wedge (\forall i < n. 'X!i = i \wedge 'Y!i = m+i) \},$   
 $\{ {}^o X = {}^a X \wedge {}^o Y = {}^a Y \},$

$\{ \text{True} \},$

$\{ \forall i < n. ('X!i) \bmod n = i \wedge (\forall j < 'X!i. j \bmod n = i \longrightarrow \neg P(B!j)) \wedge$   
 $('Y!i < m \longrightarrow P(B!( 'Y!i))) \wedge 'Y!i \leq m+i \wedge (\exists j < n. 'Y!j \leq 'X!i) \}$

$\langle \text{proof} \rangle$



**end**